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Paper:

Bonati, C., Calore, E., D'Elia, M., Mesiti, M., Negro, F., Sanfilippo, F., Schifano, S., Silvi, G. & Tripicciono, R. (2019).

Roberge-Weiss endpoint and chiral symmetry restoration in $N_f=2+1$ QCD. *Physical Review D*, 99(1)

<http://dx.doi.org/10.1103/PhysRevD.99.014502>

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Roberge-Weiss endpoint and chiral symmetry restoration in $N_f = 2 + 1$ QCD

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(Received 24 July 2018; published 3 January 2019)

We investigate the fate of the Roberge-Weiss endpoint transition and its connection with the restoration of chiral symmetry as the chiral limit of $N_f = 2 + 1$ QCD is approached. We adopt a stout staggered discretization on lattices with $N_t = 4$ sites in the temporal direction; the chiral limit is approached maintaining a constant physical value of the strange-to-light mass ratio and exploring three different light quark masses, corresponding to pseudo-Goldstone pion masses $m_\pi \simeq 100, 70$ and 50 MeV around the transition. A finite size scaling analysis provides evidence that the transition remains second order, in the 3D Ising universality class, in all the explored mass range. The residual chiral symmetry of the staggered action also allows us to investigate the relation between the Roberge-Weiss endpoint transition and the chiral restoration transition as the chiral limit is approached: our results, including the critical scaling of the chiral condensate, are consistent with a coincidence of the two transitions in the chiral limit; however we are not able to discern the symmetry controlling the critical behavior, because the critical indices relevant to the scaling of the chiral condensate are very close to each other for the two possible universality classes [3D Ising or $O(2)$].

DOI: [10.1103/PhysRevD.99.014502](https://doi.org/10.1103/PhysRevD.99.014502)

I. INTRODUCTION

Numerical investigation of QCD or QCD-like theories in the presence of imaginary chemical potentials coupled to quark number operators has been the subject of various lattice studies [1–26]. The main source of interest is the possibility of obtaining information about QCD at finite baryon density via analytic continuation, thus partially avoiding the sign problem. Moreover, numerical results at

imaginary μ are also a relevant test bed for effective models trying to reproduce the properties of QCD at finite density [27–29]. Furthermore, imaginary chemical potentials are an interesting extension of the QCD phase diagram *per se*, as, for particular choices of the chemical potentials, one recovers exact symmetries even in the presence of finite quark masses, leading to the presence of interesting phase transitions and critical points which, in principle, could be relevant also for the physical region of the phase diagram.

A well known example is QCD with an imaginary baryon chemical potential μ_B , which, for particular values, known as Roberge-Weiss (RW) points [30] ($\mu_B \equiv i\mu_{B,I} = ik\pi T$ where k is an odd integer), has an exact Z_2 symmetry, which is a remnant of the original Z_3 symmetry present in the pure gauge case; this symmetry gets spontaneously broken at a critical temperature T_{RW} which fixes the endpoint (RW endpoint) of first order transition lines which are present (at fixed μ_B) in the high- T region of the phase diagram, as sketched in Fig. 1. More exotic combinations have been also considered, like those in which an exact Z_{N_c} center symmetry is recovered (N_c being the number of colors) by locking it to flavor symmetry in the presence of $N_f = N_c$ degenerate flavors [31–37].

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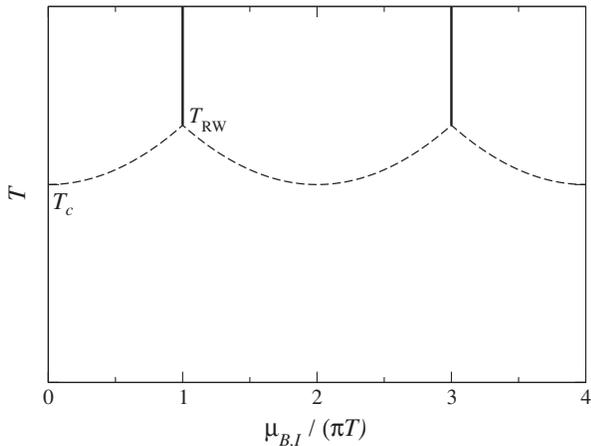


FIG. 1. Sketch of the phase diagram of QCD in the $T - \mu_{B,I}$ plane. The vertical lines are the RW transitions, the dashed lines are the analytic continuation of the pseudocritical line.

The RW transition lines and their endpoints have been thoroughly investigated by lattice simulations [3,4,12–15,20,26,38–49] and effective models [50–62]. Early studies, performed on lattices with $N_t = 4$ sites in the temporal direction and using unimproved staggered fermions, have shown interesting features for the RW endpoint transition for both $N_f = 2$ and $N_f = 3$ degenerate flavors: the transition is first order for small quark masses, likely down to the chiral limit, second order for intermediate masses, and first order again for large quark masses; the three regions are separated by tricritical points [38–40]; for $N_f = 2$ the tricritical point delimiting the first order chiral region takes place for $m_\pi \simeq 400$ MeV [40]. These results, which suggest a strict relation of the RW endpoint transition to the chiral properties of the theory, have been confirmed by simulations employing standard Wilson fermions, even if with indications of a strong cut-off dependence for the location of the tricritical points: indeed, the chiral tricritical light pion mass has been located at $m_\pi \simeq 910$ MeV for $N_t = 4$ and at $m_\pi \simeq 670$ MeV for $N_t = 6$ [49].

A systematic study adopting stout improved staggered fermions has been reported in Ref. [46] for $N_f = 2 + 1$ QCD with physical quark masses, employing lattices with $N_t = 4, 6, 8$ and 10 , i.e., going down to lattice spacings of the order of 0.1 fm. This has permitted to obtain a reliable continuum extrapolation for the endpoint transition temperature, $T_{RW} \simeq 208(5)$ MeV, corresponding to $T_{RW}/T_c \simeq 1.34(7)$ where $T_c \simeq 155$ MeV is the pseudocritical chiral crossover temperature at zero baryon chemical potential. A finite size scaling (FSS) analysis has been performed only for $N_t = 4$ and 6 and has provided evidence for a second order transition, meaning that the chiral tricritical pion mass, if any, is lower than the physical pion mass, $m_\pi \simeq 135$ MeV.

In this study we extend the analysis of the RW endpoint adopting the same improved discretization already used in Ref. [46], exploring lower than physical quark masses,

going down to a pseudo-Goldstone pion mass of the order of 50 MeV. Our purpose is twofold. First, in view of the apparent strong reduction of the chiral first order region, we would like to understand if a chiral tricritical pion mass can still be located. The exploration of the QCD phase diagram at zero chemical potential, usually summarized in the so-called Columbia plot, has provided evidence for a general shrinking of the first order regions as the continuum limit is approached, and presently it is not even clear if a first order survives in the chiral limit for the $N_f = 4$ or $N_f = 3$ case [63], where standard universality arguments would predict it [64]. Therefore, the fact that a first order RW endpoint transition is still found in the chiral and continuum limit of $N_f = 2 + 1$ QCD is not guaranteed.

Let us say right from the beginning that the task itself is highly nontrivial. Indeed, due to well known problems in the lattice discretization of fermion degrees of freedom (d.o.f.), a reliable approach to the chiral limit is only possible if the continuum limit is approached first [65], while keeping finite size effects under control. In other words, for a reliable investigation one should guarantee at the same time that: (i) one gets close enough to the chiral limit; (ii) one stays close enough to the continuum limit so that the chiral properties of dynamical fermions are effective (in the present context of staggered fermions, that means that taste symmetry breaking is negligible and all pions becomes effectively light); (iii) the physical volume of the system is still large enough, in particular $Lm_\pi \gg 1$ as $m_\pi \rightarrow 0$. Satisfying all these criteria is still an unbearable task, even for present computational resources.

