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1 ***In vitro* activities of the novel investigational tetrazoles VT-1161 and VT-1598 compared to**
2 **the triazole antifungals against azole-resistant strains and clinical isolates of *Candida***
3 ***albicans***

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15 **Running title:** VT-1161 and VT-1598 against *C. albicans*

16

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23 **ABSTRACT**

24 The fungal Cyp51-specific inhibitors VT-1161 and VT-1598 have emerged as promising new
25 therapies to combat fungal infections, including *Candida* spp. To evaluate their *in vitro* activities
26 compared to other azoles, minimum inhibitory concentrations (MICs) were determined by CLSI
27 method for VT-1161, VT-1598, fluconazole, voriconazole, itraconazole, and posaconazole
28 against 68 *C. albicans* clinical isolates well-characterized for azole resistance mechanisms and
29 mutant strains representing individual azole resistance mechanisms. VT-1161 and VT-1598
30 demonstrated potent activity (geometric mean MICs ≤ 0.15 $\mu\text{g/mL}$) against predominantly
31 fluconazole-resistant (≥ 8 $\mu\text{g/mL}$) isolates. However, five of 68 isolates exhibited MICs greater
32 than six dilutions (> 2 $\mu\text{g/mL}$) to both tetrazoles compared to fluconazole-susceptible isolates.
33 Four of these isolates likewise exhibited high MICs beyond the upper limit of the assay for all
34 triazoles tested. A premature stop codon in *ERG3* likely explained the high-level resistance in
35 one isolate. VT-1598 was effective against strains with hyperactive Tac1, Mrr1, and Upc2
36 transcription factors and against most *ERG11* mutant strains. VT-1161 MICs were elevated
37 compared to the control strain SC5314 for hyperactive Tac1 strains and two strains with Erg11
38 substitutions (Y132F and Y132F&K143R), but showed activity against hyperactive Mrr1 and
39 Upc2 strains. While mutations affecting Erg3 activity appear to greatly reduce susceptibility to
40 VT-1161 and VT-1598, the elevated MICs of both tetrazoles for four isolates could not be
41 explained by known azole resistance mechanisms, suggesting the presence of undescribed
42 resistance mechanisms to triazole- and tetrazole-based sterol demethylase inhibitors.

43

44

45 **INTRODUCTION**

46 *Candida albicans* is a dimorphic yeast and opportunistic pathogen that is known to cause
47 a wide range of infections in healthy and immunocompromised patients. In the United States,
48 *C. albicans* is the leading *Candida* species identified in oropharyngeal and vulvovaginal
49 infections, where recurrent infections remain problematic (1-5). In more serious systemic
50 disease such as bloodstream infections (BSI), *Candida* species collectively are the fourth-
51 leading cause of nosocomial BSI in the United States (6). Moreover, resistance to currently
52 available antifungal agents continues to be a problem, particularly given the relatively limited
53 armamentarium against fungal infections (7-11). In particular, azole antifungal resistance in
54 *Candida* spp. threatens to diminish the efficacy of arguably the most widely used antifungal
55 drug class (12). Appropriate clinical use of available drugs on the market and eventual
56 expansion of the antifungal arsenal is therefore paramount to safeguarding its effectiveness.

57 Azole antifungal resistance in *C. albicans* can be attributed to multiple mechanisms.
58 First, efflux pump overexpression, such as the ATP-binding cassette (ABC) transporters Cdr1
59 and Cdr2 as well as the major facilitator transporter Mdr1, prevents drug accumulation within
60 the yeast cell (13-16). Second, increased production of the azole target 14 α -lanosterol
61 demethylase (CYP51) can attenuate the inhibitory effects of the azoles drug class (17-19).
62 Increases in efflux pump and drug target production is often the result of gain-of-function
63 mutations in zinc cluster transcription factors (ZCFs) (Tac1 for *CDR1* and *CDR2*, Mrr1 for
64 *MDR1*, Upc2 for *ERG11*) that regulate their gene expression, though polyploidy of
65 chromosomes in the yeast genome can also result in increased expression of the genes encoding
66 these azole-resistance determinants. Third, mutations in *ERG11* can confer azole resistance
67 through alteration of the drug target (20-23). Lastly, alternative sterol biosynthesis as a result

68 of changes within the ergosterol biosynthetic pathway allows some *C. albicans* isolates to
69 circumvent the effects of azole inhibition altogether (24-27).

70 VT-1161 and VT-1598 are novel tetrazole antifungal agents with high specificity for
71 fungal CYP51 compared to human CYP enzymes (28-30), and thus may have improved adverse
72 effect and drug-drug interaction profiles due to lesser off-target inhibition. In this study, we
73 compare the *in vitro* activity of the novel tetrazoles VT-1161 and VT-1598 to the current
74 triazole antifungals fluconazole, voriconazole, itraconazole, and posaconazole against a
75 collection of clinical isolates and laboratory strains with known resistance mechanisms.

76

77 RESULTS

78 ***In vitro* activity of VT-1161 and VT-1598 against fluconazole-susceptible and fluconazole-**
79 **resistant clinical isolates.** VT-1161 and VT-1598 showed potent *in vitro* activity against 68
80 previously described clinical isolates of *C. albicans*, the majority (57 of 68) of which were
81 fluconazole-resistant (MIC \geq 8 μ g/mL) and possessed multiple known azole resistance
82 mechanisms (Table S1) (19). Both VT-1161 and VT-1598 had lower MIC₅₀ values (0.06 and
83 0.125 μ g/mL, respectively), and VT-1598 had a lower MIC₉₀ value (0.25 μ g/mL) when
84 compared to the other tested azole antifungals (Table 1). VT-1161 and VT-1598 MICs were
85 \leq 0.015 μ g/mL against the 11 fluconazole-susceptible isolates within the collection, and the VT-
86 1598 MICs were 0.03 μ g/mL against 33% (19 of 57) of the fluconazole-resistant clinical isolates.
87 This suggests that some fluconazole-resistance mechanisms do not affect the *in vitro* potency of
88 VT-1598. Posaconazole also demonstrated activity against many, but not all, of the same
89 fluconazole-resistant isolates, as posaconazole MICs were within a two-fold increase (1-dilution
90 difference) to those of the fluconazole-susceptible isolates for 15 of the fluconazole-resistant

91 isolates. Using this same metric, VT-1161 maintained *in vitro* potency against 8 fluconazole-
92 resistant clinical isolates, which was comparable to that of voriconazole (6 isolates) and greater
93 than that of itraconazole (2 isolates). Overall, VT-1598 and VT-1161 thus appear to have
94 additional activity against several fluconazole-resistant isolates, and in this respect are at least
95 comparable to commercially available triazoles.

