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# Accepted Manuscript

Degradation in Urban Areas

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13

14 **Abstract**

15 Over the few last decades, increasing population and expansion of urban areas has triggered  
16 faster land degradation. This manuscript reviews the most significant soil and water  
17 degradation processes in urban areas and their environmental impacts. Urban soils are  
18 partially sealed and subject to severe compaction, erosion and contamination from several  
19 sources (e.g. vehicular traffic and inappropriate waste disposal), which restrict their ability  
20 to provide ecosystem services. Water resources are also under great urban pressure, due to

23 Persistent pollutants such as heavy metals and polycyclic aromatic hydrocarbons have been  
24 found in urban environments. Long-term monitoring programs to quantify better the  
25 magnitude of degradation processes and their environmental impacts are still required.  
26 Runoff, erosion and pollutant sources as well as their transport within the landscape are  
27 highly variable, and the impact of distinct urban patterns on water, sediment and pollutant  
28 connectivity between the sources and water bodies remains a research challenge.  
29 Information regarding land degradation processes and their spatio-temporal dynamics  
30 within urban catchments will help to guide decision-makers and policy actors towards  
31 sustainable solutions to achieve urban sustainability and the good ecological status of  
32 aquatic ecosystems.

33

34 **Keywords:** urbanization, land degradation, soil, water resources, contaminants

35

## 36 **1. Introduction**

37 World population has increased exponentially over the last few decades and is expected to  
38 reach 10 billion by 2056 [1]. Currently 54.9% of total population lives in urban areas [1],  
39 but by 2050 the urban fraction will have reached 66% of world population and 82% of  
40 European population [2]. Since 1950s the urban surface area in Europe has expanded by  
41 78%, while the urban population has grown by just 33% [3]; this is due to much of the  
42 expansion being in peri-urban areas (transition zones between urban and rural areas) where

45 urban areas are expanding at four times this rate [6]. In 2012, the land taken for urban  
46 development in 39 countries of Europe exceeded 1000 km<sup>2</sup> per year, which is an area three  
47 times the size of Malta [4].

48 Land is a complex resource composed primarily of soil, water and biodiversity [4]. It is also  
49 a finite resource providing important ecosystem goods and services to society [7]. Land  
50 consumption through urbanization and peri-urbanization has adverse environmental effects,  
51 such as (i) climatic change, primarily via the urban heat island [8]; (ii) loss of biodiversity  
52 due to vegetation removal and landscape fragmentation, which in turn increase endangered  
53 species and the spread of invasive species [4]; and (iii) soil, water and air contamination  
54 [9,10], with negative impacts on human health.

55 Soil degradation in urban areas, including sealing, compaction and erosion, has several  
56 detrimental impacts on urban welfare and sustainability. For example, urbanization of steep  
57 slopes has been responsible for many landslides, some of them causing human fatalities, as  
58 well as economic and property damage [11]. Soil degradation also impairs ecosystem  
59 services provision [7], enhances the vulnerability of urban communities to natural hazards  
60 and climate change impacts, with consequences for water, energy and food availability  
61 [11]. For example, inadequate urban planning and management enhances flood hazard [12].  
62 Floods are among the most common, dangerous and costly of all natural disasters, affecting  
63 the livelihoods of millions every year [13]. In the European Union, annual estimated costs  
64 of floods averaged €4.9 billion between 2000 and 2012, but may increase to €23.5 billion

67 It is important that processes of soil and water degradation associated with urbanization are  
68 understood and considered when formulating planning and adaptation strategies to achieve  
69 more sustainable urban development. This manuscript reviews recent literature on soil and  
70 water degradation processes in urban and peri-urban areas, highlighting research gaps and  
71 proposing ways forward to mitigate land degradation due to urbanization.

72

## 73 **2. Soil degradation**

74 Soils are an important part of the urban ecosystem, but they are highly susceptible to  
75 sealing, compaction, erosion and contamination (Figure 1), which are recognized as some  
76 of the main threats to European soils [16].

