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Paper:

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25 evaluated as a novel technique for the cleaning of humic acid-fouled membranes. When ozone
26 cleaning was applied to the humic acid fouled membranes, reestablishment of close to original flux
27 values was observed after just 30 minutes of cleaning. This statement is supported by SEM images
28 that give an insight into the fouling of the membrane surface after the application of the cleaning
29 methods. The data indicate that ozone is an effective technique for membrane cleaning against NOM
30 induced fouling.

31 **1 Introduction**

32 Utilisation of membranes for water and waste water treatment has been a topic of great interest for
33 over 50 years. Membrane filtration allows high efficiency and low cost production of purified water
34 compared to the older less energy sustainable and expensive water treatment processes. The
35 membrane processes can be classified in four main categories depending on pore size and rejection
36 capability: microfiltration (MF), ultrafiltration (UF), nanofiltration (NF) and reverse osmosis (RO)
37 (Sagle, 2004). Various types of membranes have been used for water treatment as well as other
38 applications, ceramic, organo-mineral, metal or polymeric (Genné et al., 1997; Leiknes et al., 2004;
39 Chatterjee and Kumar, 2007; Ebrahimi et al., 2010). Polymeric membranes are widely used in water
40 purification areas such as desalination, waste water treatment and portable water treatment. The
41 popularity of the polymeric membranes in water treatment is accounted for their superior properties
42 such as high flexibility, fairly uncomplicated pore forming mechanism, low production cost and
43 smaller imprint required for installation compared to inorganic material membranes (Yin and Deng,
44 2015).

45 Many researchers have invested their time and effort to improve various properties of membranes to
46 achieve high quantity and quality of filtration along with long run life. These parameters can be
47 jeopardised by membrane fouling which is a major drawback in membrane technology. Deposition
48 of undesired particles on the surface and inside the pores of the membranes can affect the water
49 permeation, solute rejection and degradation of the membrane materials resulting in reduced
50 permeate quantity and quality (Van Geluwe et al., 2011). Membrane fouling, which can be reversible
51 and/or irreversible can take one or more of the following forms: adsorption, pore blockage, gel
52 formation and deposition (Field, 2010). Natural organic matter (NOM) has been proven to be the
53 most common cause of fouling in membrane processes (Kweon and Lawler, 2005). Major
54 constituents of NOM include polysaccharides, lipids, proteins, peptides, amino acids and humic
55 substances which can in some cases account for 50% of NOM (Schmitt-Kopplin et al., 1999; Van

56 Geluwe et al., 2011). Application of ozone in presence of those substances causes their degradation
57 to smaller molecules. It is typically conducted by rupture of double bonds in aromatic rings and
58 reaction with electron donating groups for further oxidation and production of carbon dioxide. Small
59 molecules such as aquatic peptides, proteins and amino acids degrade to carbonyl and carboxyl acids,
60 nitrate and ammonia as well as interference with the folding ability of the proteins (Le Lacheur and
61 Glaze, 1996; Sharma and Graham, 2010). Miao and Tao showed that ozonation of humic acid
62 increased the presence of smaller constituents such as aromatics, ketones, aldehydes, alcohols and
63 carboxylic acids which were further oxidised to produce esters and acids (Miao and Tao, 2008).
64 Ozone serves as a very powerful oxidising agent with high reactivity due to the ozone molecule's
65 electronic configuration and its resonance structure (Beltrán, 2004; Van Geluwe et al., 2011).

66 The ozone molecules electrophilic character originates from the positive formal charge of the central
67 oxygen atom, while the negative charge on one of the terminal oxygen atoms contributes to ozone's
68 nucleophilic character. The ozone reactions in water can vary from oxidation-reduction, dipolar
69 cycloaddition or electrophilic substitutions. During these reactions free radicals (e.g hydroxyl radical,
70 $\bullet\text{OH}$) are formed, which are very reactive with most organic molecules. Therefore ozone reactions
71 can be divided into direct reactions where ozone molecules react selectively with contaminants and
72 indirect reactions where hydroxyl radicals produced during decomposition of ozone react with
73 molecules in water (Beltrán, 2004). The hydroxyl radical reactions are non-selective and proceed
74 with very high rates.

75 Removal of membrane surface fouling can be performed via physical, chemical or physicochemical
76 cleaning methods. While reversible fouling can be eliminated by application of physical cleaning
77 methods such as backflushing, irreversible can only be solved by chemical cleaning due to
78 persistence of this type of fouling (José Miguel Arnal, (2011). Choice of chemical cleaning agent
79 depends both on type of foulant and membrane material, so that the membrane doesn't get damaged

80 during the cleaning process. Chemicals such as sodium hydroxide, hypochlorite and hydrogen
81 peroxide are widely used for effective membrane cleaning methods. However, these chemicals can
82 cause damage to the membrane structure which in turn reduces the membrane efficiency and life
83 time as well as causes secondary fouling (José Miguel Arnal, (2011).