96 VT-1598 MICs were elevated at least four-fold ($\geq 0.06 \mu\text{g/mL}$, range 0.06 to $>8 \mu\text{g/mL}$)
97 against 38 fluconazole-resistant isolates compared to its activity against the fluconazole-
98 susceptible isolates. VT-1161 MICs were elevated at least four-fold ($\geq 0.06 \mu\text{g/mL}$, range 0.06 to
99 $>8 \mu\text{g/mL}$) against 49 fluconazole-resistant isolates. Five clinical isolates displayed highly
100 elevated VT-1598 and VT-1161 MICs (range 4 to $>8 \mu\text{g/mL}$) and also high fluconazole,
101 voriconazole, itraconazole, and posaconazole MICs. Sequencing and/or relative quantitation of
102 mRNA expression of known resistance genes revealed that four of these isolates overexpressed
103 *CDR1* relative to the *CDR1* mRNA levels of fluconazole-susceptible clinical isolates (19). The
104 fifth isolate contained a premature stop codon in *ERG3*, resulting in truncation of the protein
105 after Gly130, which likely explains its significantly elevated resistance not only to VT-1161 and
106 VT-1598, but also to all other tested azole antifungals.

107 To gain additional insight on the determinants that could confer decreased susceptibility
108 to VT-1161 and VT-1598 in the clinical isolates, a point-biserial correlation between the \log_2 -
109 fold increase in VT-1598 and VT-1161 MICs and the mRNA expression levels of *CDR1*, *MDR1*,
110 and *ERG11* in the clinical isolates was performed. The \log_2 -fold increase in MICs was compared
111 to the baseline MIC measurement for VT-1598 and VT-1161 against fluconazole-susceptible
112 isolates ($\text{MIC} \leq 0.015$) and expression levels of either *CDR1*, *MDR1*, and *ERG11* were measured
113 via RT-qPCR in a previous study (19). The majority of fluconazole-resistant clinical isolates

114 exhibited increased *CDR1* expression, however there was no significant correlation between
115 *CDR1* expression and VT-1598 resistance ($p = 0.287$). In contrast, higher levels of *CDR1*
116 expression did positively correlate with increasing VT-1161 MICs ($p < 0.01$). Similarly, while
117 there was no relationship between *MDR1* expression and VT-1598 MIC ($p = 0.105$), there was a
118 slight positive correlation between *MDR1* expression and increased VT-1161 MIC ($p < 0.05$).
119 No significant correlation was established with either drug and *ERG11* expression ($p = 0.512$ and
120 $p = 0.355$ for VT-1598 and VT-1161, respectively).

121 VT-1598 and VT-1161 MICs against the clinical isolates were plotted directly against
122 those of fluconazole, voriconazole, itraconazole, and posaconazole to visualize relative
123 susceptibility differences (Figure 1). As previously noted, both VT-1161 and VT-1598 retained
124 potency against several fluconazole-resistant isolates, and all isolates with reduced VT-1598 or
125 VT-1161 potency were resistant to fluconazole. By comparison, VT-1161 MICs were
126 disproportionately higher against some clinical isolates compared to those of the other tested
127 azoles. One voriconazole-susceptible isolate ($0.125 \mu\text{g/mL}$) that contained a K143R *ERG11*
128 mutation and exhibited increased *CDR1* expression had an 8-fold increase in the MIC of VT-
129 1161 compared to that observed against fluconazole-susceptible isolates ($\leq 0.015 \mu\text{g/mL}$). In
130 addition, a single itraconazole-susceptible isolate ($0.125 \mu\text{g/mL}$) demonstrated a 32-fold increase
131 in the VT-1161 MIC ($0.5 \mu\text{g/mL}$). This isolate contained three Erg11 amino acid substitutions
132 (F126L, Y132F, H283R), but lacked other obvious azole resistance mechanisms. Against
133 isolates with low posaconazole MICs (range ≤ 0.03 to $0.25 \mu\text{g/mL}$), seven displayed ≥ 16 -fold
134 increases in VT-1161 MIC over fluconazole-susceptible isolates. Among these seven isolates,
135 all contained various *ERG11* mutations, four overexpressed *CDR1* by at least two-fold, one

136 overexpressed both *ERG11* and *CDR1*, and one overexpressed *MDR1*. In contrast, there were no
137 strong outliers for VT-1598 MICs when compared against those of the triazoles.

138

139 ***In vitro* activity of VT-1161 and VT-1598 against strains with known azole**
140 **resistance mechanisms.** To identify determinants of VT-1161 and VT-1598 resistance, we
141 evaluated the influence of specific azole-resistance mechanisms on VT-1161 and VT-1598 MICs
142 when placed in the fluconazole-susceptible isolate SC5314 (Figure 2). Increased *CDR1* and
143 *CDR2* expression through artificial activation of the *TAC1* gene increased the VT-1161 MICs
144 more than eight-fold compared to the susceptible parent strain. This increase in VT-1161 MIC
145 was diminished, but not completely abolished, when the *CDR1* gene was deleted, suggesting that
146 overexpression of *CDR1* as well as other Tac1 target genes (most likely *CDR2*) was responsible
147 for the decreased susceptibility to VT-1161. On the other hand, Tac1 activation did not result in
148 reduced susceptibility to VT-1598, as opposed to its effect on VT-1161, fluconazole and
149 voriconazole resistance, which increased between four- and 16-fold compared to that of the
150 parental strain SC5314. Posaconazole and itraconazole MICs were only slightly elevated (two-
151 fold) by the hyperactive Tac1. Thus, it appears that while drug efflux via Cdr1 plays a role in
152 VT-1161 resistance, Tac1 activation and the approximately 10-fold increase in *CDR1* expression
153 are not sufficient to alter MICs of VT-1598.

