

# THE CLOSE-TO-CONVEX ANALOGUE OF R. SINGH'S STARLIKE FUNCTIONS

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ABSTRACT. For  $f$  analytic in the unit disk  $\mathbb{D}$ , we consider the close-to-convex analogue of a class of starlike functions introduced in 1968 by R. Singh. Coefficient and other results are obtained for this class of functions defined by  $|zf'(z)/g(z) - 1| < 1$  for  $z \in \mathbb{D}$ , where  $g$  is starlike in  $\mathbb{D}$ .

## 1. PRELIMINARIES

Let  $\mathcal{H}$  denote the class of functions  $f$  analytic in the unit disk  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$ , and  $\mathcal{A}$  be the subclass of  $\mathcal{H}$  consisting of functions normalized by  $f(0) = 0 = f'(0) - 1$ . Let  $\mathcal{S} \subset \mathcal{A}$  be the class of functions univalent (i.e. one-to-one) in  $\mathbb{D}$ . Any function  $f \in \mathcal{A}$  has the following series representation

$$(1.1) \quad f(z) = z + \sum_{n=2}^{\infty} a_n z^n.$$

Denote by  $\mathcal{S}^*$  the subclass of  $\mathcal{S}$  of starlike functions. It is well-known that  $f \in \mathcal{S}^*$  if, and only if,

$$\Re \left\{ \frac{zf'(z)}{f(z)} \right\} > 0, \quad z \in \mathbb{D}.$$

Denote by  $\mathcal{C}$  the subclass of  $\mathcal{S}^*$  of convex functions. It is well-known that  $f \in \mathcal{S}^*$  if, and only if,

$$f(z) = zg'(z), \quad \text{for some } g \in \mathcal{C}.$$

By  $\mathcal{P}$  we denote the class of Carathéodory functions  $p$  which are analytic in  $\mathbb{D}$ , satisfying the condition  $\Re \{p(z)\} > 0$  for  $z \in \mathbb{D}$ , with

$$(1.2) \quad p(z) = 1 + \sum_{n=1}^{\infty} p_n z^n.$$

Suppose now that  $f$  is analytic in  $\mathbb{D}$ , then  $f$  is close-to-convex if, and only if, there exists  $\alpha \in (-\pi/2, \pi/2)$ , and a function  $g \in \mathcal{S}^*$  such that

$$\Re \left\{ e^{i\alpha} \frac{zf'(z)}{g(z)} \right\} > 0, \quad z \in \mathbb{D}.$$

When  $\alpha = 0$ , we denote this class of close-to-convex functions by  $\mathcal{K}$ , and note that  $\mathcal{S}^* \subset \mathcal{K} \subset \mathcal{S}$ .

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Suppose next that  $f \in \mathcal{A}$ , and is given by (1.1), and for  $z \in \mathbb{D}$ , satisfies

$$\left| \frac{zf'(z)}{f(z)} - 1 \right| < 1.$$

This class of functions was introduced in 1968 by Singh [6]. Denoting this class by  $\mathcal{S}_u^*$ , it is clear that  $\mathcal{S}_u^* \subset \mathcal{S}^*$ . In [6], Singh showed that if  $f \in \mathcal{S}_u^*$ , then  $|a_n| \leq 1/(n-1)$  for  $n \geq 2$ , and that this inequality is sharp. Other properties of functions in  $\mathcal{S}_u^*$  were also given in [6].

We now define the close-to-convex analogue of the class  $\mathcal{S}_u^*$  as follows.

**Definition 1.1.** We say  $f \in \mathcal{K}_u$ , if for  $f \in \mathcal{A}$ , there exists  $g \in \mathcal{S}^*$ , such that

$$\left| \frac{zf'(z)}{g(z)} - 1 \right| < 1, \quad z \in \mathbb{D}.$$

Again it is clear that  $\mathcal{S}_u^* \subset \mathcal{K}_u \subset \mathcal{K} \subset \mathcal{S}$ .

**Remark 1.**

Although  $\mathcal{K}_u$  represents the natural close-to-convex analogue of  $\mathcal{S}_u^*$ , we shall see that obtaining sharp estimates for the coefficients for example, represents a much more difficult problem. We note that this phenomena is often reflected in extending results from  $\mathcal{S}^*$  to  $\mathcal{K}$ , and will see in this paper that the class  $\mathcal{K}_u$  gives rise to some significant and interesting problems.

## 2. LEMMAS

A function  $\omega$  is called a Schwarz function if  $\omega \in \mathcal{H}$ ,  $\omega(0) = 0$ , and  $|\omega(z)| < 1$  for  $z \in \mathbb{D}$ . We denote the class of Schwarz functions by  $\Omega$ .