84 Ozone assisted membrane cleaning is a novel technique for decomposition and removal of NOM
85 induced fouling (Van Geluwe et al., 2011). Only recently, researchers have started investigating
86 ozone treatment for membrane cleaning using various methods and membrane materials. Moslemi et
87 al reported use of ozonation combined with ultrafiltration ceramic membranes for mitigation of
88 membrane fouling (Moslemi et al., 2014). In addition Kim et al studied the effects of ozone
89 backwashing applied in a metal membrane microfiltration system for fouling reduction(Kim et al.,
90 2007). Due to growth of polymeric membrane applications it is essential to investigate efficient and
91 effective cleaning methods for these membranes. However, little work has been done in combining
92 polymeric membrane filtration and ozone cleaning. Although most organic membranes fail to
93 withstand the ozone treatment, polymeric polyvinylidene fluoride (PVDF) membranes show high
94 ozone resistance due to the high crystallinity of PVDF. As well as excellent ozone resistance, PVDF
95 membranes exhibit good mechanical strength, thermal stability and chemical resistance (Liu et al.,
96 2011).

97 In order to further increase the efficiency of the process, graphene-based nanomaterials (GBN) can
98 be introduced in the modified PVDF membranes. A number of studies concentrate on incorporation
99 of graphene oxide, reduced graphene oxide and carbon nanotubes in the membrane matrix (Choi et
100 al., 2006; Lee et al., 2013; Zhao et al., 2014). It has been suggested that these nanomaterials increase
101 membrane water permeability and mechanical strength as well as improve the antifouling properties
102 (Liu et al., 2011; Lee et al., 2013; Suhartono and Tizaoui, 2015).

103 In this current work the emerging use of ozone assisted chemical cleaning of pure and GBN
104 enhanced PVDF membranes was investigated. Using membrane characterisation and performance
105 evaluation techniques, the efficiency of the membranes before and after modification as well as
106 characterisation and mitigation of membrane fouling via cleaning studies was evaluated. Membrane
107 cleaning studies involved utilisation of two different techniques: deionised water flushing and ozone
108 assisted cleaning.

109 **2 Experimental**

110 **2.1 Materials**

111 PVDF Kynar polymer powder grade 761 and N-methyl-2-pyrrolidone (NMP) solvent 99%, extra
112 pure (Sigma Aldrich, Dorset, UK) were used for membrane manufacturing. GBN have been kindly
113 provided by Haydale Ltd, UK and used as membrane enhancing additives. The GBN planar particle
114 size was 0.3-5 μm with thickness $<50\text{nm}$. Humic acid, technical grade used in this study, was taken
115 as representation of natural organic matter and purchased from Sigma Aldrich, Dorset, UK.

116 **2.2 Membrane preparation**

117 Both pure and modified membranes were manufactured via a phase inversion method (Suhartono
118 and Tizaoui, 2015). Briefly, dry PVDF powder was dissolved in NMP and stirred for 3 hours using a
119 mechanical stirrer while maintaining the solution temperature at 65°C in a water bath. After 3 hours,
120 the mixture was cooled to room temperature and the membrane was then cast using a casting blade
121 on a sheet of glass. The glass sheet with the cast membrane was immersed in deionised water at
122 room temperature for 2 hours to ensure complete solvent-non-solvent displacement. For the GBN
123 enhanced membranes the nanomaterials were first dispersed in NMP, and to increase the dispersion
124 of nanoparticles the solution was sonicated in an ultrasonic bath.

125 2.3 Membrane Characterisation

126 The morphology of the manufactured membranes was characterised using optical and electronic
127 microscopes. For general observation of the membrane surface and dispersion of the nanoparticles
128 the Nikon Eclipse LV100ND optical microscope was used at various magnifications. For more
129 detailed surface and cross section measurements the Hitachi S4800 Scanning Electron Microscope
130 (SEM) was employed. The hydrophobicity of the manufactured membranes is typically investigated
131 by measuring the water contact angles. In current experiment, the sessile drop method has been
132 employed and recorded via a digital microscope with a camera, Supereyes B003+ K with Supereyes
133 software. The samples were securely fixed on to a PVA sheet and exposed to a 2µL drop of
134 deionised water at room temperature. The measurements were performed on different parts of the
135 membrane to achieve reliable and repeatable results. Five measurements were made and the averages
136 are reported here.

137 The membrane volume porosity was determined gravimetrically (Eq. 1).

$$138 \quad \varepsilon(\%) = \frac{(m_{wet} - m_{dry}) / \rho_w}{(m_{wet} - m_{dry}) / \rho_w + m_{dry} / \rho_{PVDF}} \times 100 \quad (Eq\ 1)$$

139 where m_{wet} is the mass of wet membrane (g), m_{dry} is the mass of dry membrane (g), ρ_w is the density
140 of DI water (g/cm^3), ρ_{PVDF} is the density of the PVDF polymer (1.78 g/cm^3).

