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### **Paper:**

Leles, S., Mitra, A., Flynn, K., Tillmann, U., Stoecker, D., Jeong, H., Burkholder, J., Hansen, P., Caron, D., et. al. (2019). Sampling bias misrepresents the biogeographical significance of constitutive mixotrophs across global oceans. *Global Ecology and Biogeography*  
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1 **Title:**

2 **Sampling bias misrepresents the biogeographic significance of constitutive mixotrophs**  
3 **across global oceans**

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28

29 **Running title:** Sampling bias in mixotroph biogeography

30

31 **ABSTRACT**

32 **Aim:** Most protist plankton are mixotrophic, with potential to engage in photoautotrophy and  
33 phagotrophy; however, the ecology of these organisms has been misdiagnosed for over a  
34 century. A large proportion of these organisms are constitutive mixotrophs (CMs), with an  
35 innate ability to photosynthesize. Here, for the first time, an analysis is presented of the  
36 biogeography of CMs across the oceans.

37 **Location:** Global marine ecosystems

38 **Time period:** 1970 to 2018

39 **Major taxa studied:** Marine planktonic protists

40 **Methods:** Records for CM species, primarily from the Ocean Biogeographic Information  
41 System (OBIS), were grouped by taxonomy and size to evaluate sampling efforts across  
42 Longhurst's oceanic provinces. Biases were evaluated through non-parametric tests and  
43 multivariate analysis. Biogeographies of CMs from OBIS data were compared with data from  
44 studies that specifically targeted these organisms.

45 **Results:** CMs of different taxonomic groups, across all size ranges, are ubiquitous. However,  
46 strong database biases were detected with respect to organism size, taxonomic groups, and  
47 region. A strong bias was seen towards dinophytes. Species < 20 µm, especially non-  
48 dinophytes, were least represented, their recorded distribution limited to coastal regions and  
49 to temperate and polar seas. Studies specifically targeting these organisms revealed their  
50 distribution to be much wider. Such biases likely have occurred due to a failure to capture  
51 and correctly identify these organisms in routine sampling protocols.

52 **Main conclusions:** CMs are dominant members of organisms traditionally termed  
53 "phytoplankton". However, lack of routine protocols for measuring phagotrophy in  
54 "phytoplankton" protists has led to widespread misrepresentation of the fundamental nature  
55 of marine planktonic primary producers; most express 'animal-like' as well as 'plant-like'  
56 nutrition. Our results have implications for studies of the global biogeography of plankton, of  
57 food web dynamics (including models), and of biogeochemical cycling in the oceans.

58 **KEYWORDS**

59 allometry, biogeography, global, Longhurst, mixotrophy, oceans, phytoplankton, taxonomy

60

## 61 **Introduction**

62 The term biogeography was coined in the late nineteenth-century stemming from studies of  
63 terrestrial plants and animals (Ebach, 2015). Studies of biogeography have typically focussed  
64 on terrestrial ecosystems. Since the early twentieth century, however, there has been growing  
65 recognition of the importance of the biogeography of marine microbes across the Earth's  
66 oceans, the single largest continuous ecosystem (Smayda, 1958; Dolan, 2006; Cermeño, de  
67 Vargas, Abrantes, & Falkowski, 2010). Over the last decade, various studies have highlighted  
68 technical advancements, such as the development of molecular and statistical techniques and  
69 the availability of online databases, as contributing factors to the rise in marine  
70 biogeography-facing studies (Dolan, 2005; Fuhrman et al., 2008; de Vargas et al., 2015;  
71 Biard et al., 2016; Leles et al., 2017).

72 Studies of biogeography of marine microbes (prokaryotes and eukaryotes) have  
73 concentrated on functional groups, such as cyanobacteria vs phytoplankton vs  
74 microzooplankton, or taxonomic groups, such as diatoms and tintinnids (Pierce & Turner,  
75 1993; Cermeño & Falkowski, 2009; Malviya et al., 2016). Most functional or taxonomic  
76 groupings have followed the traditional designations of either phototrophs or heterotrophs,  
77 akin to the plant-animal dichotomy in terrestrial systems. Flynn et al. (2013) criticised this  
78 dichotomy, identifying that the vast bulk of the protist plankton formally labelled as  
79 “phytoplankton” or “microzooplankton” are potentially mixotrophic, merging phototrophy  
80 and phagotrophy. Subsequently, Mitra et al. (2016) proposed a new functional classification  
81 for marine protists to aid the exploration of the proposed new mixotroph-centric paradigm in  
82 marine ecology (Zubkov & Tarran, 2008; Unrein, Gasol, Not, Forn, & Massana, 2014).  
83 According to this functional classification, marine protists are broadly divided between six  
84 functional groups; two of these align with the traditional non-phagotrophic phytoplankton  
85 (notably diatoms) and non-phototrophic microzooplankton, while the other four represent  
86 contrasting mixotroph functional groups. The mixotroph groups are divided between those  
87 with an innate (constitutive) ability to photosynthesize (constitutive mixotrophs - CMs), and  
88 three non-constitutive mixotroph (NCM) groups. The NCMs do not have the innate ability to  
89 photosynthesize; they derive their photosynthetic capabilities by incorporating plastids from a  
90 range of different prey (generalist NCMs, e.g., *Laboea*), or from very specific phototrophic  
91 prey (specialist NCMs, e.g., *Mesodinium*), or by enslaving phototrophic prey as symbionts  
92 (endosymbiotic NCMs, e.g., Rhizarians).

93           It has been shown that incorporating mixotrophic organisms within *in silico* food web  
94 studies alters the dominance of different plankton functional groups in freshwater as well as  
95 marine systems (Mitra & Flynn, 2010; Wilken, Huisman, Naus-Wiezer, & Van Donk, 2013;  
96 Mitra et al., 2014). An understanding of the true trophic status of plankton, including  
97 different mixotrophic types, across biogeographic areas is thus important. Leles et al. (2017)  
98 undertook a biogeographic analysis of the non-constitutive mixotroph (NCM) functional  
99 groups. These NCM organisms are undeniably mixotrophic, as they must feed to acquire  
100 phototrophic potential. However, the real trophic status of constitutive mixotrophs is  
101 problematic because these organisms may not need to eat frequently if de facto at all (Flynn  
102 et al., 2013; Mitra et al., 2016). The default expectation has been to retain the traditional  
103 “phytoplankton” label for these organisms. Yet, if they are indeed functional mixotrophs, this  
104 traditional label would misrepresent biogeographic distributions and allied trophic dynamics.

