# Carbon Black Reborn: Structure and Chemistry for Renewable Energy Harnessing

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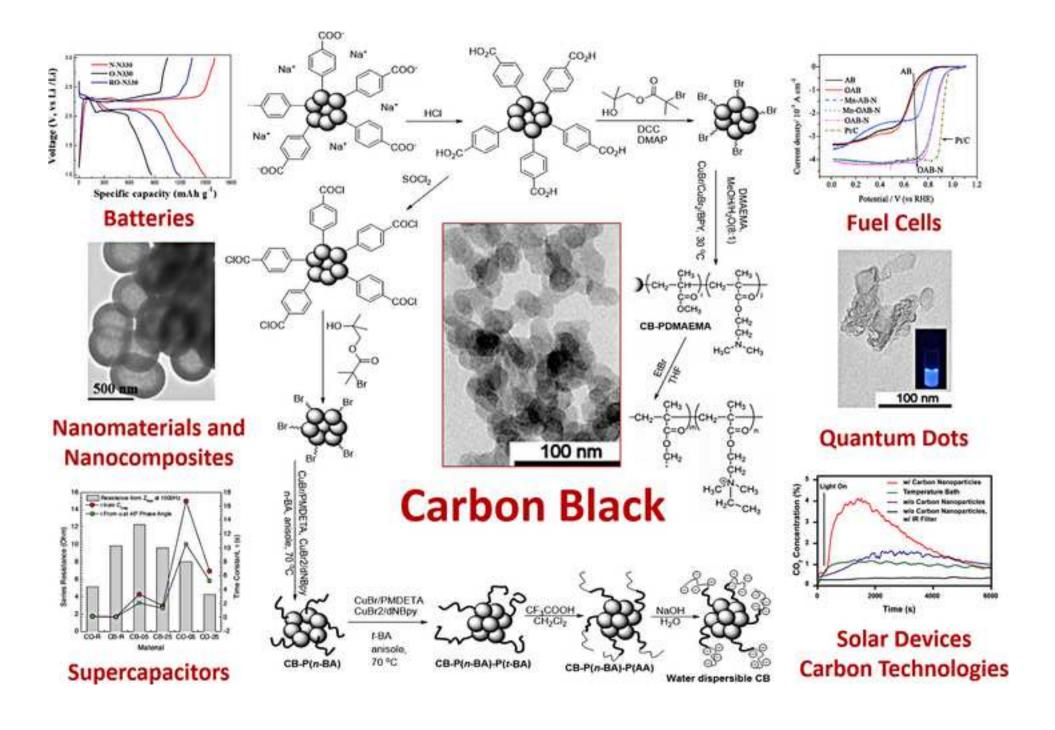
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## Abstract

Carbon Black (CB) is one of the most abundantly produced carbon nanostructured materials, and approximately 70% of it is used as pigment and as reinforcing phase in rubber and plastics. Recent scientific findings report on other uses of CB that are of current interest, such as renewable energy harvesting and carbon capture. The present review focuses on the use and role of CB in renewable and environmental applications relevant to contemporary global challenges focusing specifically on clean energy. Key and recent research on the structure and chemistry of CB, including its uses as precursors to graphene quantum dots and hollow carbon spheres, is discussed in relation to renewable energy devices, electrochemical energy storage and environmental remediation. The surface chemistry of CB is closely related to that of graphitic and of turbostratic carbons through the predominant hexagonal carbon lattice from graphene fragments forming its basic structural units. Consequently, modern methods for grafting polymers and functional groups are easily translated to this abundant nanostructured material. Moreover, recent advances in electron microscopy that probe the structure of CB, and its electronic and physicochemical properties in nanocomposites revived the attention of what is wrongfully considered as a scientifically uninspiring material with limited potential for future technology breakthrough. CB has the potential to surge as a key player in renewable energy and environmental applications, meaning "When Black Turns Green".

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### **Table of Contents**

**Abstract** 

- 1 Introduction
- 2 Carbon black materials
- 2.1 Particle structure and modification
- 2.2 Formation of particles and aggregates
- 2.3 Alternative production methods
- 2.4 Carbon black electrical properties
- 3 Chemical functionalization
- 3.1 Oxidation
- 3.1.1 Gaseous oxidation
- 3.1.2 Wet oxidation
- 3.2 Surface modification of oxidized carbon black
- 3.3 Covalent functionalization by direct carbon-carbon bond formation
- 3.4 Functionalization with polymers
- 3.5 Alternative approaches
- 4 Emerging energy and environmental applications of CB-based materials
- 4.1 Fuel cells
- **4.2 Batteries**
- 4.3 Supercapacitors
- 4.4. Photocatalysts and Solar Devices
- 4.5 CO<sub>2</sub> storage and separations
- **5 Conclusions**

**Abbreviation list** 

Acknowledgement

References

#### 1. Introduction

Carbon black (CB, CAS No. 1333–86–4) materials are formed under the rigorously controlled thermal decomposition of carbon rich feeds in inert (pyrolysis) or oxygen-depleted (partial combustion) atmosphere. Aggregates of carbon nanoparticles (NP) are thus produced, and CB is in all effects the longest-established commercially-marketed form of carbon

nanostructured material. CB production process is fundamentally different from that of black carbon and soot, both resulting from the incomplete non-purposely engineered combustion of carbon sources such as fossil fuels, biofuels, and biomass. CB is the cheapest and largest scale industrially produced nanocarbon with a projected annual production of 15 Mt by 2025 [1]. CB dwarfs the market of carbon nanotubes (15 kt by 2022 [2]) and with an average price of \$1/kg, it is by far cheaper than the cheapest grade of carbon nanotubes currently available on the market, \$600/kg [3]. To further exacerbate this contrast, graphene nanoplatelets and fullerene C<sub>60</sub> are sold at about \$10,000/kg and \$35,000/kg [4, 5], respectively. Hence, where large scale availability, cost of materials, and required properties meet those of other carbon allotropes, CB appears to be an attractive option.

Impingement, gas, lamp, channel, furnace, thermal, and acetylene blacks are all different types of CBs, each produced using different processes and/or starting materials. Currently, the vast majority of CBs are furnace blacks, while some others are thermal and acetylene blacks. The other CBs have been phased out because their preparation processes were less economically viable or less environmentally friendly [6]. The furnace black process can produce practically all grades of CB used in the rubber industry, the major user of CB as a reinforcing filler in tires. Key to the production process is a partial combustion furnace where an atomized spray of aromatic oils (rich in polycyclic aromatic hydrocarbons) and an airdeficient fuel source (natural gas or oil) are injected to combust and produce the temperature (1200-1900 °C) required to pyrolyze and covert the aromatic oils into carbon black. Furnace black is freshly collected as a very low bulk density powder (20-60 g/L), compacted or pelletized to facilitate handling [6]. Thermal blacks are produced in an inert atmosphere using lower molecular weight gaseous hydrocarbons. A furnace is heated to the required temperature (1400 °C) with the combustion of natural gas in air, at that temperature the air flow is stopped letting in only gas that is then pyrolyzed to CB and H<sub>2</sub>. Acetylene black production is much smaller in scale since acetylene is an expensive feed material, and it is only used in specialized high-value applications most often as (electrical) conductivity and battery blacks.

Typically, furnace blacks have specific surface areas from 20 to 150 m<sup>2</sup>/g, but, values up to 300 m<sup>2</sup>/g can be achieved in the case of pigment-grade furnace blacks. Rubber-grade furnace blacks can contain significant amounts of oxygen, ash, and volatiles with a resulting carbon content not lower than 97% [7]. Ash residues are usually due to salts present in the water used to quench the pyrolysis process, or purposely added to control the CB structure.

Hydrogen, nitrogen and sulfur elements can also be found in CB depending on the raw material feeds used. C-H hydrogen is found at the edge of the carbon layers, while nitrogen and sulfur are usually integrated in the aromatic structure of the layer. Oxygen groups such as COOH, C=O, and OH are present on the surface depending on the use of air during the production process. Thermal and acetylene blacks instead consist of >99% carbon [7]. Some thermal black grades can be made very coarse with specific surface areas of a few m<sup>2</sup>/g, while some pigment grades can be powders with specific surface areas of a thousand m<sup>2</sup>/g [6]. CBs are indeed a very wide family of materials as exemplified by the number of commercial products available on the market covering an as wide variety of technological specifications and performance requirements. Examples of key global producers with some of their main product trademarks and series are: Cabot Corporation (BLACK PEARLS®, EMPEROR®, MONARCH®, REGAL®, VULCAN®), Birla Carbon (RAVEN®, CONDUCTEX®, ULTRA®, BC and N series), Continental Carbon (N, CL, E, JE, LH, Satin, and SBX series), Orion Engineered Carbon (CORAX®, PUREX®, DUREX®, NEROX®, PRINTEX®), and Imerys Graphite & Carbon (Super S®, Super P®, ENSACO®). A summary of the most referenced CB materials and some of their properties, which are discussed in the sections herein, are included in Table 1. Selected CB materials, properties and applications have been compiled from the representative references cited in this work. The table includes materials not mentioned in the present text, but that were extracted from the same references as those explicitly mentioned in the examples. ASTM International (formerly known as American Society for Testing and Materials) reference numbers were obtained from different vendors and manufacturers whenever a given property or specific application was unavailable from referenced works. A comprehensive list of ASTM nomenclature, numbers and CB properties is available from Report 93 of the International Agency for Research on Cancer (IARC) [8].

The aim of this document is to review the most significant and latest uses of CB in applications relevant to current global challenges focusing specifically on clean energy. The different types of CB grades, structures, properties, manufacturing processes and major industrial applications (e.g. tires, pigments, inks, etc.) are already covered in numerous excellent chapters and reviews [6, 7, 9-12]. Therefore, our focus is on recent work covering these different aspects of CB and only revisiting some of the general knowledge where beneficial. A significant part of this review is also dedicated to the chemistry of CB since chemical modification and functionalization are often instrumental to application. Solar cells,

fuel cells, batteries, and supercapacitors are the key applications discussed in this review, with an additional section dedicated to CB applied to carbon dioxide capture also relevant to the deployment of low carbon energy technologies.

