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Paper:

Urbanek, E., Walsh, R. & Shakesby, R. (2014). Patterns of soil water repellency change with wetting and drying: the influence of cracks, roots and drainage conditions. *Hydrological Processes*, n/a-n/a.

<http://dx.doi.org/10.1002/hyp.10404>

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26 enhanced SWR occurred in organic-rich soils at intermediate moisture levels during drying.
27 Hydrological implications are discussed and the roles of cracks and roots are placed into
28 context with other influences on preferential flow and SWR under field conditions.

29

30 Keywords: soil water-repellency, soil hydrophobicity, preferential flow, wetting and drying,
31 cracks and root-holes

32 **Introduction**

33 Soil water-repellency (SWR) is common in a wide range of climates and soil types (e.g.
34 Doerr *et al.*, 2000; Dekker *et al.*, 2005) and ranges in intensity from slight, where infiltration
35 is delayed for a few seconds or minutes, to extreme, where water may fail to infiltrate for
36 hours or days (Doerr *et al.*, 2000). The most important hydrological effects of SWR are
37 overland flow which can enhance erosion and flooding (Shakesby *et al.*, 2000; Pierson *et al.*,
38 2009); and increased preferential flow, which can result in non-uniform soil moisture
39 distribution causing problems with seed germination, plant growth and groundwater
40 contamination (Doerr *et al.*, 2000; Madsen *et al.*, 2011; Müller *et al.*, 2014). These effects
41 tend to be most pronounced in storms following prolonged dry, warm conditions when the
42 soil is below a threshold moisture content and at its highest SWR severity, and absent in
43 wetter conditions when the soil moisture threshold is exceeded and SWR disappears (Doerr
44 and Thomas, 2000; Vogelmann *et al.*, 2013).

45 Several studies identified the need for research into temporal changes of SWR and the
46 underlying principles of the transition between the water-repellent (hydrophobic) and
47 wettable (hydrophilic) soil (Doerr and Thomas, 2000; DeBano 2000). Field studies of the
48 transition by Leighton-Boyce *et al.* (2005), Buczko *et al.* (2005, 2006) and Stoof *et al.* (2011)
49 recording spatio-temporal changes in SWR have demonstrated that changes between water-
50 repellent and wettable states can range from a few days to a few weeks depending on various

51 environmental conditions, ecosystems and soil types. In Portugal Stoof *et al.* (2011) have
52 shown that the transition is accompanied by spatial variability of SWR, which is highest in
53 late autumn and spring before soil changes to a more uniform wettable or water-repellent
54 state in winter and summer respectively. Exactly how and when transitions occur and the
55 nature of their hydrological impact remain unclear.

56 Enhanced overland flow might be anticipated under extreme SWR conditions, especially
57 following heavy rainfall (Schnabel *et al.*, 2013), but if there are preferential flow paths
58 present in the soil (Ritsema and Dekker, 2000; Doerr *et al.*, 2000; Shakesby *et al.* 2000), most
59 rainfall might be transferred below any near-surface repellent layer, such that the impact of
60 SWR on overland flow might be barely detectable. Preferential flow has been attributed to
61 wettable soil patches (Dekker and Ritsema, 2000), a high density of stones (Urbanek and
62 Shakesby, 2009), faunal burrows (Walsh *et al.*, 1995; Ferreira *et al.*, 1997, 1998; Shakesby *et*
63 *al.*, 2007) but most commonly to roots and soil cracks (Dekker and Ritsema, 1996;
64 Kobayashi and Shimizu, 2007). Potentially, these latter two flow paths could hold the key to
65 understanding the patterns of breakdown and recovery of SWR under wetting and drying
66 conditions. To date, however, there has been only limited investigation of the influence of
67 preferential flow in roots or cracks on the SWR patterns in the surrounding soil. Using a dye
68 tracer Kobayashi and Shimizu (2007) applied simulated rainfall to repellent soil and found
69 that wettable conditions spread outwards from preferential flow paths provided by roots. No
70 detailed investigation, however, has been made of partial wetting or drying and how it affects
71 the spatial variability of SWR. In addition, much of the behaviour of water-repellent soil has
72 been interpreted from studies carried out on thin soil overlying impermeable bedrock in the
73 Mediterranean, which raises the question as to how basal impedance to percolating water
74 might affect the wetting and drying behaviour of soil and SWR dynamics.

75 The present study addresses three research questions: (1) how does water in soil cracks
76 and root holes influence the three-dimensional dynamics of water-repellency of surrounding
77 soil?; (2) what is the influence of basal drainage impedance and its absence on these
78 dynamics?; and (3) what are the short- and medium-term temporal changes in SWR resulting
79 from a simulated rainfall event (minutes) and several days (80 hours) of drying? Given that
80 many features (e.g. soil structural elements, soil faunal activity) in natural soil could affect
81 the changes from wettable to water-repellent conditions and *vice versa*, it was considered
82 important to isolate as much as possible the effects of soil cracks and root holes by
83 conducting the experiments in the laboratory where other features could be eliminated or held
84 constant.

85

86 **Methodology**

87 *Research design*

88 The research design (Figure 1) comprised replicate laboratory experiments to assess the
89 three-dimensional impact on SWR of wetting and at four stages during 80 hours of drying.
90 Each experiment involved a standardized application of water equivalent to 9.2 mm of
91 rainfall (an amount common in SWR-prone environments, but insufficient to saturate the
92 soil). Three different, initially water-repellent soils (see below) were used with and without
93 subsurface drainage impedance, and with and without either artificially created vertical roots
94 or soil cracks. Altogether eighteen soil type-vertical structure-subsurface drainage
95 combinations were tested. Because the experiments were destructive in order to measure
96 SWR at each depth, it was necessary to have five runs of each combination which, with
97 replicates, made 180 individual experiments in total.

98 **<Figure-1>**

99 *Choice of soils and preparation*

100 Approximately 20 kg of each of the three soils used in the experiments was collected
101 from 1-3 m² areas of the topsoil (0-10 cm) at the following locations: (1) Vale Torto
102 catchment in central Portugal, covered by dense heath scrub dominated by *Erica umbellata*
103 and *Calluna vulgaris* (referred to in the paper as ‘Scrub’ soil) (see also Stoof *et al.*, 2011,
104 2012; Shakesby *et al.*, in press); (2) in the vicinity of a Lawson’s Cypress (*Chamaecyparis*
105 *lawsoniana*) tree on the Swansea University campus, south Wales, UK (‘Conif’ soil); and (3)
106 a vegetated coastal sand dune area at Nicholaston, Gower Peninsula, south Wales, UK,
107 covered by various grass species (‘Dune’ soil). The three soils were of similar initial water-
108 repellency *severity* (18% Molarity of an Ethanol Droplet (MED); Doerr, 1998) but differed in
109 terms of water-repellency *persistence* (as measured using the water drop penetration time
110 (WDPT) test), texture, total organic carbon (TOC) content and sampling location. The Conif
111 soil had the highest TOC content and WDPT while the Dune soil had the lowest values of the
112 same two parameters but the coarsest texture (Table 1).

113

114 **<Table-1>**

115 Soil samples were collected in dry conditions, oven-dried at 30°C for 24 hrs to ensure
116 standard moisture conditions, sieved through a 2-mm mesh and mixed thoroughly. They were
117 then stored under dry laboratory conditions. At the start of the experiment, the gravimetric
118 water contents (Kutilek and Nielson, 1994) of the soil material were 4 % for both Scrub and
119 Conif and 0.2 % for Dune soil. Total organic carbon (TOC) content was determined using a
120 Primacs SC-TOC automated analyzer. Particle-size distributions were determined using a
121 combination of dry sieving and a Coulter LS230 laser particle sizer using a fluid module with
122 Calgon 5% as the dispersion fluid. The SWR of each soil material was determined using the
123 MED and WDPT tests. The MED uses standardized solutions of ethanol in different

124 concentration. The repellency class assigned to a sample (Table 2; Doerr, 1998) is the lowest
125 ethanol strength at which at least 3 out of 5 droplets applied to the soil surface penetrated
126 within 5 seconds. The WDPT test involved placing 5 drops of distilled water on the soil
127 surface and recording the median time to complete penetration (Doerr, 1998).

128 **<Table-2>**

129 *Experimental procedure*

130 Samples of prepared soil material (60 of each soil type) were placed into round, slightly
131 tapered, transparent plastic containers with a basal diameter of 11cm. Each sample was gently
132 compacted and smoothed in a standard (replicable) fashion to provide experimental soils 2.5
133 cm deep with a surface diameter of 11.7 cm and surface area of 107.5 cm². Samples were
134 subdivided into one of the following ‘preferential flow’ treatments extending from soil
135 surface to its base: (a) simulated roots, comprising five vertical, regularly-spaced 2-mm
136 diameter, wettable wooden rods, and (b) two simulated soil cracks, 10.7 cm long and 0.2 cm
137 wide, created and maintained using two folded pieces of blotting paper inserted vertically into
138 the soil for the duration of the experiment, and (c) samples where no treatment was applied
139 (control samples). The blotting paper sides were used to ensure replication of dimensions and
140 prevent collapse during experiments. The roots had an areal density of 0.2 % (area per unit
141 area) and a volumetric density of 1.1 %, while the crack densities were 4 % and 11 %
142 respectively. The containers were either sealed at the base to prevent drainage (impeded
143 drainage) or punctured with four 5–mm diameter holes to allow it (unimpeded drainage). To
144 prevent soil loss, these holes were lined with a 142- μ m nylon mesh.