The present study is limited to $N_t = 4$ lattices and therefore represents just a small step. We anticipate that we have not been able to detect any signal of a first order transition down to $m_\pi \simeq 50$ MeV. On one hand, one might consider this result as inconclusive for the reasons exposed above: as we will show, our approach to the chiral limit on $N_t = 4$ actually means that just one pion mass goes to zero, while the others stay finite and quite heavy (larger than 400 MeV), so that it is not clear which kind of “chiral” theory one is really approaching. Nevertheless, on the other hand, it is a striking fact that results change so drastically with respect to earlier results on $N_t = 4$ lattices [38–40] (a tricritical pion mass decreasing by at least one order of magnitude or vanishing at all), by just improving the discretization of the theory.

The second purpose of our investigation is an improved understanding of the relation between chiral and center symmetry and the RW transition. In the massless limit, one could expect two different transition temperatures, T_χ and T_{RW} , along the RW chemical potentials ($\mu_B = ik\pi T$ with k odd), one corresponding to the restoration of chiral symmetry and the other to the breaking of the remnant center symmetry. Examples where the chiral restoration transition is well decoupled from the center symmetry breaking transition are well known in the literature, like for instance

QCD with fermions in the adjoint representation [66]. In this case, results obtained at finite quark mass show that the two transitions are generically close to each other; however what happens in the chiral limit, where both symmetries are exact, is unknown.

In this case the task is more feasible. Indeed, even at finite lattice spacing, the staggered discretization provides a remnant of the chiral symmetry which becomes exact as the bare quark mass is extrapolated to zero: it corresponds to a single generator of the original chiral group, it breaks spontaneously at low temperature, leading to a single massless pion, and it gets restored at the chiral transition temperature T_χ . Therefore, it makes sense to investigate the relation between T_{RW} and T_χ in the chiral limit also for finite values of N_t , even if of course the answer itself could be N_t -dependent. Whether the two transitions coincides and, in this case, which symmetry controls the critical behavior, is a clear-cut question which can and should be answered.

The paper is organized as follows. In Sec. II we review the general properties of QCD in the presence of imaginary chemical potentials, illustrate the lattice discretization adopted for our study and give details on our numerical setup and analysis. In Sec. III we report our numerical results regarding the order of the transition for different values of the bare quark mass, discussing also the corresponding values of the pion masses (pseudo-Goldstone and not) and the quality of our approach to the chiral limit. In Sec. IV we investigate the relation between T_χ and T_{RW} as the chiral limit is approached. Finally in Sec. V we present our concluding remarks.

II. NUMERICAL SETUP

We consider a rooted stout staggered discretization of $N_f = 2 + 1$ QCD in the presence of imaginary quark chemical potentials $\mu_{f,I}$, its partition function reads:

$$Z = \int \mathcal{D}U e^{-S_{\text{YM}}} \prod_{f=u,d,s} \det(M_{\text{st}}^f[U, \mu_{f,I}])^{1/4}, \quad (1)$$

$$S_{\text{YM}} = -\frac{\beta}{3} \sum_{i,\mu \neq \nu} \left(\frac{5}{6} W_{i;\mu\nu}^{1 \times 1} - \frac{1}{12} W_{i;\mu\nu}^{1 \times 2} \right), \quad (2)$$

$$(M_{\text{st}}^f)_{i,j} = am_f \delta_{i,j} + \sum_{\nu=1}^4 \frac{\eta_{i;\nu}}{2} [e^{ia\mu_{f,I} \delta_{\nu,4}} U_{i;\nu}^{(2)} \delta_{i,j-\hat{\nu}} - e^{-ia\mu_{f,I} \delta_{\nu,4}} U_{i-\hat{\nu};\nu}^{(2)\dagger} \delta_{i,j+\hat{\nu}}]; \quad (3)$$

S_{YM} is the tree level Symanzik improved gauge action [67,68] constructed in terms of the original link variables, $W_{i;\mu\nu}^{n \times m}$ being the trace of a $n \times m$ rectangular loop, while the staggered fermion matrix $(M_{\text{st}}^f)_{i,j}$ is built up in terms of the two times stout-smearred [69] links $U_{i;\nu}^{(2)}$, with an isotropic smearing parameter $\rho = 0.15$.

Adopting thermal boundary conditions (periodic/anti-periodic in Euclidean time for boson/fermion fields), the temperature is given by $T = 1/(N_t a)$; we have fixed $N_t = 4$ in all simulations, while the lattice spacing a is a function of β and of the bare quark masses. In this study, contrary to Ref. [46], where simulations were done along a line of constant physics (LCP), i.e., tuning bare masses with β (hence with the lattice spacing) in order to keep the masses of physical states approximately equal to their experimental values, we have decided to perform series of simulations around the phase transitions for fixed values of the bare quark masses, while keeping $m_u = m_d \equiv m_l$ and the strange-to-light mass ratio fixed at its physical value, $m_s/m_l = 28.15$. There is a clear advantage stemming from this choice: since simulations only differ for the value of the bare gauge coupling β , it is possible to make use of standard reweighting methods [70] in order to optimize the numerical effort; that was not possible in Ref. [46], where also the weight of the fermion determinant changed from one simulation to the other (because of the tuning of the quark masses), making reweighting not feasible in practice.

In Ref. [46], the critical β reported for $N_t = 4$ is $\beta_{\text{RW}}(N_t = 4) \simeq 3.45$, which corresponds to $am_l \simeq 0.00558$ according to the LCP determined in Refs. [71–73]. Based on that, we have decided to run simulations for three different values of the quark masses, namely $am_l = 0.003$, $am_l = 0.0015$ and $am_l = 0.00075$: for each value we have located the pseudocritical coupling $\beta_{\text{RW}}(am_l, N_t)$ and performed a series of run at different values of β around β_{RW} which have then been used for reweighting. In each case, simulations have been performed on lattices $L_s^3 \times 4$, where different values of the spatial extent L_s (in the range $16 \rightarrow 32$) have been considered to perform a FSS analysis. For some selected values of β for each mass we have performed numerical simulations also on $T \sim 0$ lattices, which have been used for renormalization and scale setting purposes. Table I shows a complete list of our finite T simulation parameters; statistics reach up to 50K Rational Hybrid Monte-Carlo (RHMC) unit length trajectories for simulation points around the transition, where autocorrelation times reach up to 300-400 RHMC trajectories (in particular for the order parameter of the transition) in the worst case, corresponding to the largest volume at the pseudocritical β for the smallest quark mass. Autocorrelation times have been always taken into account by a proper binned jackknife analysis.