Note that for  $p \in \mathcal{P}$  given by (1.2), we can write  $p(z) = (1 + \omega(z))/(1 - \omega(z))$ , for some  $\omega \in \Omega$ . So writing

$$(2.1) \quad \omega(z) = \sum_{n=1}^{\infty} \omega_n z^n,$$

and equating coefficients gives

$$(2.2) \quad p_1 = 2\omega_1, \quad p_2 = 2\omega_2 + 2\omega_1^2.$$

We will need the following lemmas.

**Lemma 2.1.** [1], [3, p.78]. Let  $\omega \in \Omega$  and be given by (2.1). Then for all  $n = 2, 3, \dots$ ,

$$|\omega_{2n-1}| \leq 1 - |\omega_1|^2 - |\omega_2|^2 - |\omega_3|^2 - \dots - |\omega_n|^2,$$

and for all  $n = 1, 2, 3, \dots$ ,

$$|\omega_{2n}| \leq 1 - |\omega_1|^2 - |\omega_2|^2 - |\omega_3|^2 - \dots - |\omega_{n-1}|^2 - |\omega_n|^2.$$

**Lemma 2.2.** [4]. Let  $\omega \in \Omega$  and be given by (2.1). If  $\mu \in \mathbb{C}$ , then

$$(2.3) \quad |\omega_2 - \mu \omega_1^2| \leq \max \{1, |\mu|\}.$$

Using (2.2) and (2.3) immediately gives the following.

**Lemma 2.3.** Let  $p \in \mathcal{P}$  and be given by (1.2). Then for  $\mu \in \mathbb{C}$ ,

$$|p_2 - \mu p_1^2| \leq 2 \max \{1, |2\mu - 1|\}.$$

The inequality is sharp for each complex  $\mu$ .

We shall also need the following (see e.g. [7]).

**Lemma 2.4.** Let  $p \in \mathcal{P}$  and be given by (1.2). Then for  $n \geq 1$ ,  $|p_n| \leq 2$ , and

$$\left| p_2 - \frac{1}{2} p_1^2 \right| \leq 2 - \frac{1}{2} |p_1^2|.$$

The following Fekete-Szegő type inequalities of Keogh and Merkes [4] will be used extensively in Section 5.

**Lemma 2.5.** [4]. Let  $g \in \mathcal{S}^*$ , and be given by

$$(2.4) \quad g(z) = z + \sum_{n=2}^{\infty} b_n z^n.$$

Then for any  $\mu \in \mathbb{C}$ ,

$$|b_3 - \mu b_2^2| \leq \max \{1, |4\mu - 3|\},$$

and

$$(2.5) \quad |b_3 - \mu b_2^2| \leq 1 + (|4\mu - 3| - 1) \frac{|b_2|^2}{4}.$$

Both inequalities are sharp.

We will also use the following lemma concerning functions in  $\mathcal{P}$ , the proof of which follows easily from Lemma 2.5.

**Lemma 2.6.** Let  $p \in \mathcal{P}$ . Then for any  $t \in \mathbb{C}$ ,

$$(2.6) \quad |p_2 - t p_1^2| \leq 2 + (|2t - 1| - 1) \frac{|p_1|^2}{2}.$$

The inequality is sharp.

*Proof.* Let  $p \in \mathcal{P}$ , then there exists a function  $g \in \mathcal{S}^*$  given by (2.4) such that

$$p(z) = \frac{z g'(z)}{g(z)}, \quad z \in \mathbb{D}.$$

Thus

$$\begin{aligned} b_2 &= p_1, \\ b_3 &= \frac{1}{2}(p_2 + p_1^2). \end{aligned}$$

Substituting in (2.5) gives

$$|p_2 - (2\mu - 1)p_1^2| \leq 2 + (|4\mu - 3| - 1) \frac{|p_1|^2}{2},$$

for all complex  $\mu$ . Writing  $\mu = (t + 1)/2$ , gives (2.6) for all complex  $t$ .

The function  $p(z) = (1 + z)/(1 - z)$  shows that the result is sharp for  $|2t - 1| \geq 1$ , and  $p(z) = (1 + z^2)/(1 - z^2)$  shows the sharpness for  $|2t - 1| \leq 1$ .  $\square$

**Lemma 2.7.** [5], [2, p.67]. *Suppose that  $f \in \mathcal{S}$ , and that  $z = re^{i\theta} \in \mathbb{D}$ . If*

$$m'(r) \leq |f'(z)| \leq M'(r),$$

where  $m'(r)$  and  $M'(r)$  are real-valued functions of  $r$  in  $[0, 1)$ , then

$$\int_0^r m'(t) dt \leq |f(z)| \leq \int_0^r M'(r) dt.$$

We begin with some distortion theorems.