145 The wetting phase involved gentle, uniform application of 100 ml of double-distilled
146 water (equivalent to a rainfall of 9.2 mm) to the soil surface. The infiltration time was
147 recorded and, for unimpeded drainage experiments, the quantity of drained water was
148 measured. After 3 hours, the soil water content was determined gravimetrically (Kutilek and

149 Nielson, 1994). The three-dimensional SWR patterns of two of each set of ten experiments of
150 each soil/preferential flow/drainage combination were determined at four depths (surface, 0.5
151 cm, 1 cm, and 2 cm) using the MED test. Between 10 and 15 points were assessed per soil
152 layer, with particular attention given to clarifying patterns close to cracks and roots. After
153 measuring SWR at a particular level, soil was removed to reveal the next depth and
154 measurements repeated.

155 In the experiments where the impact of drying was assessed, the soil samples were oven-
156 dried at 30°C. Following 9, 24, 48 and 80 hours of oven-drying (a) the progressively fewer
157 remaining soil samples were re-weighed to determine their soil water contents, and (b) two
158 containers of each soil/preferential flow-drainage combination were selected and their three-
159 dimensional SWR patterns determined as described above.

160 *Recording SWR patterns*

161 Using sketches, photographs and MED measurements taken at each depth, diagrams of
162 SWR patterns were created and calculations made using JMicroVision v.1.27 software of the
163 percentage of total area covered by each repellency class at each depth for each experiment.
164 Data shown as means or medians represent the results from all samples including replicates.
165 For the SWR diagrams, however, there was some variation between replicates; cases where
166 replicates exhibited similar patterns and severity of SWR are identified with an R symbol.

167 *Statistical analysis*

168 In order to assess the effect of soil depth, treatment, soil type on the spatial distribution of
169 SWR after wetting and drying, statistical analyses using one-way ANOVA Post Hoc Multiple
170 Comparisons with Tukey or Games-Howell tests were conducted. For the effect of substrate
171 impedance on SWR, independent-samples t-tests were performed using the SPSS v.20. In
172 both cases, the 5% significance level ($p < 0.05$) was used.

173 **Results**

174 *Wetting phase*

175 Water infiltrated the surface of the Scrub soil rapidly (within 5 min) irrespective of
176 treatment or drainage type, but much more slowly for the Conif (30-60 min) and Dune (10-
177 100 min) soils. Infiltration was much faster (1) with unimpeded than with impeded drainage
178 in Conif and Dune soil experiments, and (2) with simulated cracks and roots than without.
179 Infiltration occurred mainly via the preferential flow paths provided by the cracks and roots
180 where present rather than into the soil matrix, but in control samples it was relatively random
181 (Figure 2). Up to 75 % of applied water drained within 3 hours of wetting where there was
182 basal drainage (Table 3). For Conif and Dune soils, drainage ranged from 20 to 36 % of the
183 applied water in the control experiments, rising to 41-45 % and 59-75 % in the root and crack
184 treatment experiments respectively. In contrast, drainage was minimal in the Scrub soil
185 experiments with a maximum of 12 % recorded for the root treatment. As would be expected,
186 these different drainage outputs led to different post-wetting volumetric soil water contents:
187 9-24 % for Dune soil, 15-30 % for Conif and 36-39 % for Scrub, with lowest values for
188 experiments with cracks and highest values for control experiments (Figure 4, at 0 hours).

189 **<Table-3>**

190 The application of water created different three-dimensional SWR patterns leaving some
191 soil hydrophilic or with reduced SWR, and other parts dry and with unchanged SWR. The
192 patterns varied according to treatment, subsurface drainage and soil type (Figure 2). Surface
193 soil (A in Figure 2) became completely hydrophilic (shown as unshaded in Figure 2) in 24 of
194 the 36 experiments; in the remaining 12, only isolated patches away from cracks, roots and
195 container edges remained water-repellent (18 % MED) (grey shading in Figure 2). At depth
196 (B, C and D in Figure 2), most experiments had hydrophilic soil around preferential flow
197 paths but maintained the original SWR (18 % MED) in isolated patches away from roots and

198 cracks (19, 24, 25 out of 36 experiments at 0.5, 1, 2 cm depth respectively). Experiments with
199 impeded basal drainage had more extensive wetting at 1 cm (C) and 2 cm (D) depths, with
200 more than 50 % of the total area wetted in 15 out of 18 experiments, whereas in soil with
201 unimpeded drainage, only 9 out of 18 experiments at both depths had this percentage area
202 wetted. Distinct differences in SWR distribution were also observed between treatment
203 types. Wetting was restricted to narrow zones adjacent to cracks and roots where present,
204 with soil patches away from them remaining dry and water-repellent (18 % MED) (Figures 2
205 and 3). In contrast, in control samples, the hydrophilic and hydrophobic soil areas showed no
206 systematic patterns.

207 <Figure-2>

208 <Figure-3>

209 *Drying phase*

210 When drying commenced, the soil water content and distribution became non-uniform,
211 varying according to soil, drainage and treatment type (Figure 4; 0 hrs drying time).
212 Progressive drying caused exponential reductions in soil water content with pre-wetting
213 values being reached after 48 hours for Dune soils and 80 hours for Scrub and Conif soils
214 (Figure 4).

215 <Figure-4>

216 Drying was accompanied by changes in SWR as demonstrated by detailed maps (Figure
217 5) for each depth of each experiment. Soil around simulated cracks or roots and close to
218 container edges remained wet (unshaded) and hydrophilic for longer than elsewhere. In all 36
219 experiments at each depth after 9 hours of drying, 27 (out of 144 experiment-depths)
220 remained completely wettable, 92 had isolated water-repellent patches and 25 became
221 entirely water-repellent. With further drying (24 hours), most soil samples at each depth
222 became completely water-repellent (101 out of 144), with the remainder rendered either

223 partly (28 cases) or completely (15 cases) wettable. All soils had become entirely water-
224 repellent after 48 hours of drying but the degree of SWR varied considerably.

225 During drying, of the 108 cases at each depth where soil had become wettable (defined as
226 > 50 % of the soil area being wettable), mainly for surface and basal soil in experiments with
227 impeded drainage, most (88 out of 108 after 80 hours of drying) did not return to the original
228 SWR level but became either one (42 cases), two (40 cases) or three (6 cases) SWR classes
229 lower. In contrast, in experiments where water had wetted <50 % of the soil (mainly
230 experiments with unimpeded drainage at 1 and 2 cm depths), most soil (26 out of 36 cases)
231 retained its original SWR. In these 26 cases, however, SWR severity varied spatially
232 throughout drying with only one-third of experiment-depths exhibiting uniform values.

233 For Scrub soil, SWR patterns in both the control and root treatments remained variable
234 and patchy; only in some crack experiments was the pattern more systematic with lower
235 repellency near cracks (Figure 5). For Conif and Dune soils, there were differences in
236 repellency patterns not only between the roots, cracks and control treatments but also
237 between impeded and unimpeded drainage runs. Soil in most experiments with impeded
238 drainage became less water-repellent at depths of 1 and 2 cm than before wetting, whereas
239 with unimpeded drainage soil only became less water-repellent close to roots and cracks and
240 retained its original repellency away from them.

241 <Figure-5>

242 During drying, many Scrub and Conif (but not Dune) soils at one or more depths became
243 either partly, or in some cases entirely, extremely water-repellent (24 or 36 % MED), thus
244 reaching higher repellency levels higher than that recorded before wetting (18 % MED).
245 Usually, however, repellency declined after 80 hours of drying (Figure 5), with only 5 out of
246 48 experiment-depths showing extreme repellency. In all, 61 out of 192 and 46 out of 192
247 cases for all depths of Scrub and Conif soils respectively exhibited some extreme repellency

248 after 9-80 hours of drying, and it was most apparent after 24 hours of drying (22 out of 48
249 and 15 out of 48 in Scrub and Conif soils respectively). In experiments with cracks and roots,
250 the SWR peak tended to occur in the dry zones immediately adjacent to the wetted areas
251 surrounding the cracks and roots. This SWR enhancement tended to: (1) occur most rapidly
252 in experiments with roots, followed by the cracks and then the control experiments (Figure
253 5); and (2) be more pronounced with impeded (31 out of 96 and 28 out of 96 experiments in
254 Scrub and Conif respectively) than unimpeded drainage (28 out of 96 and 18 out of 96
255 experiments in Scrub and Conif respectively).

256 The overall effect of wetting and drying on SWR observed at the final stage of drying was
257 a net reduction in the severity of SWR at the surface and at 0.5 cm depth (>70 %), while at
258 greater depths the proportion of soil with unchanged severity of SWR was much higher than in
259 shallower soil (Figure 6a). In terms of soil type, the effect of the wetting and drying resulted
260 in a significant reduction in SWR for Dune and Scrub soil, while in Conif experiments the
261 percentage of soil with reduced and unchanged SWR was similar.

262 In the control and root experiments after wetting and drying, significant proportions of
263 soil had less severe SWR (~70%) while only ~30% retained the original level of severity. In
264 soils with cracks, however, the proportions were nearly equal. For both types of subsurface
265 drainage, the majority of the soil volume had a reduced severity of SWR (57% and 69%) at
266 the final stage of drying, nearly 5% had increased SWR, the remaining soil retaining pre-
267 wetting SWR levels (Figure 6d).

268 <Figure-6>

269 Discussion

270 The discussion is divided to three main sections including the wetting, drying phase
271 patterns, and hydrological implications of here presented findings together with discussion of
272 net hydrological impact of other environmental factors affecting SWR.