154 While a hyperactive Mrr1 did not result in increased resistance to VT-1598, it caused a
155 four-fold increase in the MIC of VT-1161. This increase was abolished upon *MDR1* deletion,
156 suggesting that the Mdr1 transporter is involved in VT-1161 resistance. Fluconazole and
157 voriconazole were the only tested azole drugs against the *MDR1*-overexpressing strain that
158 showed a greater than two-fold increase in MIC (32-fold and four-fold, respectively) over

159 SC5314. By comparison, itraconazole showed a minimal two-fold increase (1-dilution
160 difference) in MIC, and posaconazole MICs were not affected by *MDR1* overexpression.
161 Strangely, there was a 4-fold increase in posaconazole MIC when *MDR1* was deleted in the
162 hyperactive Mrr1 strain. However, this is consistent with variability observed for posaconazole
163 MICs in other published strains and fluconazole-susceptible clinical isolates (31).

164 Upregulated expression of *ERG11* via artificial activation of the Upc2 transcription factor
165 also did not affect VT-1161 or VT-1598 MICs. However, despite an approximate 4- to 8-fold
166 increase in *ERG11* expression (data not shown), the activated Upc2 strain failed to demonstrate a
167 relevant change in voriconazole, posaconazole, and itraconazole MICs. Surprisingly, this strain
168 also exhibited no change in fluconazole MIC as has previously been reported. We therefore
169 decided to also test azole susceptibilities in a strain homozygous for the G648D amino acid
170 substitution, previously shown to be the strongest clinically-derived gain-of-function mutation in
171 Upc2 (19, 32). Strains SCUPC2R14A and –B overexpressed *ERG11* relative to the parent strain
172 SC5314 by 6.4-fold (previously published) and 4.5-fold (unpublished data), respectively (data
173 not shown) (19). A modest 2-fold increase in fluconazole MIC in these two strains was observed
174 compared to SC5314, whereas no changes were observed for the MICs of any of the other
175 antifungal agents.

176 To compare the effects of different alterations in the azole target enzyme on the
177 susceptibility of *C. albicans* to VT-1161 and VT-1598, twelve single Erg11 amino acid
178 substitutions and four double substitutions in Erg11 were tested (Table S2, Figure 3). The
179 Y132F single substitution caused a substantial (16-fold) increase in VT-1161 MIC. Surprisingly,
180 the double amino acid substitutions Y132F&K143R and Y132F&F145L had a lesser impact on
181 VT-1161 MIC (eight-fold and four-fold increase, respectively) than the single Y132F

182 substitution alone. Other amino acid substitutions did not have an appreciable effect on VT-
183 1161 MICs, and none of the tested *ERG11* mutants showed greater than a two-fold increase in
184 the MIC of VT-1598. The F145L and S405F single mutants and the double substitution mutants
185 D278N&G464S and Y132F&F145L showed a slight two-fold increase in the VT-1598 MIC
186 compared to that against SC5314.

187

188 **DISCUSSION**

189 VT-1598 has previously demonstrated a broad spectrum of activity *in vitro* against yeasts
190 such as *Candida* and *Cryptococcus* spp., moulds including *Aspergillus* spp. and endemic fungi
191 (33), and has shown improved survival and reduced fungal burden in murine models of CNS
192 coccidioidomycosis (34) and cryptococcosis (35). Pertinent to the present study, VT-1598 has
193 also recently shown potent *in vitro* and *in vivo* antifungal activity against fluconazole-sensitive
194 and -resistant *Candida* spp. isolated from chronic mucocutaneous candidiasis patients (36).
195 Structurally, while the tetrazole moiety has lower affinity for interaction with the heme iron of
196 CYP51, other structural modifications have made the drug more fungal-specific. For example, a
197 critical H-bond between VT-1598 and the CYP51 enzyme of many fungi likely confers its broad
198 activity (37). This greater selectivity may decrease the potential for undesirable adverse effects
199 and drug interactions that occur with the triazoles through inhibition of human cytochrome P-450
200 enzymes.

201 Our study supports the previous finding that VT-1598 has potent activity against *C.*
202 *albicans* isolates. Overall, VT-1598 displayed the lowest MIC₅₀ and MIC₉₀ values compared to
203 fluconazole, voriconazole, posaconazole, itraconazole, and VT-1161 against the clinical isolates
204 tested. More importantly, VT-1598 MICs often remained unchanged from its baseline

205 measurement against SC5314 and other fluconazole-susceptible clinical isolates even against
206 isolates containing known resistance mechanisms, indicating that this tetrazole may retain
207 activity against isolates that are normally less susceptible to other azole antifungals. This
208 included multiple fluconazole-resistant isolates with various combinations of *CDR1*, *MDR1* and
209 *ERG11* expression increases and mutations in the *ERG11* gene.