### 3. DISTORTION THEOREMS

**Theorem 3.1.** *If  $f \in \mathcal{K}_u$  and  $z = re^{i\theta}$ ,  $0 \leq r < 1$ , then*

$$(3.1) \quad \frac{1 - r}{(1 + r)^2} \leq |f'(z)| \leq \frac{1 + r}{(1 - r)^2},$$

and

$$(3.2) \quad \frac{2r}{1 + r} - \log(1 - r) \leq |f(z)| \leq \frac{2r}{1 - r} + \log(1 - r).$$

*The inequalities are sharp.*

*Proof.* Write

$$(3.3) \quad f'(z) = \frac{g(z)}{z} [1 + \omega(z)],$$

for some  $g \in \mathcal{S}^*$ , and some  $\omega \in \Omega$ .

It is well-known that for  $g \in \mathcal{S}^*$ , with  $z = re^{i\theta}$ ,  $0 \leq r < 1$ , then

$$(3.4) \quad \frac{1}{(1 + r)^2} \leq \left| \frac{g(z)}{z} \right| \leq \frac{1}{(1 - r)^2}.$$

Thus using the Schwarz lemma, we have

$$(3.5) \quad 1 - r \leq |1 + \omega(z)| \leq 1 + r,$$

and so from (3.3), using (3.4) and (3.5), we immediately obtain (3.1).

The inequalities in (3.1) are sharp when  $f_1 \in \mathcal{K}_u$  is given by

$$f_1'(z) = \frac{g_0(z)}{z} (1 + z), \quad \text{and} \quad g_0(z) = \frac{z}{(1 - z)^2},$$

in which case

$$f_1'(-r) = \frac{1 - r}{(1 + r)^2}, \quad \text{and} \quad f_1'(r) = \frac{1 + r}{(1 - r)^2}.$$

Clearly (3.2) follows from Lemma 2.7, since  $\mathcal{K}_u \subset \mathcal{S}$ .

The upper bound in (3.2) is sharp for  $f_1 \in \mathcal{K}_u$  given by

$$f_1(z) = \frac{2z}{1-z} + \log(1-z),$$

and the lower bound for  $f_2 \in \mathcal{K}_u$  given by

$$f_2(z) = \frac{2z}{1+z} - \log(1+z).$$

□

#### 4. COEFFICIENTS

In [6], Singh was able to use the method of Clunie to obtain sharp coefficient estimates for functions in  $\mathcal{S}_u^*$ . Since this is not possible in  $\mathcal{K}_u$ , the problem of extending the coefficient inequalities in [6] to the class  $\mathcal{K}_u$  appears not to be straightforward, with exact bound not easy to find. We give the following.

**Theorem 4.1.** *Let  $f \in \mathcal{K}_u$ , and be given by (1.1). Then*

$$|a_2| \leq \frac{3}{2}, \quad |a_3| \leq \frac{5}{3}, \quad |a_4| \leq \frac{7.3731\dots}{4} = 1.8443\dots, \quad |a_5| \leq \frac{8}{5} + \frac{3}{5\sqrt[3]{4}} = 1.97\dots$$

*The inequalities for  $|a_2|$  and  $|a_3|$  are sharp.*

*Proof.* Write

$$(4.1) \quad zf'(z) = g(z)[1 + \omega(z)],$$

for some  $g \in \mathcal{S}^*$  and some  $\omega \in \Omega$ .

Equating coefficients in (4.1), and using (2.1) and (2.4) gives

$$(4.2) \quad 2a_2 = b_2 + w_1,$$

$$(4.3) \quad 3a_3 = b_3 + b_2w_1 + w_2,$$

$$(4.4) \quad 4a_4 = b_4 + b_3w_1 + b_2w_2 + w_3,$$

where for  $n \geq 1$ ,  $|b_n| \leq n$  and  $|w_n| \leq 1$ . Therefore (4.2) gives

$$2|a_2| \leq |b_2| + |w_1| \Rightarrow 2|a_2| \leq 3.$$

Now write  $x_1 = |w_1|$ ,  $x_2 = |w_2|$ , and  $x_3 = |w_3|$ , and so from (4.3) we obtain

$$3|a_3| \leq |b_3| + |b_2||w_1| + |w_2|,$$

so that Lemma 2.1 implies

$$3|a_3| \leq 3 + 2|w_1| + (1 - |w_1|^2) \leq 5,$$

since  $0 \leq 4 + 2x_1 - x_1^2 \leq 5$  for  $x_1 \in [0, 1]$ .