105           In this study we have undertaken the first global biogeographic analysis of marine  
106 protists with a constitutive ability to photosynthesize and that are documented as being  
107 significantly mixotrophic (i.e., organisms that are undeniably CMs). The CM group includes  
108 various ecologically important taxonomic groups within a wide range of sizes, such as  
109 species of picoplankton and nanoplankton in oligotrophic oceans and polar regions (Stoecker  
110 & Lavrentyev, 2018), as well as various harmful algal bloom species in coastal waters (e.g.,  
111 *Karlodinium* spp., *Alexandrium* spp.) which result in fish kills and closure of aquaculture  
112 facilities (Mitra et al., 2016; Stoecker, Hansen, Caron, & Mitra, 2017; Shumway, Burkholder,  
113 & Morton, 2018). Biogeographic analysis of this important group of planktonic protists will  
114 aid our understanding of impacts of environmental drivers on community composition and  
115 ecosystem functioning especially in the face of climate change events. In our analyses, we  
116 specifically identify how biases in the scientific community’s perception of these organisms,  
117 as well as sampling methods, impact on our understanding of their biogeography.

118

## 119 **Methods**

120 We conducted a global analysis of field data for different groups of constitutive mixotrophs  
121 (CMs). CMs are defined according to Mitra et al. (2016); these are planktonic protists with an  
122 inherent capability to photosynthesize and also a demonstrable potential to engage in  
123 phagotrophy for their nutritional needs. Traditionally mixotrophy within many planktonic  
124 phototrophs has included phototrophy *plus* osmotrophy (i.e., uptake of dissolved organic  
125 substances; e.g., Glibert & Legrand, 2006; Burkholder, Glibert, & Skelton, 2008). Here,  
126 however, we focus on photoautotrophic protists which engage in phagotrophy because  
127 osmotrophy appears to be ubiquitous in protists; thus, it is assumed here that all species are  
128 capable of osmotrophy (see Flynn et al. 2013; Mitra et al. 2016).

### 129 *Data compilation*

130 Data were compiled according to species name. AlgaeBase (<http://www.algaebase.org/>) was  
131 used to resolve synonyms and basionyms of various species. Using expert knowledge within  
132 our team (authors), we first assembled a database of all protist species known to be CMs  
133 (Appendix S1, Table S1.1); the definitions of Mitra et al. (2016) were used to differentiate  
134 between CMs and strict autotrophs (i.e., phytoplankton). We did not consider  
135 coccolithophorids; to date, there has been only one published study reporting phagotrophic  
136 activity by the cosmopolitan species *Emiliana huxleyi* (Rokitta et al., 2011).

137 Data for the global distribution of the CMs within our list were acquired through  
138 interrogation of the Ocean Biogeographic Information System database (OBIS;  
139 <http://www.iobis.org/>) on 20<sup>th</sup> January 2018. Geographic coordinates corresponding to the  
140 locations where the CMs were recorded were obtained. Records with possible spatial errors,  
141 such as data points located inland, were excluded from the analysis. Georeferenced  
142 occurrence data were retrieved from OBIS using the ‘devtools’ and ‘robis’ packages in R (R  
143 Core Team, 2017). Other packages in R used for data compilation and visualization were  
144 ‘rgdal’, ‘plyr’, ‘ggplot2’, ‘ggalt’, and ‘gridExtra’.

145 In order to ensure that we captured distribution data of CMs across different size  
146 classes, we conducted a survey of published literature in the electronic databases ISI Web of  
147 Science and Elsevier on 20<sup>th</sup> January 2018. All data sources are listed within Appendix 1.  
148 Smaller planktonic protists are rarely, if at all, identified down to species level in field  
149 surveys. The aim of these surveys was therefore to obtain records from studies which  
150 specifically targeted known CMs in the nanoplankton spectrum (2–20 µm length). These

151 studies, albeit not being species-specific, reported *in situ* measurements of the abundance of  
152 actively feeding mixotrophic nanoflagellates (i.e., CMs of < 20 µm length) or the relative  
153 contribution of pico- and nano- CMs to total bacterivory by flagellates. Geographic  
154 coordinates were retrieved from each of these studies in order to compare these data with  
155 species-specific data obtained from the OBIS database.

#### 156 *Spatial analysis*

157 Records compiled from online databases were aligned with the biogeographic classification  
158 of the ocean according to Longhurst (2007). As in Leles et al. (2017), the 54 biogeographic  
159 provinces proposed by Longhurst (2007) were grouped into seven principal biomes according  
160 to primary production and physical forcing: Mediterranean Sea, Coastal Seas, Polar Seas,  
161 Temperate Seas, Oligotrophic Gyres, Coastal Upwelling, and Equator (Appendix S1, Table  
162 S1.2). However, due to a lack of data we did not include the Coastal Upwelling biome in our  
163 analysis. Grids corresponding to Longhurst provinces used in the maps were obtained from  
164 <http://www.marineregions.org/>. Geographic coordinates corresponding to the exact location  
165 of where the CM species were found were then aligned with biogeographic provinces. The  
166 records obtained for each species within each biogeographic province were exploited to  
167 produce global distribution maps.