273 *Wetting phase patterns*

274 The general patterns of SWR dynamics following wetting (Figure 7) confirm previous
275 research showing that rainwater is mainly distributed via preferential flow paths where they
276 are present (Ritsema and Dekker, 2000; Kobayashi and Shimizu, 2007) (Figure 7; U1-U2 and
277 I1-I2). As significant proportions of the applied water quickly bypass soil surrounding the
278 preferential flow paths, this reduce the potential for the water-repellency of the soil matrix to
279 be broken down and for extensive wetting to take place compared with situations without
280 cracks and roots. A surface soil layer and the zone adjacent to cracks and roots became
281 predominantly hydrophilic but the majority of soil matrix remained dry especially where
282 there was no basal drainage impedance (Figure 7; U3). In soil samples with basal drainage
283 impedance water also accumulated at the base causing extensive wetting and SWR
284 breakdown in that zone (Figure 7; I3). By the end of the wetting phase, the substantial soil
285 volume that did not wet retained its original SWR severity.

286 In the experiments, results varied with soil type and experimental set-ups. First, drainage
287 (in unimpeded experiments) was significantly higher in Dune and Conif crack and root
288 experiments than in the corresponding control experiments, but drainage was minimal for
289 Scrub soil in both control and crack/root experiments (Table 3). The fact that roots had
290 slightly less influence on drainage than cracks could be attributed in part at least to the
291 limited number and consequently smaller preferential flow area of simulated roots. In the
292 experiments with the simulated cracks and roots extended the short distance to the soil
293 (container) base in all cases. Clearly, the patterns of wetting and SWR change might well
294 have been different if either the soil depth had been much greater if the cracks or roots had
295 not extended to the base.

296 The reasons for the distinctive behaviour in wetting of Scrub soil are not entirely clear.
297 The most likely, although not certain, explanation lies in a specific combination of texture

298 and soil organic matter explained by Ellerbrock et al. 2005 as a mineral/organic matter ratio
299 which can affect surface wettability and possibly the speed of SWR breakdown.

300 *Drying-phase patterns*

301 During the drying phase the changes in SWR were partly dependent on different moisture
302 patterns created by wetting (Figure 7; U3 and I3). Thus drying and SWR change occurred
303 mainly at the surface and in areas near preferential flow paths and (in impeded drainage
304 experiments) in the basal zone. These changes took place comparatively rapidly once a
305 critical soil moisture threshold had been reached (Doerr and Thomas, 2000; Vogelmann *et*
306 *al.*, 2013) with a change occurring from wettable directly rather than progressively to a SWR
307 level typically lower than the 18% MED pre-wetting value (Figure 7; U4 and I4). The lower
308 post-wetting SWR could have been caused by weakening or breaking of the bonds between
309 the soil particles and hydrophobic substances, as suggested by Diel *et al.* (2009) and Graber
310 *et al.* (2009) and by leaching of hydrophobic organic substances resulting from the
311 percolating water (Doerr and Thomas 2000). As the experiments did not involve living
312 vegetation replenishment of hydrophobic substances was not involved.

313 In the crack and root experiments, SWR clearly became re-established last in the vertical
314 zones adjacent to them (Figure 7; U4 and I4) which corresponds with evidence from
315 observations (e.g. Ritsema and Dekker, 2000; Bachmann *et al.*, 2013) showing that these
316 zones remain wettable longest and, if only partial drying takes place, can quickly become wet
317 and hydrophilic again in subsequent rainstorms. Crack and root zones are likely, therefore, to
318 be the most dynamic SWR locations in a water-repellent soil.

319 Soils with unimpeded basal drainage remained unaffected by drying in most of the soil
320 matrix as the three-dimensional extent of wetting was limited in the first place (Figure 7; U4
321 and U5). With impeded drainage, the soil took much longer to dry and especially in Scrub
322 and Conif some samples in basal layers might not become completely dry after 80 hrs

323 resulting in lower levels of SWR re-established or in other cases extreme levels of SWR
324 remaining (Figure 7; I4 and I5).

325 In addition to these general patterns of SWR changes with wetting and drying some
326 observations in this study were very specific to particular soil or treatment types. The soil
327 samples with higher organic matter contents (Scrub and Conif) exhibited a peak in SWR
328 severity (24 or 36% MED) above the pre-wetting level during drying (Figure 7; U4 and I4),
329 but most of them returned to 18% MED after 80 hrs of drying. Similar behaviour has been
330 observed by de Jonge *et al.* (2007) and Kawamoto *et al.* (2007) in organic-rich soils tested
331 under laboratory conditions. They speculated that molecular conformational changes in
332 organic matter may be responsible (see also Ellerbrock *et al.*, 2005; Kawamoto *et al.*, 2007).
333 Another possibility is that evaporation in soil pores may temporarily raise both humidity and
334 SWR (Doerr *et al.*, 2002) before both subsequently decrease. This effect was observed by
335 Urbanek *et al.* (2010) for slightly moist, organic-rich, fine-textured soil subjected to
336 substantial heating in enclosed conditions (during autoclaving). The lack of such a peak in the
337 Dune soil may be a result, therefore, of its comparatively low organic matter content.
338 Support for this interpretation is provided by Schaumann *et al.* (2013), who showed that
339 different soil-water interaction models apply to water-repellent soil rich and poor in organic
340 matter.

341 Scrub and Dune soils showed more overall weakening of SWR following wetting and
342 drying than Conif soil, much of which remained unaltered (Figure 6b). Although SWR
343 retention can be linked to no or limited wetting, it may also partly result from differences in
344 re-establishing SWR with soil type. The potential for re-establishment of water-repellency in
345 a wetting-drying cycle was thus greatest for Conif soil with the highest organic matter content
346 of the three soils. The reasons for this difference are not certain but might be a result of (1)
347 different quantity and quality of hydrophobic substances in each soil originating from

348 different vegetation, (2) a greater ability for leaching of hydrophobic substances in the sandy,
349 and hence more permeable Dune soil, and (3) uncompleted drying of some soils especially
350 with impeded drainage even after 80 hrs of drying. The partial re-establishment of SWR may
351 be associated with the re-arrangement of the organic molecules as suggested in several
352 studies (e.g. Graber *et al.*, 2009; Bayer and Schaumann, 2007; Schaumann *et al.*, 2013), or
353 simply with the redistribution of waxes already present in the soil matrix as interstitial
354 globules (Franco *et al.*, 1995).

355 *Hydrological implications*

356 Despite the fact that the research study described here was conducted at a small scale and
357 under standardized laboratory conditions, the results show a number of potentially significant
358 implications for natural, field conditions. First, it is evident that preferential flow pathways
359 provided by roots and cracks not only allow water to bypass repellent soil (e.g. Dekker and
360 Ritsema, 2000; Kobayashi and Shimizu, 2007), but also assist in the breakdown of repellency
361 in surrounding soil. It is logical that differences in densities of such pathways may control the
362 speed and completeness of the switching from repellent to wettable conditions. The
363 mechanisms by which the simulated roots and cracks facilitate preferential flow and
364 consequent water-repellency breakdown may include: (1) the creation of continuous soil
365 voids with the presence of either roots or open cracks, and (2) the introduction of non-
366 hydrophobic surfaces by the roots themselves (Mao *et al.*, 2014). Although the first
367 mechanism may not completely simulate field conditions, roots must accomplish much the
368 same effect as that caused by the insertion of the rods through their natural movement and
369 growth and are able to create voids and macropores for air and water flow (Clark *et al.*,
370 2003). The second mechanism has some parallels with the effect of stones on vertical water
371 movement in water-repellent sand (Urbanek and Shakesby, 2009), where enhancement of
372 preferential flow by stones at sufficient concentrations to enable stone-to-stone contact

373 throughout the vertical soil profile was more marked for stones with hydrophilic than
374 hydrophobic surfaces. Unlike the simulated roots in our experiments, however, actual root
375 surfaces, may not be entirely hydrophilic due to the accumulation of hydrophobic microbial
376 exudates in the rhizosphere (Czarnes *et al.*, 2000; Brundrett, 2002).

377 Another important factor in soil water repellency breakdown is the basal drainage
378 impedance which is relatively common especially in shallow soils overlying impermeable
379 bedrock. In that case, rain water will wet a very thin surface layer, percolate down via
380 preferential flow paths where present to the impermeable subsurface layers and then start
381 wetting the overlying soil and creating hydrophilic conditions from beneath (Leighton-Boyce
382 *et al.*, 2005; Stoof *et al.*, 2011). On steep slopes, the effect of wetting the soil from beneath
383 could be potentially restricted, as water might start moving downslope as through flow along
384 the soil-rock interface. In soils with unimpeded subsurface drainage, on the other hand,
385 preferential flow paths created by deep cracks, tree roots or interconnected stones could reach
386 the subsurface soil horizon or highly permeable soil and only very limited wetting of soil
387 matrix would take place leaving large sub-surface zones water-repellent. Robinson *et al.*
388 (2010) suggested that such deep percolation of soil water along tree roots in a dry season may
389 enable trees to harvest water at depth by limiting water availability to shallow-rooted
390 vegetation.

391 Non-uniform wetting of water-repellent soil followed by drying reduces (at least
392 temporarily) the severity of SWR and is one of the main causes of spatial variability in
393 hydrophobicity along with patchy replenishment of hydrophobic substances from tree leaves,
394 litter or living roots (Doerr and Thomas, 2000) under natural conditions. Such high spatial
395 variability of SWR demonstrates that wettable and highly water-repellent soil can co-exist in
396 close proximity suggesting that a sufficiently dense network of SWR point measurements is
397 needed to avoid making incorrect predictions about the hydrological behaviour of soils

398 exhibiting water repellency. Soils with basal drainage impedance will tend to produce a soil
399 with layered hydrophobicity dynamics, with a highly dynamic surface, overlying a more
400 persistently hydrophobic upper/middle soil, which in turn overlies a quasi-permanent
401 hydrophilic basal zone.