The bound for  $|a_4|$  is more complicated. Again from (4.4) and Lemma 2.1 we have

$$\begin{aligned} 4|a_4| &\leq |b_4| + |b_3|w_1 + |b_2||w_2| + |w_3|, \\ &\leq 4 + 3x_1 + 2x_2 + x_3, \end{aligned}$$

and so

$$0 \leq x_1 \leq 1, \quad x_2 \leq 1 - x_1^2, \quad x_3 \leq 1 - x_1^2 - x_2^2.$$

We therefore need to find

$$(4.5) \quad \max_H g(x_1, x_2, x_3),$$

where  $g(x_1, x_2, x_3) = 4 + 3x_1 + 2x_2 + x_3$ , and

$$H = \{(x_1, x_2, x_3) : x_1 \leq 1, \quad x_2 \leq 1 - x_1^2, \quad x_3 \leq 1 - x_1^2 - x_2^2\}.$$

It is clear that

$$\max_H g(x_1, x_2, x_3) = \max_{\partial H} g(x_1, x_2, x_3).$$

Hence we consider (4.5) on the boundary  $\partial H$ . If  $x_3 = 1 - x_1^2 - x_2^2$ , and  $x_2 = 1 - x_1^2$ , then

$$g(x_1, x_2, x_3) = 6 + 3x_1 - x_1^2 - x_1^4, \quad 0 \leq x_1 \leq 1.$$

Solving this equation (using Wolfram Alpha), we obtain

$$\max\{6 + 3x_1 - x_1^2 - x_1^4 : 0 \leq x_1 \leq 1\} = 7.3731\dots \text{ at } x_1 = 0.72808\dots,$$

where

$$7.3731\dots = \frac{1}{24} \left\{ 148 - \frac{968}{\sqrt[3]{54181 + 2259\sqrt{753}}} + \sqrt[3]{54181 + 2259\sqrt{753}} \right\},$$

$$0.72808\dots = \frac{\sqrt[3]{27 + \sqrt{753}}}{2\sqrt{39}} - \frac{1}{\sqrt[3]{3(27 + \sqrt{753})}}.$$

Hence

$$|a_4| \leq \frac{7.3731\dots}{4} = 1.8443\dots$$

Applying the same method for  $a_5$  gives

$$5|a_5| \leq 8 + \frac{3}{\sqrt[3]{4}} = 9.889\dots, \text{ and so } |a_5| \leq 1.97\dots$$

The inequalities for  $a_2$  and  $a_3$  are sharp when

$$f(z) = \frac{2z}{1-z} + \log(1-z) = z + \sum_{n=2}^{\infty} \frac{2n-1}{n} z^n.$$

□

Inequalities for the coefficients of close-to-convex functions can exhibit unpredictable behaviour (see e.g the solution to the Fekete-Szegő problem [4]). On the basis of the extremal function for the coefficients  $a_2$  and  $a_3$  above, the obvious conjecture is the following, which may prove not to be correct.

### Conjecture.

Let  $f \in \mathcal{K}_u$ , and be given by (1.1). Then for  $n \geq 2$ ,

$$|a_n| \leq \frac{2n-1}{n}.$$

**Remark 2.**

It is clear that other non-sharp bounds for  $|a_n|$  when  $n \geq 5$  can be obtained using the same techniques used in the proof of Theorem 4.1. However the analysis becomes more involved as  $n$  increases, and requires computer aided numerical methods.

We also note that the coefficients  $a_n$  are bounded. To see this, it follows from (4.1) and the Schwarz Lemma that, with  $z = re^{i\theta} \in \mathbb{D}$ ,

$$\begin{aligned} n|a_n| &\leq \frac{1}{2\pi r^n} \int_0^{2\pi} |zf'(z)| d\theta \\ &\leq \frac{1}{\pi r^n} \int_0^{2\pi} |g(z)| d\theta. \end{aligned}$$

Since  $\int_0^{2\pi} |g(z)| d\theta = \mathcal{O}(1-r)^{-1}$ , as  $r \rightarrow 1$  for  $g \in \mathcal{S}^*$ , choosing  $r = 1 - 1/n$  shows that  $a_n = \mathcal{O}(1)$  as  $n \rightarrow \infty$ .

## 5. FEKETE-SZEGÖ THEOREMS

We first give the following bounds for Fekete-Szegö functional, noting that not all the inequalities are shown to be sharp.