77

### 78 **2.1 Physical degradation**

79 Sealing is the covering of soil by artificial materials of limited permeability (e.g. asphalt  
80 and concrete). Soil sealing is effectively irreversible and affects ecosystem services, such as  
81 water infiltration and groundwater recharge [17], carbon sequestration [18], habitat  
82 fragmentation and loss of soil biodiversity [16], which in turn inhibits or slows down  
83 nutrient cycles [19]. In EU27, up to 35% of biodiversity is expected to be lost from 2000 to  
84 2030 due to soil sealing [20]. Sealing also affects the scale of heat island effects [8], since  
85 highly sealed areas can be up to 20°C hotter than green shaded surfaces [21].

86 In the EU, the area of artificial surfaces increased from 4.1% in 1990 to 4.4% in 2006 [22].

87 Soil sealing is not regulated at the European level, but guidelines to reduce the percentage

90 Soil compaction is a form of physical degradation resulting from human and animal  
91 trampling (including in gardens) and vehicular traffic, particularly of heavy machinery  
92 during construction work. It involves the degradation of soil biological activity and soil  
93 productivity of food and biomass, and leads to reductions in water infiltration and the  
94 storage capacity of soils, and resultant increases in erosion risk on sloping ground [16]. Soil  
95 compaction is linked to higher bulk density, reported to be 30% greater in urban than  
96 agriculture areas, and 60-90% higher than in forest soils [17].

97 In urban environments, soil erosion is prone to occur in areas of bare soil, in construction  
98 sites and along road edges [22,23], due to disturbed soil profiles and low organic matter  
99 content, which enhance soil susceptibility to erosion by rainsplash and overland flow  
100 during rainfall events [24]. Types of soil erosion by water include sheet erosion (also called  
101 slopewash), rill erosion and gully erosion. Gullies represent the most severe form of  
102 erosion, and although physical processes leading to gully initiation and evolution are  
103 similar in urban and rural areas, the development of urban gullies is closely related to  
104 construction activities, road development and inadequate planning of the urban drainage  
105 system [25].

106 Erosion depletes fertile topsoil, leading to land degradation and off-site problems such as  
107 siltation of surface water bodies. Furthermore, it is often linked to reduced environmental  
108 quality due to potential contamination from urban eroded soils.

109 Soil erosion is one of the greatest worldwide environmental concerns [26]. In the EU28,  
110 around 11.4% of territory is characterized by a moderate to high soil erosion rate ( $>5 \text{ Mg}$   
111  $\text{ha}^{-1}$  per year) and 0.4% of land suffers from extreme erosion ( $>50 \text{ Mg ha}^{-1}$  per year) [4].

114 sediment control measures as important aspects of catchment management [26].

115

## 116 **2.2 Chemical degradation**

117 Soil contamination is prone to occur in urban areas as a result of excessive amounts of  
118 contaminants released by industrial, domestic and commercial activities, transport and  
119 inadequate waste disposal, from both proximal (e.g. vehicle emissions and domestic  
120 heating) and more distal (e.g. atmospheric transport) sources [28]. Pollutants found in urban  
121 soils include heavy metals, polychlorinated biphenyls (PCBs), polycyclic aromatic  
122 hydrocarbons (PAHs) and phthalate esters/phthalic acid esters (PAEs) [8,29].

123 Soil contamination by heavy metals can arise from the use of wastewater for irrigation in  
124 parks, road traffic and the use of sewage sludge [30]. Sites close to traffic routes are  
125 typically enriched with heavy metals, directly related to the frequency of vehicles, as a  
126 result of tyre wear particles and weathered street surfaces [31]. Heavy metals have been  
127 subject to increasing attention because they persist in the environment [32]. Thus they may  
128 cause adverse ecological effects through their impact on activities of soil organisms,  
129 disruption of biogeochemical cycles and changes on foodweb functioning [16]. Heavy  
130 metals can come into contact with humans as suspended dust or by ingestion in soils of  
131 kindergarten [33], urban parks and lawns [34] and urban woodland soils [30], thus causing  
132 human health effects [33].