141 This calculation allows further investigation into the pore morphology of the membranes. The mean
142 pore radius can be established by inputting the membrane volume porosity and filtration velocity in
143 the Guerout-Elfor-Ferry equation (Yuliwati et al., 2011):

$$144 \quad r_p = \sqrt{\frac{(2.9 - 1.75\varepsilon) \times 8\eta l Q}{\varepsilon A \Delta P}} \quad (Eq2)$$

145 where ε is the membrane volume porosity, η is the water viscosity (8.9×10^{-4} Pa s at 25°C), l is the
146 membrane thickness (m), Q is the flowrate of the permeate (m^3/s), A is the membrane surface area
147 (m^2), ΔP is the transmembrane pressure (Pa).

148 **2.4 Performance**

149 **2.4.1 Flux**

150 Membrane performance was tested using a cross flow filtration unit (CFU) where the permeation of
151 deionised water through the membrane was measured. The experimental set up is displayed in Figure
152 1. The CFU operation conditions were set at a water flowrate of 1 L/min, a transmembrane pressure
153 (TMP) 4.5 bar and all at room temperature $25 \pm 1^\circ\text{C}$. The membrane unit was assembled using a
154 cellulose fibre support layer and a circular membrane of 36 mm diameter (active area of 10 cm^2).
155 The permeate was collected over 20 minutes and recorded every 10 seconds using an electronic
156 balance interfaced with a PC.

157

158 **Figure 1**

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164 **2.4.2 Humic acid rejection**

165 Membrane performance was further investigated via the ability of the membranes to reject humic
166 acid (HA). The humic solution was prepared at 0.05g/L humic acid and stirred for 24 hrs without pH

167 adjustment at pH 6. Using the CFU set up at flow rate 1L/min and TMP 4.5 bar, the membranes were
168 employed to filtrate the humic acid solution until the permeate volume reached 200mL.

169 The permeate was collected every 5-10mL and analysed via UV-vis spectrophotometer (Agilent, HP
170 8453) at specific to humic acid wavelength 254nm (Xu et al., 2013).

171 **2.4.3 Fouling study**

172 Rapid fouling of the membranes was made by employment of a dead end filtration system. The dead
173 end filtration (DEF) testing operation was performed at a pressure 3.5 bar for a period of 2 hours
174 using a HA solution at a concentration 1 g/L and pH of approximately 6. Prior to and after the
175 fouling testing, the membranes were subjected to pure water flux measurements to quantify the
176 fouling efficiency.

177 **2.5 Membrane cleaning**

178 In this study two types of membrane cleaning were used. The first was based on an ozone solution
179 and the other was based on regular deionised water forward flushing. To be able to perform the
180 cleaning, the membranes were first exposed to fouling tests with the DEF set-up. The HA fouled
181 membranes were then transferred and assembled in the CFU membrane unit. Ozone in oxygen was
182 supplied to the feed tank via a frit glass gas diffuser placed at the centre of the tank of the CFU
183 (Figure 1). The ozone was generated by a BMT 803 ozone generator (BMT Messtechnik, Germany)
184 and the gas phase ozone concentration was measured by a BMT 963 ozone analyser. Approximately
185 3 L of deionised water at room temperature and approximate pH 6 was used for the cleaning study.

186 The concentration of dissolved ozone was measured by UV-vis spectrophotometer (Agilent, HP
187 8453) at a wavelength 260 nm at the start and end of the membrane treatment (Von Sonntag and Von
188 Gunten, 2012). The values of dissolved ozone concentrations were set by setting the ozone gas
189 concentration supplied by the O₃ generator at approximately 50 g/m³ NTP. The membrane cleaning
190 was performed for 30 minutes with constant ozonation of water in the tank and at flow rate of the

191 ozonated solution of 1L/min. The second method involved a similar set up to flux measurement
192 where 3 L of ozone-free deionised water was used to flush the membrane for 30 minutes.

193 The permeation rates were recorded in a similar fashion as the pure water flux measurements. The
194 permeate for both cleaning techniques was characterised using UV-vis absorption at 254 nm (UV₂₅₄).
195 In order to remove residual ozone from the permeate, a small amount of sodium thiosulfate was
196 added immediately to the sample before taking the measurement with the UV-vis spectrophotometer.

197 **3 Results and discussion**

198 **3.1 Membrane characterisation**

199 **3.1.1 Membrane morphology**

200 Microscopic images of the two membranes are shown in Figure 2 for the pure PVDF membrane
201 (Figure 2(a)) and for the graphene-based nanomaterials enhanced membrane (Figure 2(b)) where the
202 nanoparticles can be seen embedded in the polymer matrix. The SEM images provide better insight
203 into the surface and the pore morphology of the membranes presented in Figure 3. The images show
204 the pore network of both membranes where the pure PVDF membrane shows more uniform pore size
205 and distribution whilst the GBN-PVDF membranes show more irregular porous structure with
206 graphene nanoparticles imbedded in the pores.