The bare quark masses have been chosen in order to reach, for the lowest mass, a pion mass approximately equal to $m_\pi = m_\pi^{(\text{phys})} \times \sqrt{0.00075/0.00558} \simeq 50$ MeV around the transition point. This estimate is only qualitative, as also the critical bare coupling moves as we change am_l . For this reason, simulations at $T \simeq 0$ have been performed in order to obtain a direct determination of m_π at the different simulation points. Zero temperature runs have been used also to determine the lattice spacing,

TABLE I. Simulation details for all finite temperature runs.

am_l	β	$L_s^3 \times 4$ lattices	
0.003	3.3900	16	
	3.3950	16	
	3.4000	16, 20, 24	
	3.4050	16, 20, 24, 28	
	3.4080	28	
	3.4100	16, 20, 24	
	3.4110	28	
	3.4140	28	
	3.4150	16, 20, 24	
	3.4170	32	
	3.4175	28	
	3.4200	16, 20, 24, 28	
	3.4250	16, 20, 24	
	3.4300	16, 20, 24	
	3.4350	20, 24	
	3.4400	20, 24	
	0.0015	3.3500	16
		3.3550	16
		3.3600	16, 20, 24
		3.3650	16, 20, 24
3.3700		16, 20, 24	
3.3750		16, 20, 24, 28	
3.3800		16, 20, 24, 28	
3.3820		32	
3.3825		24, 28	
3.3835		32	
3.3850		16, 20, 24, 28, 32	
3.3865		32	
3.3875		24, 28	
3.3900		16, 20, 24, 28	
3.3925		24, 28	
3.3950		16, 20, 24, 28	
3.4000	16, 20, 24		
0.00075	3.3400	16	
	3.3450	16	
	3.3500	16	
	3.3550	16, 20, 24	
	3.3575	20, 24	
	3.3600	16, 20, 24, 28	
	3.3625	16, 20, 24, 28	
	3.3650	16, 20, 24, 28	
	3.3675	20, 24, 28	
	3.3700	16, 20, 24, 28	
	3.3725	20, 24, 28	
	3.3750	16, 20, 24, 28	
	3.3775	20, 24, 28	
	3.3800	16, 20, 24, 28	
	3.3850	20, 24	

exploiting a technique based on the gradient flow [74] and in particular the so-called w_0 parameter [75]. All scale setting and pion mass determinations are shown in Table II: simulations have been performed on a $32^3 \times 48$ lattice for all quark masses, with statistics of the order of one thousand RHMC unit length trajectories for each

TABLE II. Scale setting determinations, obtained from zero temperature runs performed on a $32^3 \times 48$ lattice; m_π stands for the pseudo-Goldstone pion mass, while $m_\pi^{(1)}$ corresponds to the first excited pion.

am_l	β	a [fm]	m_π [MeV]	$m_\pi^{(1)}$ [MeV]
0.00075	3.340	0.29039(5)	48.23(6)	437(17)
0.00075	3.370	0.28332(5)	49.40(7)	433(11)
0.00075	3.400	0.27330(7)	51.07(6)	418(22)
0.0015	3.36	0.28815(4)	68.58(3)	435(4)
0.0015	3.385	0.28078(4)	70.27(3)	431(4)
0.0015	3.42	0.26831(5)	73.25(3)	408(3)
0.003	3.38	0.28616(4)	97.24(2)	444.5(4)
0.003	3.415	0.27502(5)	100.86(3)	425(2)
0.003	3.440	0.26539(12)	104.00(6)	410.6(1.3)

simulation point; in this case autocorrelation times were of the order of 10 RHMC trajectories and measurements have been taken each 10 trajectories. Notice that in many cases zero temperature determinations have been necessary for intermediate β values which are not reported in Table II: in all these cases we have exploited the smooth β -dependence of zero temperature quantities, making use of suitable spline interpolations of the results obtained at the simulated points.

Pion masses have been obtained from standard Euclidean time correlators of appropriate staggered quark operators (see, e.g., Refs. [76,77]). In this case, in addition to the lowest (pseudo-Goldstone) pion state, we have also determined other pion masses, which are expected to be higher, at finite lattice spacing, because of the taste violations of the staggered discretization. With the purpose of estimating the magnitude of such taste violations, which fix the quality of our actual approach to the chiral limit, we report in Table II also the value of the mass of the first excited pion, $m_\pi^{(1)}$.

In order to better visualize the quality of our approach to the chiral limit, in Fig. 2 we show, for a fixed value of the bare gauge coupling $\beta = 3.39$, the values obtained for the pseudo-Goldstone pion and for $m_\pi^{(1)}$ as a function of the square root of the light bare quark mass (in physical units); values have been obtained by interpolation of those given in Table II. It is quite striking that, while m_π approaches zero as $m_l \rightarrow 0$ following quite closely the prediction of chiral perturbation theory, $m_\pi \propto \sqrt{m_l}$, the first excited pion is instead much less affected by the change of am_l . Therefore, in our approach to the chiral limit and for what concerns the critical behavior around the transition, we are effectively considering a theory with no more than one light pion: that is quite different from the physical theory and, eventually, one would like to understand how this fact may bias the results obtained for the order of the phase transition.

In order to implement a purely baryonic chemical potential (i.e., $\mu_Q = \mu_S = 0$) we have set $\mu_u = \mu_d = \mu_s \equiv \mu_q = \mu_B/3$. An imaginary μ_q is equivalent to a rotation of

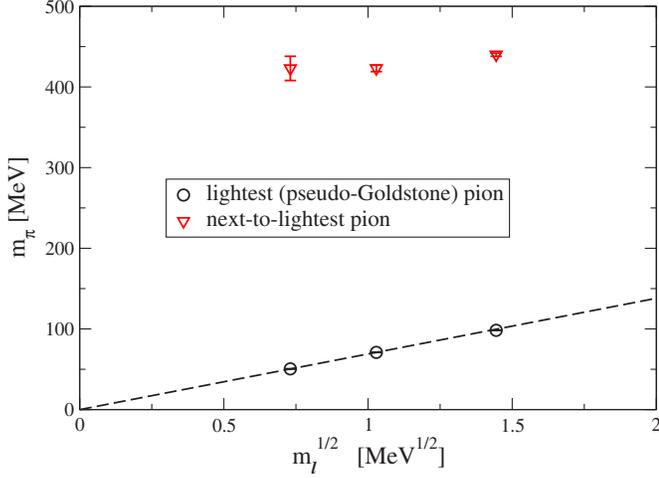


FIG. 2. Pion masses at $\beta = 3.39$ for the three values of the bare light mass m_l we explored, expressed in physical units. The dashed line is the result of a best fit to the expected $m_\pi \propto \sqrt{m_l}$ dependence.

fermionic temporal boundary conditions by an angle $\theta_q = \text{Im}(\mu_q)/T$, there is therefore a periodicity in θ_q , which however is $2\pi/N_c$ (instead of 2π) because this rotation can be exactly canceled by a center transformation on gauge fields. This periodicity is smoothly realized at low T , while at high T the value of θ_q selects among the three different minima of the Polyakov loop effective potential, leading to first order phase transitions which occur when θ_q crosses the boundary between two adjacent center sectors. These transitions form first order lines (RW lines) located at $\theta_q = (2k+1)\pi/N_c$ and k integer: there the average Polyakov loop $\langle L \rangle$ jumps from one center sector to the other and serves as an order parameter for such transitions. A sketch of the phase diagram is reported in Fig. 1: each RW line terminates with an endpoint located at a temperature T_{RW} , where an exact Z_2 symmetry breaks spontaneously. Therefore, moving in temperature along these lines, one can meet either a second order critical point in the 3D-Ising universality class, or a first transition; in the latter case the endpoint is actually a triple point; at the separation between these two possible regimes, a tricritical point is expected, regulated by tricritical indices.