402 The net hydrological impact of soil cracks and root-holes in soils exhibiting water-
403 repellency is shown in the wider context of other environmental factors in Figure 8. These
404 factors would be expected to act in various combinations to affect wetting and drying patterns
405 and SWR states. In the current study, soil surfaces were deliberately made bare and level,
406 which allowed water to pond until it either overcame SWR or percolate via roots or cracks.
407 On a slope, (1) overland flow infiltrating the soil matrix would be less likely, (2) water
408 ponding would be less long-lived thereby reducing the chance of a breakdown of repellency,
409 but (3) movement via macropores including cracks and root-holes where present might be
410 expected to be proportionally more important. High overland flow but low infiltration rates
411 on slopes of 38% in central Portugal reported by Stoof *et al.* (2011, 2012) could, therefore, be
412 interpreted as indicating that macropores were relatively sparse in the highly water-repellent
413 soil. Other studies, however, have attributed preferential flow paths to lower than expected
414 overland flow in highly water-repellent soil (Barrett and Slaymaker, 1989; Doerr *et al.*, 2000;
415 Ferreira *et al.*, 2000; Shakesby *et al.*, 2000; Walsh *et al.*, 1998).

416 Our experiments necessarily excluded replenishment of hydrophobic substances from
417 vegetation and litter, but it is clear that in many natural environments such as forest and scrub
418 (Doerr *et al.* 2009; Stoof *et al.* 2011), residual organic matter will provide compounds
419 necessary to maintain water repellency (Doerr and Thomas, 2000). In burnt environments,
420 however, vegetation removed by fire will limit the sources of hydrophobic substances and
421 therefore the patchiness of SWR created by partial wetting can be expected to have longer-
422 lasting effects. In these environments, SWR together with removal of the vegetation cover

423 will have a major effect on post-fire erosion and flooding events (Shakesby *et al.* 2000, Stoof
424 *et al.*, 2011).

425 The density and depth of the roots and cracks creating the preferential flow paths would
426 be expected to have a substantial effect on the scale of SWR breakdown, so that it is logical
427 to assume that with greater densities of preferential flow paths of any type, SWR breakdown
428 would be faster and more complete, although this has yet to be investigated. It follows, too,
429 that breakdown would be enhanced by basal wetting in a shallow soil overlying impermeable
430 bedrock (Doerr *et al.*, 2000). Breakdown would also be expected to occur more readily with
431 multiple rainfall events, provided they occurred over a sufficiently short period to prevent
432 substantial drying of any wetted soil between events. The anticipated effect would be the
433 progressive extending of wetted zones farther into the dry soil matrix beyond the narrow
434 zones surrounding preferential flow paths.

435 **<Figure-8>**

436 Soil texture and organic matter content are known to be important influences on SWR
437 (Doerr *et al.*, 2000; Ellerbrock *et al.*, 2005; Schauman *et al.*, 2013). Coarse-textured soils
438 have always been considered to be more prone to development and persistence of SWR
439 (DeBano, 1991; McGhie and Posner, 1980) but, paradoxically, they can be highly permeable
440 once SWR is overcome. Given that the hydrophobic substances that make soil water-repellent
441 are supplied by organic matter, it follows that soils rich in organic matter will be more likely
442 to show and retain SWR characteristics, including the curious tendency for SWR to reach
443 extreme values temporarily at intermediate moisture contents during drying.

444 **Conclusions**

445 Replicate controlled laboratory experiments were carried out involving the wetting and
446 drying of soil samples of three different soil types with and without simulated cracks, roots
447 and basal drainage impedance. Wetting of soil with preferential flow paths created either by

448 roots or cracks resulted in non-uniform wetting of the soil matrix and SWR remained
449 unchanged in non-wetted areas. On the other hand, soil in a shallow surface layer, adjacent to
450 preferential flow paths and at the base (where there was impeded drainage) changed to a
451 hydrophilic state.

452 Changes in SWR during drying were largely confined to soil that was wetted and hence
453 varied with the degree and pattern of wetting in the wetting experiment. The soil dried
454 quickly at and near the soil surface and left SWR levels predominantly reduced compared
455 with the pre-wetting. At depth, drying took longer, especially in areas near the preferential
456 flow paths created by the roots or cracks and the basal layer in soils with impeded drainage.
457 In the final stage of drying, SWR recovered to pre-wetting levels or was reduced. The degree
458 of SWR recovery depended not only on the degree of wetting but also on the ability of a
459 particular soil to re-establish water-repellency without the input of external hydrophobic
460 substances; for the experimental soils, this was dependent on the organic matter. The two
461 comparatively organic-rich soils also showed increased levels of SWR during intermediate
462 stages of drying, which could have partly contributed to better recovery of SWR levels. The
463 study also shows that the presence or absence of basal drainage impedance can significantly
464 affect the magnitude of changes in SWR during wetting and drying. A lack of basal
465 impedance prevented wetting of large volumes of the soil matrix. In contrast impeded
466 drainage speeded up the wetting and loss of SWR of subsurface soil but paradoxically may
467 have helped to retain the hydrophobic substances within the soil to facilitate the re-
468 establishment of SWR after drying.

469 There are several important implications of this laboratory study for the SWR and
470 hydrological behaviour of natural soils. First, the pattern and completeness of the breakdown
471 of repellency and subsequent recovery can be expected to be substantially affected by the
472 density of preferential flow pathways and presence or absence of basal drainage. This may

473 explain why point measurements of water repellency in the field have sometimes shown
474 considerable spatial variability under all but the driest soil conditions. It can be expected
475 nevertheless that the greater the density of preferential flow paths, the more spatially uniform
476 will be the measurements of SWR under all soil moisture conditions. Second, SWR can be
477 expected to be broken down more effectively for thin soils with rather than those without,
478 basal impedance. This may help to explain how degraded Mediterranean soils with extreme
479 levels of SWR can become hydrophilic under wet winter conditions even though high water
480 amounts applied to dry soil under simulated rainfall conditions can fail to wet the soil.

481 For the purposes of this study, it was assumed that water can freely enter soil cracks and
482 move downwards along relatively coarse plant roots. Under natural conditions, water
483 movement might well be impeded by the water-repellent surfaces associated with them, in
484 addition to any other factors affecting wetting and drying. Furthermore, many natural roots
485 are fine and dendritic rather than coarse and linear, which could be expected to have a
486 different effect to that reported here. Lastly, cracks and roots comprise just two possible
487 preferential flow pathways in water-repellent soil. How all the different pathways
488 individually affect water flow and wetting patterns and how they interact with each other and
489 with other soil and topographic factors need further investigation in order to be able to predict
490 more accurately the hydrological responses of water-repellent soils.

491

492 **Acknowledgement**

493 The first author is funded by the Royal Society – Dorothy Hodgkin Fellowship. This
494 paper forms part of the research financed by the project DESIRE (037046): ‘*Desertification*
495 *Mitigation and Remediation of Land - a Global Approach for Local Solutions*’ conducted in
496 the framework of the EC-DG RTD- 6th Framework Research Programme (sub-priority
497 1.1.6.3).

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644 **Figures description**

645 **Figure 1** Research design. Total number of experiments = 180 (18 treatments x 2 replicates x
 646 5 time stages). Shaded squares represent samples necessarily destroyed in order to carry out
 647 the analyses. For clarity, only the subdivisions for one category (e.g. soil type, treatment) are
 648 shown.

649
 650 **Figure 2** Spatial distribution of water repellency (MED), in three soils - Scrub, Conif, Dune
 651 at four depths (A-surface, B-0.5 cm, C-1 cm, D-2 cm), with impeded and unimpeded
 652 subsurface drainage at the end of the wetting phase (0 hrs drying in Figure 6). The type of
 653 shading indicates the severity of soil water repellency (unshaded=wettable; darker shading
 654 indicates more water-repellent soil). Black dots and vertical lines represent simulated roots
 655 and cracks respectively. The R symbol in the right-hand top corner indicates that the wetting
 656 behaviour was similar for replicates.

657
 658 **Figure 3** An example of changes observed during the wetting phase. Side views of the
 659 distribution of wet (dark tone) and dry, water-repellent (light tone) patches 5, 10 and 15 min
 660 after applying water to the surface of Dune soil with simulated cracks and impeded
 661 subsurface drainage. Note how initially the change to a wettable state is focused particularly
 662 on a relatively thin surface soil layer and zones adjacent to the two cracks. In this case, with
 663 impedance of basal drainage, soil near the base becomes wettable after 10 minutes. After 15
 664 minutes, only patches well removed from the cracks, base and surface remain dry and water
 665 repellent. (Compare this example with the schematic representation of changes given in
 666 Figure 7.)

667
 668 **Figure 4** Mean water content (% vol.) of soil samples after each drying interval. Bars show
 669 standard errors of the means (n=10). The number of samples is 10, 8, 6, 4 and 2 after each
 670 drying interval respectively. Open and closed symbols represent unimpeded (U) and impeded
 671 (I) subsurface drainage respectively.