#### 168 *Data analysis*

169 The biogeography of CMs was investigated according to taxonomy and size classes across  
170 different oceanic provinces. Species size was obtained from the literature (Tomas, 1997;  
171 Hoppenrath & Leander, 2007; Berge, Hansen, & Moestrup, 2008; Nézan & Chomérat, 2009;  
172 Yoo et al., 2010; Kang et al., 2011; Lim et al., 2015; Jang, Jeong, Kwon, & Lee, 2017; Ok,  
173 Jeong, Lim, & Lee, 2017) and online repositories (<http://nordicmicroalgae.org>;  
174 <http://www.sccap.dk>; <http://www.marinespecies.org>). Global distribution maps were  
175 generated to visualize the presence of each taxonomic group within each size class according  
176 to the biogeographic provinces. The sampling locations from all 178 cruises included in the  
177 analysis were also mapped in order to compare the biogeographic patterns with the total  
178 sampling effort. It is noteworthy that we did not assume *a priori* that all taxonomic groups  
179 should contain individuals within all size-classes; indeed, that is not the case. We used expert  
180 knowledge and the literature to identify size class boundaries within each taxonomic group.  
181 For example, there are no known species within prasinophytes, chrysophytes, and  
182 haptophytes which are > 15 µm in size (measured across the major cell axis). Likewise, all

183 known raphidophyte species are  $> 10 \mu\text{m}$ . While there are some suggestions of presence of  
184 so-called picoplanktonic marine dinoflagellates ( $< 5 \mu\text{m}$ ), this information is derived from  
185 initial sequence data only (Moon-van der Staay, De Wachter, & Vaulot, 2001; Lin, Zhang,  
186 Hou, Miranda, & Bhattacharya, 2006); thus, we could not include such organisms in this  
187 analysis. Dinophytes were, therefore, not assumed to occur within the  $< 5 \mu\text{m}$  size-class.

188 Potential biases related to size and location, when tracing the global distribution of  
189 CMs, were analysed quantitatively. For this, we used the mean number of records obtained  
190 for each size class across different oceanic biomes. Our dataset did not follow a normal  
191 distribution; therefore, Kruskal-Wallis rank tests were used to evaluate the effect of size and  
192 of biome on CM distribution. Species were grouped according to size within the different  
193 oceanic biomes to test for differences amongst size classes and across the biomes. Post-hoc  
194 non-parametric tests (Dunn's test) were performed to identify pairs of size classes or oceanic  
195 biomes that were significantly different from each other. The same procedure was performed  
196 to evaluate the potential bias related to CM taxonomy and location, grouping species  
197 according to taxonomy instead of size to test for any differences between taxonomic groups  
198 and across the biomes. These analyses were conducted using the 'dunn.test' package in R.

199 Dissimilarities between occurrence patterns of CM species across the different  
200 biogeographic provinces were explored using the non-metric multidimensional scaling  
201 (NMDS) technique; this is akin to the analysis undertaken by Leles et al. (2017) on NCMs.  
202 The georeferenced data compiled from OBIS (Appendix S2, Table S2.1) were used to build a  
203 matrix with the number of occurrences for each species within each of the 54 Longhurst's  
204 biogeographic provinces. CM species were then grouped according to size and taxonomy.  
205 This analysis thus enables positioning of species and biomes within a 2D space; the former  
206 are placed with respect to their distribution across the biogeographic provinces and the latter  
207 according to species occurrence. Merging the positions of both species and biomes allowed  
208 us to explore the placement of species with respect to each other as well as in relation to the  
209 different biomes. The distance matrix used in the NMDS analysis was calculated after square  
210 root transformation using the Bray-Curtis distance. NMDS was performed using the  
211 'metaMDS' function ('vegan' package in R). Progressively lower number of dimensions ( $k =$   
212  $5$  to  $2$ ) were used in order to attain the value for  $k$  which provided the lowest stress value  
213 (Legendre & Legendre, 1998).

214 The volume of seawater analysed will inevitably influence the identification of rare  
215 species (i.e., those present in low abundances). However, we could not account for this factor

216 since most publications do not report the volume sampled and analysed and, therefore, this  
217 information could not be retrieved from OBIS. Even if this information were available, the  
218 volume of water that ideally should be analysed can be highly variable depending on the  
219 trophic status of the system (e.g., larger volumes of water should be inspected in oligotrophic  
220 waters compared to eutrophic systems, and also depending on bloom seasonality); additional  
221 knowledge on the different marine ecosystems investigated would be required to interpret  
222 such data. Moreover, we would expect a minimal effect in our biogeographic analysis since  
223 we grouped constitutive mixotrophs into different taxonomic groups and size-classes.

224

## 225 **Results**

226 The compiled list of CMs includes 80 species across the different taxonomic groups of  
227 primarily cryptophytes, chrysophytes, haptophytes, prasinophytes, raphidophytes, dinophytes,  
228 chlorarachniophytes, and synchronophytes (Appendix S1, Table S1.1). Nearly 250,000  
229 records were obtained from OBIS for 51 out of the 80 species that comprised the initial  
230 species list (Appendix S2, Table S2.1); these species were placed into taxonomic groups and  
231 five size classes (Appendix S2, Table S2.1). The global distributions of CMs across the  
232 different biogeographic provinces were mapped according to taxonomic groups and  
233 allometrics (Figure 1). At least one record was necessary to assume the presence of a species  
234 within a province; thus, only five taxonomic groups (Appendix S2, Table S2.1) were mapped  
235 (Figure 1).

236 These maps demonstrate a strong bias in the available data towards larger species, and  
237 specifically towards dinophytes. Nearly 50% of the constitutive mixotrophic species were  
238 larger than 20  $\mu\text{m}$ ; except for two raphidophytes, all species  $> 20 \mu\text{m}$  were dinophytes. The  
239 dearth of data for other CM groups across the different size classes is indicated by the “non-  
240 coloured” (white) biogeographic maps in Figure 1. In order to compare these biogeographic  
241 patterns with the total sampling effort, we also mapped the sampling locations from all 178  
242 cruises included in the analysis (Appendix S3, Figure S3.1). From this it can be seen which  
243 biogeographic provinces were visited at different points in time and space but were not  
244 associated with the presence of a CM group (white biogeographic provinces in Figures 1 and  
245 2 vs Appendix S3, Figure S3.1).