**Theorem 5.1.** *Let  $f \in \mathcal{K}_\mu$ , and be given by (1.1), and let  $\mu \in \mathbb{R}$ .*

*If  $\mu \leq 0$ , then*

$$(5.1) \quad |a_3 - \mu a_2^2| \leq \frac{5}{3} - \frac{9}{4}\mu.$$

*If  $0 \leq \mu \leq 2/3$ , then*

$$|a_3 - \mu a_2^2| \leq \frac{2(10 - 18\mu + 9\mu^2)}{3(4 - 3\mu)}.$$

*If  $2/3 \leq \mu \leq 1$ , then*

$$(5.2) \quad |a_3 - \mu a_2^2| \leq \frac{2}{3}.$$

*If  $1 \leq \mu \leq 10/9$ , then*

$$(5.3) \quad |a_3 - \mu a_2^2| \leq \frac{3\mu - 5}{3(3\mu - 4)}.$$

*If  $\mu \geq 10/9$ , then*

$$(5.4) \quad |a_3 - \mu a_2^2| \leq \frac{9}{4}\mu - \frac{5}{3}.$$

*Inequalities (5.1), (5.2) and (5.4) are sharp.*

*Proof.* Since  $f \in \mathcal{K}_u$ , we can write

$$(5.5) \quad z f'(z) = g(z) \left[ \frac{2p(z)}{1+p(z)} \right],$$

where  $p \in \mathcal{P}$  and  $g \in \mathcal{S}^*$ . Thus equating coefficients in (5.5) we obtain from (1.2) and (2.4) the following two alternative expressions:

$$(5.6) \quad a_3 - \mu a_2^2 = \frac{1}{3} \left( b_3 - \frac{3b_2^2\mu}{4} \right) + \frac{b_2 p_1}{12} (2 - 3\mu) + \frac{1}{6} \left( p_2 - \frac{p_1^2}{2} \right) - \frac{1}{16} p_1^2 \mu,$$

$$(5.7) \quad a_3 - \mu a_2^2 = \frac{1}{3} \left( b_3 - \frac{3b_2^2\mu}{4} \right) + \frac{b_2 p_1}{12} (2 - 3\mu) + \frac{1}{6} \left( p_2 - \frac{4 + 3\mu}{8} p_1^2 \right).$$

We now treat the following cases.

**Case 1.**  $\mu \leq 0$ .

We use (5.6) with  $|p_1| = x$ . Noting that  $|b_2| \leq 2$ , and using Lemma 2.4 and Lemma 2.5, we obtain from (5.6)

$$(5.8) \quad \begin{aligned} |a_3 - \mu a_2^2| &= \left| \frac{1}{3} \left( b_3 - \frac{3b_2^2\mu}{4} \right) + \frac{b_2 p_1}{12} (2 - 3\mu) + \frac{1}{6} \left( p_2 - \frac{p_1^2}{2} \right) - \frac{1}{16} p_1^2 \mu \right| \\ &\leq \frac{1}{3} |3\mu - 3| + \frac{1}{6} |2 - 3\mu| x + \frac{1}{6} \left| 2 - \frac{x^2}{2} \right| - \frac{1}{16} x^2 \mu \\ &= \frac{1}{3} (3 - 3\mu) + \frac{1}{6} (2 - 3\mu)x + \frac{1}{6} \left( 2 - \frac{x^2}{2} \right) - \frac{1}{16} x^2 \mu, \end{aligned}$$

where  $x \in [0, 2]$ . Since the right hand side of (5.8) increases with respect to  $x \in [0, 2]$ , we obtain

$$\begin{aligned} |a_3 - \mu a_2^2| &\leq \left[ \frac{1}{3} (3 - 3\mu) + \frac{1}{6} (2 - 3\mu)x + \frac{1}{6} \left( 2 - \frac{x^2}{2} \right) - \frac{1}{16} x^2 \mu \right]_{x=2} \\ &= \frac{5}{3} - \frac{9\mu}{4}. \end{aligned}$$

The result is sharp on choosing  $b_3 = 3, b_2 = p_1 = p_2 = 2$  in (5.6), i.e.  $g(z) = z/(1-z)^2, p(z) = (1+z)/(1-z)$ .

**Case 2.**  $0 \leq \mu \leq 2/3$ .

We again use (5.6) with  $x = |p_1|$  which gives

$$|a_3 - \mu a_2^2| \leq \frac{1}{3} (3 - 3\mu) + \frac{1}{6} (2 - 3\mu)x + \frac{1}{6} \left( 2 - \frac{x^2}{2} \right) + \frac{1}{16} x^2 \mu.$$

Since the above expression has a maximum value at  $x = 4(3\mu - 2)/(3\mu - 4)$  in  $[0, 2]$ , the bound for  $0 \leq \mu \leq 2/3$  follows.