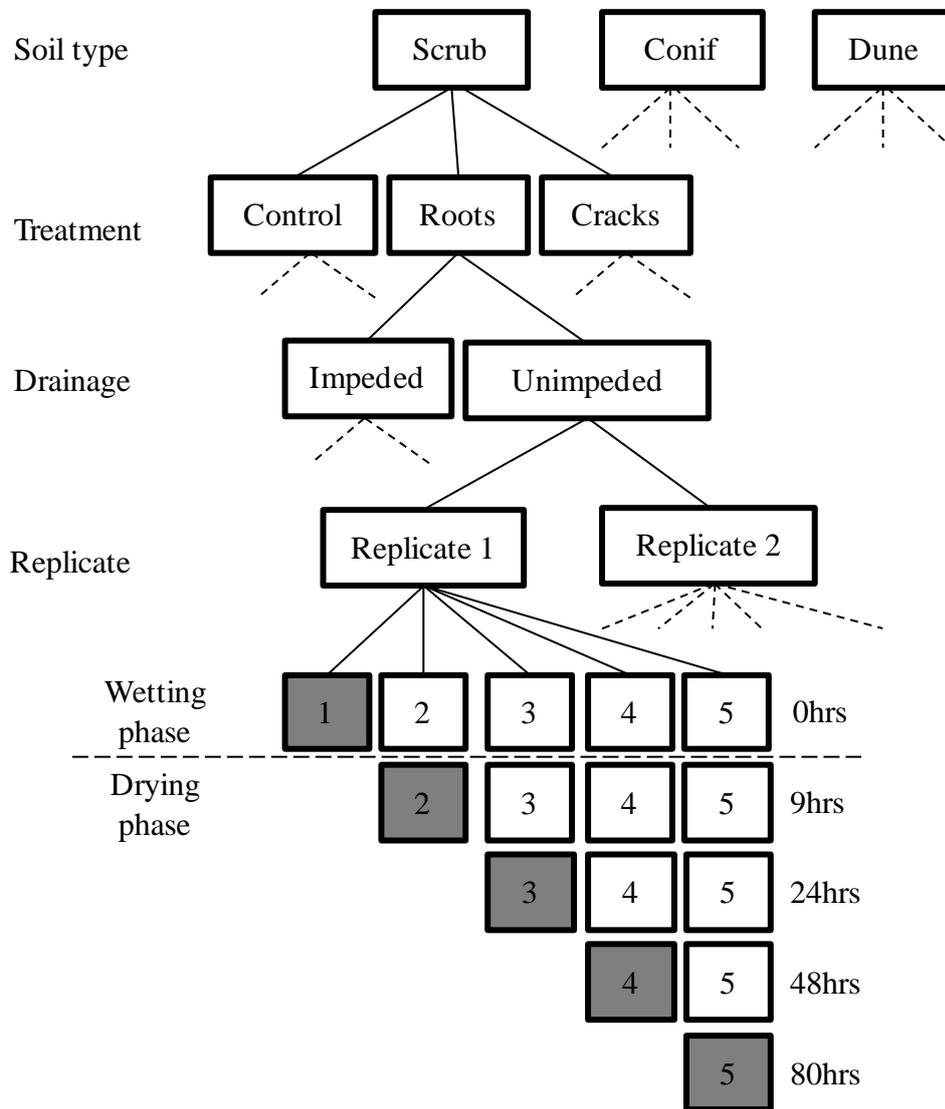
672
 673 **Figure 5** Spatial distribution of water repellency according to MED repellency class, in the
 674 three soils (5a - Scrub; 5b - Conif; 5c - Dune) at four depths (A - surface, B - 0.5 cm depth, C
 675 - 1 cm depth, D - 2 cm depth), with impeded and unimpeded subsurface drainage following
 676 0, 9, 24, 48 and 80 hours of oven-drying. The type of shading indicates the severity of soil
 677 water repellency, as shown in the accompanying key.

678
 679 **Figure 6** Shaded composite bar graphs showing the mean percentages of the soil areas having
 680 a soil water repellency class lower than (<), equal to (=) or more than (>) 18% MED by a)
 681 depth, b) treatment type, c) soil type, and d) impedance. Bars indicate standard errors of the
 682 means. Different letter symbols (a, b, c) indicate significant differences between groups
 683 within the same columns.

684
 685 **Figure 7** Schematic representation of the main soil water repellency changes observed during
 686 and following the application of water and subsequent drying for experiments with
 687 unimpeded (diagrams U1-U5) and impeded (diagrams I1-I5) basal drainage. The main points
 688 are that: (a) basal impedance of drainage leads to an additional wettable basal layer of soil
 689 compared with experiments without basal impedance, (b) only patches away from the soil
 690 made wettable during wetting retain their original level of water repellency, and (c) soil

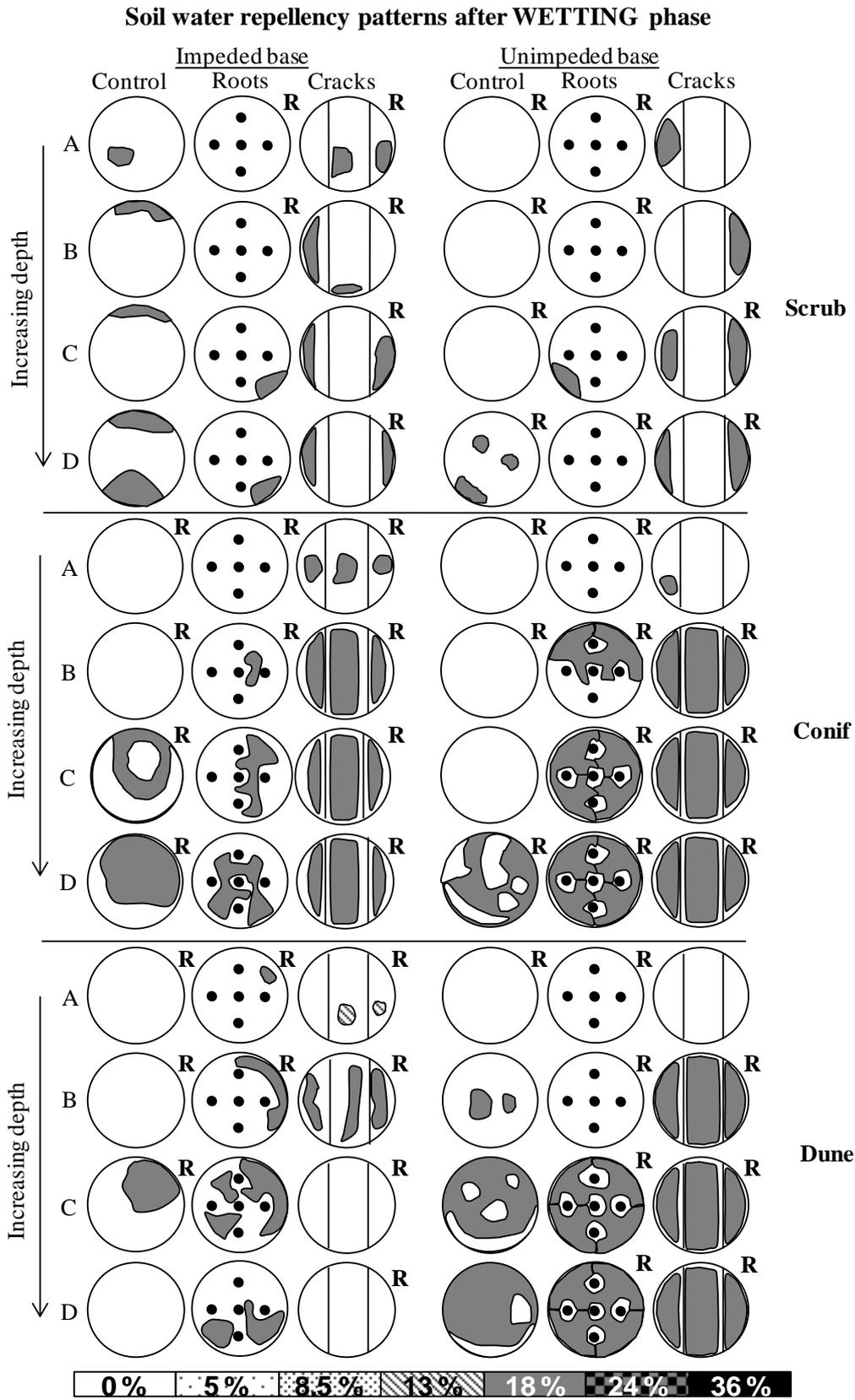
691 patches that remained dry and water repellent following the wetting phase tended to undergo
 692 temporarily increased repellency levels at some point during the drying phase.
 693

694 **Figure 8** Ten key factors influencing the impact of soil water-repellency (SWR) on
 695 hydrological processes in soils prone to soil water repellency. The first five factors relate to
 696 the finding presented in this paper, the other five referring to previous field and laboratory
 697 observations published in the literature.
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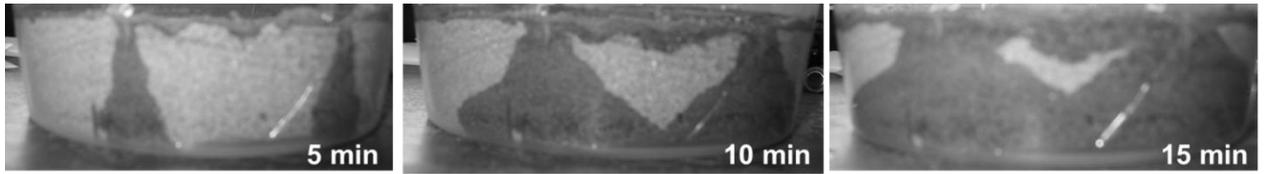
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 701 Figure 1