246 The potential for biases relating to species size, taxonomic grouping, and location  
247 were tested using quantitative data (Appendix S3, Figure S3.2). The number of records  
248 differed significantly among size classes with a clear bias towards larger size classes ( $H =$

249 11.8,  $p = 0.02$ ; Appendix S3, Figure S3.2a). Post-hoc comparisons confirmed that sampling  
250 effort was highest for species with maximum cell dimension  $> 20 \mu\text{m}$  (Figure 2a; Appendix  
251 S4, Table S4.1). Differences were also found when comparing taxonomic groups ( $H = 12.2$ ,  $p$   
252  $= 0.02$ ; Appendix S3, Figure S3.2b). The number of records obtained for dinophytes was  
253 significantly higher than those retrieved for any other taxonomic group and no significant  
254 difference was found among the other groups (Figure 2b; Appendix S4, Table S4.2). We also  
255 tested whether the number of records varied across biomes for each dataset (i.e., grouped by  
256 size or by taxonomy, Appendix S3, Figure S3.1), and there were significant differences in  
257 both analyses ( $H = 13.6$ ,  $p = 0.02$ ; and  $H = 11.2$ ,  $p = 0.05$ , respectively). Fewer records were  
258 available from oligotrophic gyres and equatorial regions compared to other biomes  
259 (Appendix S3, Figure S3.1; Appendix S4, Tables S4.3 and S4.4); indeed, data within  
260 oligotrophic gyres and equatorial regions were available primarily for dinophytes except for  
261 one record of a raphidophyte species (Figure 2b). The analysis revealed no clear difference  
262 among the other biomes (Appendix S4, Tables S4.3 and S4.4).

263 The relationships between size classes and taxonomy in the global distribution of  
264 CMs were explored through the NMDS analysis (Figure 3). Our analysis revealed dinophyte  
265 species within the larger size class ( $> 20 \mu\text{m}$ ) closer to the different biomes thus displaying a  
266 broader geographic distribution compared to other species (Figure 3). The different colours in  
267 this figure allow the reader to discern that different taxonomic groups have different  
268 distribution patterns. Through reference to the different symbols (Figure 3), it is clear that  
269 size and taxonomy are not independent and most species of dinophytes are  $> 20 \mu\text{m}$  while  
270 most species within other groups are  $< 20 \mu\text{m}$ . However, even among dinophytes there were  
271 various outliers indicating a bias towards focussing on sampling species  $> 20 \mu\text{m}$  in field  
272 studies; *Alexandrium andersonii* and *Fragilidium subglobosum* are examples of these  
273 “outlier” large dinophytes which ordinated closer to species belonging to other taxonomic  
274 groups, such as the haptophyte *Prymnesium polylepis* and the prasinophyte *Cymbomonas*  
275 *tetramitiformis*.

276 Non-dinophyte species  $< 20 \mu\text{m}$  were the least represented group of CMs. To further  
277 illustrate the under-representation of these groups within global databases, and thus the  
278 incomplete status of global distribution data, we plotted the data available for these groups  
279 from generic studies (Figure 4) and from studies that specifically targeted these groups  
280 (Appendix S2). A total of 48 records from 21 studies were located when interrogating studies  
281 which specifically targeted known CMs in the nanoplankton spectrum ( $2\text{--}20 \mu\text{m}$ ; Appendix

282 S2, Table S2.2). It should be noted that these studies do not provide species-specific  
283 information; unless a sampling study specifically targets smaller CM species and uses  
284 specialist identification methods and skills, it is highly unlikely, and often impossible, for  
285 these taxa to be identified to species level using standard light microscopy within generic  
286 sampling protocols. Therefore, the maps derived using data from the generic versus the  
287 specific studies present very different outputs (Figure 4). The map obtained from the generic  
288 data depicts a limited distribution pattern for CMs < 20 µm with occurrences recorded in  
289 coastal regions, and in temperate and polar seas (Figure 4a). In contrast, studies which have  
290 targeted these species show their distribution to largely encompass open oceans, including  
291 regions closer to the Equator and within oligotrophic gyres in the Atlantic and the Pacific  
292 Oceans (Figure 4b).

293

## 294 **Discussion**

295 Our results indicate a clear bias in the data for the biogeographic distribution of constitutive  
296 mixotrophs (CMs) across and within taxonomic groups, different size spectra, and  
297 Longhurst's oceanic regions. This appears primarily a reflection of the difficulties associated  
298 with sampling and identification of diagnostic features for small cells in natural water  
299 samples. Nevertheless, the wide-scale distributions of CMs of various sizes across the  
300 different provinces in the global oceans indicate the importance of considering this mixotroph  
301 functional group in studies of protist biogeography and thence in ecology.

302 Our current knowledge of CM species distribution remains incomplete primarily due  
303 to various biases in the data within global repositories. Even though it is now recognised that  
304 most eukaryote "phytoplankton" groups, with the important exception of diatoms, have the  
305 potential to demonstrate mixotrophy (Flynn et al., 2013; Stoecker et al., 2017), clear evidence  
306 of mixotrophy has been obtained for fewer than 150 species (Appendix S1, Table S1.1 and  
307 Leles et al., 2017). To place this in context, the total number of "phytoplankton" species are  
308 (as a guesstimate), often rounded to the nearest thousand within a major grouping (phylum).

309 Our work is the first attempt to document the biogeographies of organisms that are  
310 undeniably CMs (Appendix S2, Table S2.1; Figures 1 and 2). During interrogation of the  
311 online databases, we assumed that the species detected were originally correctly identified  
312 and reported. An allied challenge is determining which species indeed express phagotrophy.  
313 A recent study suggests that standard methodologies for detecting phagotrophy in field

314 plankton samples are inappropriate for use on these organisms and has most likely resulted in  
315 non-identification of CM activity (Anderson et al., 2017). While Anderson et al. (2017)  
316 focussed on nano-CMs, their findings suggest that similar methodological problems occur  
317 widely, which could easily result in serious misinformation in the protist records of the  
318 different databases.

319         Studies that specifically target CMs have various limitations. Quantitative estimates  
320 of abundance are challenging because current methods can only account for mixotrophs that  
321 were actively feeding at the time of the sampling/experiment (Safi & Hall, 1999; Gast et al.,  
322 2014; Sato, Shiozaki, & Hashihama, 2017). Estimates of bacterivory rates are also  
323 problematic because they are based on the assumptions that bacterivory remains constant  
324 over the short time scales of incubation studies and that community ingestion rates can be  
325 approximated to the average ingestion rate of all feeding individuals (Anderson et al., 2017).  
326 In reality, feeding varies over the diel cycle (Tsai, Chin, & Chiang, 2009), and only a small  
327 proportion of the total mixotroph assemblage is actively feeding at any time during an  
328 experiment (Christaki, Wambeke, & Dolan, 1999; Anderson et al., 2017).