**Table 1** – Most commonly reported Carbon Black materials and discussed in the present work, properties and uses.

Manufacturer	CB Grade	Commercial Name (ASTM #)	Туре	PPS (nm)	SSA (m <sup>2</sup> /g)	Functionalization	Uses	Representative References
		BP120		52- 60	30			
		BP280		34	41		Conductive	
	BLACK PEARLS <sup>®</sup>	BP460	Furnace	29	78	Oxidation	additives, fuel	[40, 41]
		BP800	Black	22	242		cells, carbon	
		BP880		19	210		capture	
Cabot		BP1300		13	520			
Corporation		BP2000		15	1500			
_		XC-72R,		20-	241			
		powder		50	<i>2</i> <del>4</del> 1	Oxidation, C-N		
	VULCAN®	XC-72,		30-	218	coupling, C-C	Conductive	[169, 171,
		pellet	Furnace	60	210	coupling through	additives, fuel	176, 177, 180,
		Bla P (N294)	Black	20-	140	radical reactions	cells,	185-188, 194-
		1 (11274)		25	140	or by diazonium	supercapacitors	197, 231]
		9 (N115)		11-	123	salts		
		) (11113)		19	143			

		VXC 605	Acetylene Black	34	254			
		120		75	31	Oxidation, C-N		
		430		30	85	coupling, C-C		
		700		18	200	coupling through		
	MONARCH®	800	Furnace	19	210	atom transfer	[125 1 <i>111</i>	[135, 144-148]
	WONAKCII	1100	Black	14	240	radical		[133, 144-140]
						polymerization		
		1300		13	560	(ATRP) or by		
						diazonium salts		
	MOGUL <sup>®</sup>	L	Furnace	21	138	Oxidation, C-N		[38]
	WOGGL	L	Black	21	130	coupling		[36]
		250	Furnace Black	35	78	Oxidation	Conductive	
	$REGAL^{\scriptscriptstyle{\circledR}}$	400, pellet		46	115		additives, fuel	[16, 125, 171]
		660R	Diack	21	121		cells	
-		N110		11-	130			
Orion	CORAX <sup>®</sup>	NIIU		19	130		Nanofluids,	[104, 138,
Engineered		N115	Furnace	11-	137		Solar energy	161, 269, 270,
Carbon			Black	19	137		conversion,	276]
Carbon		24101		11-	114		carbon capture	
		N121		19	114			
	-							

		N220		20- 25	113			
		N774		61- 100	30			
		N326		26- 30	78			
	NEROX <sup>®</sup>	600	Furnace Black	22	130	Oxidation, O-C coupling		[131, 132]
	COLOUR BLACK	FW 200	Gas Black	13	550	-		[127, 141]
	PRINTEX <sup>®</sup>	25	Furnace Black	47	51	Oxidation, O-C coupling		[131, 132, 257, 258]
	PRINTEX®	L	Furnace Black	23	150	Oxidation, O-C	Conductive additives, photocatalysts	[131, 132, 257, 258]
	Super P <sup>®</sup>	SUPER PLi		30- 40	62	Oxidation	Conductive additives, batteries	[120, 199]
Imerys Graphite &	C-NERGY <sup>TM</sup>	SUPER C65		30- 40	62	Oxidation	Conductive additives,	[120, 199] [153, 174]
Carbon	Denka Black	Acetylene	Acetylene	30-	65	Oxidation, C-C	batteries	[100, 17.]

	(DB)	Black	Black	40		coupling through	Conductive	
						radical reactions	additives, fuel	
							cells,	
							supercapacitors	
							Conductive	
Denka		EC300J,	A 41	79	800	Oxidation	additives, fuel	
	Ketjenblack <sup>®</sup>	ŕ	Acetylene				cells,	[44, 47, 115]
Corporation		granule	Black				supercapacitors,	
							carbon capture	
		EC600JD,		<b>6</b> 0	1270		Conductive	
	Ketjenblack <sup>®</sup> Shawinigan Black <sup>®</sup>	powder	r	68	1270			
		C-55	Acetylene			-	cells,	
A1 N7 1 1			Black			Oxidation	supercapacitors,	[44, 47, 115]
AkzoNobel			Acetylene		<b>5</b> 0	Oxidation	carbon capture	[169, 174]
			Black		70		Conductive	
							additives, fuel	
							cells, batteries	
Chevron	Neo-Spectra	Neo-Spectra Neo-Spectra	Channel	25	1000			[153, 226,
Phillips			Black	25	1000			235]
Columbian	Neo-Spectra	Neo-Spectra	Channel	12	007	Oxidation, C-C		[153, 226,
Carbon Co.		II	Black	13	906	coupling through		235]

(now Birla Carbon)						radical reactions		[104, 127, 141, 153, 154]
Columbian Carbon Co. (now Birla Carbon) Birla Carbon	Neo-Spectra PUREBLACK®	CDX975	Channel Black	21	242	Oxidation, C-C coupling through radical reactions	Conductive additives, supercapacitors	_
	rendblatene	SCD 205		42	41	Oxidation, C-C coupling through radical reactions	Conductive additives, supercapacitors	-
		Philblack 0 (N330)	Furnace Black	26- 30	78	- Oxidation, C-N coupling	Conductive additives, batteries	-
Phillips Petroleum Co. (now Chevron Phillips Chemical Company)		Philblack I (N220)	Furnace Black Acetylene Black	20- 25	114	Oxidation, C-N coupling Oxidation		[104, 127, 141, 153, 154] [183]
Phillips Petroleum Co. (now Chevron		Р366-Е	Furnace Black Acetylene		110	Oxidation, C-N coupling Oxidation	Conductive additives, supercapacitors	-

Phillips Chemical Company)	P701 (N772)	Black Acetylene Black	61- 100	36	Conductive additives, fuel cells
Black NRG	P702	Furnace Black	60– 100	37	Conductive additives, fuel [183] cells
Black NRG					Conductive additives, fuel [183] cells

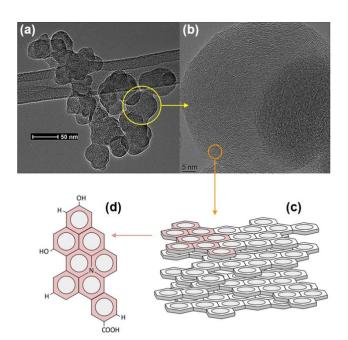
PPS – Primary particle size is calculated assuming a geometrical relationship for a linear aggregate of spherical particles. The PPS is the thickness of the aggregate and it is inversely proportional to the external surface area of the material. The external surface area of CBs is calculated based on the statistical  $N_2$  thickness method (t-plot STSA equation for Carbon Black) for nonporous samples; SSA – Specific surface area (or total surface area) determined by the Brunauer-Emmett-Teller (BET) method for  $N_2$  adsorption isotherms. For CBs with deep micropores, the STSA area is subtracted from the total (BET) surface area to obtain the external surface area.

#### 2. Carbon black materials

CBs are engineered to meet specific process and application requirements. Their properties must meet processability specifications (e.g., high dispersibility in rubber to make tires, or in water to make inks), and final product specifications (e.g., high abrasion resistance in tires, or blue undertone in inks). The key material features that determine these properties are down to the particle level where size, porosity, structure, and surface chemistry determine dispersibility, conductivity, rheology, pigmentation, abrasion and UV stabilization, to name some.

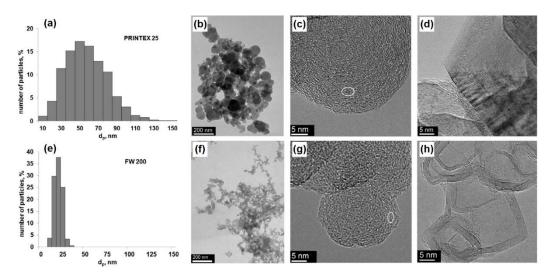
### 2.1 Particle structure and modification

CB materials are aggregates of nanoparticles of spheroidal shape, typically 10 to 100 nm in diameter, with an amorphous core surrounded by a shell of stacked graphene-like domains each made of a nanometric stack of polyaromatic sheets (Figure 1). These domains tend to orient concentrically around the amorphous core forming a disordered onion-like nanostructured shell and are often referred to as basic structural unit (BSU) [13]. Each nanoparticle, also referred to as primary particle, is a non-discrete component of an aggregate, meaning it can only be separated from the other particles by fracturing [14]. The size and number of particles present in an aggregate define its structure: low structure CB has aggregates of spherical shape often made of less and larger particles (coarse structure), whereas high structure CB has aggregates of branched shape often made of more and smaller particles (fine structure). CB may contain chemically bound hydrogen, oxygen, nitrogen and sulfur depending on the raw materials and production process used. Heteroatoms are removed upon thermal treatment at high temperature in inert atmosphere (carbonization). Increased size of two-dimensionally ordered domains and formation of three-dimensional staking order (graphitization) can be observed above 2000 °C, whereas the amorphous core is at best converted to a microporous structure consisting of warped carbon sheets at a temperature of 3000 °C and above. Combined X-ray diffraction (XRD), high resolution transmission electron microscopy (HRTEM), and Raman studies showed that CB is comprised of small sized graphene domains with limited number of stacked layers and greater degree of stacking disorder between parallel planes than graphite. As Pawlyta et al. stated "during graphitization the size of stacked polyaromatic layers cannot exceed the volume inside which the layers were previously oriented in parallel" [15]. This is exemplified in Figure 2 where upon heat treatment at 2600 °C, PRINTEX® 25 with larger primary particles allows for the growth of bigger domains resembling the graphitic structure compared to Color Black FW200 where stacks of only a few graphene layers are formed. Consequently, CB is generally categorized as a non-graphitizable material since its mismatch stacking (turbostratic structure) carbon intraplanar spacing of 0.344 nm does not reduce to 0.335 nm for graphite even after heat treatment at 3000 °C.[16] The latter happens for two main reasons: the small particle size and lattice mismatch. In case of small particle size, the forces binding each stacked graphene domain are weaker than in much larger sheets; the lower interplanar forces results in increased separation between sheets. The second reason results from the inability of each individual sheet to rotate and orient itself with respect to the next two sheets as in graphite. Consequently, the expected ABABA... stacking is not achieved. In the hexagonal graphite unit cell, half of the C atoms find themselves immediately above and below the centers of hexagons of the adjacent graphene planes. In case of stacking disorders, there is no regular correspondence between C atoms in adjacent parallel layers, which further increases the interplanar spacing in CB. Instead of forming infinitely large graphitic domains at high temperature, the BSUs flatten out to join other BSUs at high angles, an orientation imposed by the CB particle geometry and size. This junction takes place at the terminations of the edge planes to form closed polyhedrons with layer spacing greater than that of graphite.[16]



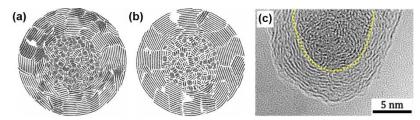
**Figure 1** – TEM images of (a) aggregate and (b) primary particle of carbon black Cabot REGAL® 250 (adapted with permission from reference [16]). Illustrative representation of

(c) a hypothetical graphitic basic structural unit, and (d) a simplified example of hydrogen and heteroatoms present in it.



**Figure 2** – Effect of heat treatment on two CBs of different particle size distribution: PRINTEX® 25 (a-d) and Color Black FW200 (e-h) both from Orion Engineered Carbons. Distributions of primary particles diameters are in (a) and (e). TEM images of aggregates in (b) and (f), and HRTEM images of primary nanoparticles in (c) and (g), all before heat treatment. HRTEM images of primary particles after heat treatment in argon at 2600 °C are given in (d) and (h). Examples of BSU are circled in (c) and (g). Reproduced and adapted from reference [15].

A molecular model of the core-shell structure of CB primary particles has been developed by Ban et al. [17], showing small size graphite sheets randomly arranged in the amorphous core and larger size sheets oriented almost concentrically in the shell (Figure 3). The model indicates that larger shell units allow for a better concentric orientation of the carbon layers in the outer shell, with 50% of all graphene fragments forming BSUs made from the stacking of 2-5 layers. The calculated BSU size were in agreement with those measured experimentally of 1.1-2.0 nm height and 2.0-4.0 nm extent for units of 3-6 parallel layers [17]. The separated core-shell structure of CB is strikingly evident in samples produced by laser-induced combustion, as shown in Figure 3 (c).