In the following we shall consider one particular RW line, $\theta_q = \pi$, for which the imaginary part of the Polyakov loop can serve as an order parameter. In order to identify the universality class of the endpoint, a FSS analysis will be performed for the susceptibility of the order parameter¹

¹Notice that, as usual in these cases (compare, e.g., with what is done in the numerical study of the Ising model), the absolute value of $\text{Im}(L)$ is used in place of the order parameter itself, since otherwise residual tunnelings taking place on a finite volume would ruin the finite size scaling analysis on the side of the broken phase.

$$\chi_L \equiv N_t L_s^3 (\langle (\text{Im}(L))^2 \rangle - \langle |\text{Im}(L)| \rangle^2). \quad (4)$$

As an alternative order parameter, one could take any of the quark number densities (where $q = u, d, s$)

$$\langle n_q \rangle \equiv \frac{1}{(L_s^3 N_t)} \frac{\partial \log Z}{\partial \mu_q} \quad (5)$$

which should vanish for $\theta_q = (2k+1)\pi/N_c$ (because of the mentioned periodicity and because they are odd in θ_q) unless the Z_2 symmetry (which is equivalent to charge conjugation) is spontaneously broken. However, our analysis will be based exclusively on the Polyakov loop.

Numerical simulations have been performed on the COKA cluster, using 5 computing nodes, each with 8 NVIDIA K80 dual-GPU boards and two 56 Gb/s FDR InfiniBand network interfaces. Our parallel code (OpenStAPLE²) is a single [78] and multi [79] GPU implementation of a standard RHMC algorithm. It is an evolution of a previous CUDA code [80], developed using the OpenACC and OpenMPI frameworks to manage respectively parallelism on the GPUs and among the nodes. The multi-GPU implementation [79] has been essential in order to perform some of the zero temperature runs, which otherwise would have not fitted on a single GPU for memory reasons.

Of course, the most expensive simulations have been those regarding the lowest explored quark mass, $am_l = 0.00075$. On the whole, a rough estimate of the total computational cost of our investigation is 3×10^5 equivalent run-hours on a K80 GPU.

III. FINITE SIZE SCALING ANALYSIS AND ORDER OF THE TRANSITION

The susceptibility χ_L , defined in Eq. (4), is expected to scale as

$$\chi_L = L_s^{\gamma/\nu} \phi(t L_s^{1/\nu}), \quad (6)$$

where $t = (T - T_{\text{RW}})/T_{\text{RW}}$ is the reduced temperature and one has $t \propto \beta - \beta_{\text{RW}}$ close enough to T_{RW} . This means that $\chi_L/L_s^{\gamma/\nu}$, measured on different spatial sizes, should lie on a universal scaling curve when plotted as a function of $(\beta - \beta_{\text{RW}})L_s^{1/\nu}$.

The critical exponents which are relevant to our analysis are reported in Table III. Apart from first order and 3D-Ising exponents, we also report tricritical indices: they are expected to describe the critical behavior exactly at the separation point between the first order and the second

²The name of the code was not explicitly mentioned in Refs. [78,79] where the code was presented. In fact, the name has been decided afterwards and will be used for a public release that will appear soon.

TABLE III. Critical exponents relevant to our finite size scaling analysis (see, e.g., Refs. [82–84]).

	ν	γ	γ/ν	$1/\nu$
3D Ising	0.6301(4)	1.2372(5)	~ 1.963	~ 1.587
Tricritical	1/2	1	2	2
1st Order	1/3	1	3	3

order region, however, before the thermodynamic limit is really approached, they could describe the critical behavior in a finite neighborhood of the tricritical point. This is discussed for instance in Ref. [81], where the critical behavior of the 3D 3-state Potts model in the presence of a negative external field was studied, i.e., a model which has exactly the same symmetry breaking pattern investigated in the present work.

A plot of $\chi_L/L_s^{\gamma/\nu}$ vs $(\beta - \beta_{RW})L_s^{1/\nu}$ for the three different masses is reported in Figs. 3–5, respectively for first order, 3D-Ising and tricritical indices. It clearly appears that a first order transition is excluded for all masses, while a reasonable scaling is obtained when considering both the 3D-Ising and the tricritical critical behavior. The critical values β_{RW} have been chosen so as to obtain the best possible collapse by visual inspection; in particular we obtain, in the case of the 3D-Ising scaling, $\beta_{RW} = 3.367$ for $am_l = 0.00075$, $\beta_{RW} = 3.385$ for $am_l = 0.0015$ and $\beta_{RW} = 3.414$ for $am_l = 0.003$.

As a further confirmation of the absence of a first order transition for all explored masses, in Fig. 6 we report, just for the lowest quark mass, $am_l = 0.00075$, the probability distribution of the plaquette and of the unrenormalized quark condensate at the critical point for the different lattice sizes. A vague double peak structure is visible only in the distribution of the chiral condensate and for small L_s , however it tends to disappear as the thermodynamic limit is approached.

Therefore, our results suggest that a chiral first order region, if any, is limited to a region of pion masses below 50 MeV. There are of course many systematics that should be considered before drawing a definite conclusions. First of all, as we have already discussed, our approach to the chiral limit actually means that just one pion becomes massless, while all other pion masses stay above 400 MeV. Therefore one should repeat this study with significantly larger values of N_f (smaller lattice spacings), so that also the other pions become lighter. In principle, additional chiral d.o.f. could change the scenario and make the first order region larger, even if this is at odds with the common experience of shrinking of first order regions as the continuum limit is approached. Unfortunately, going to significantly larger values of N_f is not feasible with our present computational resources, so this is left for future work.

A second remark regards the lattice sizes that we have adopted in our study, in particular the maximum values of

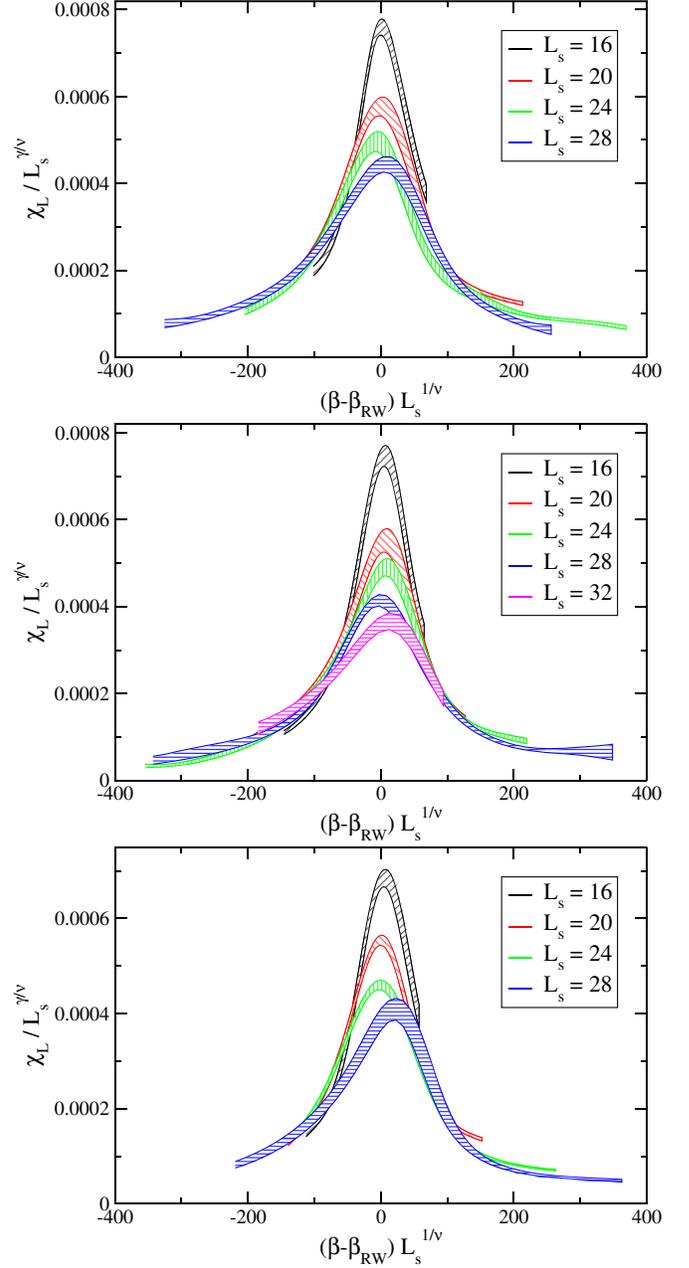


FIG. 3. Finite size scaling for the susceptibility of the Polyakov loop according to first order critical indices. From top to bottom: $am_l = 0.003$, $am_l = 0.0015$ and $am_l = 0.00075$.