329         The CM species database is biased towards certain species and/or groups that have  
330 been extensively investigated due to their perceived environmental importance. For example,  
331 studies in the coastal regions covering low-salinity, estuarine, and marine waters have  
332 focussed on harmful algal bloom (HAB) or toxic species within the dinophyte taxa  
333 (Shumway et al., 2018). Some, if not most, of these HAB species are known mixotrophs  
334 (Shumway et al., 2018). Some co-occurring protist species (including competitors, prey, and  
335 predators) are also known mixotrophs as well, though many are not often recorded as they are  
336 not themselves HAB species. Indeed, of the 80 CM species included within our analysis, 51  
337 species were dinophytes, despite the importance of mixotrophy having been well recognized  
338 in other taxonomic groups (Gast, McKie-Krisberg, Fay, Rose, & Sanders, 2014; Unrein et al.,  
339 2014; Stoecker & Lavrentyev, 2018).

340         Biases among records of CMs may also be particularly high due to differential efforts  
341 at sampling CMs of different sizes. It is not common practice to identify to species level in  
342 plankton surveys, especially among the smaller flagellate cells which are coincidentally  
343 mixotrophic (Sanders & Gast, 2012; Stoecker & Lavrentyev, 2018). While incubation  
344 experiments have demonstrated ingestion of prey by these small CMs (< 20  $\mu\text{m}$ ), taxonomic  
345 identifications using traditional diagnostic approaches has been difficult due to few

346 distinctive features for these species. The available evidence indicates that field studies  
347 typically neglect most CMs < 20  $\mu\text{m}$ . However, mixotrophic pico- and nano- flagellates for  
348 example, have been shown to be abundant and to play a major role as bacterivores and  
349 primary producers in oligotrophic oceans (Zubkov & Tarran, 2008; Hartmann, Zubkov,  
350 Scanlan, & Lepère, 2013; Mitra et al., 2014). Yet, global plankton databases have failed to  
351 indicate the ubiquity of these organisms across the global oceans, particularly in the open  
352 oceans (Figure 4). On the other hand, while according to survey data dinophytes appear to be  
353 important in oligotrophic oceans (Figure 1), actually they are relatively minor contributors to  
354 the total abundance and activity of mixotrophic flagellates within these areas (Unrein et al.,  
355 2014).

356         The strong bias towards larger cells and dinophytes is in part also attributed to  
357 traditional sampling and preservation methods that are known to underestimate the  
358 abundance of more fragile groups or smaller size fractions within the plankton (Gifford &  
359 Caron, 2000; Edwards, Johns, Leterme, Svendsen, & Richardson, 2006). The resultant  
360 distorted picture of plankton composition across the oceans (Biard et al., 2016) is typified by,  
361 for example, survey data from the historic Continuous Plankton Recorder (CPR), a device  
362 best suited (designed) for sampling mesozooplankton and larger (> 250  $\mu\text{m}$  size) robust  
363 phytoplankton (Richardson et al., 2006). The CPR cannot capture data for the majority of the  
364 non-diatom protist species, an exception being very large dinoflagellates such as CMs within  
365 the genus *Tripos* (formally *Ceratium*). Given the changes in our understanding of marine  
366 ecology, with recognition of the increasing importance of the microbial loop and mixotrophic  
367 protists, the CPR requires augmentation with a device specifically designed for sampling  
368 small protists.

369         Recent advances in metagenomics and computational analysis allow identification of  
370 the taxonomic and genomic content of marine communities, and investigation of their  
371 functional potential (Sunagawa et al., 2015). Nevertheless, we could not exploit such datasets  
372 in our analysis. While sequence datasets offer a powerful approach to provide molecular  
373 taxonomy in the future, protistologists likely have decades of work ahead of them to match  
374 sequence data against morphology/physiology. For the sequence datasets, one of the most  
375 problematic issues is converting the data into species information (Bucklin, Lindeque,  
376 Rodriguez-Ezpeleta, Albaina, & Lehtiniemi, 2016; Leray & Knowlton, 2016). Within the  
377 arena of molecular analysis itself, there are different methodologies for obtaining sequence

378 information, so that forming operational taxonomic units (OTUs) from those data are far from  
379 standardized (e.g. Callahan, McMurdie, & Holmes, 2017).

380 In addition, most mixotrophic protists fall into often closely-related groups that are  
381 composed of a mixture of species with different nutritional modes, i.e., autotrophic,  
382 heterotrophic, and mixotrophic. Although metagenomics may offer a means to identify active  
383 mixotrophs, (Rokitta et al., 2011; Yelton et al., 2016), it remains to be fully resolved how to  
384 use the potential of metagenomics to differentiate mixotrophs from their autotrophic or  
385 heterotrophic counterparts (Santoferrara & McManus, 2017). Further, OTUs far outnumber  
386 the number of morphologically-described or nutritionally-described species that have been  
387 sequenced; there is presently no way of differentiating the mixotrophs among the many  
388 OTUs typically recorded in molecular datasets. Meanwhile experimental studies continue to  
389 provide increasing evidence that more and more species previously thought to be “strictly”  
390 photoautotrophic phytoplankton are, in fact, CMs (e.g., Hoppenrath and Leander, 2007;  
391 Berge et al., 2008; Nézan & Chomérat, 2009; Yoo et al., 2010; Kang et al., 2011; Lim et al.,  
392 2015; Jang et al., 2017; Ok et al., 2017; Kamennaya, Kennaway, Fuchs, & Zubkov, 2018).

393 In conclusion, our analyses show clearly that CMs of different taxonomic groups and  
394 size ranges are present and active throughout the oceans. The oceanic plankton community  
395 contributes an estimated 50% of total planetary primary production. The CMs are a major  
396 contributing component of this community. Therefore, it is important that we are aware of the  
397 biogeography of these organisms with reference to their physiology, ecology and seasonality.  
398 Our findings are highly relevant to plankton biogeography, with implications scaling up to  
399 the functioning of food webs and biogeochemical cycles in the global oceans. The challenge  
400 now facing marine scientists is to develop widely accepted routine protocols for determining  
401 mixotrophic potential and improved survey methods for sampling and identifying the smaller  
402 plankton members. It is important that developments in plankton protist ecophysiology,  
403 traditional and molecular taxonomy, field experimentation and sampling all remain well  
404 aligned during the coming decade to ensure that we adequately and speedily resolve the  
405 global importance of oceanic mixotrophy. Without this alignment, our abilities to develop  
406 and deploy models to explore the consequences of climate change on oceanic (and thence  
407 planetary) processes will be impaired.