207

208 **Figure 2**

209

210

211 **Figure 3**

212

213

214 Figure 4 shows the values of the volume porosity and mean pore radius of pure- and GBN- PVDF
215 membranes. The volume porosity of the pure PVDF membrane is 80.8% while the value for the
216 GBN PVDF is slightly higher at 82.4%. As well as an increase in porosity with the addition of GBN,
217 the mean pore radius also rises by about 2 nm. Impregnation of PVDF membranes with GBN is
218 likely to cause changes in the membrane pore network by increasing the porosity and mean radius of
219 the pores which in turn affects the membrane permeation properties.

220

221 **Figure 4**

222

223

224 **3.1.2 Contact angle**

225 Contact angle (CA) measurements provide an estimated wettability of the membranes. High CA
226 represents membranes with high hydrophobicity, meaning the wettability is low. The average CA of
227 the pure PVDF membranes is around 63.1° while GBN membranes have a CA of average value of
228 54.4°. The incorporation of GBN has hence resulted in approximately 16% reduction in membrane
229 CA and therefore the wettability of the membrane has also increased. Such reduction in CA also
230 supports the increased permeation values obtained for GBN-PVDF membranes as they become more
231 hydrophilic. Reduction in contact angles also affects the antifouling properties of the membranes.
232 The hydrophilicity of the membranes affects the interactions between the membrane surface and the
233 foulants present in water, leading to lower sorption of contaminants within the membrane(Wang et
234 al., 2012)

235

236 **Figure 5**

237

238

239

240 **3.2 Membrane performance**

241 **3.2.1 Pure water flux and permeation:**

242 Figure 6 represents pure water flux and permeability of the pure and GBN enhanced PVDF
243 membranes. As can be seen in Figure 5, the incorporation of GBN enhanced membrane permeation
244 when compared to the pure PVDF membrane. The graph shows membrane flux values of GBN-
245 PVDF at 126.1 L/m².h.bar (st. dev. 11.3 L/m².h.bar) and the pure PVDF at 70.3 L/m².h.bar (st. dev.
246 6.7 L/m².h.bar). GBN addition increased the PVDF membrane permeation by over 79%. This is
247 likely due to the increased pore size of GBN-PVDF membrane.

248

249 **Figure 6**

250

251

252 **3.2.2 Humic acid rejection**

253 The rejection data was divided into initial, average 10-40mL and average 40-200mL. Figure 7 shows
254 that the rejection for both membranes improves with permeation volume where after 40mL of
255 permeate the rejection exceeded 90%.

256

257 **Figure 7**

258

259

260 Figure 7(b) provides a close view of the rejection efficiency representation for the membranes. The
261 initial rejection of the graphene enhanced membrane has proven to be slightly higher than that of
262 pure PVDF membrane. The same trend can be seen in the 40-200mL permeate humic acid rejection.

263 **3.2.3 Anti-fouling ability**

264 If a membrane has higher antifouling properties this will prevent the accumulation of foulants and
265 pore blockage. According to Figure 8, the fouling of the pure PVDF membrane appears to be more
266 rapid with a drastic reduction of the membrane flux whilst the GBN enhanced membrane has less of
267 a reduction of flux which probably indicates less fouling. The data suggests that GBN enhancement
268 provides better antifouling properties due to the higher hydrophilicity of the membrane as shown by
269 the contact angle measurements.

270

271 **Figure 8**

272

273

274 **3.3 Cleaning studies:**

275 **3.3.1 Water flushing**

276 In order to evaluate the efficiency of ozone assisted cleaning, the forward pure water flushing in
277 cross flow setup was used to compare the results. Prior to any fouling or cleaning, the membranes
278 were subjected to pure water flux (PWF) measurement as a benchmark for further comparison. The
279 PWF measurements are shown in Figure 9 for pure PVDF and GBN-PVDF membranes (blue lines).
280 The PWF was measured via CFU with a cross flow rate of 1 L/min and a transmembrane pressure of
281 4.5 bar for 20 minutes. The membranes have then been fouled using DEF at 1 g/L HA solution at 3.5
282 bar pressure for 2 hours until the fouled flux became stable. The fouled membranes were again tested

283 for PWF to establish the effect of fouling. Figure 9 (red lines) shows a reduction in PWF after
284 fouling the membrane. Linearity of the graphs serves as an indication that the cleaning technique
285 involving only DI water does not influence the flux and provides only minimal or no removal of
286 membrane fouling. The comparison of forward flushing of the two membranes is represented in
287 Figure 10. The results show that the membrane flux was reduced by 110% for pure PVDF and 77.4%
288 for the GBN enhanced due to the HA membrane fouling. In addition, the PWF of the fouled GBN-
289 PVDF is almost as high as the unfouled pure PVDF membrane. This improvement in antifouling can
290 be accredited to the enhanced membrane hydrophilicity due to GBN addition.