$aL_s m_\pi$ that we have reached are 2, 3, and 4 respectively for $am_l = 0.00075$, $am_l = 0.0015$ and $am_l = 0.003$. The values are not particularly large, especially for the lowest explored quark mass. Even if we have seen no significant deviation from a second order scaling on the explored volumes, that by itself is not sufficient to exclude that larger volumes could reveal a different large volume scaling compatible with first order: this is frequent when one is at the border between a first order and a second order region separated by a tricritical point. An example is given in the down-right sub-figure of Figure 9 in Ref. [40], which

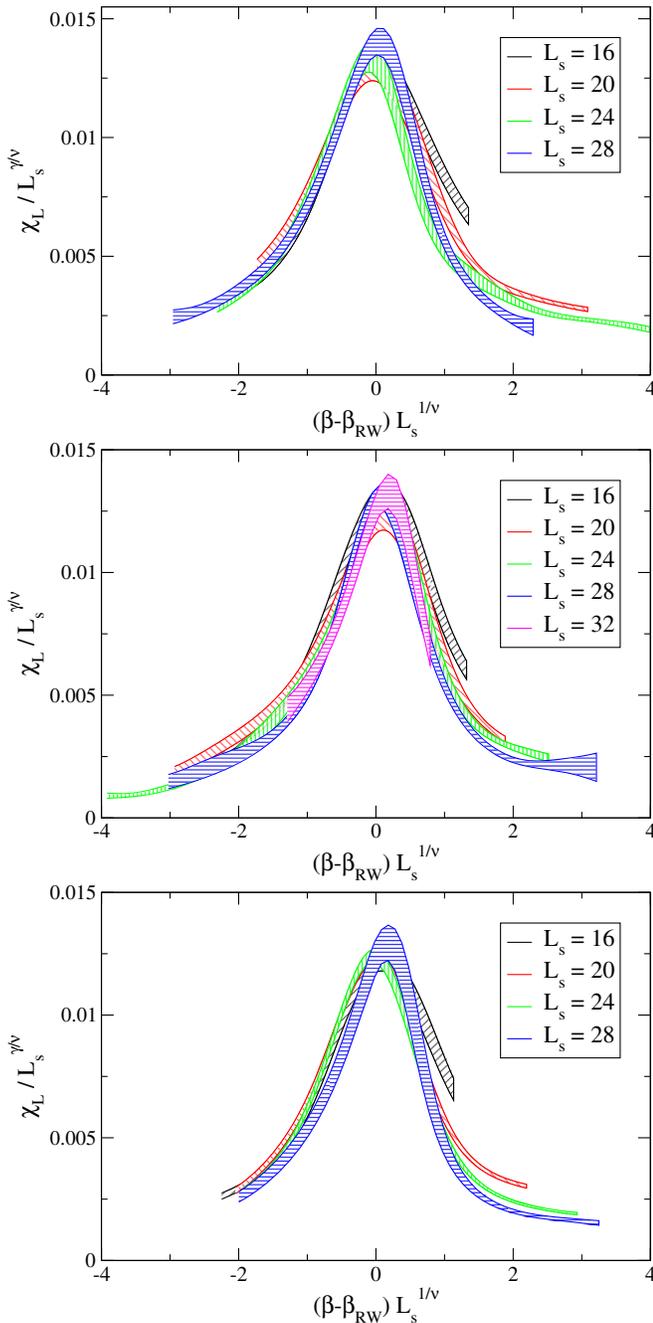


FIG. 4. Finite size scaling for the susceptibility of the Polyakov loop according to 3D-Ising critical indices. From top to bottom: $am_l = 0.003$, $am_l = 0.0015$ and $am_l = 0.00075$.

shows the scaling of the susceptibility for the $N_f = 2$ theory studied with unimproved staggered fermions and a bare quark mass $am = 1$: the first order scaling is clearly visible in the susceptibility only reaching $L_s = 40$, while previous sizes could have been marginally compatible with second order. These worries, in the present case, are enhanced by the fact that tricritical scaling works as well as 3d-Ising scaling (see Fig. 5), and this is exactly what is expected if one is not too far from the tricritical point and

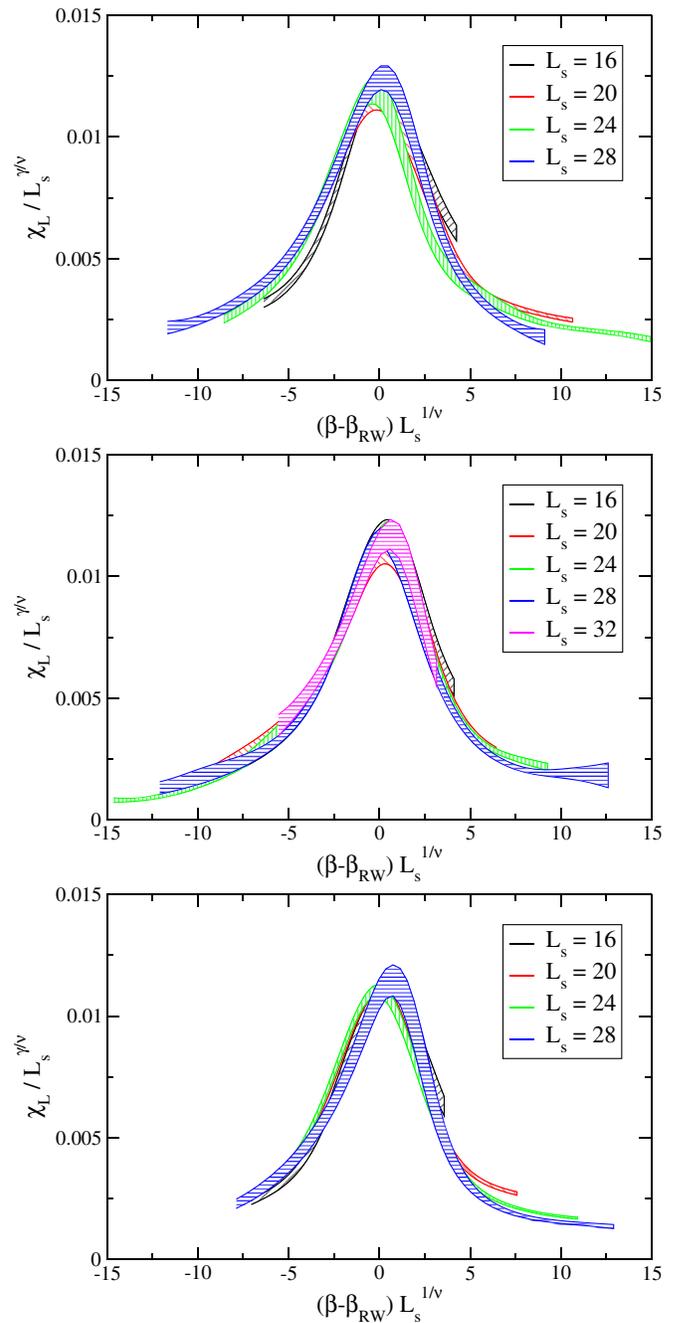


FIG. 5. Finite size scaling for the susceptibility of the Polyakov loop according to tricritical indices. From top to bottom: $am_l = 0.003$, $am_l = 0.0015$ and $am_l = 0.00075$.

the volume is still too small to clearly see the first order scaling (see the discussion and the results reported in Ref. [81]).