**Case 3.**  $2/3 \leq \mu \leq 1$ .



We apply (2.5) and (2.6) in (5.7) to obtain

$$\begin{aligned}
|a_3 - \mu a_2^2| &\leq \frac{1}{3} \left( 1 + (|3\mu - 3| - 1) \frac{|b_2|^2}{4} \right) + \frac{|b_2 p_1|}{12} |2 - 3\mu| \\
&\quad + \frac{1}{6} \left( 2 + \left( \left| \frac{4 + 3\mu}{4} - 1 \right| - 1 \right) \frac{|p_1|^2}{2} \right) \\
(5.9) \quad &\leq \frac{1}{3} \left( 1 - \frac{3\mu - 2}{4} |b_2|^2 \right) + \frac{3\mu - 2}{12} |p_1| |b_2| + \frac{1}{6} \left( 2 - \frac{4 - 3\mu}{4} \frac{|p_1|^2}{2} \right) \\
&= -\frac{3\mu - 2}{12} |b_2|^2 + \frac{3\mu - 2}{12} |p_1| |b_2| - \frac{4 - 3\mu}{48} |p_1|^2 + \frac{2}{3} \\
&= \frac{3\mu - 2}{12} \left( -y^2 + xy - \frac{4 - 3\mu}{4(3\mu - 2)} x^2 \right) + \frac{2}{3},
\end{aligned}$$

where  $y = |b_2| \in [0, 2]$ ,  $x = |p_1| \in [0, 2]$ .

If  $\mu = 2/3$  then (5.2) follows at once from (5.9).

If  $\mu \neq 2/3$ , we dividing by  $3\mu - 2$ , so that it suffices to show that

$$F(x, y) = -y^2 + xy - \frac{4 - 3\mu}{4(3\mu - 2)} x^2 \leq 0$$

for all  $2/3 < \mu \leq 1$ ,  $y \in [0, 2]$  and  $x \in [0, 2]$ .

Noting that  $F(x, y)$  has no critical points in  $(0, 2) \times (0, 2)$ , we need only to check that  $F(x, y) \leq 0$  when  $x = 0$  or  $y = 0$ , which is trivial, and when  $x = 2$  or  $y = 2$ .

If  $x = 2$ , we have

$$F(2, y) = -y^2 + 2y - \frac{4 - 3\mu}{3\mu - 2} = -(y - 1)^2 - \frac{6(1 - \mu)}{3\mu - 2} \leq 0, \quad \text{when } 2/3 < \mu \leq 1,$$

and if  $y = 2$ , then

$$F(x, 2) = -2(2 - x) - \frac{4 - 3\mu}{4(3\mu - 2)} x^2 \leq 0, \quad \text{when } 2/3 < \mu \leq 1,$$

which establishes (5.2).

To show the result is sharp we choose  $b_2 = 0$ ,  $b_3 = 1$ ,  $p_1 = 0$  and  $p_2 = 2$  in (5.7), i.e.  $g(z) = z/(1 - z^2)$ ,  $p(z) = (1 + z^2)/(1 - z^2)$ .

**Case 4.**  $1 \leq \mu \leq 10/9$ .

Applying (2.5) and (2.6) in (5.7) gives for all  $\mu \geq 1$ ,

$$\begin{aligned}
|a_3 - \mu a_2^2| &\leq \frac{1}{3} \left( 1 + (|3\mu - 3| - 1) \frac{|b_2|^2}{4} \right) + \frac{|b_2 p_1|}{12} |2 - 3\mu| \\
&\quad + \frac{1}{6} \left( 2 + \left( \left| \frac{4 + 3\mu}{4} - 1 \right| - 1 \right) \frac{|p_1|^2}{2} \right) \\
&\leq \frac{1}{3} \left( 1 - \frac{4 - 3\mu}{4} |b_2|^2 \right) + \frac{3\mu - 2}{12} |p_1| |b_2| + \frac{1}{6} \left( 2 - \frac{4 - 3}{4} \frac{|p_1|^2}{2} \right) \\
&= -\frac{4 - 3\mu}{12} |b_2|^2 + \frac{3\mu - 2}{12} |p_1| |b_2| - \frac{4 - 3\mu}{48} |p_1|^2 + \frac{2}{3} \\
&= \frac{4 - 3\mu}{48} \left( -4y^2 + \frac{4(3\mu - 2)}{4 - 3\mu} xy - x^2 \right) + \frac{2}{3} := F(x, y),
\end{aligned}$$

where  $y = |b_2| \in [0, 2]$ ,  $x = |p_1| \in [0, 2]$ .

Thus to show (5.3) it suffices to establish that

$$(5.10) \quad F(x, y) = \frac{2}{3} + \frac{4 - 3\mu}{48} \left( -4y^2 + \frac{4(3\mu - 2)}{4 - 3\mu} xy - x^2 \right) \leq \frac{2}{3} + \frac{\mu - 1}{4 - 3\mu}$$

for all  $1 \leq \mu \leq 10/9$ ,  $y \in [0, 2]$  and  $x \in [0, 2]$ .