**Figure 3** – Slice views of modeled carbon black primary particles with shell units of size 2.7 nm (a) and 3.7 nm (b). TEM image of a carbon black particle produced by laser-induced combustion with a yellow dotted line marking the boundary between a disorder core and more organized outer shell. Reproduced and adapted from references [17, 18].

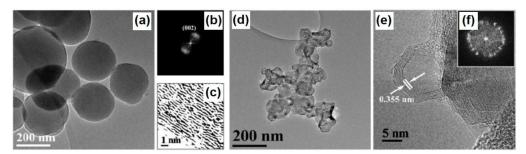
As previously discussed, a BSU domain can be thought as made of a stack of few and small graphene fragments. In addition to flat graphene domains, curved graphene layers are present [19]. Recent HRTEM studies on soot confirmed the presence of curved graphene domains resembling those of fullerene [20]. Such domains in soot also have strong resemblance to those found in CB. The visualization and modeling of these domains on soot provided support to the hypothesis of fullerene-type nucleation during soot and CB growth [21]. The proposal of pentagons into the growth mechanism of soot was driven by the need to eliminate dangling bonds in the growing carbon network. Although topological models indicate that concentric fullerene structures would have d<sub>002</sub>-spacing that is smaller than those found in soot and CB [22], the existence of carbon pentagons provides curvature to fullerene and to CNT, whereas lines of pentagons give graphene curvature when forming graphitizing porous carbons [23]. Charged fullerene fragments are also known to occur in flames simultaneously to soot particles [24], and C<sub>60</sub> was originally observed on graphitized CB [25]. Hence, the presence of such pentagonal defects breaking the periodical hexagonal ordering of planar graphene fragments contributes to curvature and misalignment of curved fragments in soot and in CB [26, 27]. In addition to pentagonal defects in the graphene lattice, other structural defects cannot be ruled out when considering lattice mismatches and curvature, e.g. heptagonal defects and junctions of pentagonal and heptagonal rings [28]. While series of pentagonal rings introduce greater curvature to the graphene fragments that act as building blocks, heptagonal rings reduce such curvature when in contact with a pentagonal defect. Also supporting of the fullerene building blocks, and by analogy to the well-characterized pentagons in fullerenes, models suggest that the fullerene-like structure of CB has much greater electron affinity than C<sub>60</sub> [29]. The consequence of that greater affinity is that the fullerene-like structures may act as free-radical acceptors. Thus, pentagons may play key-role

in surface grafting of polymers and rubber [29]. Further studies incorporating heptagons and other lattice defects may further refine such models for the reactivity and curvature of CB, and potentially help elucidate the growth mechanism of these materials.

Size and extension of the stacked graphene shell can be amplified upon bombardment with high energy electrons. Pulsed irradiation leads to the reordering of graphene layers [30, 31], whilst continued irradiation with relativistic electrons (11.2 kW of power for 7 min) have been shown to induce the formation of nanocapsules with significant increase in the degree of crystallinity [32]. A comparison of CB before and after irradiation is given in Figure 4. The starting material was a thermal CB with 250 nm average diameter particles and 0.4-2.0 nm graphene layers spaced by about 0.40 nm. Upon irradiation, nanocapsules made of graphene layers spaced by 0.355 nm were formed, Figure 4(e). The increase of crystallinity was evident in the fast Fourier transform of the transmission electron microscopy (TEM) images with more and better-defined electron diffraction spots, see Figures 4(b) and 4(f). Electron bombardment induced fast heating and corresponding conversion of sp<sup>3</sup> to sp<sup>2</sup> carbon [32], and fast heating is believed to be the cause of turbostratic stacked graphene formation upon high energy electrons bombardment. Similar conversions were observed when high energy laser was used, and turbostratic stacks of extended graphene layers formed by treating CB with high energy laser reaching temperatures as high as 4200 °C (Figure 5) [33].

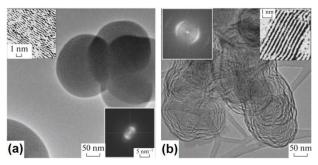
Depending on the energy of the electron beam (e-beam), structural changes or damage to the carbon nanomaterials may undergo different mechanisms. Absorption of electrons in the range of 100 keV to 10 MeV leads to production of secondary electrons via energy loss and collision processes, further producing ions and free radicals in the target material. Hence, the overall effect of the electron bombardment is related to the energy loss due to electron scattering and its dissipation as heat [34, 35]. In carbon materials, e.g. C60, graphite, SWNT, MWNT and carbon nanofibers, the radiation damage starts with the knock-on process, followed by vacancy interstitials and Stone-Wales (SW) defects and recombination process [36]. A Stone-Wales defect involves the change of connectivity between two  $\pi$ -bonded C atoms and (this is the replacement of four hexagons in the graphene sheet with two pentagons and two heptagons. This change results from rotation by 90° of the two  $\pi$ -bonded C atoms with respect to the midpoint of their bond [37]. The electron bombardment induces vacancies by dangling bond saturation. This process allows for the welding of SWNT, MWNTS and carbon fibers [34, 38]. Besides SW defect, Frenkel pairs have recently been pointed out as

relevant to high-energy e-beam irradiation of carbon nanostructures [39, 40]. Frenkel pairs are a type of point defects, and form when a small atom or ion migrates and leaves a lattice vacancy; this atom or ion then becomes an interstitial in the lattice. Computational methods have further proposed formation of energetically favored Frenkel pairs and bridging neighboring graphene layers in graphite and in materials having curved graphene lattices, e.g. MWNTs, fullerenes and onion-like carbons [36, 40]. In radiation damaged graphite, part of the SW defects has been found to be corrected by Frenkel pairs, with the number of Frenkel pairs becoming more relevant at high energies. This concerted mechanism has been found to restore graphite lattice in radiation exposed graphite, thus rendering it high radiation stability [36]. Moreover, energy is dissipated well-below the surface of a material, and the energy dissipation in the form of heat becomes increasingly important at increasingly higher e-beam energies. The dissipation of the e-beam energy in a massive target (meaning a large volume of a material) has been found to vary with depth. For example, the energy dissipation as heat in Cu has been investigated experimentally and the maximum energy dissipation rate found to occur at 400 nm and 600 nm from the surface for a 20 keV and 30 keV e-beam, respectively. These results indicate that the effects of electron irradiation will be dissipated at a greater depth from the surface of a target material [35]. Although turbostratic and graphitic carbons are both subjected to similar radiation damage mechanisms leading to rearrangement of sp<sup>2</sup> C lattice, intense local heating may further explain the formation of large CB aggregates [32, 33]. As pointed by authors investigating SWNTs, e-beam heating induced carbon sublimation, leading to SWNT thickening and welding [38].



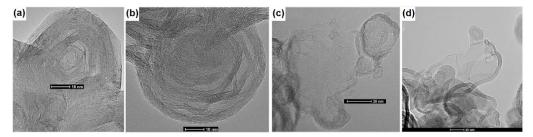
**Figure 4** – Effect of high-energy relativistic electron beam irradiation (11.2 kW for 7 min) on the graphitic structure of thermal black. TEM image of CB before irradiation (a) with related fast Fourier transform (b), and detailed structure of the graphene layers at a particle surface (c). TEM images of CB after irradiation showing a resulting aggregate of

nanocapsules (d), a nanocapsule close up (e) with related fast Fourier transform (f). Reproduced and adapted from reference [32].



**Figure 5** – Effect of high-energy laser irradiation (1064 nm; energy pulse 0.7 J; length and frequency 8 ns and 10 Hz, respectively) on the turbostratic graphitic structure of gas black (BLACK NRG® T900). HRTEM images of CB before (a) and after (b) laser irradiation in aqueous suspension. Insets show related fast Fourier transforms and details of the graphene layers. Reproduced and adapted from reference [33].

Thermal annealing is an excellent method to improve the lattice ordering in CB. Heating rate, duration, and temperature are key factors in determining the resulting graphene in-plane ordering and the reduced degree of stacking disorder (graphitization). Furnace heating is slow and effective in the scale of several minutes, whereas laser induced heating can achieve rates of millions of degrees per second. At this ultrafast heating rate, long range reconstructing of the stacked graphene domains is kinetically limited and only partial graphitization is observed. A striking example is given in Figure 6 where the stacking order of carbon black REGAL® 250 appears greatly enhanced after furnace heating at a rate of 25 °C/min holding at 2600 °C for one hour, Figure 6(a). However, when a similar temperature (2850 °C) is achieved in a few nanoseconds using pulsed laser heating, lamellae are found to form concentric shells, Figure 6(b). At higher laser fluences, temperatures are so high that C<sub>2</sub> sublimation occurs, making the nanoparticles burst and leaving behind entangled ribbon structures, Figures 6(c) and 6(d) [16]. Evidently laser-induced thermal treatment produces a diversity of carbon nanostructures. However, it is unclear how scalable the process is and whether the resulting carbon structures can be obtained in sufficiently large scale for applications. Nonetheless, future research work published on these materials properties in energy storage and conversion devices may push for further advances in the field provided properties exceed those of the starting CBs or of other graphene-based materials.

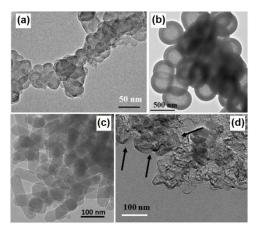


**Figure 6** – Effect of heating method and temperature on the BSU integrity and structure of carbon black REGAL® 250. TEM images of CB after furnace heat treatment in argon 2600 °C (a), and 1064 nm laser heating at a fluence value of 50 mJ/cm<sup>2</sup> reaching a maximum temperature of about 2850 °C (b), 300 mJ/cm<sup>2</sup> achieving the maximum temperature of C<sub>2</sub> sublimation at 4180 °C (c), and 550 mJ/cm<sup>2</sup> causing significant vaporization of the sample (d). Reproduced and adapted from reference [16].

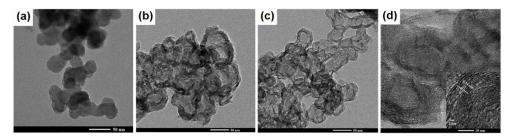
Microwave treatment can also be applied to alter and modify the structure of CB. In this case, a combined thermal (phonons generation), electron (electronic excitation), and ion (surface ionization) effect has been proposed to explain the structural changes observed upon microwaving [41]. Atom displacement, bond breaking, and crosslinking of activated surfaces were proposed to be the cause of major structural changes transforming CB agglomerates into clusters of hollow nano-balls, or higher aspect ratio nano-sticks, or even into entangled nano-wire like patterns depending on the amount of energy input (Figure 7). The round (balllike) and elongated (stick-like) shapes of the resulting products were dependent on different structural orientation in CB, "spheroidal" and "fibrous" orientations, respectively. These orientations were probably influenced by the dispersion of CB in toluene followed by hot plate heating at temperatures up to 500 °C [41]. CB can be converted to hollow carbon nanospheres through chemical methods. One recent example is the work of Wutthiprom et al. [42] applied to Li-ion batteries. In their work, CB was first oxidized in HNO3 at 100 °C for 96 hours, and then reduced with hydrazine in water at 100 °C for 36 hours. The resulting hollow carbon nano-spheres are shown in Figure 8. While Raman provided evidence for greater ordering of the hexagonal carbon lattice, fast Fourier-transform (FFT) applied to HRTEM characterization results supported the formation of local graphitic carbon domains [42].