However, in the mentioned example reported in Ref. [40], some signals of the developing first order scaling were already visible on smaller volumes from the presence of clear double peak structures becoming better and better defined with increasing L_s , see Fig. 3 of Ref. [40]. Therefore, one piece of evidence in support of the

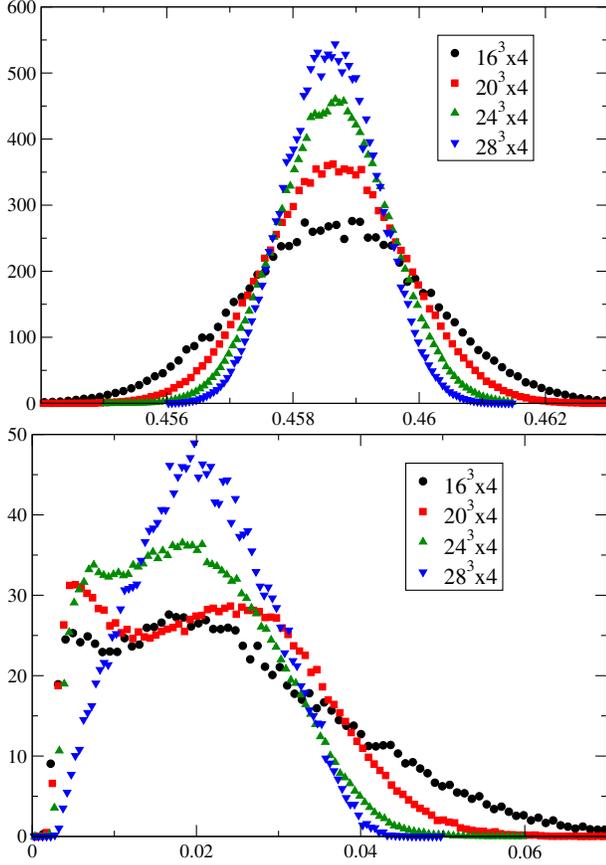


FIG. 6. Probability distribution of the plaquette (up) and of the unrenormalized chiral condensate (down) at the transition point for different values of the spatial size L_s .

possible persistence of the second order scaling is given in our case by the fact that no signal for the development of a double peak structure is visible as the volume is increased; on the contrary, some weak double peak signals visible in the chiral condensate distribution for small L_s have shown a tendency to disappear when going to larger volumes.

IV. CHIRAL SYMMETRY RESTORATION AND THE ROBERGE-WEISS ENDPOINT TRANSITION

The existence, for the staggered fermion discretization, of an unbroken remnant of the full continuum chiral symmetry group, permits to consider a well posed question, regarding the connection between chiral symmetry restoration and the Roberge-Weiss transition, even on the coarse lattices explored in our investigation.

In short, the question is the following: in the chiral limit and for $\mu_B = ik\pi T$, with k odd, the theory enjoys both chiral symmetry and the Z_2 RW symmetry, which are both expected to undergo spontaneous symmetry breaking (or restoration) at two temperatures, T_χ and T_{RW} . While results obtained for finite quark masses indicate a generic closeness of the two phenomena, one would like to know if actually $T_\chi = T_{RW}$ or not. Moreover, if the two

temperatures coincide, which of the two symmetries dominates the transition and fixes its universality class? The latter question is important to understand what are the relevant d.o.f. around the transition in a nontrivial theory like QCD, where chiral and gauge d.o.f. are strictly entangled.³

In order to answer the questions above, we consider the behavior of the (light) chiral condensate, which is the order parameter for chiral symmetry breaking and is defined as follows:

$$\langle \bar{\psi}\psi \rangle_l = \frac{T}{V} \frac{\partial \log Z}{\partial m_l} = \langle \bar{u}u \rangle + \langle \bar{d}d \rangle; \quad (7)$$

where $V = L_s^3$ is the spatial volume and the contribution from each light flavor f is expressed in terms of the following lattice observable

$$\bar{\psi}\psi_f = \frac{1}{N_l L_s^3} \frac{1}{4} \text{Tr} \left[\frac{1}{M_{st}^f} \right], \quad (8)$$

which has been evaluated by means of noisy estimators (in particular up to 16 Z_2 random vectors have been used for each measurement). The light quark condensate is affected by additive and multiplicative renormalizations, which can be taken care of by, respectively, appropriate subtractions and ratios. In particular, in this study we consider the following prescription [87]:

$$\langle \bar{\psi}\psi \rangle_r(T) \equiv \frac{[\langle \bar{\psi}\psi \rangle_l - \frac{2m_l}{m_s} \langle \bar{s}s \rangle](T)}{[\langle \bar{\psi}\psi \rangle_l - \frac{2m_l}{m_s} \langle \bar{s}s \rangle](T=0)}, \quad (9)$$

where the leading additive renormalization, which is linear in the quark mass, cancels in the difference with the strange condensate, while the multiplicative renormalization, being independent of T , drops out by normalizing with respect to quantities measured at $T=0$ and at the same UV cutoff. This prescription neglects contributions to the additive renormalization which are of higher order in the light quark mass; it is therefore particularly well suited for the present study, in which we consider the approach to the $m_l = 0$ limit. In order to determine the relevant quantities at $T=0$, we have exploited the same set of runs already used for the determination of the pion masses and of the physical scale; determinations at intermediate values of the inverse gauge coupling have been obtained by spline interpolations.

An example of the renormalized chiral condensate obtained for $am_l = 0.0015$, and expressed as a function of T , is shown in Fig. 7, where determinations corresponding to different spatial extents L_s are present. It is quite

³See for instance Refs. [85,86] for examples of models where the interplay with gauge d.o.f. can change the expected critical behavior.

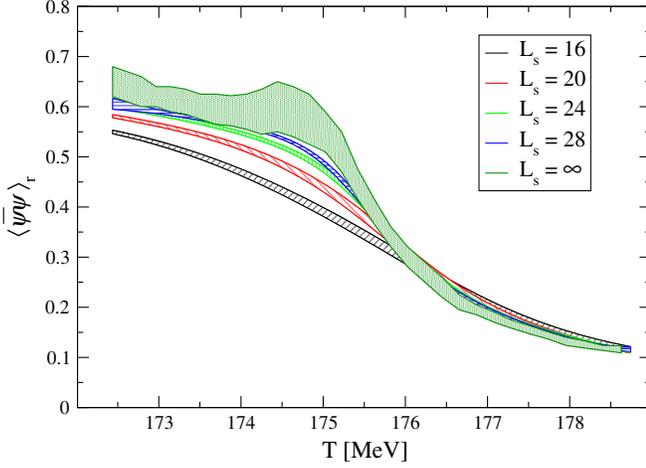


FIG. 7. Renormalized chiral condensate for $am_l = 0.0015$ on different spatial volumes and in the infinite volume limit.