408

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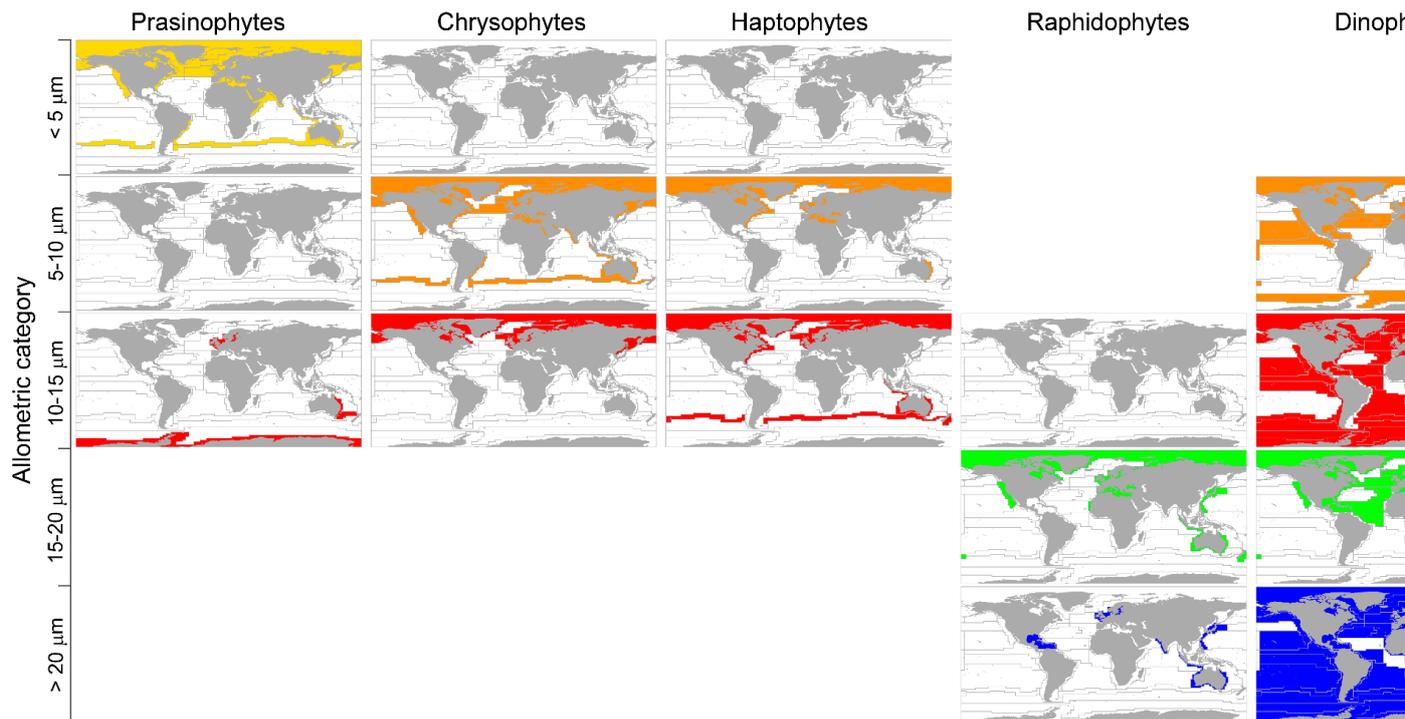
### 563 **Data Accessibility**

564 CM species and the geographic coordinates of studies which specifically targeted CMs are  
565 collated within the Supplementary Information (Appendix S1, Table S1.1 and Appendix S2,  
566 Table S2.2, respectively). Records for different CM species are available as open access  
567 within the OBIS database (<http://www.iobis.org/>); we also provide this information in

568 Appendix S2, Table S2.1. Grids of Longhurst's biogeographic provinces (Appendix S1,  
569 Table S1.2) can be downloaded from <http://www.marineregions.org/>.