The size and shape of graphene layers in CB have been determined from powder X-ray diffraction data. The best fit of the two-dimensional 10 reflections in the diffraction profile of CB was found by applying the Debye equation to a single simulated graphene sheet while

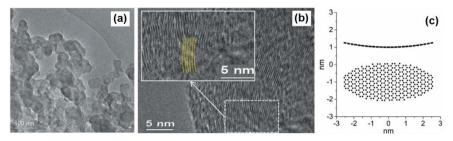
varying its shape, size, and interatomic distances within the aromatic layer [43]. The results indicate that the ordered regions within the graphene layers are elliptical in shape and curved along the longer axis of the ellipse, matching to those experimentally observed using TEM (Figure 9). Individual GO-like nanosheets with characteristic blue photoluminescence can be peeled off from the surface outer layers of CB via oxidative treatment with KMnO<sub>4</sub> in H<sub>2</sub>SO<sub>4</sub> (Figure 10) [18], an easy and potentially scalable method to prepare GO-like products from inexpensive CB for energy applications. Ultrasound irradiation can also be used to produce carbon nanosheets from CB, this was achieved with carbon black Cabot N330 dispersed in water after prolonged (44 h) bath ultrasonication (90-W) [44]. Using an ultrasonic processor to input more power (300-W) over a shorter time (1 h), localized short-range order of BSU was induced in low structure carbon black Cabot MOGUL® L, however this order was lost after prolonged sonication (3 h) (Figure 11). Electron energy loss spectroscopy was used to quantify the ratio of sp<sup>2</sup>:sp<sup>3</sup> carbon in the sample after ultrasonication, the ratio dropped from 77:23 for MOGUL® L to 55:45 for the ultrasonicated sample (300-W / 3 h) with a corresponding loss of UV absorbance at 250 nm [45]. This conversion from sp<sup>2</sup> to sp<sup>3</sup> hybridization, due to BSU oxidation, is an important factor to consider when processing CB for specific applications as discussed in the later sections of this manuscript.



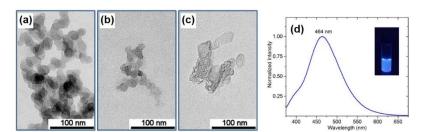
**Figure 7** – Effect of microwave treatment on the structure of conductive carbon black ENSACO® 350G from Imerys Graphite & Carbon. HRTEM images of an agglomerate of pristine CB (a), and of the modified carbon structures formed upon exposure to 900-W microwave radiation for 15 min, 30 min, and 45 min to make carbon nanoballs (b), nanosticks (c), and nano-wires like patterns with high graphitized regions as showed by the arrows (d), respectively. Reproduced and adapted from reference [41].



**Figure 8** – Conductive carbon black Super P® from Imerys Graphite & Carbon converted to hollow carbon nano-spheres using a chemical method. TEM images of a pristine aggregate (b), the hollow carbon structures produced after oxidation with HNO<sub>3</sub> (b) converted to hollow carbon nano-sphere upon chemical reduction with hydrazine (c), and HRTEM of the localized graphitic domains present in the shells (d). Reproduced and adapted from reference [42].

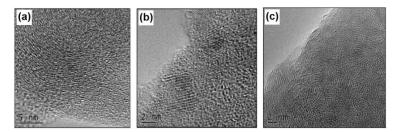


**Figure 9** – Size and shape of ordered regions within the graphene layers of conductive carbon black Super P®. TEM images of aggregate of Super P® nanoparticles (a), close ups of a primary particle with the yellow lines showing ordered layer profiles in the inset (b). The curvature of the layer profile agrees with that calculated using the Debye equation for elliptically shaped ordered regions (c). Reproduced and adapted from reference [43].



**Figure 10** – Oxidative peeling off of graphene oxide-like nanosheets from CB produced using a laser-assisted combustion process [18, 46]. TEM images of pristine CB aggregates (a), an aggregate after oxidative treatment in KMnO<sub>4</sub>/H<sub>2</sub>SO<sub>4</sub> (b), resulting exfoliated GO-like nanosheets (c), and their photoluminescence spectrum recorded in aqueous solution at 350

nm excitation (in the inset a photo of the same solution under a 365 nm UV lamp) (d). Reproduced and adapted from reference [18].



**Figure 11** – Effect of ultrasonic treatment on the localized graphitic structure of carbon black Cabot MOGUL® L. HRTEM images of pristine CB (a), and after ultrasonication at 300-W for 1 h (b) and 3 h (c). Reproduced and adapted from reference [45].

The internal structure of CB nanoparticles can be micro and/or mesoporous. Evidence for this is found in the comparison of the external surface area of the primary particles and the total or specific surface area that includes internal particle pores. The external surface areas of CBs are of major importance to the rubber and polymer composite industry, as these provide a measure of the accessible CB surface to rubber [47]. Both external and total surface areas can be calculated by the statistical film thickness method (STSA) and by the Brunauer–Emmett–Teller (BET) method for the N<sub>2</sub> adsorption isotherms at 77 K, respectively. When measuring an adsorption isotherm, N<sub>2</sub> strongly adsorbs on both the external and internal surface of the particles. The amount of gas uptake is plotted with respect to the relative pressure of N<sub>2</sub> at which adsorption takes place. Characteristic adsorption isotherms are thus obtained and classified based on the types of or lack of pores [48, 49].

The BET method is based on the assumption of a statistical film formed on the surface of the material under investigation and it describes the monolayer-multilayer adsorption isotherm for nonporous sorbents. The linear form of the BET equation allows for estimating the volume of gas required to form a monolayer, and this value consequently leads to the surface area of the solid [48-50]. Even though the BET method was derived for a macroporous sorbent, it has been widely used for the investigation of microporous and mesoporous materials. In the presence of pores, the monolayer volume includes the volume required to fill small micropores, and the statistical film formed within larger micropores, mesopores and small macropores depending on the selected partial pressure range for linear fittings. This leads to difficulties in estimating the correct N<sub>2</sub> monolayer capacity, and subsequently SSA

for porous solids [51-53]. Consequently, results from the BET method for the same materials vary among different authors. However, the method has been widely accepted for comparing series of samples exhibiting similar adsorption properties, including microporous and mesoporous materials. The interpretation of the BET values may be better appreciated by different authors provided the relative pressure range is reported. For instance, Choma and Jaroniec located the monolayer range for the BET method to lie within 0.05 and 0.30 for nonporous carbons and for carbons having small macropores based on adsorption potential distributions [54]. The latter range has also been found valid to the study of mesoporous nanocomposites and carbon materials [48, 55]. For most microporous carbons, the monolayer formation may take place within lower relative pressure ranges, and authors may find the set of recommendations published by Rouquerol, et al. helpful in locating the monolayer range [53].

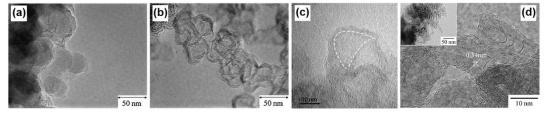
The t-plot method, on the other hand, makes use of nonporous sorbents as reference for the analysis of other sorbents having similar chemical composition and surface properties. Consequently, the calculated surface areas from the t-plot are relative to the reference sorbent. The statistical film thickness is obtained by multiplication of the monolayer thickness of adsorbed gas by the surface coverage  $(\theta)$  for reference solid [56]. The surface coverage is the ratio of the amount of gas adsorbed by the monolayer capacity (or monolayer volume) of the reference sorbent. A general equation for the reference solid can be obtained for the curve relating the statistical film thickness to the relative pressure. In case of CB, the reference N762 material was used as reference for the equation for its low surface area and low structural level [47]. The gas uptake of a sample under investigation is then plotted against the calculated statistical film thickness for the sample using the equation for the reference solid. The statistical film thickness surface area (STSA) is thereby obtained by linear fitting of the obtained curve. For nonporous or macroporous sorbents, the t-plot (or STSA method for CB) yields the surface areas for the statistical film thickness of adsorbed N<sub>2</sub> on the external particle surfaces, which is in the range between 0.35 nm and 0.50 nm for the reference carbon surface [56]. When micropores are present in the investigated sample, the calculated STSA surface area within this range is that of the accessible micropores [56]. The external surface area in the latter case is obtained by subtracting the STSA values from the BET surface areas [47].

Moreover, the use of a suitable reference carbon material offers a very powerful method for modeling adsorption isotherms, allowing for the accurate investigation of properties such as surface adsorption potential changes from surface heterogeneities, e.g. functional groups and pores [51, 52, 57]. Of interest to carbon materials, recent adsorption studies demonstrated that given the adsorption characteristics of non-graphitized BP280, this material is a suitable reference for adsorption studies of other carbon blacks and of porous carbons. In this direction, comparative plot analysis of the N<sub>2</sub> adsorption isotherms for BP280, including the model independent α-plot [48, 49], further led to more accurate methods to calculate adsorption potential and pore size distributions for microporous and mesoporous carbons [51, 52, 55, 57]. These results were also extended for other gas probes such as Ar at 87 K for the same BP280 as the reference material [58].

Given the model restrictions and the need for careful analysis of the fitting ranges used in each method, the external surface areas from STSA equation and the BET method are the industry standard for calculating primary particle size (PPS) [47]. For calculating the PPS, the external surface area value is combined with an assumed spherical geometry of the individual particles forming linear aggregates. The PPS is the average thickness of the aggregate chain and its value is inversely proportional to the external surface area of the material [47]. Besides gas adsorption methods, TEM provides an additional method to directly image and estimate the geometry and PPS of linear CB aggregates, and consequently, to estimate the geometrical surface areas of these materials.