133 PAHs in urban soils result from long-term deposition of contaminated particulates [29].

134 They primarily originate from anthropogenic sources such as vehicular emissions, fossil  
135 fuel combustion and chemical manufacturing [29,35]. PAEs, in turn, have been attributed to

138 atmospheric deposition [29]. PAHs and PAEs represent major groups of toxic pollutants  
139 that can be found in urban soils at potentially hazard levels.

140 Urban soils are considered to be regional sinks of chemical emissions [34], with higher  
141 background contents of pollutants, although not necessarily exceeding risk levels. The  
142 Directive 2010/75/EU [36] provides a list of human activities posing a risk of contaminant  
143 emissions. In 2011-12, the European Soil Data Centre identified 2.5 million contaminated  
144 sites by point pollution and estimated 11.7 million potentially contaminated sites across  
145 Europe [37]. Annual management of contaminated sites are estimated to cost around €6  
146 billion [37].

147

### 148 **3. Water resources degradation**

#### 149 **3.1 Hydrological changes driven by urbanization**

150 Urbanization modifies the rainfall paths of the natural water cycle, through (1) the  
151 replacement of vegetation by sealed surfaces, (2) changes in soil physical properties driven  
152 by urbanization, such as aggregate arrangement, pore space and soil hydraulic properties  
153 [38], and (3) the use of artificial drainage systems, which collect excess runoff from urban  
154 surfaces and convey it to stream channels.

155 Significant impacts of urbanization on the water cycle, and particularly on streamflow, have  
156 been reported since the 1960s, but there are differences between research studies. These  
157 differences reflect the influence of a number of biophysical catchment properties on  
158 rainfall-runoff processes, including (i) mean slope and mean elevation [39], (ii) lithology

161 Compared with agriculture and forest land-uses, urbanization decreases evapotranspiration  
162 [38] and groundwater recharge [38,43], and increases overland flow and stream runoff [44]  
163 (Figure 1). Decreased groundwater recharge often results from reduced infiltration in urban  
164 areas, but some studies report increases in groundwater recharge rates due to reductions in  
165 evapotranspiration linked to surface sealing or simply to reduced vegetation [45]. Increased  
166 runoff would have distinct impacts according with the catchment size. In small catchments,  
167 surface runoff is mostly driven by infiltration excess mechanisms linked to soil  
168 compaction/sealing, as a result of high intensity, short duration storms, thus leading to  
169 major impacts on flood peaks. In large catchments, however, the impact of soil  
170 compaction/sealing on floods is not so evident, since floods are often produced by  
171 saturation excess mechanisms, driven by extensive duration storms of lower intensity [46].  
172 Urbanization reduces surface roughness of paved surfaces and usually comprises runoff  
173 piping through artificial systems, which favour flashiness and enhance hydrograph  
174 recession constant [43]. Urban streams, however, typically display reduced baseflow due to  
175 lower groundwater contributions [38,43], although sometimes water and sewer leakages  
176 within artificial drainage systems may enhance this streamflow component [44].  
177 Urban areas comprise varying spatial mosaics of paved/sealed surfaces and green areas.  
178 Different combinations and spatial arrangements of impervious and pervious surfaces  
179 greatly affect the rainfall-runoff process, as well as the connectivity between land surface  
180 and the drainage network, and thus the speed and magnitude of runoff delivery to the  
181 stream [17,42]. For example, Ferreira et al. [47] recorded response times three times shorter  
182 in urban sub-catchments with continuously built-up areas located downslope, than with

185 peaks and total streamflow than piping into adjacent areas of permeable soil [40].  
186 Conventional piped drainage leads to four times greater runoff than a swale drainage  
187 system [19].