291

292 **Figure 9**

293

294

295 **Figure 10**

296 **3.3.2 Ozone cleaning**

297 Humic acid fouled membranes were exposed to ozone solutions in the same fashion as the water
298 flushing experiments. The effect of ozone cleaning is shown in Figure 11 for pure PVDF and GBN-
299 PVDF membranes. Figure 11 shows continuous enhancement of the water flux as the membrane was
300 exposed to the ozone solution indicating the removal of the fouling originally retained by the
301 membrane. Initially (red part shown in the curve), the flux was approximated at about 38.4 and 50
302 L/m².h for pure PVDF and GBN-PVDF respectively and by the end of the cleaning process with the
303 ozone solution (after about 25 min), the flux reached the values 82.6 and 117.3 L/m².h for pure
304 PVDF and GBN-PVDF respectively. These flux values match those of the fresh unfouled
305 membranes (Figure 9 – blue lines). Hence ozone treatment provides close reestablishment of the
306 original fluxes of both membranes which indicates that ozone is an effective membrane cleaning
307 agent. This can be explained by the removal of the surface cake layer retained by the membrane and

308 elimination of possible pore blockages due to HA rejection (Figure 12 and 13). As can be seen in
309 Figure 12(c) and 13(d), the membrane surface became fully clean and the pores do not show signs of
310 blockage as exhibited by the SEM image. Similar results were also obtained for the GBN-PVDF
311 membranes (Figures 14 and 15).

312

313 ***Figure 11***

314

315

316 ***Figure 12***

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318

319 ***Figure 13***

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322 ***Figure 14***

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325 ***Figure 15***

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327

328 **4 Conclusion**

329 This study shows that ozone was effective in cleaning humic acid fouled PVDF membranes. The
330 data shows that ozone cleaning achieves close recovery to the membranes original permeation and
331 flux values to while the forward flushing with DI water provided only limited removal of fouling.

332 In addition, the enhancement of PVDF membranes with carbon based nanomaterials via the phase
333 inversion method proved to be beneficial for the membrane performance and antifouling properties.

334 This is shown by:

- 335 • Improvement of permeation and pure water flux by approximately 79% as well as exhibiting
336 higher porosity and mean pore radius.
- 337 • Reduction of contact angle measurements by 16% indicating an increase in hydrophilicity of
338 the modified membranes which benefits the membrane permeation properties.
- 339 • Superior antifouling properties compared to the pure PVDF membranes due to improved
340 hydrophilicity.

341 Considering the beneficial enhancement of the PVDF membrane properties further investigation of
342 the effects of carbon based nanomaterials to achieve even greater membrane properties is warranted.

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407

Figures

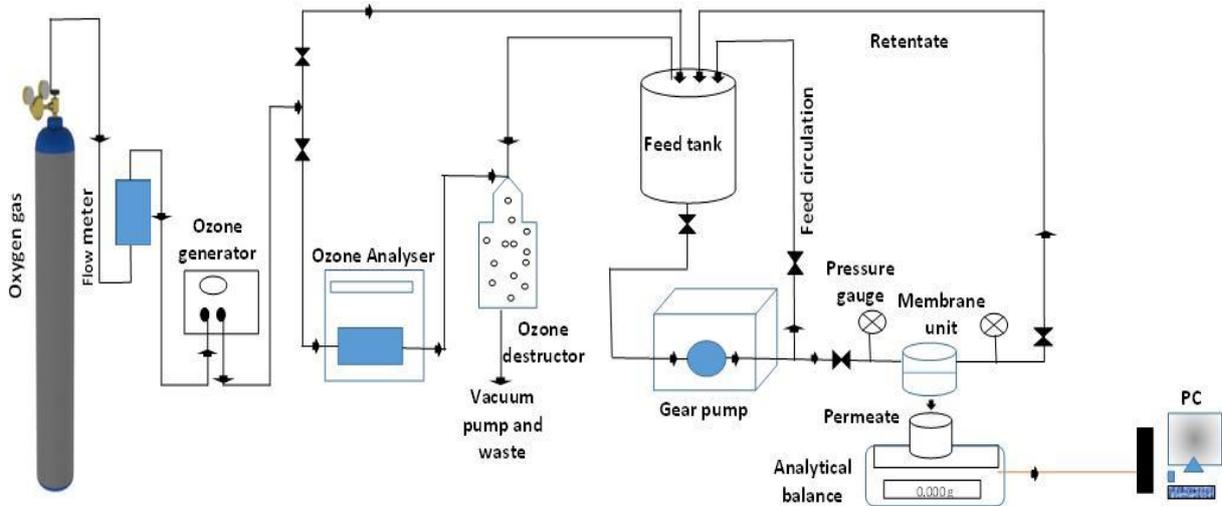


Figure 1: Hybrid membrane/ozone system for membrane cleaning.