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Figure 2

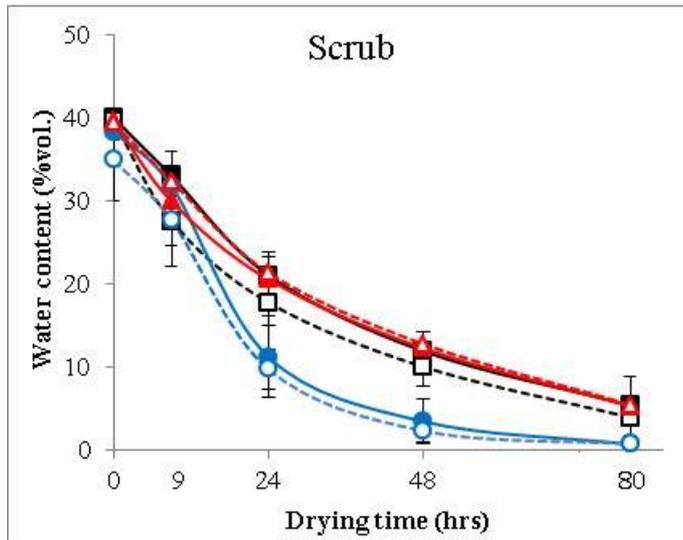


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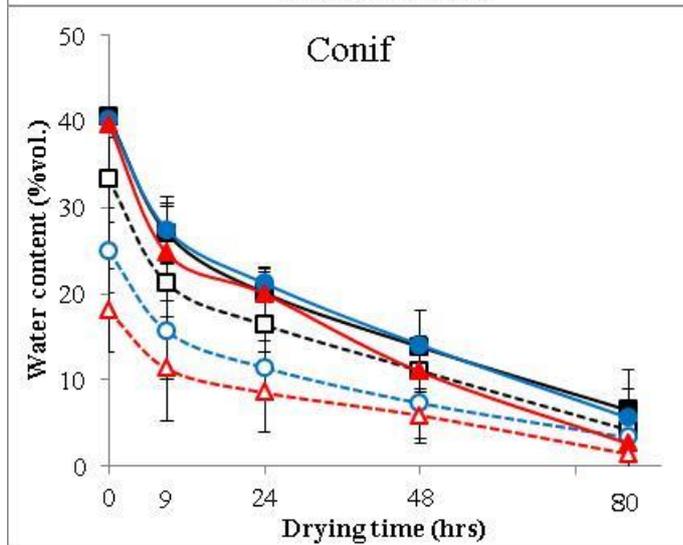
707 Figure 3

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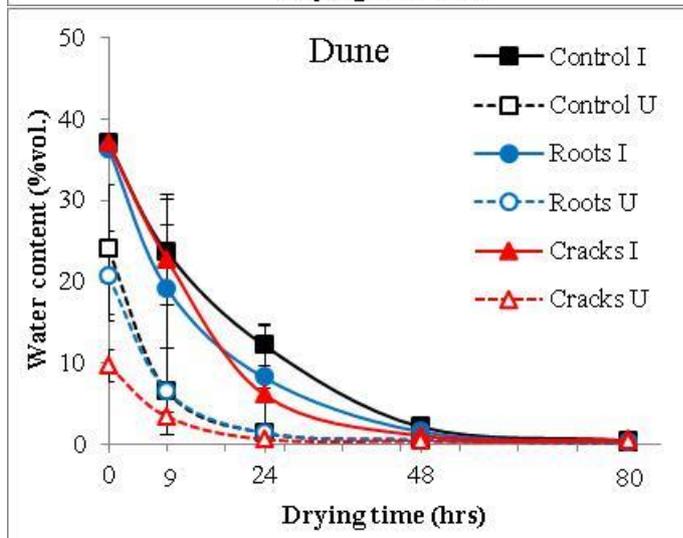
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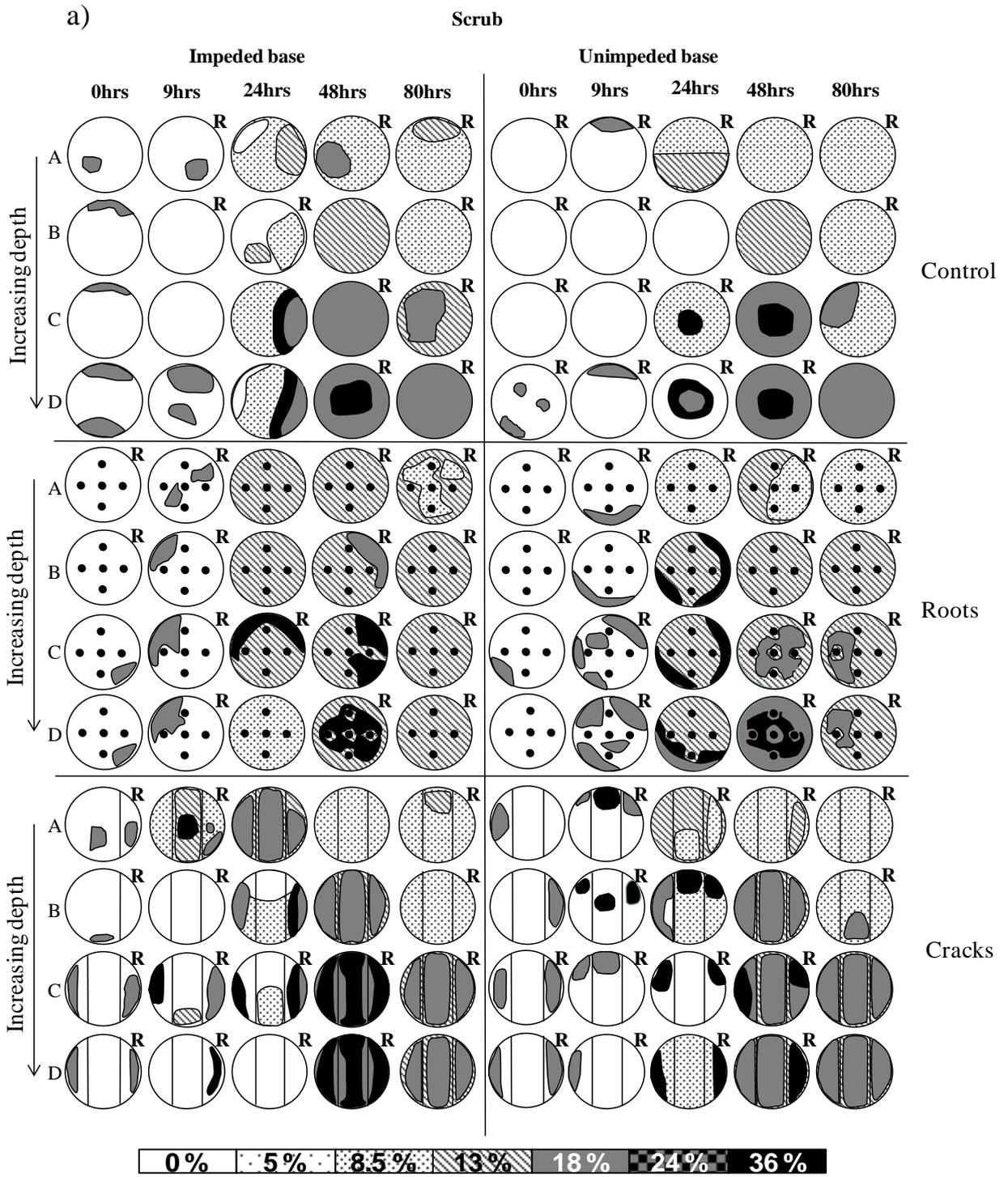
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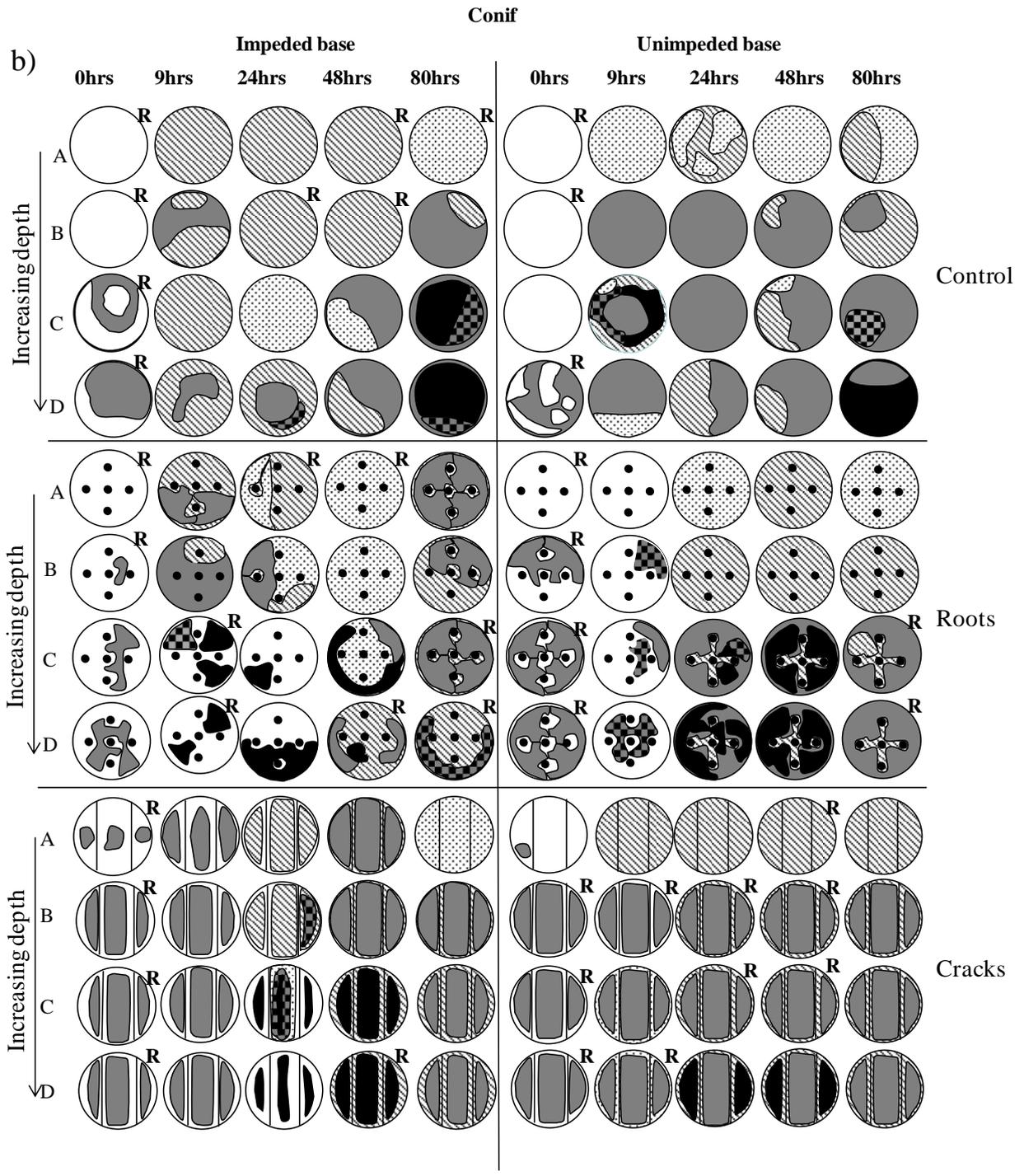