As a rule of thumb, CB is microporous when the specific surface area from N<sub>2</sub> adsorption is significantly larger than that from the geometrical surface area from STSA calculations or from TEM. This has been reported for carbon black CARBOLAC 1 where the BET area is 3.7 times larger than the TEM area, whereas the two areas are practically the same for carbon black MOGUL® A [13]. Consequently, CARBOLAC 1 has micropores, whereas MOGUL® particles lack internal particle porosity. Carbon black MONARCH® 1300 has been reported to have a BET specific surface area of 554 m²/g, while CB N762 of just 32 m²/g, also providing evidence for the significant microporosity of the former with a micropore volume of 0.12 cm³/g [59]. The internal particle microporosity can be correlated to the inefficient random packing of large BSUs, leading to a higher free volume space between these, an hypothesis supported by molecular simulations [17]. Another factor affecting porosity is the presence of in-layer nonhexagonal defects that make the graphene sheets ripple and interfere with their stacking inducing nanoporosity [23, 60], a phenomenon observed in ultramicroporous carbon materials that might play a role in some highly porous CBs. Nonetheless, significantly larger voids can also be present. An outstanding example is the

hierarchical microporous-mesoporous structure of highly electrically conductive Ketjenblack® (AkzoNobel) with BET surface areas reaching 1400 m<sup>2</sup>/g. Figure 12 shows the mesopore structure (hollow cores) of Ketjenblack® (1445 m²/g, 2.07 cm³/g) compared to acetylene black (Sterm Chemicals, 66 m<sup>2</sup>/g, 0.14 cm<sup>3</sup>/g) [61], including a magnification of a typical mesopore [62]. The presence of mesopores increases the total surface area and accessibility of N2 to micropores in the carbon shells. Ketjenblack® can be further activated in air at 600 °C to further increase the surface area and the pore volume (1600 m<sup>2</sup>/g, 4.15 cm<sup>3</sup>/g), but also oxygen content from 4.2% to 6.7% for the pristine and 10-min air-treated CB, respectively. Further oxidation, however, appeared to compromise the mesoporous structure with the surface area dropping to 1030 m<sup>2</sup>/g after 30-min of treatment [63]. Ketjenblack® can also be thermally annealed to increase electrical conductivity. The annealing leads to longer stacked graphene domains with an interspace distance of about 0.34 nm, distinctive of graphitic carbons, Figure 12(d) [64]. Interestingly, the structure of graphitized Ketjenblack® is similar to that of Color Black FW200 heat-treated at 2600 °C, Figure 2(h), and of thermal black after relativistic electron beam irradiation, Figure 4(e). The important difference that a much lower temperature (1300 °C) is required to obtain similar turbostratic stacked graphene shells in Ketjenblack® than in Color Black. In both examples, the growth of the BSUs into longer stacked turbostratic graphene domains resulted in growth of the conjugated sp<sup>2</sup> network. Consequently, the greater electronic delocalization within each graphene plane provided the mechanism for the enhanced electrical conductivity in annealed materials [65].

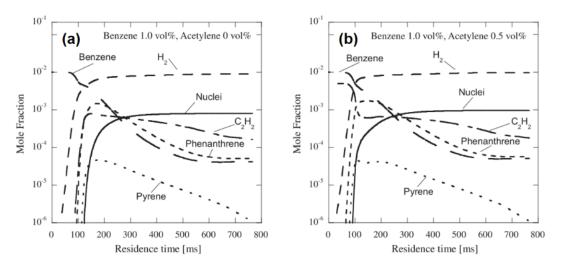


**Figure 12** – Mesoporous structure of carbon black Ketjenblack®. TEM images of acetylene black showing solid primary particles (a), and of Ketjenblack® EC-600JD with its characteristic hollow structure (b) and a highlight of a mesopore of Ketjenblack® EC-300J (c). Graphitized structures obtained from the thermal annealing of Ketjenblack® EC-600JD in argon at 1300 °C for 12 h are shown in the TEM image (d), where the inset is a low magnification image of the same sample. Reproduced and adapted from references [61, 62, 64].

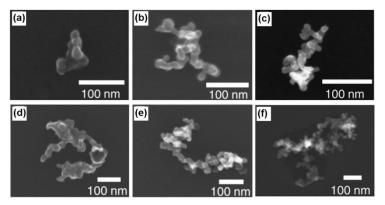
## 2.2 Formation of particles and aggregates

The partial combustion of hydrocarbon feeds is a remarkably complex process not entirely understood at present. Reaction kinetics, heat and mass transfers are intertwined to deliver rapid changes of gas composition, nuclei concentration, particle growth, and evolving morphology of resulting aggregates – all factors strongly dependent on composition of the feed, furnace design and performance, and operational conditions. It is thus no surprise that attempts to shed light in such a complex process look at simplified systems to extract intelligible information. Ono et al. [66-69] studied the pyrolysis of nitrogen-diluted benzeneacetylene mixtures to understand the dynamics of CB particle formation and aggregation. In general, there is agreement that during pyrolysis polycyclic aromatic hydrocarbons (PAH) form and grow into heavier PAH particles collectively termed as soot. The collision of soot produces larger spherical particles that then sinter into the final CB aggregates. By adding acetylene (0.5%) to a benzene (1.0%) feed, it has been experimentally documented that more branched aggregates form at high furnace temperature, whereas the aggregates are more spherical at lower temperature since the presence of small PAH enhances surface growth when benzene or acetylene concentrations are high (5%) [66]. Figure 13 shows that adding acetylene to a benzene feed increases the nucleation rate, i.e., nuclei form earlier and faster in the process, exactly when a drop of acetylene concentration is also observed. Phenanthrene and pyrene (3- and 4-membered aromatics, respectively) form in parallel to increase nucleation, supporting that the acetylene-induced hydrogen-abstraction/carbon-addition (HACA) mechanism, responsible for the formation of PAH, is also linked to the increased branching of the aggregates [68]. This example shows how CB particle growth and aggregation are intimately related, although such evidence has been obtained under simplified process conditions different from those of the more complex industrial production processes. Sintering or fusing behavior of primary particles leading to aggregates has been linked to the pyrolysis temperature, that also affects particle growth, nucleation rate, and PAH formation. In particular, the pyrolysis of ethylene (1% in nitrogen) produces aggregates with better refined branching and smaller particles with increasing temperature (Figure 14) [70]. A comparison of 100-nm-sized aggregates formed at about 1300, 1400, and 1500 °C shows that at higher temperatures, clusters are more complex in shape, as evidenced by 180nm-sized aggregates. Furthermore, at lower temperatures smaller aggregates consist of larger fused particles with little or no branching, see Figure 14(a). A similar temperature effect was

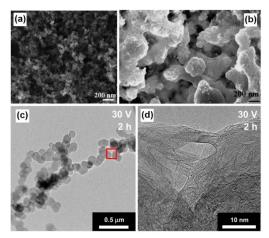
observed in another study where an idealized benzene feed (1.2% in nitrogen) was used, from which smaller primary particle and more complex shape agglomerates formed at high furnace temperature and short residence time. It was implied that high molecular weight PAH formed at higher temperature since they are the species responsible for nucleation, whereas low molecular weight PAH are involved in particles growth [71]. The particles subsequently fuse with each other to form a "welded" aggregate, as early studies of commercial furnace carbon blacks have highlighted the continuity of stacked graphene domains across different primary particles forming continuous clusters [72]. Aggregates can further fuse into larger globular clusters using microwaves [41], or by coating with graphitic material deposited on preformed CB aggregates via pyrolysis of a light carbon source such as propylene [73], as shown in Figure 15. Because of the complexity of the different structures formed, the characterization of CB particle size and shape distribution is a non-trivial task, and reproducible interlaboratory tests are difficult to develop [74].



**Figure 13** – Composition-time profiles of the main species involved in the formation of CB from benzene-acetylene mixtures. Benzene, acetylene, hydrogen, phenanthrene, pyrene, and nuclei mole fractions change during pyrolysis of 1% benzene in nitrogen (a), and the same with the addition of 0.5% acetylene (b). Reproduced and adapted from reference [68].



**Figure 14** – CB aggregates of different sizes produced from the pyrolysis of ethylene at different temperatures, an informative yet idealized case compared to the carbon feeds used in industrial processes. SEM images of 100-nm-sized (a, b, c) and 180-nm-sized (d, e, f) aggregates produced at about 1300 °C (a, d), 1400 °C (b, e), and 1500 °C (c, f). Reproduced and adapted from reference [70].



**Figure 15** – Modification of carbon particle agglomerates. SEM images of as received ENSACO® 350G (a), and after heat treatment followed by microwave irradiation at 900-W for 15 min (b). TEM images of multi-layer graphene-coated carbon particles produced from the pyrolysis of propylene (c, d). A constant voltage was used during pyrolysis to collect chain-like agglomerates that after collection were again exposed to the pyrolysis environment to produce graphitic layer preformed aggregates. The content in the red square (c) is magnified in image (d). Reproduced and adapted from references [41, 73].

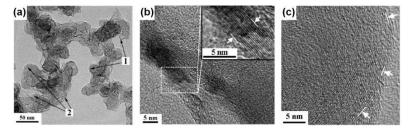
# 2.3 Alternative production methods

Thermal plasma, liquid phase plasma, microwave and radiofrequency-generated plasma, arcdischarge non-thermal plasma, chemical looping pyrolysis, thermal conversion of biomass pyrolysis oil, and solar thermal conversion of hydrocarbon feeds have been all proposed and studied as alternatives to commercial CB production processes. At present, none of these alternatives have been deployed at a production scale as large as the commercial processes.

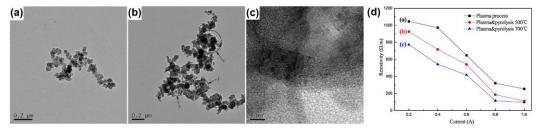
Thermal plasma is considered a major energy efficient technology for tunable high temperature heat sources, especially when paired with renewable energy sources substituting conventional furnaces that operate on thermoelectric energy sources. CB has been produced from the thermal plasma of methane and polymers, and long studied and modeled pilot processes are now becoming commercially available [75-81]. Converting carbon-based feeds without combustion for energy input has the benefit to drastically reduce CO<sub>2</sub> emissions. Monolith Materials Inc. has licensed the technology, developed and built a demonstration CB production plant using a thermal plasma process that emits 70% less CO<sub>2</sub> and about a hundred times less nitrogen (NOx) and sulfur (SOx) oxides compared to the furnace process [76]. Liquid phase plasma has also been used to prepare CB. Two tungsten electrodes immersed in benzene were utilized to generate a plasma flux by pulsing high voltage to produce CB with an ordered carbon content greater than that of the highly conductive Ketjenblack® [82]. This CB was then tested in its pristine and KOH-activated forms in supercapacitors, outperforming commercial CB. The plasma and KOH-activated CB achieved a gravimetric capacitance of 116 F/g against 47 F/g for activated commercial CB at a current density of 250 mA/g. These results were obtained for symmetrical supercapacitor coin cells using 1 M LiPF<sub>6</sub> in ethylene carbonate/dimethyl carbonate (EC/DMC, 3:7 by volume) as the electrolyte solution [83]. In a different study, low temperature plasma was applied to convert methane into CB, C2 products, and H2 [81]. Both microwave- and radiofrequency (RF)-generated plasma were used in the absence and presence of a bimetallic catalyst, Pd-NiO/γ-Al<sub>2</sub>O<sub>3</sub>. The catalyst was found to increase the overall conversion efficiency of the process from 60% to 70% using 800-W RF plasma; the selectivity for CB also increased from about 20% to 30%. The resulting plasma black had a BET surface area slightly below 45 m<sup>2</sup>/g, which increased to approximately 68 m<sup>2</sup>/g at an applied power of 1000 W.