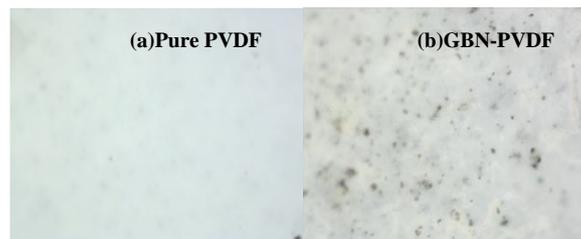


Figure 2 Digital images of (a) pure PVDF (b) GBN-PVDF membranes. Magnification x60

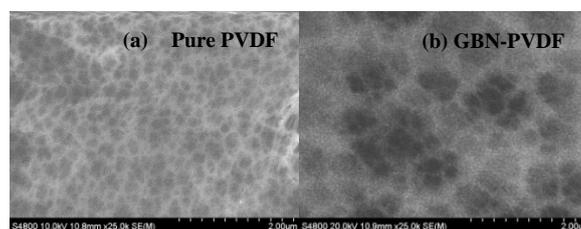


Figure 3 SEM images of (a) pure PVDF (b) GBN-PVDF membranes. Magnification x25K.

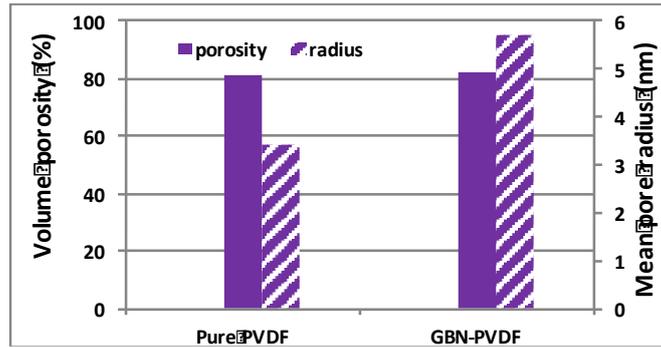


Figure 4 Volume porosity and mean pore radius values of membranes

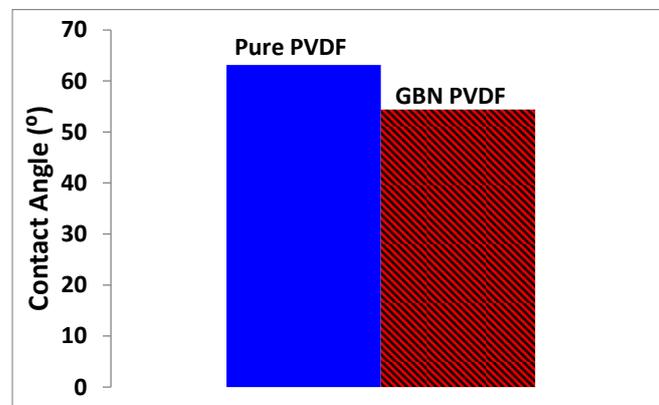


Figure 5 Contact angle data for pure PVDF and GBN-PVDF membranes.

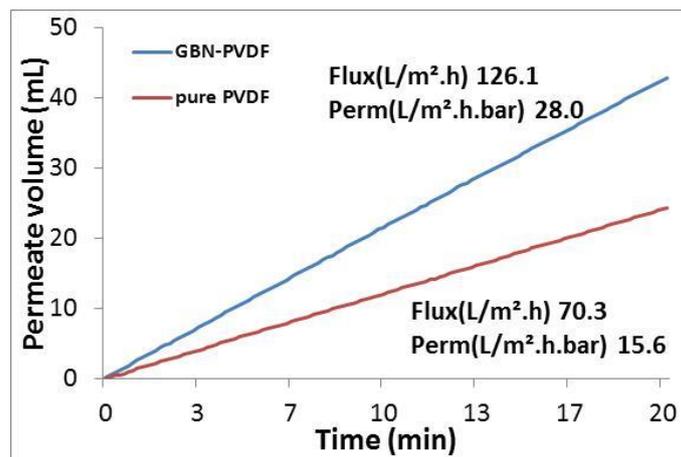


Figure 6 Pure water flux and permeation measurements of both pure PVDF and GBN-PVDF membranes Flow rate - 1L/min, TMP - 4.5 bar, at room temperature.