210 Interestingly, when tested against laboratory strains containing individual azole resistance
211 mechanisms, VT-1598 MICs changed relatively little. Traditional azole resistance mechanisms
212 such as efflux pump overexpression (Cdr1 and Mdr1) and overexpression of the azole target
213 (Erg11) did not alter VT-1598 MICs within the concentration ranges tested here. While it is
214 possible that testing lower concentrations might reveal differences in MIC, the clinical relevance
215 at such low concentrations is questionable. Our current finding suggests that these mechanisms
216 individually are not sufficient to confer resistance to VT-1598. Previously, the Tyr132 and
217 Lys143 substitutions in Erg11 were reported to have the strongest individual effects on
218 fluconazole and voriconazole MICs (20). The combination substitutions Y132F&K143R and
219 Y132F&F145L, which have been shown to have some of the strongest increases in fluconazole
220 and voriconazole MIC, respectively, did not appreciably change the MIC of VT-1598. Against
221 VT-1161, both these double substitutions showed less of an effect than the single amino acid
222 substitution Y132F. The K143R substitution is thought to alter the H-bond strength of the heme
223 ring propionates of the *C. albicans* Erg11 protein, which may possibly interfere with the
224 coordination of the azole ring nitrogen and the iron of the CYP51 heme group, and the F145L
225 amino acid substitution is located on the Erg11 proximal surface, allowing possible interactions
226 with NADPH-cytochrome P450 reductase (20, 38). Based on the crystal structure of the *C.*
227 *albicans* CYP51 enzyme complexed with VT-1161, the Y132F substitution is thought to

228 altogether abolish one of six H-bonds between VT-1161 and the protoporphyrin IX propionates
229 on Erg11 (38). It is possible that the Y132F substitution is more important to VT-1161 resistance
230 than either K143R or F145L and that combination mutations might interfere with the primary
231 Y132F substitution, thus leading to the differences in the observed VT-1161 MICs.

232 Against a collection of predominantly fluconazole-resistant clinical isolates, VT-1161
233 showed good activity, though its individual MIC₅₀ and MIC₉₀ were higher compared to VT-
234 1598. In contrast to VT-1598, the potency of VT-1161 appeared to be more affected by the
235 presence of *CDR1* and *MDR1* overexpression and *ERG11* mutations. This was supported by the
236 significant positive correlation established between *CDR1/MDR1* expression and VT-1161 MIC
237 in *C. albicans* clinical isolates. Additionally, susceptibility testing in strains containing
238 individual mechanisms of azole resistance, wherein the *CDR1*-overexpressing strains
239 SCTAC1GAD1A and –B and the *MDR1*-overexpressing strains SCMRR1GAD1A and -B
240 demonstrated increased VT-1161 MICs, further supports Mrr1 and Tac1 as mediators of
241 resistance to VT-1161, at least in part through increased production of the transporters Mdr1 and
242 Cdr1, respectively. The recent work by Monk *et al.* also demonstrated that both the Cdr1 and
243 Mdr1 efflux pumps reduced the effectiveness of VT-1161, and activity against Cdr1- and Mdr1-
244 overexpressing isolates could be restored via the Cdr1- and Mdr1-specific inhibitors RC21v3 and
245 MCC1189, respectively (39). The Erg11 amino acid substitutions Y132F, Y132F&K143R, and
246 Y132F&F145L also resulted in shifts in VT-1161 MIC and may contribute to VT-1161
247 resistance. However, VT-1161 retained activity against a number of isolates with known azole
248 resistance mechanisms. The tested *ERG11* mutant strains containing the Y132H, K143R,
249 F145L, E266D, D278N, S405F, G448E, F449V, G450E, G464S, and D466E single substitutions
250 and the D278N&G464S, and G450E&I483V double substitutions showed little change in VT-

251 1161 MICs compared to the susceptible parent strain SC5314. Thus, VT-1161 has potential to be
252 a future treatment option of azole-resistant *C. albicans* infections or recurrent infections
253 previously treated with older members of the azole class.

254 Within the five clinical isolates that displayed greatly reduced susceptibility to VT-1161,
255 VT-1598, and the other commercially available triazoles tested, one isolate contained an early
256 stop codon at Trp131 within the *ERG3* gene, which encodes for sterol $\Delta 5,6$ -desaturase and is
257 critical for ergosterol biosynthesis in *C. albicans*. It has been previously reported that mutations
258 in *ERG3* can result in azole resistance and alternative sterol biosynthesis by avoidance of
259 accumulation of toxic sterol intermediates through defective desaturase enzyme (26, 27, 40).
260 The inhibition of the azole target 14 α -lanosterol demethylase causes accumulation of the toxic
261 sterol intermediate, 14 α -methylergosta-8,24(28)-dien-3 β ,6 α -diol, which is thought to be the
262 source of the fungistatic effect seen with azole antifungal class (24, 25, 41). However,
263 dysfunctional Erg3 results in alternative sterol usage and an inability to produce this toxic
264 intermediate. Therefore, mechanisms that result in a non-functional Erg3 might render an isolate
265 resistant to the azole antifungal drug class, as is seen in the case of the isolate containing the
266 premature stop codon in *ERG3*.

267 In summary, the *in vitro* activity of VT-1161 and VT-1598 against azole-resistant *C.*
268 *albicans* clinical isolates and strains with known azole resistance mechanisms suggests that they
269 may prove useful against resistant *C. albicans* infections. Furthermore, given their low and
270 relatively unchanged MICs against many azole-resistant strains, it is possible that VT-1161 and
271 VT-1598 may fill some of the gaps in coverage against azole-resistant isolates. This, in
272 combination with the potential for favorable safety and drug interaction profiles, makes VT-1161
273 and VT-1598 attractive options as alternative therapies for azole-resistant *C. albicans* infections.

274 However, the presence of strongly resistant isolates, such as the five clinical isolates with greatly
275 increased MICs to all azoles tested here, suggests the existence of azole-resistance determinants
276 that can provide obstacles to the successful utilization of all azoles, including these new
277 tetrazoles. Further investigation should be undertaken to identify the mechanism(s) responsible
278 for resistance to these agents.

279

280 **MATERIALS AND METHODS**

281 **Isolate and strain growth conditions.** Sixty-eight clinical *C. albicans* isolates were obtained
282 from the University of Iowa. *C. albicans* isolates and strains were cultured from -80°C freezer
283 stock (40% glycerol in YPD media) onto YPD-agar plates overnight at 30°C. Colonies from
284 YPD-agar plates were then either streaked onto Sabouraud Dextrose agar for azole
285 susceptibility testing or grown in liquid YPD media and incubated overnight at 30°C for
286 preparation of genomic DNA.