712 Figure 4



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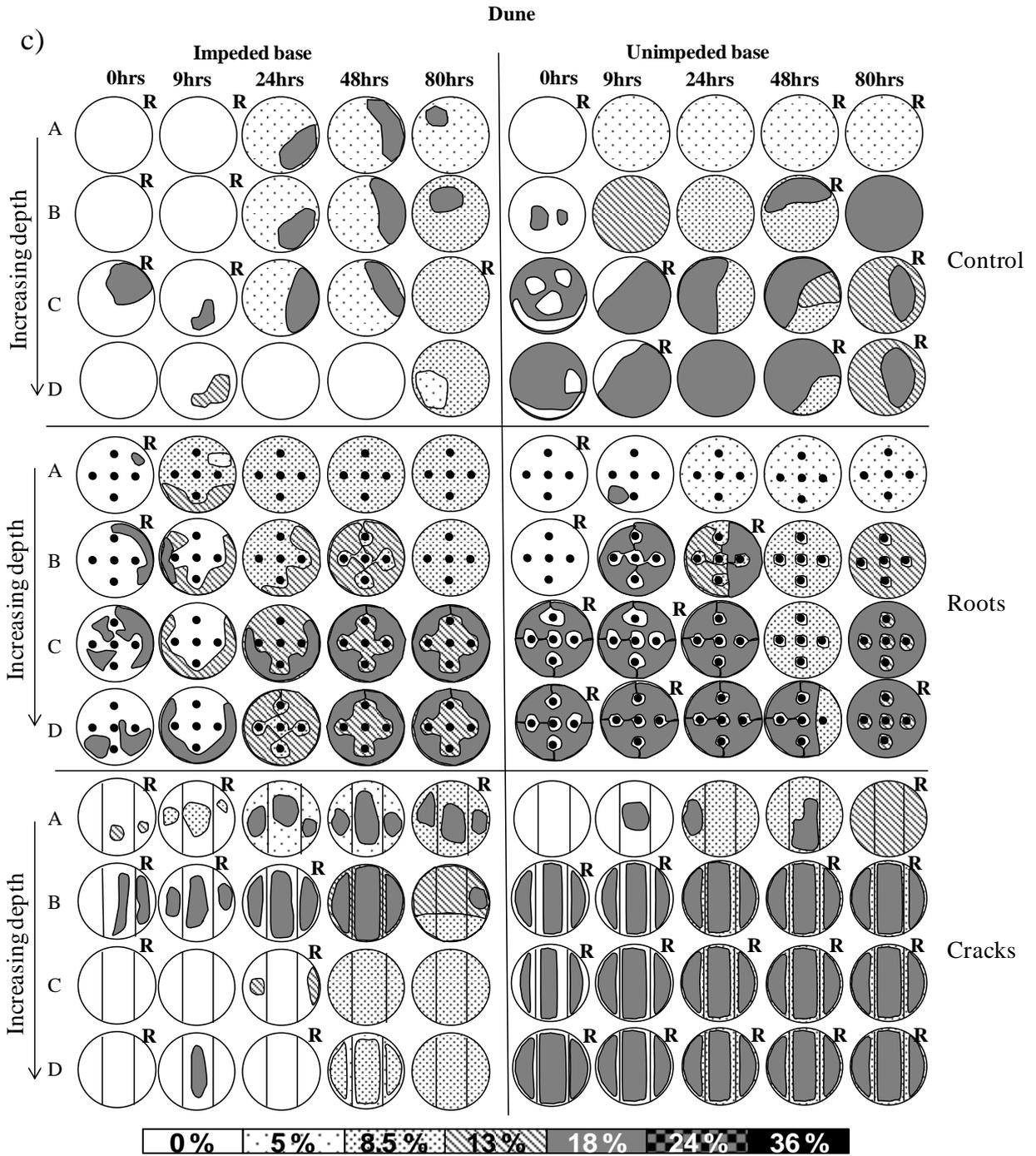


Figure 5

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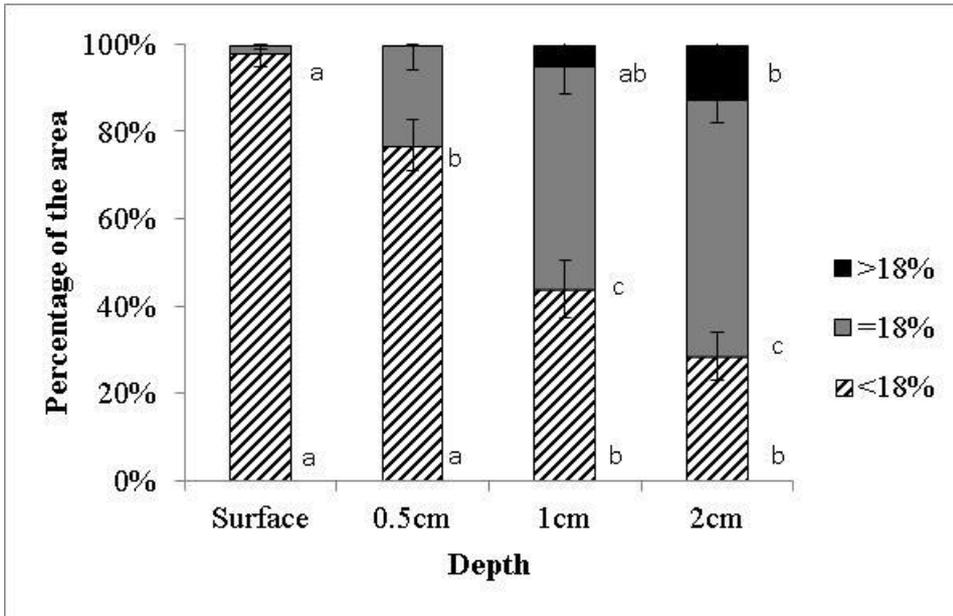
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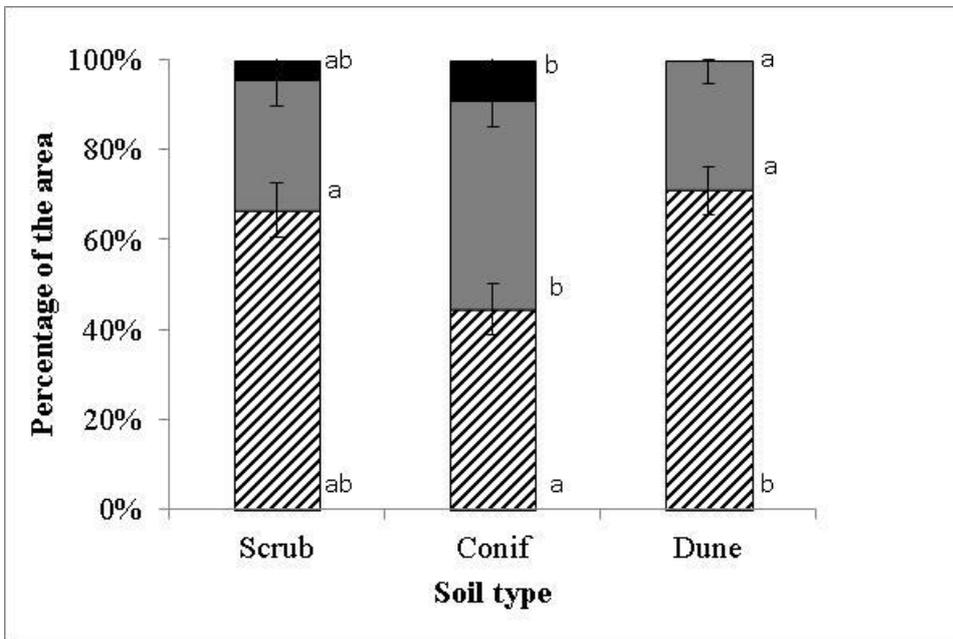
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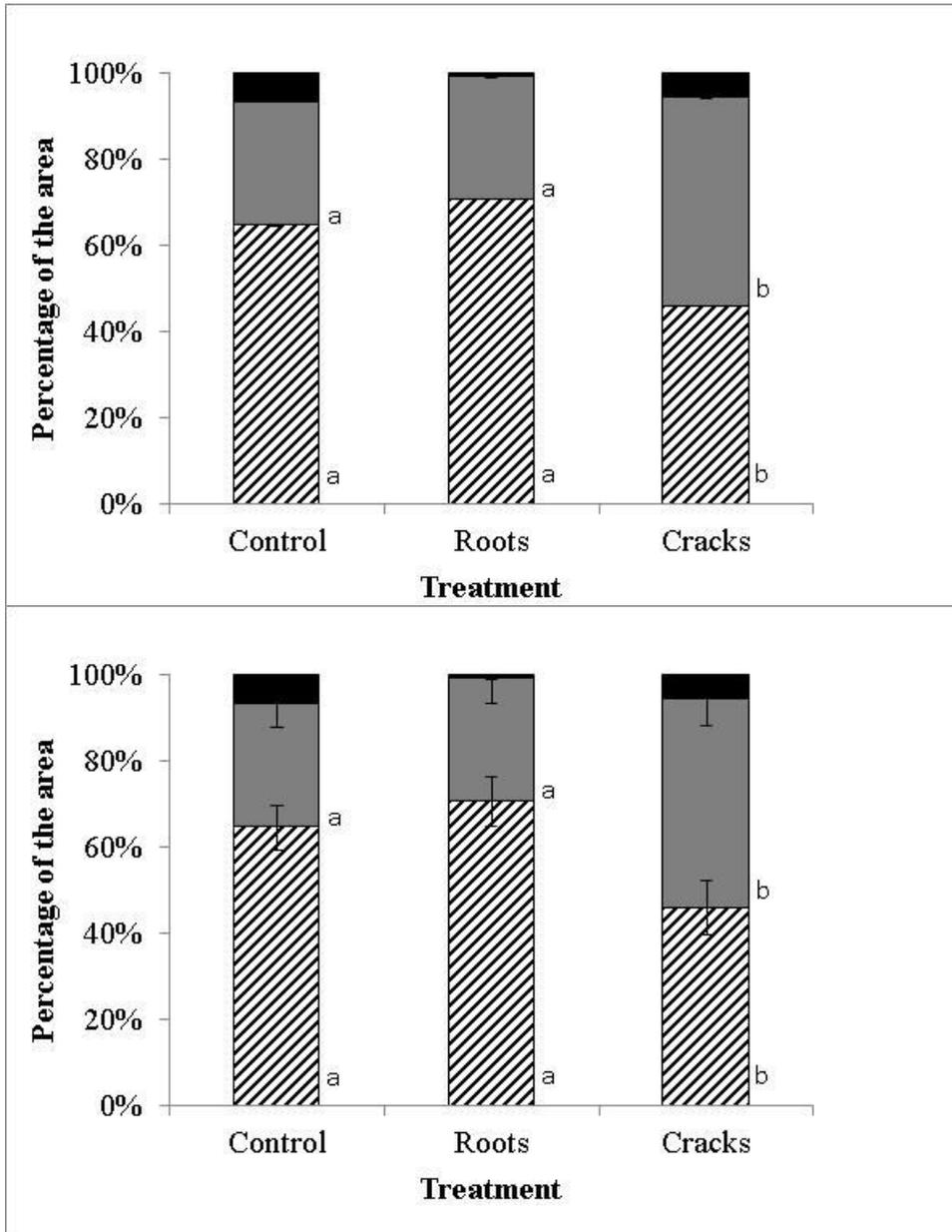
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Figure 6a