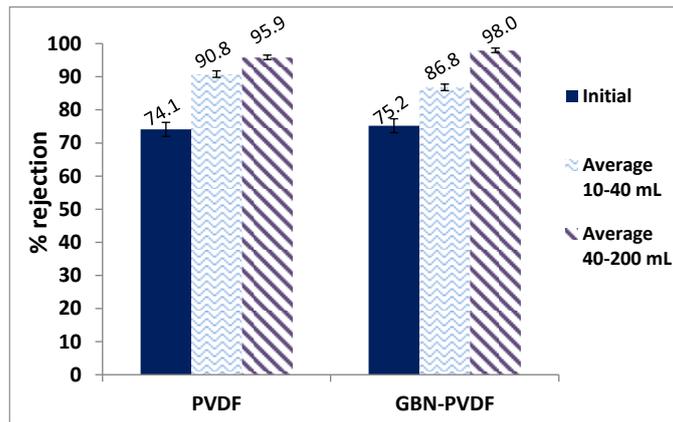


Figure 7 Rejection efficiency of the membranes. Humic acid concentration – 0.05g/L, pH approx. 6, flow rate – 1L/min, pressure -4.5 bar, at room temperature. UV-vis wavelength 254.

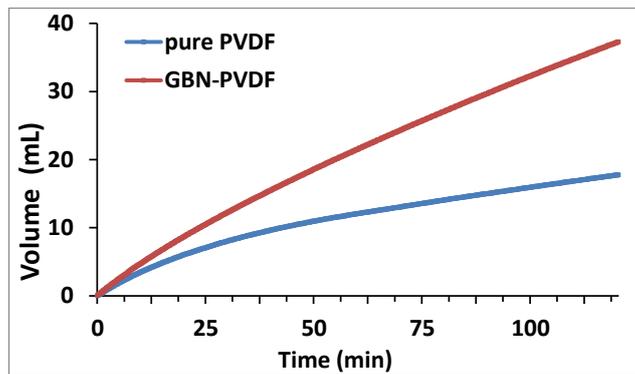


Figure 8 Humic acid fouling of pure and GBN enhanced PVDF membranes (dead end filtration). Humic acid concentration 1g/L, pressure - 3.5 bar, time 120 mins, at room temperature.

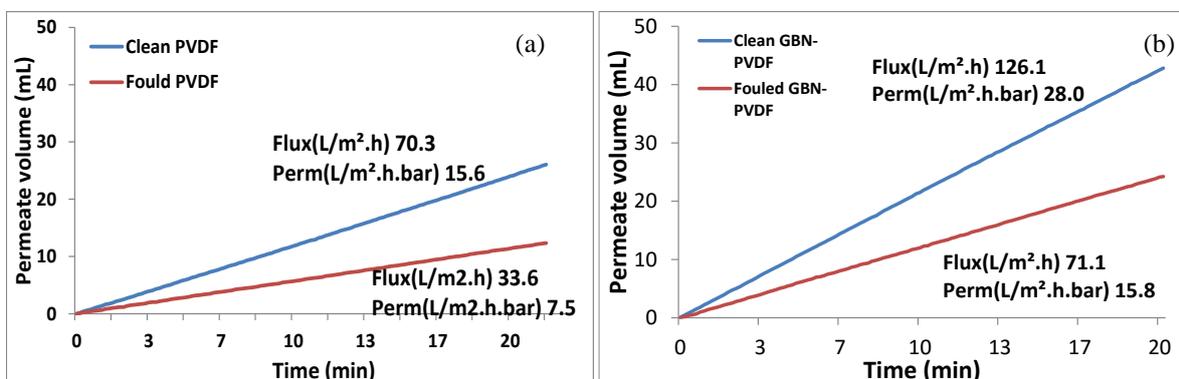


Figure 9 PWF and permeation of (a) pure PVDF and (b) GBN-PVDF membranes. Flow rate - 1L/min, TMP - 4.5 bar, at room temperature.

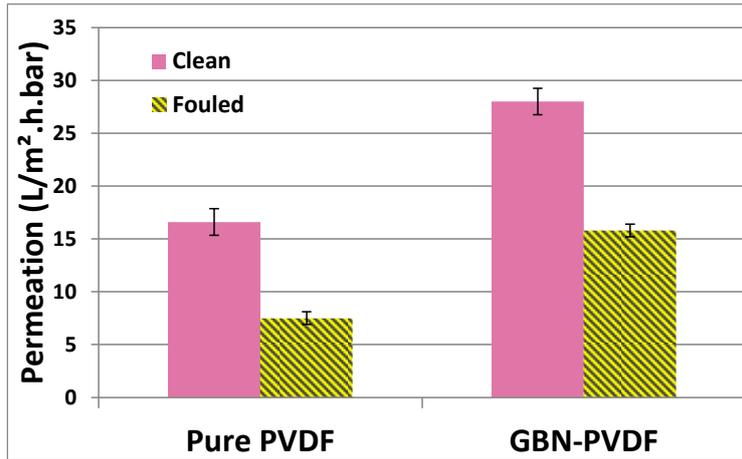


Figure 10 Pure water flushing. Flow rate - 1L/min, TMP - 4.5 bar, at room temperature.