Low-current/high-voltage arc plasma discharge was applied to crack propane into CB and  $H_2$  [84]. A small current plasma arc discharge was produced by applying a high voltage in argon (2 kV, 0-1 A); next, propane was fed in the plasma to produce CB. Under optimized conditions (Ar 80 L/min,  $C_3H_8$  60 mL/min, 0.9 A), spherical carbon black particles with 50 nm average diameter and 96.7 m<sup>2</sup>/g BET surface area were obtained [84]. From TEM

characterization, it was found that the resulting CB contained both acetylene-type and furnace-type nanoparticles (Figure 17). The acetylene-type CB had a well-arranged and extended domains resembling the graphitic structure in the shell structure formed under HACA conditions, typical of acetylene-rich feeds, Figure 17(b). The furnace-type, on the other hand, shows a turbostratic structure with disordered graphene layers staking due to random layer rotation and translation causing alignment mismatch, shown in Figure 17(c). Coupling arc discharge non-thermal plasma with thermal pyrolysis in tandem allowed to improve the content of ordered graphene units in the resulting CB. Consequently, the electrical performance with a drop of resistivity from 1000 to 800  $\Omega$ ·m measured for CB-polymer binder composites (Figure 18) [85].



**Figure 16** – CB produced by plasma arc discharge. TEM image of arc plasma CB aggregates merging two typologies of CB, as shown by the arrows, 1) acetylene-type black and 2) furnace-type black with their HRTEM images provided in (b) and (c), respectively. Reproduced and adapted from reference [84].



**Figure 17** – CB produced by plasma arc discharge in tandem with thermal pyrolysis. TEM images of arc plasma CB prepared under plasma process only (a), and in tandem with thermal pyrolysis (b). HRTEM image showing the extended domains resembling graphitic carbon structure of arc plasma-thermal annealed CB (c). Comparison of electrical performance of composites made of CB (60 wt%) and polyvinylidene fluoride binder (40 wt.%) (d). Reproduced and adapted from reference [85].

Chemical looping pyrolysis has also been investigated in order to decrease the energy demand and environmental footprint of CB production [86]. The principle of operation is the use of an oxygen carrier (OC) particulate material to transfer lattice oxygen to the pyrolysis reactor from an air reactor utilized to regenerate the OC. The role of lattice oxygen (i.e., oxygen transported in the material lattice of the OC) is to extract hydrogen from the carbon feed, thus promoting the generation of CB. The resulting chemically reduced OC is then reoxidized in the air reactor to be reused in the next pyrolysis cycle. Using an OC allows to reduce process temperature, energy consumption, and emissions. Tested OCs were hematite (88% Fe<sub>2</sub>O<sub>3</sub> with alumina and silica as the next most abundant components) and gypsum (93% CaSO<sub>4</sub> with a balance of magnesia). Hematite produced 40 nm and 20 nm CB particles at 800 and 900 °C, respectively; gypsum produce particles with a wider size distribution from 10 nm to 100 nm. CB yields increased when smaller diameter OC particulate was used; however, 0.1 mm OC were found to be incorporated as impurity in the resulting CB products [86]. Alternatively, bio-based "green carbon black" has been recently reported. This approach converted pyrolysis oil (PO) from pine and spruce stem wood into CB [87], in a thorough and detailed work, where process conditions were examined to produce green CB with structural features similar to commercial CB materials. For instance, at 1300 °C, 10.6% of fed PO was converted to CB with structural characteristics similar to medium disperse CB commercial grades. Graphitization was enhanced at higher temperature, 1700 °C. However, the high oxygen content of PO was a source of intensified oxidation at higher process temperatures, causing selective shrinking of nodules and consumption of small agglomerates, thus impacting the green CB agglomerate size distribution and resulting performance [87]. Finally, a different work reported the use of solar energy to provide the thermal energy required to split methane into carbon (CB) and H<sub>2</sub>. These findings showed that conversion yields to CB of up to 63% could be achieved [88]. Furthermore, a process analysis for a 55 MW solar power plant was proposed to competitively produce 1.7 t/h and 5 t/h of H<sub>2</sub> and CB, respectively [89]. Hence, the aforementioned recent findings demonstrate a promising future for the CB market provided high process yields, green energy use, low CO2 emission are achieved, and where CB materials with tunable properties are obtained in large scale using abundant renewable resources, and generating valuable byproducts, namely H<sub>2</sub>.

## 2.4 Carbon black electrical properties

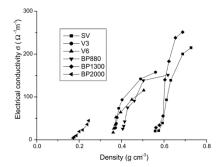
Among the different properties of CB, one of the most relevant for energy application is

electrical conductivity since charge transport is often the most common phenomenon involved in low carbon energy storage, generation, and conversion. As discussed in the previous sections, CB materials are aggregates of carbon nanoparticles each made of sub to a few nanometer scale stacked graphene BSU grain domains. This results in a mostly fragmented electronic conduction pathway. Electrical conductivity ( $\sigma$ ) in CB is the result of a complex combination of intra- and inter-particle charge transport. Consequently,  $\sigma$  is affected by a series of conduction resistances at BSU/BSU and particle/particle contacts. These resistances dominate over the intrinsic conductivity of single BSUs and primary particles. Research effort has focused on understanding electrical conduction at both intraparticle (localized) and interparticle (extended) level. Microwave techniques have been applied to provide bulk measurements of intraparticle charge conductivity and mobility. This is possible since microwave cavities eliminate the need for electrode contacts and the frequency used avoids conduction across grain boundaries and particle-particle contacts [90]. Studies of BLACK PEARLS® 2000 reported values of intraparticle electron conductivity, mobility, and carrier density of 2.4 S/cm, 5.4 cm<sup>2</sup>/(Vs), and 1.5x10<sup>18</sup>/g, respectively [90]. In comparison, the carrier density in polycrystalline graphite is  $10x10^{18}/g$ . Furthermore, holes are the primary charge carriers in the graphene domains of CB [90].

The interparticle electrical contact determines the electrical properties of compacted CB. It is thus no surprise that the electrical conductivity depends on the particle size and separation distance, the nature and amount of impurity and/or functionalization present on the surface. Thermal treatment to remove oxygen and sulfur functional groups is a common procedure to increase carbon black conductivity, complementary to thermal annealing used instead to increase graphitization and consequently intraparticle and intra-aggregate electrical conduction. The interparticle conduction mechanism is dominated by electron tunneling governing the transfer of electrons across the particle-particle contacts. The contacts can be altered under mechanical compression, affecting particle packing and as a result the number of contacts between particles. In a study, the electrical conductivity of six CB grades has been characterized under applied pressure, relevant results are presented in Figure 16 [91]. Clearly, the values of  $\sigma$  are strongly dependent of the bulk density of the compressed CB powder, with the bulk density dependent on the change of volume it occupies under the applied pressure. The occupied volume is a result of the particle size and intrinsic porosity, the aggregate structure and packing ability, among other factors. Low structure and coarse Sterling® V achieved high bulk densities and corresponding high electrical conductivities,

whereas highly structured, high surface area BLACK PEARLS® 2000 could only be packed to give low bulk densities resulting in significantly lower values of  $\sigma$  [91]. This example shows how the conduction across aggregates is strongly dependent on the number of contacts and conduction pathways present in the bulk. At lower density with more voids present, there are less available conduction pathways and the resulting electrical conductivity is lower. The likelihood of contact between CB particles increases with increasing bulk density, i.e., decreasing void volume. A similar dependence of  $\sigma$  vs. bulk density has been reported for Ketjenblack® EC-600JD, with compacted powder  $\sigma$  values over ten times larger upon doubling the bulk density, going from 0.05 to almost 0.6 S/cm [92]. It is relevant to compare the intraparticle (grain domain-confined) and interparticle (bulk-extended) electrical conductivity of BLACK PEARLS® 2000, the two are 2.4 S/cm and around 0.2 S/cm (Figure 16), respectively, highlighting the detrimental effect of contact resistances on the macroscopic electrical performance of CB.

Properties of the carbon blacks and sample masses						
Carbon	$S_{\rm BET}$ (m <sup>2</sup> g <sup>-1</sup> )	Particle size (nm)	Structure			
SV	37	50	Medium-low			
V3	80	27	Medium			
V6	114	22	Medium-high			
BP880	224	16	Low			
BP1300	511	13	High			
BP2000	1443	15	High			



**Figure 18** – Effect of bulk density of compacted CB powders on their electrical conductivity. In the table, a list of the properties of six Cabot CBs: Sterling® V (SV), VULCAN® 3 (V3) and 6 (V6), BLACK PEARLS 880 (BP880), 1300 (BP1300), and 2000 (BP2000). In the plot, the electrical conductivity of the six CBs plotted against their bulk density. Reproduced and adapted from reference [91].

In practical applications CB is rarely used alone, being instead combined with other components to form composites. Common binding components in composites are organic polymers having electrical insulating properties. A comprehensive description of electrical phenomena in CB-polymer composites has been provided by Balberg [93]. Different types of

CB particles yield conducting networks with different interparticle distances affecting the resulting electrical behavior. The critical amount of CB required to achieve charge percolation (i.e., to switch the composite from electrically insulating to conducting) and how rapidly conductivity increases with increasing added amount of CB are factors influenced by the aggregates structure. Low structure and high structure aggregates of spheroidal and elongated shape, respectively, do contact differently in the polymer matrix. In particular, high structure aggregates can entangle to give a narrow distribution of interparticle distances, thus creating a network of concentrated CB clusters surrounded by volume parts of polymer with no significant CB clusters in them [93]. It is then possible that less CB is needed to achieve percolation. Above the percolation threshold, when the concentration of CB is high enough, other studies propose that conduction proceeds via geometrical contact (such as that between neighboring metal granules) [94]. A polymer commonly used in energy application with CB is poly(vinylidene fluoride), PVDF. CB-PVDF composites are characterized by a positive temperature coefficient (PTC) effect where upon temperature increase the electrical conductivity decreases due to volume expansion. The latter increases the space separation between adjacent particles, to increase further due to agglomeration of CB particles induced by diffusion from amorphous polymer region to melted crystalline regions [95]. PVDF is used as a binder to hold CB aggregates in place with other added components depending on the type of application. The spreadability of CB is also another important factor determining the electrical conductivity of the resulting composite. CB nanoparticles spontaneously form aggregates with characteristic highly compressible microstructures. The ability to flow and spread under mechanical stress (e.g., compression, deformation) allow for the formation of squishable electrically conductive networks especially important in batteries and supercapacitors. Also binder-free CB compacts have demonstrated viscoelastic behavior, with elasticity being the main character under dynamic compression [96].

## 3. Chemical functionalization

In this section, we report key developments and findings on the chemical modification of CB. Key developments in this field are discussed in parallel with details of the type and grade of CB when available. The aim is to find useful correlations between CB structure, modification, and application. We hope to stimulate interest in the chemistry of CB and help identify opportunities for its applications in energy and environmental technologies.