188

### 189 **3.2 Deterioration of water quality and impacts on aquatic ecosystems**

190 Pollutants deriving from urban areas include heavy metals [31], PCBs [47], nutrients [48],  
191 pesticides [44], pharmaceuticals [49] and faecal coliforms [50]. Sources of water pollution  
192 in urban areas can include (i) industrial processes and spills [51], (ii) untreated solid waste  
193 disposal and leachate from landfills [52], (iii) wastewater contamination from septic tanks,  
194 leakages in sewage systems and inefficient wastewater treatment [53], (iv) stormwater  
195 runoff [31,54], (v) lawns and gardens maintenance due to inappropriate fertilization and  
196 irrigation [55], (vi) soil erosion [56], and (vii) atmospheric deposition [30].

197 Runoff from urban areas has been considered a major non-point source of pollutants,  
198 namely due to high loads of heavy metals from roads [35] and rooftops [59]. The type of  
199 paving material (e.g. roads and roofs), the age and its conservation status influence the  
200 runoff composition and pollutant loads [60]. Spatio-temporal differences in road runoff  
201 composition are also driven by vehicular traffic, due to wear of vehicles components, such  
202 as tyres and brakes, as well as fluid losses [31], and gas exhaustion which can be  
203 transported and settled to urban surfaces through dry and/or wet deposition [35].

204 The type of urban pattern (e.g. isolated houses with gardens versus townhouses), including  
205 the presence or absence of urban drainage system, as well as the location of urban areas

208 Detached houses generate greater pollutant loads than high-density residential  
209 developments, due to greater extent of road surface and garden maintenance [55]. Main  
210 roads and industrial areas have been also associated with greater suspended sediments and  
211 heavy metals than residential and commercial areas [51].

212 Pollutants due to urbanization may decrease surface water and groundwater quality [44],  
213 and impair aquatic ecosystems [57,59] and water use for human consumption, irrigation  
214 and recreation [48]. Fine sediment has been considered a diffuse source of pollution due to  
215 its absorptive properties for several inorganic pollutants, such as phosphorus, heavy metals  
216 and PAHs in fluvial systems [53,56]. High sediment concentrations from urban areas may  
217 also increase surface water turbidity and reduce light penetration, with detrimental impacts  
218 on photosynthesis and, as a consequence, on dissolved oxygen and food availability to  
219 aquatic life in surface water bodies [60]. Sediment yields from newly urbanizing  
220 catchments tend to be 60 times higher than forest sediment yields and 11 times than  
221 agricultural sediment yields [58].

222 It is usually accepted that pollutant concentrations increase with percentage urban surface  
223 [54], thus urban cover has been used as an indicator of the ecological and environmental  
224 conditions of an aquatic system [48]. Generally, thresholds of 10% to 30% of impervious  
225 surface cover have been recorded to impair macroinvertebrate communities [59], which are  
226 widely used to assess the ecological status of the urban streams [56]. Nevertheless, some  
227 authors have been arguing that connectivity issues in urban catchments can be far more  
228 important for water quality than percentage of impervious surface [48]. In the context of the

#### 232 **4. Research challenges**

233 Land degradation is a worldwide concern. Although there is a considerable research on the  
234 impacts of urban development on impairment of physical, chemical and biological soil and  
235 water properties and their impacts on ecosystem services, cause–effect relationships are not  
236 fully identified. Over the last decade, the role of hydrological connectivity has become a  
237 key issue in catchment hydrology, but the spatio-temporal variation of hydrological  
238 processes is not well understood [55]. The impacts of distinct urban patterns, characterized  
239 by different land-uses (e.g. residential, commercial, industrial) and varying mosaics of  
240 permeable and impermeable surfaces, on land degradation processes are rather complex.  
241 Outcomes to date have been inconclusive, particularly because of relatively scarce within-  
242 catchment data on erosion, hydrology and pollutant sources and processes. Further  
243 knowledge on the ability of distinct land-uses to absorb, release and/or transport different  
244 pollutants, and the connectivity between pollutant sources and water bodies requires further  
245 investigation. Knowledge on spatio-temporal dynamics of runoff and pollutant sources, as  
246 well as how connectivity governs water, sediment and pollutant flows within urban areas  
247 (site-scale) and catchment scale is a key research need.