287

288 **Construction of *C. albicans* strains.** Table 2 lists the constructed strains used in this study.
289 Ten single *ERG11* mutations and four double mutations were selected from previous studies
290 (20). Two additional strains expressing the Y132H and D278N *ERG11* gene mutations were
291 created in a previous study utilizing the *SAT* flipper cassette (42, 43). Briefly, to create the
292 mutant strain SCERG11R1S1C1, *ERG11* gene fragments were generated by primers pairs
293 Ca*ERG11*_1F with CaERG11SOE-3R_Y132H and CaERG11SOE-2F_Y132H with
294 CaERG11_4R using SC5314 template genomic DNA (Table S3). Short-overlapping extension
295 PCR was used to fuse the resulting *ERG11* gene fragments using nested primers CaERG11-
296 AF_(ApaI) and CaERG11-BR_(XhoI). For mutant strain SCERG11R3S3C1, *ERG11* gene

297 fragments were generated by primers pairs Ca*ERG11*_1F with CaERG11SOE-6R using
298 template genomic DNA from clinical isolate 43 and CaERG11SOE-5F with CaERG11_4R
299 using SC5314 genomic DNA. The resulting fragments were again fused into a single fragment
300 containing either the D278N-containing mutant *ERG11* open reading frame (ORF) using nested
301 primers CaERG11-AF_(ApaI) and CaERG11-BR_(XhoI). In constructing the plasmids used in
302 the transformation of both strains, the *ERG11* 3' flanking sequence was amplified from SC5314
303 genomic DNA and primers CaERG11_C-F' and CaERG11_D-R'. The inserts 3' of the *ERG11*
304 ORF were digested with restriction enzymes NotI and SacII, and cloned into the pSFS2-derived
305 plasmid pBSS2 previously described by Vasicek *et al.* containing the *SAT1* flipper cassette
306 from Reuß *et al.* (42, 44) to create plasmid pERG11CD. The *ERG11* ORF-containing
307 fragments with either the Y132H or D278N mutations were digested using restriction enzymes
308 ApaI and XhoI, gel excised, and cloned into the plasmid pERG11CD to generate plasmids
309 pERG11A1 and pERG11A3. Plasmids were digested with restriction enzymes ApaI and SacII
310 and used to transform strain SC5314 by electroporation to generate heterozygous *ERG11*
311 mutants. Recycling of the nourseothricin selection marker through 24 hours of growth in YPD
312 and repeat transformation of the resultant strains generated the homozygous *ERG11* allele
313 replacements SCERG11R1S1C1 and SCERG11R3S3C1, confirmed via Southern hybridization
314 and Sanger sequencing. The artificially-activated Tac1, Mrr1, and Upc2 mutants used in this
315 study as well as SCΔ*cdr1*/TAC1GAD1A and -B, containing the artificially activated *TAC1*
316 allele in a *cdr1*Δ background, were described in a previous study (45). Strains
317 SCΔ*mdr1*/MRR1GAD1A and -B were constructed by introducing the artificially activated
318 *MRR1* allele from plasmid pMRR1-GAD1 (32) into the *mdr1*Δ mutants SCMDR1M4A and -B
319 (46), respectively.

320

321 ***ERG11* amplification and sequencing.** Table S3 lists the primers used for *ERG11*
322 amplification and sequence verification. The *ERG11* ORF of each isolate was PCR amplified
323 from genomic DNA using primers *CaERG11_F_Amp* and *CaERG11_R_Amp*. PCR products
324 were purified using the QIAquick® PCR Purification Kit (Qiagen) and product was sequenced
325 on an ABI 3130XL genetic analyzer using sequencing primers. Sequencing was accomplished in
326 duplicate in independently grown isolates.

327

328 **Relative gene expression by real-time PCR.** Expression levels of the genes *CDRI*, *MDRI*, and
329 *ERG11* in clinical *C. albicans* isolates were measured in a previous study, and *CDRI*, *MDRI*,
330 and *ERG11* expression of laboratory strains were measured similarly using previously described
331 methods (19). Briefly, first-strand cDNA was generated from 1 µg of extracted RNA for each
332 strain using the SuperScript® VILO™ Master Mix (Invitrogen) reaction kit. Quantitative PCRs
333 were performed on the StepOnePlus™ Real-Time PCR System (Applied Biosystems) in
334 technical and biological triplicate for each sample. SYBR green PCR master mix (Applied
335 Biosystems) was used for amplification detection of candidate genes against the *CaACT1*
336 normalizing gene. Calculation of the relative quantitation values of *CDRI*, *ERG11*, and *MDRI*
337 gene expression was accomplished using the StepOne Software v2.3 (Applied Biosystems).
338 Primers used in the amplification of genes measured via qPCR are listed in Table S3.

339

340 **Susceptibility testing.** Minimum inhibitory concentrations (MIC) of VT-1161, VT-1598,
341 fluconazole, voriconazole, posaconazole, and itraconazole were measured using broth
342 microdilution methods in accordance with the Clinical Laboratory and Standards Institute (47,

343 48). 96-well microtiter plates containing RPMI-1640 media (0.165M MOPS, with L-
344 glutamine, without sodium bicarbonate, pH 7.0) were used to incubate strains across serially-
345 diluted concentrations of the each azole. Concentrations ranged from 0.015 to 8 µg/mL for VT-
346 1161 and VT-1598, 0.125 to 64 µg/mL for fluconazole, and 0.03 to 16 µg/mL for voriconazole,
347 posaconazole, and itraconazole. MICs were visually read at 24 hours post-incubation at 35° C
348 as the minimum concentration required to reduce growth of cells by approximately 50% or
349 greater compared to drug-free control wells. MICs were performed in duplicate for clinical
350 isolates, *ERG11* mutant strains and laboratory strains SCTAC1GAD1A and -B,
351 *SCΔcdr1TAC1GAD1A* and -B, *SCMRR1GAD1A* and -B, *SCΔmdr1MRR1GAD1A* and -B and
352 *SCUPC2GAD1A* and -B. When reporting MICs for strains and isolates, the higher of the MICs
353 was used in this analysis (Table S1, Table S2), though 98% (592/606) of MIC duplicate
354 readings were identical or within a single dilution of each other. The geometric mean MIC
355 (GM MIC), MIC₅₀, and MIC₉₀ was reported for clinical *C. albicans* isolates for each triazole
356 and tetrazole agent used in this study. The MIC₅₀ and MIC₉₀ values reported for VT-1161, VT-
357 1598, fluconazole, voriconazole, itraconazole, and posaconazole were defined as the minimum
358 drug concentrations required to inhibit 50% and 90% of the clinical *C. albicans* isolates tested,
359 respectively.