clear from the figure that the dependence on L_s is not negligible and larger in the region around and below the critical temperature. For this reason, before performing an analysis of the approach to the chiral limit, we have extrapolated the chiral condensate to the infinite volume limit at each value of the temperature. The chiral condensate is not an order parameter for the Roberge-Weiss transition, therefore, for finite quark mass, it is expected to have a smooth approach to the thermodynamic limit; however the degree up to which chiral d.o.f. are entangled in the Roberge-Weiss transition is not known, moreover the behavior could be already affected by the closeness of

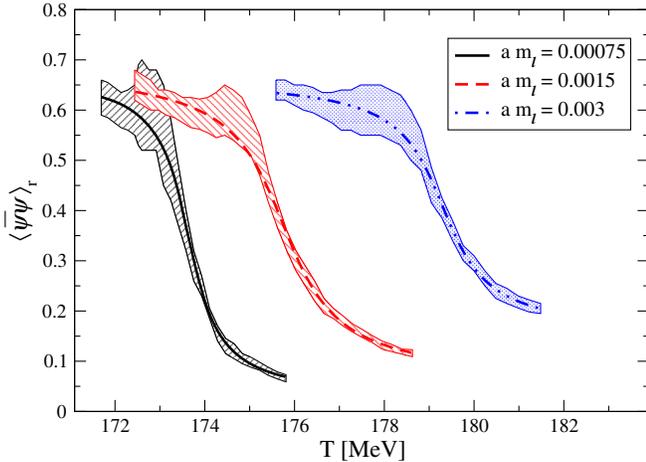


FIG. 8. Renormalized chiral condensate in the infinite volume limit for the three values of the bare light quark mass explored in this study. The thick central lines are the result of a best fit to an atan function (see text). The reduced chi-squared of the fit, $\tilde{\chi}^2 \equiv \chi^2/\text{d.o.f.}$, is 0.11, 0.24 and 0.11 respectively for the three masses, such small values are likely due to the correlation existing between the used reweighted data and to the conservative error estimate we have adopted for the infinite volume extrapolation.

TABLE IV. Chiral and RW critical temperatures determined for the three different bare quark masses.

am_l	$T_{\text{RW}}(am_l)$	$T_\chi(am_l)$
0.00075	173.3(3)	173.6(3)
0.0015	175.3(2)	175.7(3)
0.003	178.8(3)	179.3(4)

the chiral transition. For these reasons, the extrapolation to the thermodynamic limit has been performed, for each temperature, trying different fitting functions which assume either an exponential (in L_s) suppression of finite size effects or power law corrections in the spatial size (either linear in $1/L_s$ or linear in the inverse spatial volume): the error on the final extrapolation, which is reported in Fig. 7 as well, takes into account, separately for each temperature, the spread among the different fitting ansätze as a source of systematic uncertainty,⁴ and is particularly more pronounced around and below the critical temperature.

The infinite volume extrapolations obtained for the different quark masses are reported in Fig. 8, where data are also fitted to an arctangent function, $A = P_1 + P_2 \arctan(P_3(T - T_\chi(am_l)))$, obtaining the values of the chiral transition temperature $T_\chi(am_l)$ reported in Table IV and displayed, together with the Roberge-Weiss critical temperatures T_{RW} , in Fig. 9. One aspect which is already clearly visible is that $T_\chi(am_l)$ is very close to $T_{\text{RW}}(am_l)$ and, even if it the two temperatures are actually always compatible within errors, they seem to approach each other more closely as the chiral limit is approached.

This is already a good piece of evidence for the coincidence of T_{RW} and T_χ in the chiral limit. However, in order to complete the picture, one would like to know if the drop of the condensate at $T_\chi(am_l)$ is actually associated to a critical behavior around $T_\chi(am_l = 0)$, corresponding to the vanishing of the condensate and the restoration of chiral symmetry at that point. Trying to answer this question, one can also obtain information about the universality class.

The critical temperatures themselves do not provide much information. Around the chiral transition the pseudocritical temperatures obtained for finite quark mass, are expected to scale like

$$T_\chi(am_l) = T_\chi(0) + C \cdot (am_l)^{1/(\beta\delta)} \quad (10)$$

where β and δ are the critical indices of the relevant universality class. Two possibilities that we have taken into

⁴In practice, the maximum spread obtained among the three different infinite volume extrapolations has been taken as the error bar, apart from a few cases in which one of the ansätze has been discarded because of a particularly bad value (i.e., $\gg 1$) of $\tilde{\chi}^2$ test.

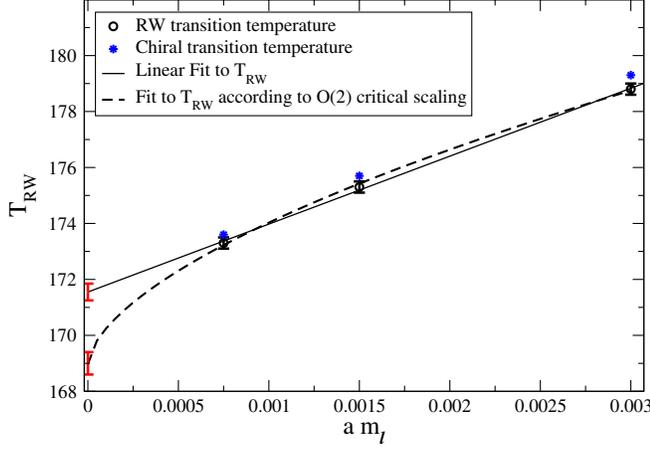


FIG. 9. Roberge-Weiss and chiral transition temperatures as a function of the bare light quark mass am_l . Chiral transition temperatures are reported without error bars, which are similar to those of the RW temperature. Two different extrapolations to the chiral limit are provided for T_{RW} , one assuming a noncritical (linear) behavior, the other assuming an entanglement with the chiral transition and $O(2)$ critical indices. The first extrapolation provides $T_{RW}(am_l = 0) = 171.6(4)$ (with reduced chisquared $\tilde{\chi}^2 = 0.45$), the latter $T_{RW}(am_l = 0) = 168.9(5)$ ($\tilde{\chi}^2 = 0.57$); a similar result, $T_{RW}(am_l = 0) = 169.3(5)$ ($\tilde{\chi}^2 = 0.37$) is obtained assuming a Z_2 critical behavior.

account are the 3D $O(2)$ and Z_2 critical behaviors: the first one is naturally associated with a second order chiral transition in the presence of just one Goldstone pion (it would be $O(4)$ in the continuum case, which however has practically indistinguishable critical indices); the second is the relevant universality class for the RW transition and would also be associated with a critical endpoint of a first order line present at very small quark masses.

The corresponding critical indices are reported in Table V. In Fig. 9 we report best fits of $T_{RW}(am_l)$ according both to the critical behavior in Eq. (10) and to a regular behavior $T_{RW}(am_l) = T_{RW}(0) + C(am_l) + O((am_l)^2)$. As one can easily appreciate, even if the two ansätze lead to different chiral extrapolations, they are not distinguishable in the quark mass range which has been actually explored and both fits yield acceptable values of the chi-squared test. Thus, we cannot state, just according to $T_{RW}(am_l)$, if the explored transition is entangled with a chiral critical behavior as $am_l \rightarrow 0$; this is similar to what happens at $\mu_B = 0$, where the analysis of $T_c(am_l)$ alone is not enough to fix the universality class of the chiral transition [88].

TABLE V. Critical exponents relevant to the analysis of the chiral transition (see Refs. [83] and [89]).