248 Sediments may have negative consequences on aquatic ecosystems, but the impact of  
249 urbanization on sediment yields of urban catchments is poorly understood [61]. Long-term  
250 monitoring programs and complete national databases of soil erosion and contamination are  
251 required, together with long-term contamination risk assessments. Additional research on

254 substances [63] is also required.

255 Recently, there has been a rising concern to integrate both grey and green infrastructures in  
256 urban areas, aiming to restore pre-development erosion, water and pollutant fluxes, as well  
257 as streamflow regimes and water quality. Nevertheless, implementation of nature-based  
258 solutions to enhance urban sustainability need to be supported by knowledge on land  
259 degradation processes at different scales.

260 Information on erosion, runoff and pollutant sources and pathways in different types of  
261 urban areas is fundamental to develop and implement cost-efficient strategies to improve  
262 streamflow regime and water quality. This knowledge is needed to guide policy actors,  
263 decision-makers and urban planners in developing more resilient cities and in implementing  
264 the most suitable solutions to achieve good ecological status of aquatic ecosystems.

265

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270

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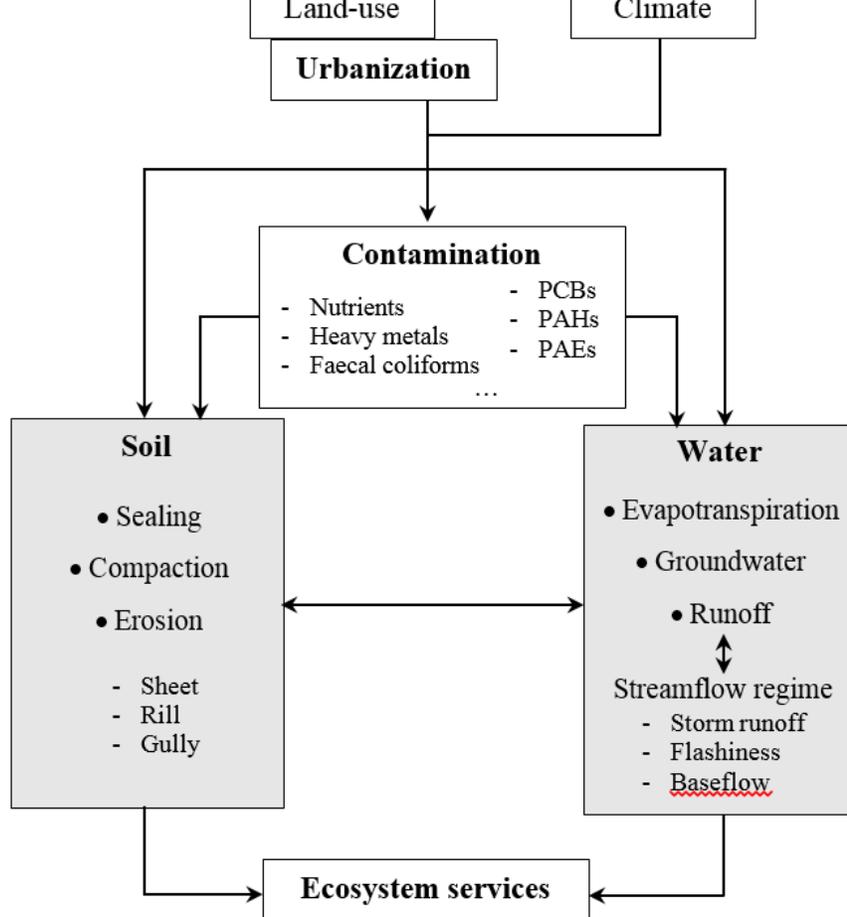


Figure 1 – Processes and variables influencing soil and water degradation in urban areas.

- Urban soils are subject to sealing, compaction, erosion and contamination
- Urbanization changes the hydrological processes and degrade water quality
- Urban patterns affect sediment and pollutants connectivity between sources and water bodies

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