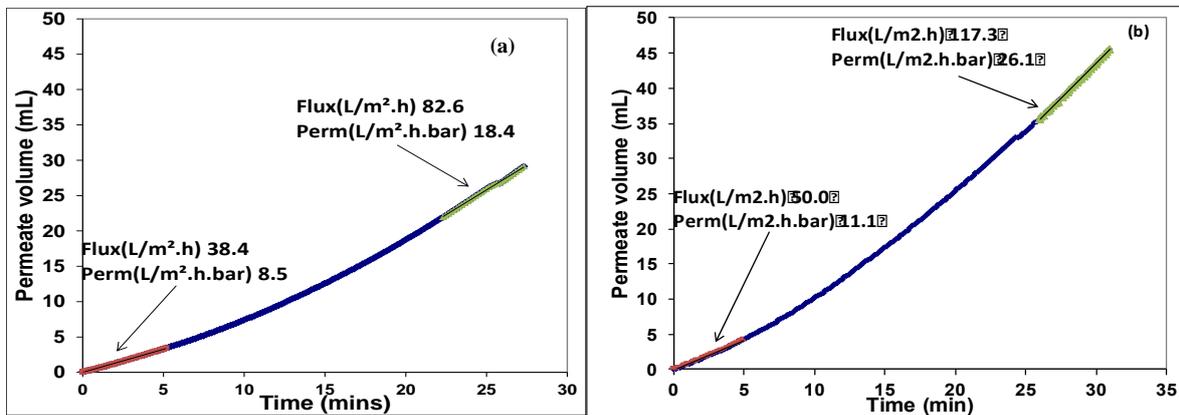


Figure 11 Ozone cleaning of fouled membranes (a) Pure PVDF, (b) GBN-PVDF ozone cleaning. Liquid ozone concentration = approx. 3 mg/L, flow rate - 1L/min, TMP - 4.5 bar, at room temperature.



Figure 12 Photos of pure-PVDF membranes (a) initial, (b) fouled, (c) O_3 cleaned, (d) H_2O cleaned

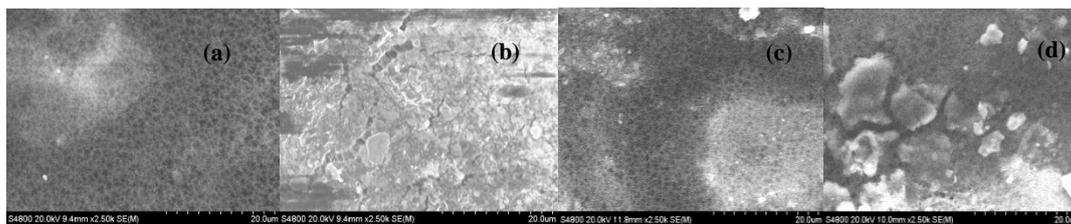


Figure 13 SEM of pure-PVDF membranes (a) initial, (b) fouled, (c) O₃ cleaned, (d) H₂O cleaned

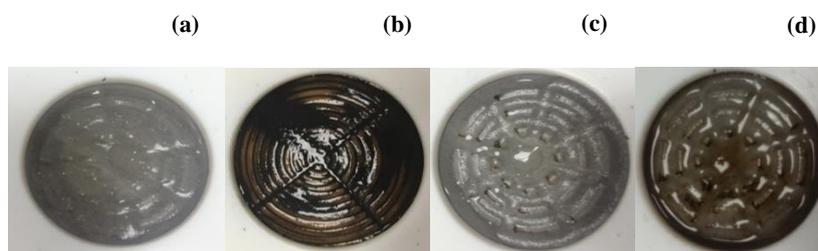


Figure 14 Photos of GBN-PVDF membranes (a) initial, (b) fouled, (c) O₃ cleaned, (d) H₂O cleaned

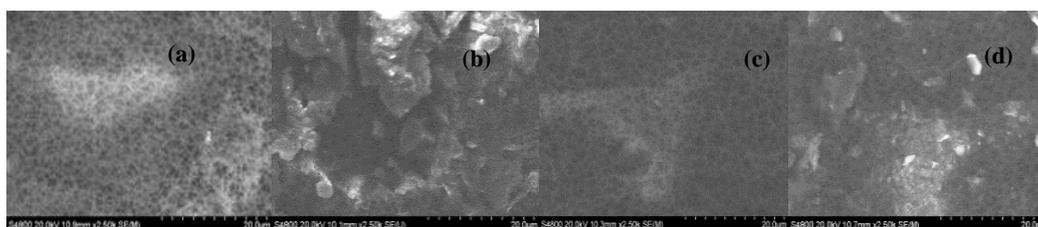


Figure 15 SEM images of GBN-PVDF membranes magnification 2,500x (a) initial, (b) fouled, (c) O₃ cleaned, (d) H₂O cleaned