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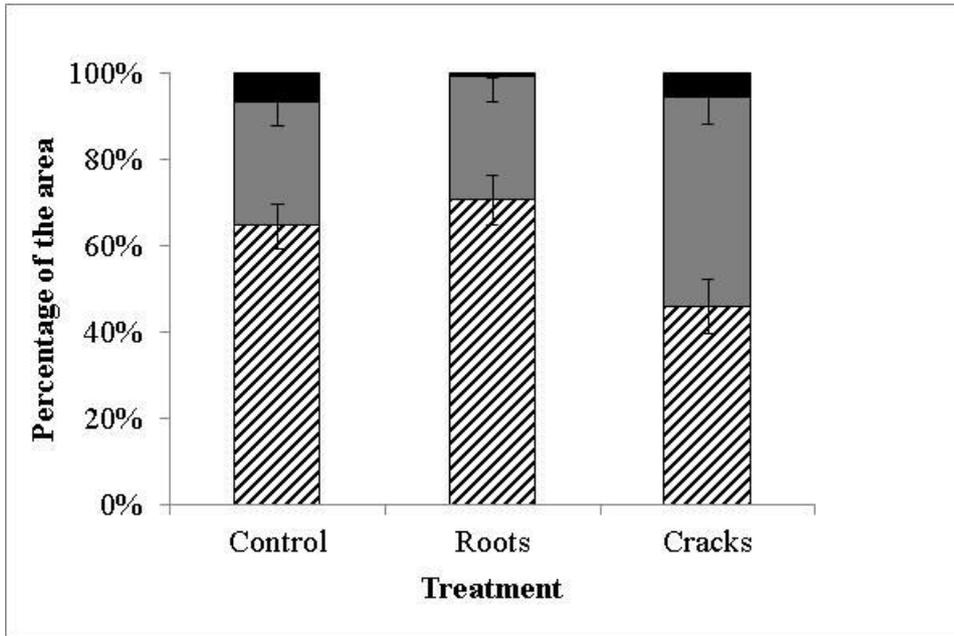
Figure 6b



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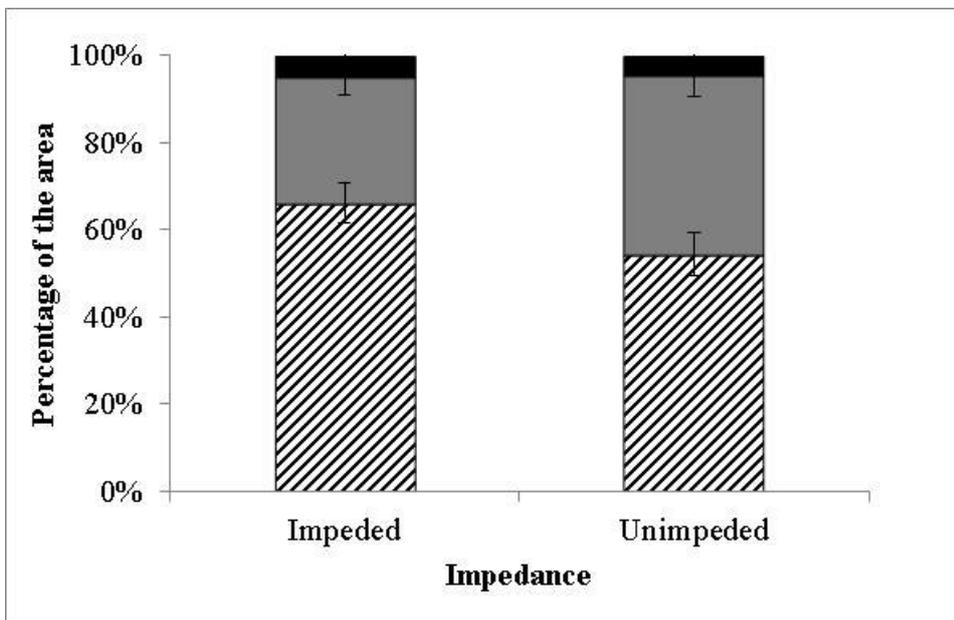
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Figure 6c



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Figure 6d

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733 Figure 6

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Table 1 Selected properties of the three soils studied prior to the laboratory experiments.

Sample ID	Scrub	Conif	Dune
Vegetation type	Heath and heather	Lawson's Cypress	Coastal dune grassland
Site location	Vale Torto, Portugal	Swansea, UK	Gower, UK
Site coordinates	40°06'N, 8°07'W	51°36'N, 3°58'W	51°34'N, 4°08'W
Soil type[†]	Umbric Leptosol	Anthrosol	Hyposalic Arenosol
Texture	Sandy loam	Sandy loam	Sand
Particle size distribution (sand/silt/clay) %	88/11/1	85/13/2	96/3/1
Total Organic Carbon (TOC) (% ± st. dev)	8.3 ±0.4	15.3 ±3.3	0.6 ±0.2
Bulk density (g/cm³)	0.93	0.73	1.75
Water content (grav. %)	4.2	4.4	0.2
Molarity of Ethanol (MED) (% Eth)	18	18	18
WDPT (s)	800	1500	600

[†] World Reference Base (FAO, 2006).

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Table 2 Ethanol-water concentrations and descriptive labels used to categorize the level of soil water repellency in the MED test (modified after Doerr, 1998).

Descriptive label	Wettable	Water-repellent					
		Slightly	Moderately	Less Strongly	More Strongly	Very strongly	Extremely
Ethanol concentration (%)	0	5	8.5	13	18	24	36

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Table 3 Means and standard errors of the water drainage volume expressed as percentages of applied water volume in experimental runs with unimpeded drainage at the final stage of wetting. Different superscript letters next to the standard errors identify significant differences between the treatments for each soil type

Treatment	Scrub	Conif	Dune
Control	0.4 ±0.15 ^a	20.3 ±4.82 ^a	35.8 ±6.79 ^a
Roots	12 ±4.00 ^b	41.3 ±4.19 ^b	44.8 ±4.73 ^a
Cracks	1.2 ±0.47 ^a	59.3 ±3.57 ^c	74.6 ±1.66 ^b

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