CB materials have intrinsic surface functionalities, mainly with carbon forming bonds to oxygen and hydrogen. As discussed in the introductory sections, the content and nature of groups vary according to the preparation methods. Among the different types of CB, channel black has the highest amounts of oxygen, typically varying between 3-8 wt.% [13, 97-106]; most CB have significantly lower surface functional groups, thus requiring harsher chemical conditions to form new C-C bonds or oxidation to allow for the grafting of species bearing different functional groups. The latter reactions are of great importance, as channel black has no longer been produced in the United States and in most other countries since the 1960s. The channel black process suffered from low productivity and high environment impact compared to the cleaner processes of furnace and thermal blacks. A more efficient and environmentally friendly process, called gas black, is currently in use for specialty products. These share similarities in the production of channel black, thus keeping the literature of channel black relevant. Nonetheless, the gas black process relies on using coal tar distillates as feedstock instead of natural gas used for channel black [107].

Similar to most carbon allotropes, CB tends to be non-dispersible in most organic solvents, and aqueous media due to the strong van der Waals forces between its primary aggregates forming large entangled secondary aggregates. The poor dispersibility is also due to the average particle size of a given CB type, with the primary particle size varying from less than 10 nm to few hundreds of nanometers. Hence, dealing with the surface chemistry of CB particles has attracted considerable attention to increase its colloidal stability leading to better handling, processability, and broader spectrum of applications.

The chemical modification of CB is carried out via well-known methods mostly shared with those of activated carbons. These methods have subsequently been applied and modified for single and multiwall carbon nanotubes (CNT) [108], graphene [109] or even graphite in some occasions [110-112] for surface functionalization and nanocomposite manufacturing. In addition, the covalent functionalization of CB can be more generally divided into two categories: one comprising the introduction of macromolecules such as polymers, and the other comprising the surface functionalization using small molecules. In both cases, the covalent surface modification of CB proceeds either with preliminary oxidation of the carbon surfaces, thus adding alcohol, lactone, ether, ester, carboxylic acid functionalities, etc. [113] or by direct formation of carbon-carbon bonds through aryl diazonium salts, Diels-Alder, and radical reactions. Due to the abundance of literature sources detailing the chemistry of carbon surface, and its scientific and technological importance, herein, a series of the most important

developments covering the covalent functionalization of CB is reviewed. Firstly, the surface oxidation methods and subsequent modification with alkyl or polymers are discussed, and secondly the direct C-C formation methods for CB are reviewed.

### 3.1 Oxidation

The surface properties of carbon black cannot always be tailored by the various existing manufacturing processes, which in turn limits the use of CB in some applications. Motivated by the need to improve dispersibility or hydrophilicity of CB particles in aqueous medium and other polar solvents, the oxidation of CB has been widely explored over the years. The use of surface oxidation methods aims at overcoming agglomerates formation found for pristine CB particles, as this is a critical issue for many industrial applications.

The key advantage of oxidizing CB is the introduction of reactive surface groups, which allow for further modification of particles aimed at specific applications. Up to now, three general approaches have been applied for CB oxidation, namely wet oxidation, gas oxidation and plasma oxidation. Figure 19 illustrates different examples of graphene edge oxygen functionalities in the BSU structure as proposed by Fanning et al. [113] and also found for soot [114]. From a chemical perspective, soot and CB share similar reactivity while still being two different materials [115]; hence, both share similar variety of oxygen functional groups formed during the oxidation process.

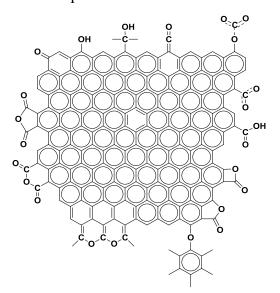


Figure 19 – Possible functional groups on the CB-oxidized surface suggested by Fanning. Adapted with permission from ref [113]. Copyright 1993 Elsevier.

### 3.1.1 Gaseous oxidation

In 1956, Smith et al., reported the oxidation of fine thermal CB at 600 °C under air flow, and consequent six fold increase in its surface area [116]. A similar procedure was reported by Heckmann et al. in 1966 in which hot air progressively oxidized CB particles (BLACK PEARLS® 2000), thus introducing oxygen mainly on the accessible portion of CB surface [113]. Chen et al. further compared both air-oxidized and ozone-treated CBs, finding differences between O<sub>3</sub> and O<sub>2</sub> selectivity for preferential sites. It was found that O<sub>2</sub> is more selective towards the BSU crystallite edges, while O<sub>3</sub> tends to homogeneously attack the whole surface. However, O<sub>2</sub> caused a significant increase in surface area as compared to O<sub>3</sub> [117]. Later studies in 2009 using air oxidation at 300 °C for acetylene blacks of different size, i.e., 12 nm and 35nm, yielded oxidized graphene sheets protruding from the surfaces of the spherical CB particles [118].

#### 3.1.2 Wet oxidation

Wet CB oxidation relies on several oxidizing agents, ozone  $(O_3)$  in aqueous medium [119], nitric acid (HNO<sub>3</sub>) [120, 121], hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) [121-123], ammonium persulfate,  $(NH_4)_2S_2O_8$ , in sulfuric acid [124], and ammonium persulfate in HCl [125], have all been effectively used to oxidize CB with varying results.

Overall, all these methods tend to affect the CB textural properties, e.g., surface structure and morphology, yielding higher or lower specific surface areas by introducing or blocking access to existing micropores, respectively. Nonetheless, Sosa and co-workers reported no change in the textural properties of CB through treatment with H<sub>2</sub>O<sub>2</sub> or HNO<sub>3</sub>. Upon H<sub>2</sub>O<sub>2</sub> or HNO<sub>3</sub> treatment, the surface charge of CB became more negative, in contrast to gaseous thermal oxidation with a He/O<sub>2</sub> mixture that had the opposite effect [121]. Notably, the reproducibility of oxidation reactions remains controversial resting on numerous contributing factors such as reaction time and acid concentration. Besides oxidation time, comparison of the different CB subtypes, e.g., furnace black (FB) and acetylene black (AB), the oxidation percentage can be dramatically affected, as presented in Table 2 for comparative oxidation of both AB and FB in HNO<sub>3</sub>. In their study, Kamegawa and co-workers found that the oxygen contents of oxidized acetylene black (ABO) were remarkably lower than those of oxidized furnace black (FBO). The authors related these discrepancies to the lower number of edge sites in the BSU of AB compared to FB. In other words, FB has smaller and more fragmented BSU than AB, and consequently a greater availability of edge sites than AB [8].

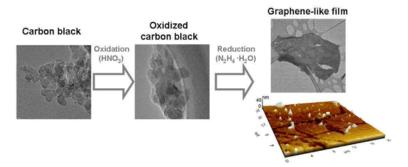
In AB, larger graphene layers stacked in greater numbers lower the BSU surface area and resulting in fewer accessible edge sites. Whereas in FB, BSU with smaller basal planes stacked in lower numbers increase the amount of edge sites and reactivity of CB.

Despite the lack of exact surface models to describe the oxidation of graphitic carbons, the formation of oxidized fractions similar to humic acids [126] and the presence of mellitic acid as a by-product [127], indicate the extensive addition of multiple carboxylic and oxygen-bearing functional groups upon oxidation. In general, the nitric acid treatment of CB produces a soluble mixture of small polyaromatic species with a high content of mellitic acid. It is noteworthy that nitrogen is also detected in the product of oxidation. It is likely the nitration reaction also occurs leading to the formation of C-NO<sub>2</sub> or its isomer C-O-N=O.

**Table 2** – Effect of CB grade and reaction time on the elemental content for oxidation in HNO<sub>3</sub>.

СВ	Oxidation time (h)		Element content (%)			
		С	О	Н	N	Ash
Pristine FB	-	97.1	2.6	0.1	0.2	0.4
Pristine AB	-	99.3	0.3	0.0	0.4	0.1
FBO	20	73.3	25.2	0.5	1.0	0.2
FBO	100	57.9	38.6	0.9	2.6	0.2
FBO	200	58.6	38.5	0.9	2.0	0.1
ABO	25	97.3	2.2	0.0	0.5	0.2
ABO	100	96.2	3.4	0.0	0.4	0.2
ABO	200	94.6	4.9	0.0	0.5	0.1

Oxidized CB is an excellent platform to make graphene-based materials. Heavily HNO<sub>3</sub>-oxidized CB (CORAX® N110) was converted to self-assembled graphene-like films using hydrazine hydrate as a reducing agent. In the same study, authors reported this approach to be more advantageous for production of water-insoluble graphene-like films over the conventional graphite oxidation and reduction method, mainly in terms of using milder conditions required for CB (Figure 20) [128].



**Figure 20** - Producing graphene-like films from CB. Reprinted with permission from ref [128]. Copyright 2012 American Chemical Society.

It is noteworthy that H<sub>2</sub>O<sub>2</sub> is considered a more environmentally-friendly agent as it produces no hazardous emissions [129], compared to noxious gases emitted during oxidation with HNO<sub>3</sub> (dark orange vapors related to NOx) and O<sub>2</sub> (yellow vapors related to volatile degradation products) [121]. Assuming that the reaction of CB with HNO<sub>3</sub> would proceed similarly to what has been proposed for soot and graphitic carbons (CNT, graphite, fullerene, etc.) [130-133], a possible mechanism of CB oxidation with HNO<sub>3</sub> is illustrated in Figure 21. Two molecules of HNO<sub>3</sub> interact with each other providing the surface with the required oxygen, with subsequent H<sub>2</sub>O and NOx evolution as by-products of HNO<sub>3</sub> treatment. CO<sub>2</sub> and CO are released from the surface as a result of CB degradation [130]. In addition, a low content of nitrogen is also detected as a consequence of the introduction of nitro groups [128].

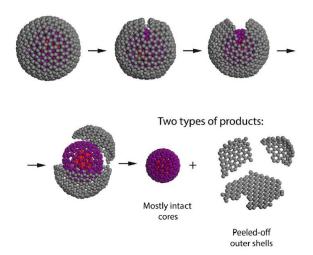
**Figure 21** - The oxidation mechanism of CB using HNO<sub>3</sub> and formation of representative functional groups.

The oxygen content added to the final product is dependent on the reaction time, longer HNO<sub>3</sub> treatment introduces more oxidized functions. This allows the favorable tuning and control of the oxidation level, but there are still some drawbacks associated with HNO<sub>3</sub>

corrosivity and difficult recycling, this method has become the most practical and common way to prepare oxidized CB (OCB) with high oxygen content for many CB grades (except acetylene black).

An alternative wet oxidation method is the Hummers-based oxidation. The Hummers oxidation has drawn considerable attention in recent years, as it is the method of choice for the oxidation of graphite to obtain graphite oxide [134], a precursor to graphene oxide (GO), and to reduced graphene oxide (rGO), or simply graphene [135, 136]. It is also recognized as the most efficient approach to generate OH and COOH moieties in carbons, as well as epoxy groups on graphene layers [137]. Although this method has been extensively modified through alteration of reaction conditions, in general, it relies on the addition of potassium permanganate (KMnO<sub>4</sub>) to a solution of graphite, sodium nitrate, and sulfuric acid. Similar to graphite, CB undergoes oxidative peeling using the Hummers method as it has been studied by Wilson et al. (Figure 22) [18].