	β	δ
3D Ising Z_2	0.3265(3)	4.789(2)
$O(2)$	0.3485(2)	4.780(2)

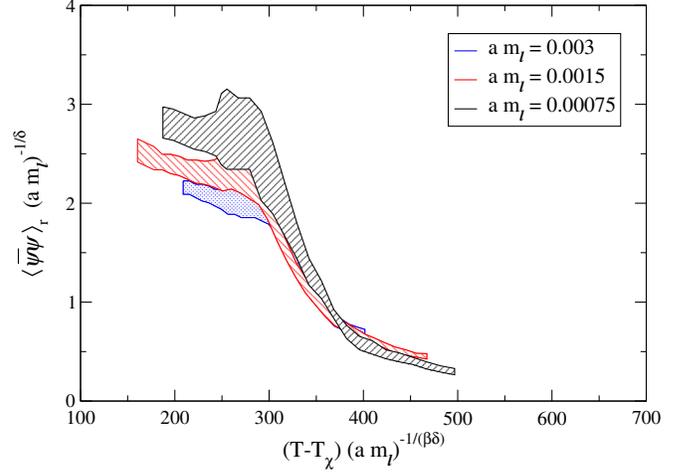


FIG. 10. Critical scaling around the transition temperature in the chiral limit for the renormalized chiral condensate. The scaling is provided assuming the $O(2)$ universality class and fixing $T_\chi = 169.2$; practically indistinguishable results are obtained for Z_2 .

Therefore, we turn our attention to the order parameter for chiral symmetry, i.e., the chiral condensate, which around a chiral transition and in the chiral restored phase is expected to scale like [90,91]

$$\langle \bar{\psi}\psi \rangle_r(T, am_l) = (am_l)^{1/\delta} \phi((T - T_\chi)(am_l)^{-1/(\beta\delta)}) \quad (11)$$

where ϕ is an appropriate scaling function. In Fig. 10 we show a plot of $\langle \bar{\psi}\psi \rangle_r(T, am_l)(am_l)^{-1/\delta}$ (extrapolated to the infinite volume limit) versus $((T - T_\chi)(am_l)^{-1/(\beta\delta)})$ for $O(2)$ critical indices; the value of T_χ has been chosen so as to maximize the collapse of the condensates obtained at different values of am_l , obtaining⁵ $T_\chi = 169.2$ MeV. Qualitatively indistinguishable results, with $T_\chi = 169.6$ MeV, are obtained for the scaling with Z_2 indices. Such values are compatible with those obtained by fitting directly $T_\chi(am_l)$ and the observed scaling is pretty good for both universality classes: this is also due to the fact that the critical indices δ and β are quite similar for $O(2)$ and Z_2 .

Therefore, our present results are consistent with a scenario in which chiral symmetry is restored exactly at T_{RW} in the chiral limit, i.e., $T_{RW} = T_\chi$. In order to distinguish the correct universality class one should explore quantities characterized by different critical indices, like the specific heat, which however are less trivial to determine; in this respect, the situation is quite similar to the present

⁵Such values have been obtained judging by visual inspection the quality of the collapse. The achieved maximization of the collapse is stable within a range of 0.2 MeV of the reported temperatures. This can be considered the best estimate of the error associated with T_χ , although the empirical procedure used does not permit a quantitative analysis.

status of the determination of the universality class of the chiral transition at zero chemical potential.

Of course, our conclusions are still an extrapolation of results obtained at finite, even if small, quark masses, i.e., one cannot completely exclude *a priori* that going to even lighter quark masses T_{RW} and T_χ separate. Moreover, results obtained at finer lattice spacing (i.e., at larger values of N_t) could in principle be different.

V. DISCUSSION AND CONCLUSIONS

We have investigated the fate of the Roberge-Weiss endpoint transition and its relation with the restoration of chiral symmetry as the chiral limit of $N_f = 2 + 1$ QCD is approached. The study has been performed on lattices with $N_t = 4$ sites in the temporal direction, a stout staggered discretization for the fermion sector and the tree level Symanzik improved action for the pure gauge sector. We have worked at fixed values of the bare quark masses around the transition points, in order to easily exploit multihistogram methods, maintaining a physical strange-to-light mass ratio ($m_s/m_l = 28.15$) and exploring three different light quark masses, $am_l = 0.003, 0.0015$ and 0.00075 , corresponding respectively to pseudo-Goldstone pion masses $m_\pi \simeq 100, 70$ and 50 MeV around the transition.

The imaginary quark chemical potential has been fixed to $\mu_{f,I}/T = \pi$ for all flavors, so that the imaginary part of the Polyakov loop has been taken as an order parameter for the RW transition. An analysis of the finite size scaling of its susceptibility revealed no compatibility with the presence of a first order transition for all values of the quark mass: that by itself could just mean that our volumes, which were limited in size because of the available computational budget, are still far from the thermodynamical limit. However, the absence of a first order is partially supported also by an inspection of the probability distribution of the plaquette and of the chiral condensate at the transition points, which have revealed no double peak structures as the thermodynamic limit is approached. On the contrary, a good scaling has been observed according to the predicted second order critical behavior, i.e., that of the three dimensional Z_2 (Ising) universality class.

Therefore, our results still provide no evidence of a first order region around the chiral point for the RW transition, which for $N_f = 2$ unimproved staggered fermions was located below $m_\pi \simeq 400$ MeV [40] for $N_t = 4$. A strong cutoff dependence of the tricritical pion mass has been found also in studies with Wilson fermions [42,49], however it is striking that the tricritical pion mass can

go down at least one order of magnitude (or disappear at all) by just improving the discretization at fixed N_t .

Our results clearly need further refinement in some respects. Indeed, because of the taste symmetry breaking of staggered fermions, the chiral limit is approached only by the pseudo-Goldstone pion directly linked to the residual staggered chiral symmetry, while all the others stay above 400 MeV and do not seem to be much affected by the $am_l \rightarrow 0$ limit (see Fig. 2). Therefore, even if not looking quite natural, it cannot be excluded *a priori* that, as the continuum limit is approached and the full set of chiral d.o.f. come into play, the critical behavior changes and the (possible) first order region around the chiral point enlarges again. Unfortunately, exploring larger values of N_t while approaching the chiral limit would require computational resources which are presently not available to us.

In spite of these caveats, thanks to the exact residual chiral symmetry of staggered fermions, we have been able to answer a different but related question regarding the relation between the RW transition and the chiral restoration transition. In the chiral limit both symmetries are exact and predict the existence of a phase transition with well defined critical temperatures, T_{RW} and T_χ : whether the two transitions coincides and, in this case, which symmetry controls the critical behavior, is a clear-cut question. Our results have shown that, for all explored masses, the renormalized chiral condensate drops sharply with an inflection point in coincidence (within error bars) with the location of RW endpoint transition; moreover, the behavior of the condensate around the transition for the different masses and temperatures scales consistently with a critical behavior corresponding to chiral symmetry restoration in the chiral limit [see Eq. (11) and Fig. 10]. Therefore, our results are consistent with $T_{\text{RW}} = T_\chi$. Regarding the critical behavior, we have not been able to distinguish between an $O(2)$ (chiral) or Z_2 (Roberge-Weiss) universality class in the chiral limit, mostly because the critical indices associated with the chiral order parameter (δ and β) are almost coincident (in comparison with our numerical precision) in the two cases. Of course, our present results do not exclude that the situation might be different as the continuum limit is approached.

ACKNOWLEDGMENTS

Numerical simulations have been performed on the COKA cluster at the University of Ferrara and INFN-Ferrara. F.N. acknowledges financial support from the INFN HPC_HTC project.

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