360

361 **Statistical Analysis.** A point-biserial correlation or phi coefficient was used for all continuous
362 and dichotomous variables, respectively, to identify possible predictors of azole resistance. For
363 all statistical tests, a *p*-value less than 0.05 was considered significant. Statistical calculations
364 were performed using IBM® SPSS® analytical software, version 23.

365

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370

371 **TRANSPARENCY DECLARATION**

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Table 1. Geometric mean MICs, MIC₅₀, MIC₉₀, and ranges (µg/mL) for tested compounds against 68 clinical isolates of

<u>Drug</u>	<u>GM MIC</u>	<u>MIC₅₀</u>	<u>MIC₉₀</u>	<u>Range</u>
VT-1161	0.15	0.125	1	≤0.015 - >8
VT-1598	0.05	0.06	0.25	≤0.015 - >8
Fluconazole ^a	20.2	32	>64	≤0.125 - >64
Voriconazole ^b	0.32	0.5	2	≤0.03 - >16
Itraconazole ^c	0.31	0.25	1	≤0.03 - >16
Posaconazole ^{c,d}	0.2	0.5	2	≤0.03 - >16

^aCLSI clinical breakpoints: resistant ≥8 µg/mL, susceptible dose-dependent 4 µg/mL, susceptible ≤2 µg/mL (48)

^bCLSI clinical breakpoints: resistant ≥1 µg/mL, intermediate 0.25 – 0.5 µg/mL, susceptible ≤0.125 µg/mL (48)

^cn = 66 clinical isolates for itraconazole and posaconazole

^dCLSI epidemiological cutoff value = 0.06 µg/mL (49)

538 **Table 2.** Constructed strains used in this study.

539

Strains^a	Genotype	Source or reference
SC5314	<i>ERG11-1/ERG11-2</i>	ATCC
Constructed laboratory strains ^a		
20E1I1B1	<i>ERG11^{Y132F}::FRT / ERG11^{Y132F}::FRT</i>	Flowers <i>et al.</i> , 2015
SCERG11R1S1C1	<i>ERG11^{Y132H}::FRT / ERG11^{Y132H}::FRT</i>	Warrilow <i>et al.</i> , 2019
10B1A3A	<i>ERG11^{K143R}::FRT / ERG11^{K143R}::FRT</i>	Flowers <i>et al.</i> , 2015
2B1A51A	<i>ERG11^{F145L}::FRT / ERG11^{F145L}::FRT</i>	Flowers <i>et al.</i> , 2015
29NA29A23A	<i>ERG11^{E266D}::FRT / ERG11^{E266D}::FRT</i>	Flowers <i>et al.</i> , 2015
SCERG11R3S3C1	<i>ERG11^{D278N}::FRT / ERG11^{D278N}::FRT</i>	Warrilow <i>et al.</i> , 2019
21C1M1B1	<i>ERG11^{S405F}::FRT / ERG11^{S405F}::FRT</i>	Flowers <i>et al.</i> , 2015
20NA11A57A	<i>ERG11^{G448E}::FRT / ERG11^{G448E}::FRT</i>	Flowers <i>et al.</i> , 2015
7A5A5A	<i>ERG11^{F449V}::FRT / ERG11^{F449V}::FRT</i>	Flowers <i>et al.</i> , 2015
15A3A108A	<i>ERG11^{G450E}::FRT / ERG11^{G450E}::FRT</i>	Flowers <i>et al.</i> , 2015
19A1A1C1	<i>ERG11^{G464S}::FRT / ERG11^{G464S}::FRT</i>	Flowers <i>et al.</i> , 2015
22B12A58A	<i>ERG11^{D466E}::FRT / ERG11^{D466E}::FRT</i>	Flowers <i>et al.</i> , 2015
9A14A21A	<i>ERG11^{Y132F,K143R}::FRT / ERG11^{Y132F,K143R}::FRT</i>	Flowers <i>et al.</i> , 2015
27A5A33A	<i>ERG11^{Y132F,F145L}::FRT / ERG11^{Y132F,F145L}::FRT</i>	Flowers <i>et al.</i> , 2015
13A5A57A	<i>ERG11^{D278N,G464S}::FRT / ERG11^{D278N,G464S}::FRT</i>	Flowers <i>et al.</i> , 2015
8A4A1A	<i>ERG11^{G450E,I483V}::FRT / ERG11^{G450E,I483V}::FRT</i>	Flowers <i>et al.</i> , 2015
SCTAC1GAD1A and -B	<i>ADH1/adh1::P_{ADH1}-TAC1-GAL4AD-3xHA-caSAT1</i>	Schillig <i>et al.</i> , 2013
SCΔ <i>cdr1</i> TAC1GAD1A and -B	<i>ADH1/adh1::P_{ADH1}-TAC1-GAL4AD-3xHA-caSAT1</i> <i>cdr1Δ::FRT/cdr1Δ::FRT</i>	Schillig <i>et al.</i> , 2013
SCMRR1GAD1B and -B	<i>ADH1/adh1::P_{ADH1}-MRR1-GAL4AD-3xHA-caSAT1</i>	Schillig <i>et al.</i> , 2013
SCΔ <i>mdr1</i> MRR1GAD1A and -B	<i>ADH1/adh1::P_{ADH1}-MRR1-GAL4AD-3xHA-caSAT1</i> <i>mdr1Δ::FRT/mdr1Δ::FRT</i>	This study
SCUPC2GAD1A and -B	<i>ADH1/adh1::P_{ADH1}-UPC2-GAL4AD-3xHA-caSAT1</i>	Schillig <i>et al.</i> , 2013
SCUPC2R14A and -B	<i>UPC2^{G648D}::FRT / UPC2^{G648D}::FRT</i>	Heilmann <i>et al.</i> , 2010

^aAll laboratory strains have SC5314 as background.