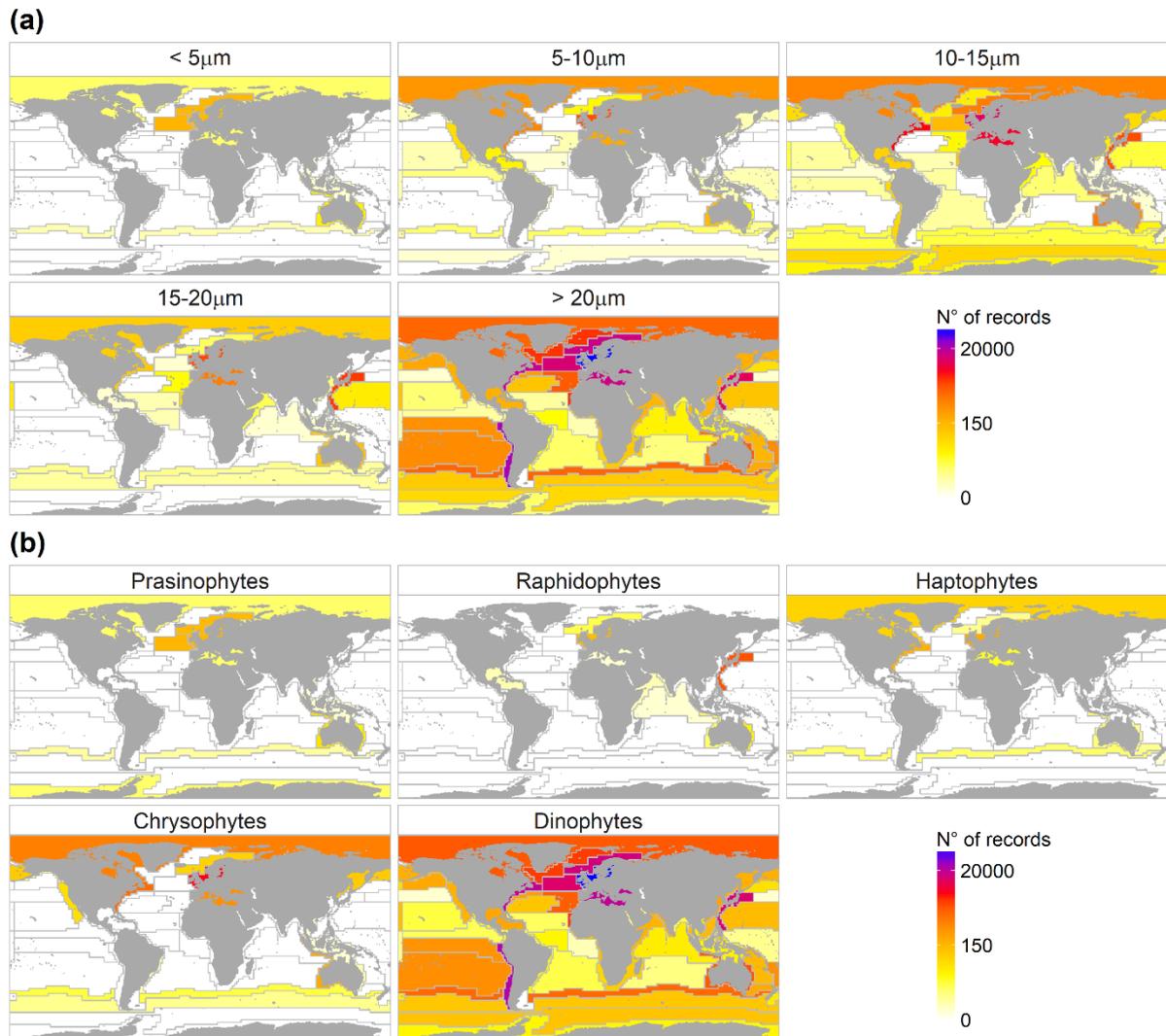
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571 **Figures**  
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576 FIGURE 1 Global distribution of CMs across Longhurst's biogeographic provinces. Distribution maps are shown for five groups (prasinophytes, raphidophytes, haptophytes, chrysophytes, and dinophytes) across different size classes (maximum cell diameter: yellow, < 5 μm; orange, 5–10 μm; red, 10–15 μm; green, 15–20 μm; blue, > 20 μm). Colour-cast provinces indicate the presence of species in that province. White spaces indicate no data. The absence of maps (i.e., white spaces) indicate that there are no known species of that size class in that province.  
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580 See also Appendix S2, Table S2.1.

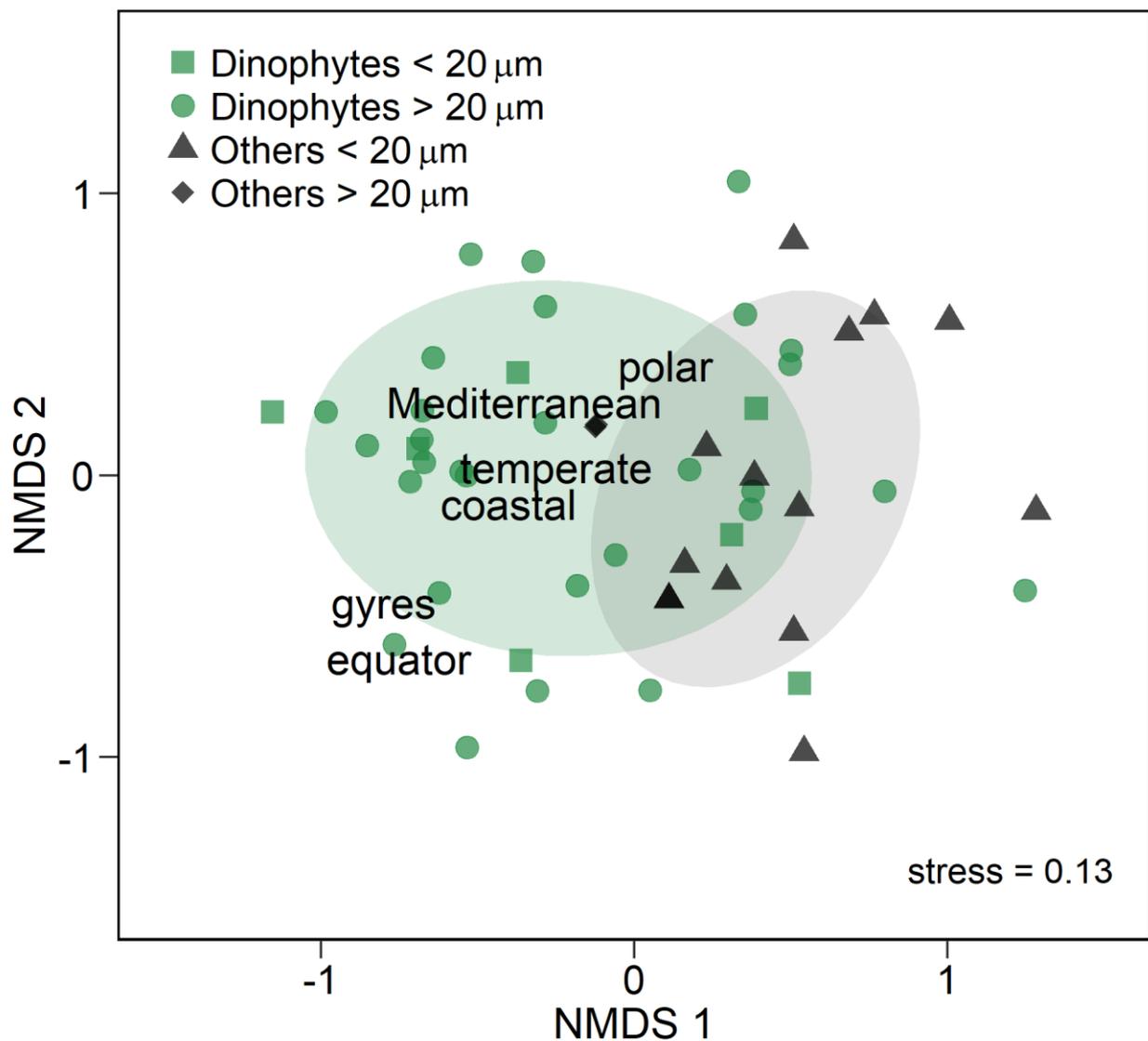
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584 FIGURE 2 Number of records for CMs across different biogeographic provinces (Appendix  
585 S1, Table S1.2 and Appendix S2, Table S2.1). Number of records provided for: (a) different  
586 size-classes ( $\mu\text{m}$ , length), and, (b) different taxonomic groups. See also Appendix S3, Figure  
587 S3.2.