Again we notice that  $F(x, y)$  has no critical points in  $(0, 2) \times (0, 2)$ . Hence we need only to check  $F(x, y) \leq 0$  when  $x = 0$  or  $y = 0$ , and when  $x = 2$  or  $y = 2$ . It is clear from (5.10) that in these four cases  $F(x, y)$  attains the greatest value when  $x = 2$ . Then

$$F(2, y) = \frac{2}{3} + \frac{4 - 3\mu}{48} \left( -4y^2 + \frac{8(3\mu - 2)}{4 - 3\mu} y - 4 \right),$$

and

$$\begin{aligned}
\max_{0 \leq y \leq 2} F(2, y) &= \frac{2}{3} + \left[ \frac{4 - 3\mu}{48} \left( -4y^2 + \frac{8(3\mu - 2)}{4 - 3\mu} y - 4 \right) \right]_{y=(3\mu-2)/(4-3\mu)} \\
&= \frac{2}{3} + \frac{\mu - 1}{4 - 3\mu} = \frac{3\mu - 5}{3(3\mu - 4)}.
\end{aligned}$$

This gives (5.3).

**Case 5.**  $\mu \geq 10/9$ .

From (5.7) we obtain with  $x = |p_1|$  and  $y = |b_2|$ ,

$$\begin{aligned}
|a_3 - \mu a_2^2| &\leq \frac{1}{3} \left( 1 + (3\mu - 4) \frac{y^2}{4} \right) + \frac{xy}{12} (3\mu - 2) + \frac{1}{6} \left( 2 - \frac{x^2}{2} \right) + \frac{\mu x^2}{16} \\
&:= H(x, y).
\end{aligned}$$

Since the only critical point of  $H(x, y)$  is when  $x = y = 0$ , and  $H(0, 0) = 2/3$ , we need only to check the end points of  $H(x, y)$  on  $[0, 2] \times [0, 2]$ . First  $H(0, y) = 1/3 + 1/3(1 + 1/4(3\mu - 4)y^2) \leq (3\mu - 2)/3 \leq 9\mu/4 - 5/3$  when  $\mu \geq 10/9$  and  $0 \leq y \leq 2$ .

Next  $H(2, y) = \mu/4 + (3\mu - 2)y/6 + 1/3(1 + (3\mu - 4)y^2/4)$ , which increases on  $y \in [0, 2]$ , and so  $H(2, y) \leq 9\mu/4 - 5/3$  again.

Next  $H(x, 0) = 2/3 + (3\mu - 4)x^2/48$ . Then  $H'(x, 0) = 0$  when either  $x = 0$  or  $\mu = 4/3$ . Since  $H(4/3, 0) = 2/3 \leq \mu/4 - 5/3$ , we need only consider the cases  $x = 0$ , and  $x = 2$ . However since  $H(0, 0)$  is again  $2/3$ , and  $H(2, 0) = 1/3 + \mu/4 \leq 9\mu/4 - 5/3$  the result follows in this case.

Finally  $H(x, 2) = (3\mu - 3)/3 + (3\mu - 2)x/6 + \mu x^2/16 + (2 - x^2/2)/6$ , which increases for  $x \in [0, 2]$  when  $\mu \geq 10/9$ . Since  $H(2, 2) = 9\mu/4 - 5/3$ , the proof is complete.

The result is sharp on choosing  $b_3 = 3, b_2 = p_1 = p_2 = 2$  in (5.8), i.e.  $g(z) = z/(1 - z)^2, p(z) = (1 + z)/(1 - z)$ . □

The following Fekete-Szegő theorem for complex  $\mu$  is probably not sharp.

**Theorem 5.2.** *Let  $f \in \mathcal{K}_u$  and be given by (1.1). Then if  $\mu \in \mathbb{C}$*

$$(5.11) \quad |a_3 - \mu a_2^2| \leq \frac{1}{3} [\max\{1, |4\mu_1 - 3|\} + \max\{1, |2\mu_2 - 1|\} + |2 - 3\mu|],$$

where

$$\mu_1 = \frac{3\mu}{4}, \quad \mu_2 = \frac{4 + 3\mu}{8}.$$

*Proof.* From (5.7), we obtain

$$\begin{aligned} |a_3 - \mu a_2^2| &\leq \frac{1}{3} \left| b_3 - \frac{3\mu}{4} b_2^2 \right| + \frac{1}{12} |b_2 p_1| |2 - 3\mu| + \frac{1}{6} \left| p_2 - \frac{4 + 3\mu}{8} p_1^2 \right| \\ &\leq \frac{1}{3} \left| b_3 - \frac{3\mu}{4} b_2^2 \right| + \frac{1}{3} |2 - 3\mu| + \frac{1}{6} \left| p_2 - \frac{4 + 3\mu}{8} p_1^2 \right|. \end{aligned}$$