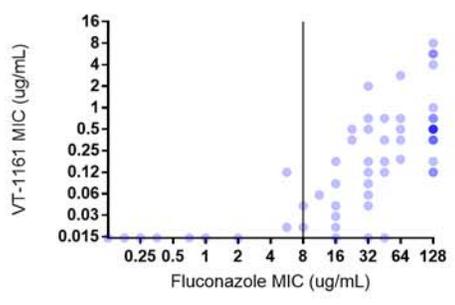
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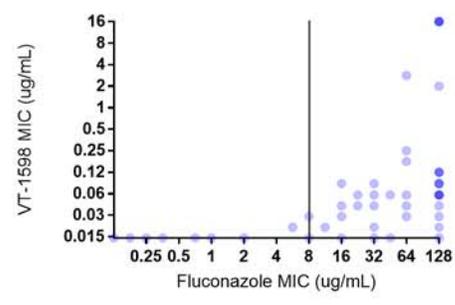
542 **Figure 1. Comparison of the MICs of VT-1161 and VT-1598 against the MICs of (a) fluconazole, (b)**
543 **voriconazole, (c) itraconazole, and (d) posaconazole in a collection of *C. albicans* clinical isolates.** Plotted
544 points represent the MICs of clinical isolates, with darker points representative of multiple, superimposed
545 points. Concentration of points to the lower right of each plot represent favorable activity (low MICs relative to
546 susceptible isolates) for VT-1161 or VT-1598 versus the comparator azole. Conversely, points concentrated to
547 the top left of each plot represent isolates with high MICs of VT-1161 and VT-1598 relative to the comparator
548 azole. Solid vertical lines represent the resistant clinical break point for fluconazole and voriconazole, while
549 dotted vertical lines represent the epidemiological cutoff values for posaconazole.

550

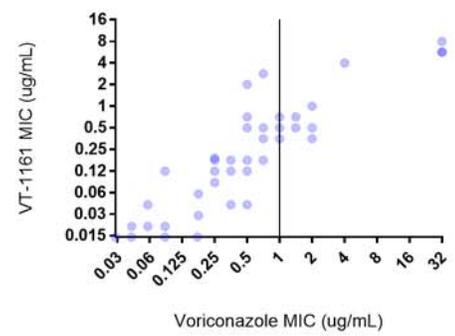
a MICs of VT-1161 versus fluconazole in clinical *C. albicans* isolates



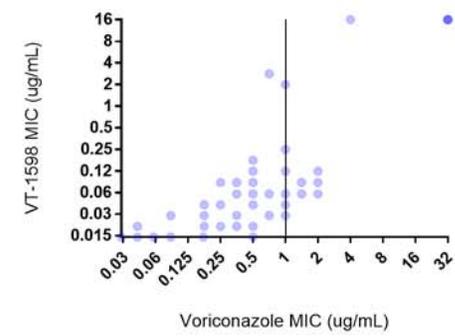
MICs of VT-1598 versus fluconazole in clinical *C. albicans* isolates



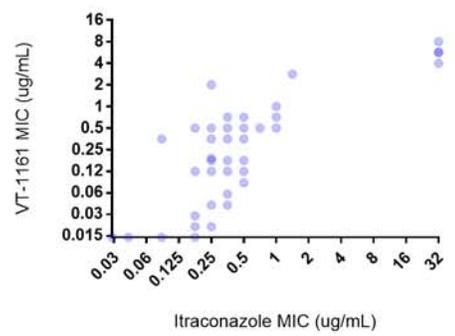
b MICs of VT-1161 versus voriconazole in clinical *C. albicans* isolates



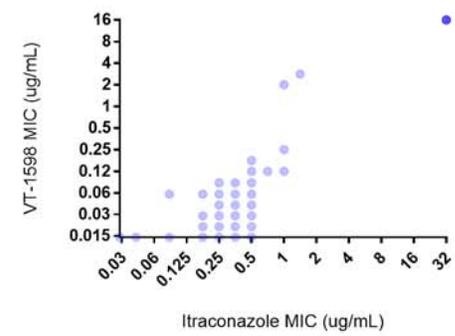
MICs of VT-1598 versus voriconazole in clinical *C. albicans* isolates



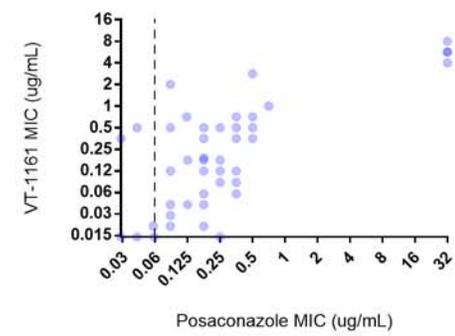
c MICs of VT-1161 versus itraconazole in clinical *C. albicans* isolates