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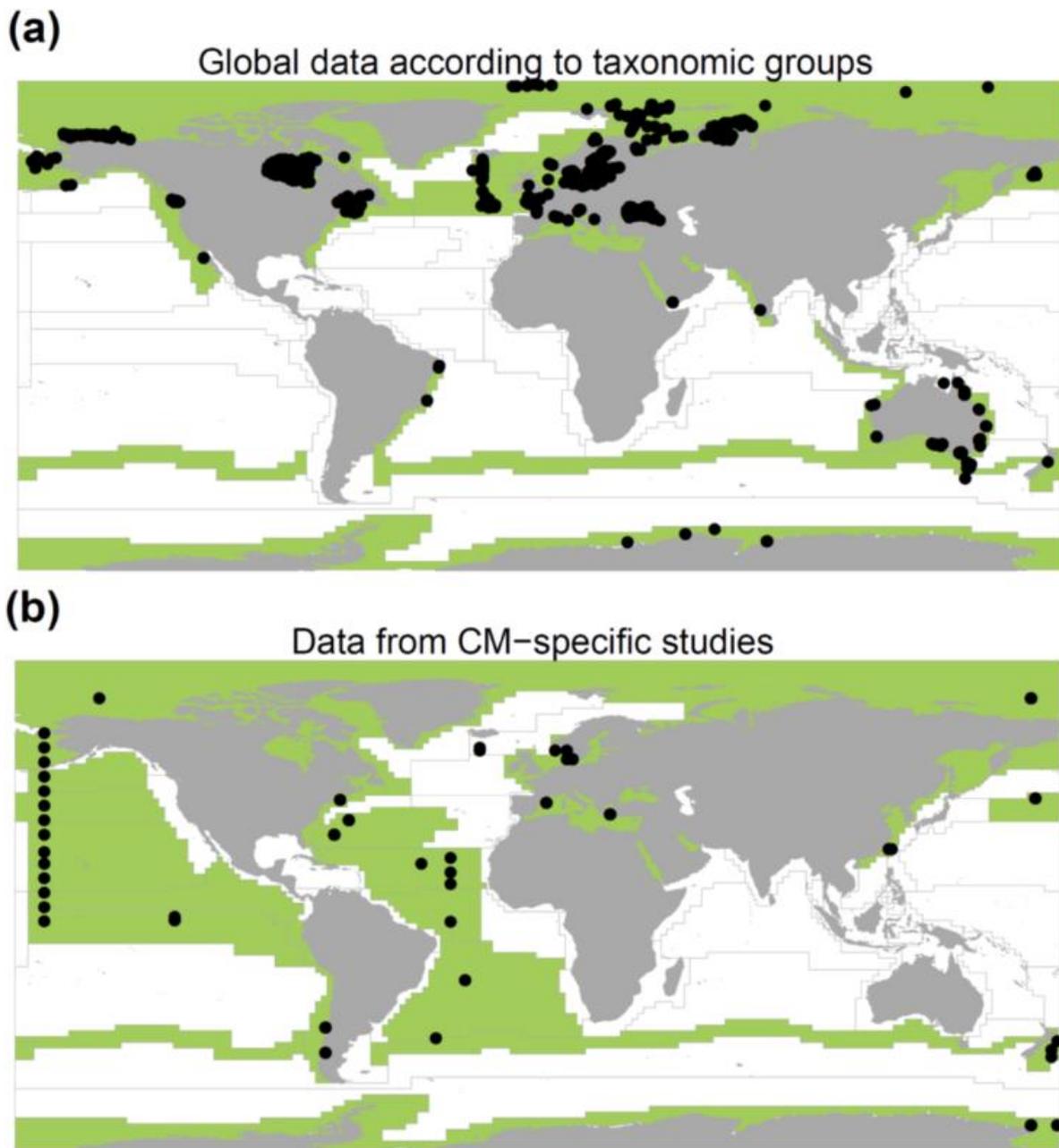


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594 FIGURE 3 Spatial distribution of CMs from NMDS analysis. NMDS ordination was based  
 595 on the number of records observed for each species within each biogeographic province;  
 596 biomes were primarily derived from provinces (Appendix S3, Figure S3.3). Each symbol  
 597 represents a species which was grouped by taxonomy (different colours) and by size and  
 598 taxonomy (different symbols). Ellipses are shown at 75% confidence interval and were used  
 599 to define dinophytes (green) and other groups (grey).

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606 FIGURE 4 Global distribution of CMs with maximum cell dimension  $< 20 \mu\text{m}$ . (a) Records  
607 obtained from OBIS (Appendix S2, Table S2.1); (b) Records obtained from studies of protist  
608 nanoplankton bacterivory (Appendix S2, Table S2.2). Symbols correspond to the exact  
609 location where CMs were found. Colour-cast provinces indicate the presence of CMs while  
610 white provinces correspond to absence due to lack of data; the grid indicate Longhurst's  
611 biogeographic provinces (Appendix S1, Table S1.2).

612  
613

614 **Appendix 1:** Reference list of studies which specifically targeted CMs but not cited within  
615 the main text (see also Appendix S2; Table S2.2)

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## 658 **Supporting Information**

659 **Appendix S1** List of CM species and biogeographic classification of the ocean.

660 **Appendix S2** Data compiled from OBIS and from studies which specifically targeted CMs.

661 **Appendix S3** Supplementary Figures.

662 **Appendix S4** Supplementary results for the post-hoc pairwise comparisons using Dunn's  
663 test.

664

665 **Supporting information is provided in a separate Word document (Supporting  
666 Information – Leles et al) and two Excel files (TableS1.1.xlsx and TableS2.2.xlsx).**

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