Applying Lemma 2.3 and Lemma 2.5 gives (5.11). □

## 6. THE RADIUS OF CONVEXITY

We first recall the well-known condition that  $f$  maps  $\mathbb{D}$  onto a convex domain if, and only if,  $f \in \mathcal{A}$  and

$$\Re \left\{ 1 + \frac{z f''(z)}{f'(z)} \right\} > 0, \quad z \in \mathbb{D}.$$

A number  $r_0 \in [0, 1]$ , is called the radius of convexity for a particular subclass of  $\mathcal{A}$ , if  $r_0$  is the largest number such that

$$\Re \left\{ 1 + \frac{z f''(z)}{f'(z)} \right\} > 0$$

for all  $f$  in the subclass, and  $|z| < r_0$ . It was shown in [6] that the radius of convexity for functions in  $\mathcal{S}_u^*$  is  $(\sqrt{13} - 3)/2$ . We now show that when  $f \in \mathcal{K}_u$ , the radius of convexity is  $(3 - \sqrt{5})/2$ .

**Theorem 6.1.** *The radius of convexity for  $\mathcal{K}_u$  is*

$$r_0 = \frac{3 - \sqrt{5}}{2} = 0.381966\dots$$

*Proof.* Since  $f \in \mathcal{K}_u$ , we write

$$zf'(z) = g(z)[1 + \omega(z)],$$

for some  $g \in \mathcal{S}^*$ , and some  $\omega \in \Omega$ . Thus

$$(6.1) \quad 1 + \frac{zf''(z)}{f'(z)} = \frac{zg'(z)}{g(z)} + \frac{z\omega'(z)}{1 + \omega(z)}.$$

It is well-known (see e.g. [7]), that for  $g \in \mathcal{S}^*$ , with  $z = re^{i\theta}$ ,  $0 \leq r < 1$ , then

$$\Re \left\{ \frac{zg'(z)}{g(z)} \right\} \geq \frac{1-r}{1+r}.$$

Also from the Schwarz Lemma,  $|\omega(z)| \leq |z| = r$ , and from [3, p.77],

$$|\omega'(z)| \leq \frac{1 - |\omega(z)|^2}{1 - |z|^2} = \frac{1 - |\omega(z)|^2}{1 - r^2}.$$

Thus from (6.1), for  $z = re^{i\theta}$ ,  $0 \leq r < 1$ , we obtain

$$\begin{aligned} \Re \left\{ 1 + \frac{zf''(z)}{f'(z)} \right\} &\geq \Re \left\{ \frac{zg'(z)}{g(z)} \right\} - \left| \frac{z\omega'(z)}{1 + \omega(z)} \right| \\ &\geq \frac{1-r}{1+r} - \frac{r}{1 - |\omega(z)|} |\omega'(z)| \\ &\geq \frac{1-r}{1+r} - \frac{r}{1 - |\omega(z)|} \frac{1 - |\omega(z)|^2}{1 - r^2} \\ &= \frac{1-r}{1+r} - \frac{r(1 + |\omega(z)|)}{1 - r^2} \\ &\geq \frac{1-r}{1+r} - \frac{r(1+r)}{1 - r^2} \\ &= \frac{1 - 3r + r^2}{1 - r^2} > 0, \end{aligned}$$

when  $r \in [0, (3 - \sqrt{5})/2)$ . Thus the radius of convexity for the class  $\mathcal{K}_u$  is at least  $(3 - \sqrt{5})/2$ .

To see that this is the largest such radius, consider the function  $f_0 \in \mathcal{K}_u$  defined by

$$f_0'(z) = g_0'(z)[1 + \omega_0(z)], \quad g_0(z) = \frac{z}{(1-z)^2}, \quad \omega_0(z) = z.$$

Then

$$\begin{aligned}
 \left\{ 1 + \frac{zf_0''(z)}{f_0'(z)} \right\}_{z=-r_0} &= \left\{ \frac{zg_0'(z)}{g_0(z)} + \frac{z\omega_0'(z)}{1 + \omega_0(z)} \right\}_{z=-r_0} \\
 &= \left\{ \frac{1+z}{1-z} + \frac{z}{1+z} \right\}_{z=-r_0} \\
 &= \frac{1 - 3r_0 + r_0^2}{1 - r_0^2} \\
 &= 0,
 \end{aligned}$$

which shows that the radius of convexity in the class  $\mathcal{K}_u$  cannot be larger than  $r_0$ .  $\square$

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