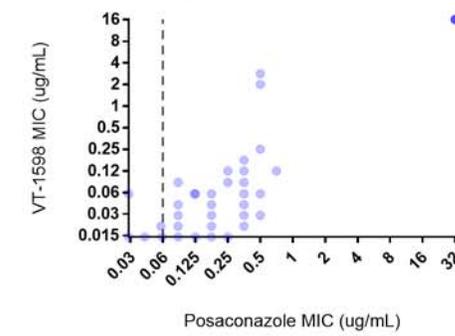
MICs of VT-1598 versus itraconazole in clinical *C. albicans* isolates



d MICs of VT-1161 versus posaconazole in clinical *C. albicans* isolates

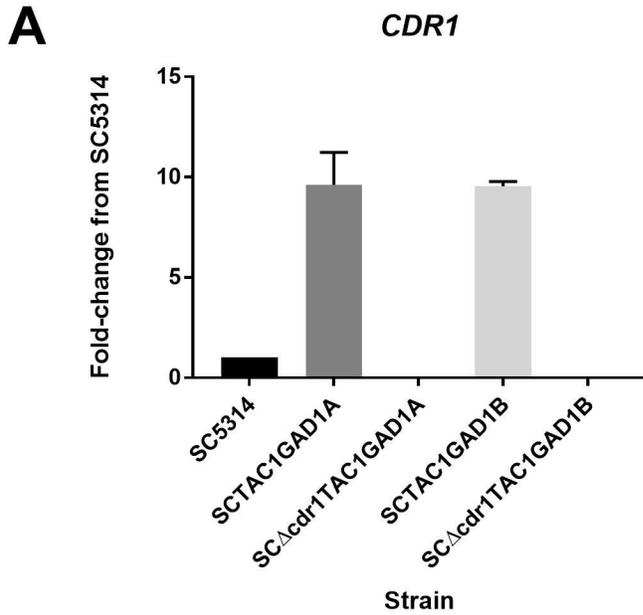


MICs of VT-1598 versus posaconazole in clinical *C. albicans* isolates



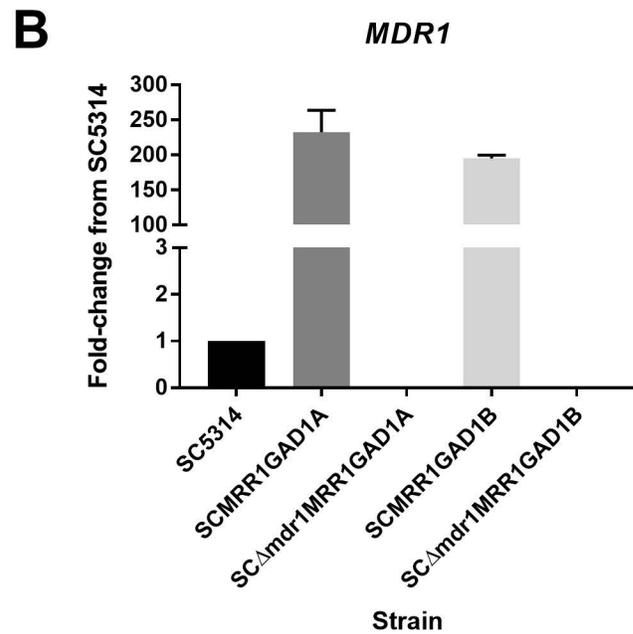
551 **Figure 2. MICs of tested azole compounds against strains with individual known azole resistance**
552 **mechanisms.** Tested strains include those containing the artificially-activated transcription factors Tac1 and
553 Mrr1 in strains SCTAC1GAD1A and -B and SCMRR1GAD1A and -B, respectively, as well as $\Delta cdr1$
554 derivatives of SCTAC1GAD1A (SC $\Delta cdr1$ TAC1GAD1A and -B), $\Delta mdr1$ derivatives of SCMRR1GAD1A and
555 -B (SC $\Delta mdr1$ MRR1GAD1A and -B), and SCUPC2R14A and -B containing the G648D gain-of-function
556 mutation in *UPC2*. The MICs for the strains with artificially activated Tac1, Mrr1, and for the *UPC2*^{G648D} gain-
557 of-function mutation are displayed as the highest MIC value of both independently created A- and B- strains for
558 each respective transcription factor. The relative fold-change in expression compared to the parent strain
559 SC5314 of (a) *CDR1* for SCTAC1GAD1A and B and (b) *MDR1* for SCMRR1GAD1A and -B is shown on the
560 left of the figure. The antifungal MICs of the *UPC2*^{G648D} homozygous strains SCUPC2R14A and -B is shown in
561 (c).

562



MICs (μ g/mL)

	<u>SC5314</u>	<u>SCTAC1GAD1</u>	<u>SCΔcdr1</u> <u>TAC1GAD1</u>
<u>VT-1598</u>	≤ 0.015	≤ 0.015	≤ 0.015
<u>VT-1161</u>	≤ 0.015	0.125	0.06
<u>Fluconazole</u>	0.5	8	2
<u>Voriconazole</u>	≤ 0.03	0.125	≤ 0.03
<u>Itraconazole</u>	0.125	0.25	0.125
<u>Posaconazole</u>	≤ 0.03	0.06	0.06



MICs (μ g/mL)

	<u>SC5314</u>	<u>SCMRR1GAD1</u>	<u>SCΔmdr1</u> <u>MRR1GAD1</u>
<u>VT-1598</u>	≤ 0.015	≤ 0.015	≤ 0.015
<u>VT-1161</u>	≤ 0.015	0.06	≤ 0.015
<u>Fluconazole</u>	0.5	16	8
<u>Voriconazole</u>	≤ 0.03	0.125	0.06
<u>Itraconazole</u>	0.125	0.25	0.125
<u>Posaconazole</u>	≤ 0.03	≤ 0.03	0.125

C

MICs (μ g/mL)

	<u>SC5314</u>	<u>SCUPC2R14</u>
<u>VT-1598</u>	< 0.015	< 0.015
<u>VT-1161</u>	< 0.015	< 0.015
<u>Fluconazole</u>	0.25	1
<u>Voriconazole</u>	< 0.03	< 0.03
<u>Itraconazole</u>	0.125	0.125
<u>Posaconazole</u>	< 0.03	< 0.03

563 **Figure 3. Relative fold-change compared to SC5314 in MIC of various azole antifungal agents against**
564 **strains containing single and double *ERG11* mutations.** Open blue circles represent VT-1598 MICs, while
565 open black circles represent VT-1161. Open grey diamonds represent fluconazole. Solid green triangles
566 represent voriconazole. Solid orange diamonds represents itraconazole, and solid inverted purple triangles
567 represent posaconazole.

Fold-change in MIC for *ERG11* mutations