It has been hypothesized that outer layers of carbon particles "peel off" because these are oxidized while the inner cores remain mostly intact, with enough oxidation to provide for a significant solubility in water only for the peeled layers. The oxidation products can be separated by centrifugation, both particles and peeled layers. In fact, the exact structure of the peeled by-product layers remains unknown. One could presume they are similar to small polyaromatic compounds/mellitic acid-containing fraction described in earlier literature [120]. Nonetheless, blue photoluminescence is one of the main properties exhibited by the peeled-off shells, characteristic to (GO)-like nanosheets (Figures 10 and 22) [18]. Despite the high efficiency of the Hummers method due to its high levels of oxidation, this approach suffers from using hazardous chemicals, harsh acidic conditions, and cumbersome reactionwork up making it less popular and practical for upscaling CB oxidation.



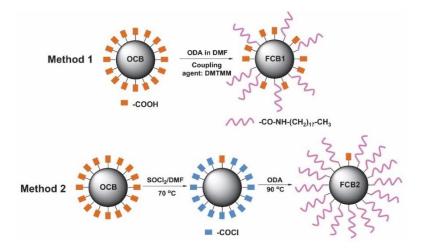
**Figure 22** - The suggested mechanism of the oxidative peeling of CB NPs through which the peeled graphene-oxide-like sheets are obtained from the outer shells and lightly oxidized cores. Reprinted with permission from reference [18]. Copyright 2015 Royal Society of Chemistry.

Another wet oxidation approach consist in the use of a piranha solution, a mixture of 3:1, or even as high as 7:1 parts of sulfuric acid and hydrogen peroxide, respectively, has been effectively used for surface oxidation of CB. This metal-free procedure yields oxidized CB (c-nergy super C65) with no appreciable change in physical appearances compared to starting CB for a 3:1 v/v H<sub>2</sub>SO<sub>4</sub>:H<sub>2</sub>O<sub>2</sub> mixture [138]. Alternatively, a H<sub>2</sub>O<sub>2</sub>:H<sub>2</sub>SO<sub>4</sub> ratio of 5:1 w/w was used to promote the oxidation of high-surface-area CB, leading to a higher degree of oxidation (O/C = 0.66) [139]. This approach, however, is less effective on low surface area CB. Another drawback is that the resulting OCBs contain sulfur as contamination, similarly to the OCBs prepared with the Hummers method.

### 3.2 Surface modification of oxidized carbon black

After oxidation, the surfaces of CB become rich in oxygen functionalities, among which carboxylic groups comprise an appreciable fraction [139-142]. As a result, the further modification of the surface of OCB is related to the chemistry and reactivity of the carboxyl and quinonyl groups [132], but mainly -COOH functions independent of CB grade. The amount of COOH groups has been determined in OCB obtained from HNO<sub>3</sub> treatment as 5.1–6.7 mmol g<sup>-1</sup> [120]. The carboxylic groups on the oxidised REGAL<sup>®</sup> 400 can be easily converted into acyl chloride (-COCl) with common chlorinating agents such as thionyl [143]

or oxalyl chloride [144]. Replacing OH with Cl as the leaving group allows the acyl chloride groups to further undergo condensation reactions with appropriate nucleophiles, thus providing a platform to make a wide variety of hybrid materials. Alternatively, various coupling including 4-(4,6-dimethoxy-1,3,5-triazin-2-yl)-4-methylmorpholinium agents chloride (DMTMM) dicyclohexylcarbodiimide (DCC), and 1-ethyl-3-(3and dimethylaminopropyl) carbodiimide (EDCI) [142] have been utilized under milder reaction conditions. Depending on the selected reaction conditions, the degree of surface functionalization and final surface properties can be tailored [143]. This is schematically represented in Figure 23, where Method 1 using DMTMM as a coupling agent, and Method 2 using thionyl chloride (SOCl<sub>2</sub>), yielded surface modification ratios of 47% and 92%, respectively. The degree of functionalization was determined by titration of unreacted COOH groups. A consequence of the different degree of surface modification was that Method 2 resulted in CB with increased surface hydrophobicity as compared to OCB or the product of Method 1.



**Figure 23** - Surface functionalization of OCB with octadecyl amine (ODA). Reprinted with permission from reference [143]. Copyright 2014 Wiley-VCH.

In addition to alkane surface groups, Table 3 lists a number of alkyl/aryl amines, alcohols, and polymers that have been investigated for the modification of OCB in the presence of various coupling agents.

**Table 3** – Reaction of the carboxyl groups of OCB with nucleophiles.

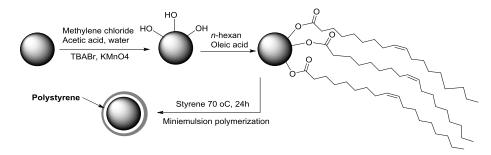
Reactant	Reagent	Product	Ref.
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$\begin{array}{c c} CH_3 & CH_3 \\ H_2N & Si-O \\ CH_3 & CH_3 \\ CH_3 & CH_3 \\ \end{array} \begin{array}{c} H_2 \\ NH_2 \\ NH_2 \\ \end{array}$	DCC	$\begin{array}{c} O \\ HN \\ CH_3 \\ Si-O \\ CH_3 \\ CH_3 \end{array} \begin{array}{c} CH_3 \\ Si-O \\ CH_3 \\ CH_3 \end{array} \begin{array}{c} H_2N \\ H_2 \\ H_2 \\ H_3 \\ H_2 \end{array}$	[145] <sup>a</sup>
H O OH n R=H,Me	DCC	R=H,Me	[145] <sup>a</sup>
$H_3CO \leftarrow CH_2CH_2N$ $H_3C \leftarrow (NCH_2CH_2) \rightarrow N$ $N \rightarrow N$	DCC	$\begin{array}{c} H_3CO \longrightarrow CH_2CH_2N \\ M \end{array}$ $\begin{array}{c} H_3C \longrightarrow NCH_2CH_2 \longrightarrow N \\ N \longrightarrow N \end{array}$	[146] <sup>b</sup>
NH <sub>2</sub>	DCC	NH	[124] <sup>c</sup>
	(COCl) <sub>2</sub>	Or Or	[144] <sup>d</sup>
NH <sub>2</sub>	SOCl <sub>2</sub>	-O NH	[147] <sup>e</sup>
NH <sub>2</sub>	(COCl) <sub>2</sub>	HN O	[148] <sup>f</sup>

<sup>a</sup>Neo Spectra II, COLOUR BLACK FW 200, and Philblack 0 (N330). <sup>b</sup>Furnace Black, Porousblack. <sup>c</sup>MONARCH<sup>®</sup> 1100. <sup>d</sup>MOGUL<sup>®</sup> L. <sup>e</sup>MONARCH<sup>®</sup> 120, 430, and 1100. <sup>f</sup>VULCAN<sup>®</sup> XC-72R.

Other functionalities present on the surface of OCB are OH containing organic moieties. In a few reports, the hydroxylic group has been found to be a good nucleophile able to react with

the electrophilic carbonyl carbon of carboxylic acids, thus allowing for the esterification reaction between CB-modified with OH containing moieties and carboxylic acids. By using suitable unsaturated acids, OCB (PRINTEX® L and NEROXTM 600) nanoparticles were first esterified with oleic acid further subjected to miniemulsion (or microemulsion) polymerization with styrene [149, 150] as schematically represented in Figure 24.



**Figure 24** - Preparation of the polystyrene-microencapsulated CB through oxidation, esterification, and miniemulsion polymerization (TBABr = tetrabutyl ammonium bromide). Adapted with permission from reference [149]. Copyright 2010 Elsevier.

# 3.3 Covalent functionalization by direct carbon-carbon bond formation

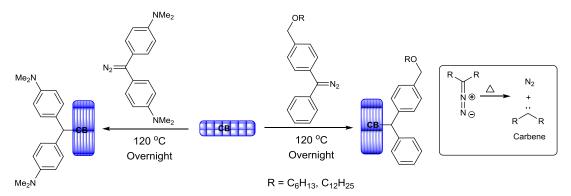
An effective strategy to CB functionalization it the use of aryl diazonium salts. As can be seen in Figure 25, in situ generated aryl diazonium salts produced from aniline derivatives after treatment with sodium nitrite have been added into the structure of CB (VULCAN® XC-72R and VULCAN® 9 N115) through a radical substitution mechanism. A highly agreed assumption is that the carbon  $\pi$ -electron system is likely to play the nucleophilic role transferring electrons required to reduce the diazonium cations. This resulted in the covalent C-C bonding of a variety of aryl units with the CB surface [151, 152].

$$\begin{array}{c|c} & & & \\ &$$

 $\mathsf{R=COOH,\,SO_3H,\,SO_3Na,\,SO_2NH_2C_2H_4NH_2,\,N(C_2H_5)_2}$ 

**Figure 25** - Heterolytic C-C bond formation between the CB surface ( $\pi$  system) and the phenyl radical. Adapted with permission from reference [151]. Copyright 2008 American Chemical Society.

Furthermore, diaryl diazo compounds have also been employed to functionalize MONARCH® 430 for use in polypropylene nanocomposites [153]. The proposed mechanism for this reaction follows a thermal diazonium coupling reaction through the formation of transient carbene produced by the decomposition of the labile diazo precursor (Figure 26).



**Figure 26** - Carbene insertion onto CB by diazonium coupling reactions. The formation of carbene is shown on the right. Adapted with permission from reference [153]. Copyright 2016 American Chemical Society.

Another covalent functionalization approach is based on Diels-Alder reactions. The addition of maleic acid derivatives to CB has been first reported by Bergemann in 2004 [154]. The reaction follows the Diels-Alder mechanism where a diene and dienophile are involved in a 2+4 cycloaddition (Figure 27). It is believed that diene structures existing in the aromatic network of CB can react with reactive dienophiles. Depending on the melting or sublimating point of the dienophile, both solution (anisole, toluene, and water as solvent) and solid-state method can be used for this purpose, subsequently yielding from 25 to 90 wt.% conversion.

**Figure 27** - Reaction of the diene part of CB with maleic acid derivatives. Adapted with permission from reference [154]. Copyright 2004 Elsevier.

Radical reactions are also versatile methods to introduce covalent functionalization to aromatic compounds. Aromatic rings have a high methyl radical affinity which allows polycondensation reactions involving non-aromatic alkyl radicals including those formed from polymers [155, 156]. CB has also proven ability to act as a strong radical scavenger, either through the quinone oxygen groups or through hydrogen atoms bound to unsaturated edge carbon atoms from the poly-condensed aromatic rings [132]. This reaction is exemplified by the polymer grafting mechanism onto CB, where the poly-condensed aromatic rings of CB trap the macroradicals. Given the reactivity of CB, the following radical reaction mechanisms have been investigated for surface functionalization: (i) polymer grafting and encapsulation, (ii) atom transfer radical polymerization, both discussed in Section 3.4, and (iii) radical reaction with small molecules.

Radical reactions with small molecules have been used to introduce perfluorinated groups on the surface of CB. Covalent linkage of perfluorocarbon and perfluoropolyether chains on the CB surface were achieved via the reaction of VULCAN® XC-72R with carbon centered perfluorinated free radicals. The latter were generated by the thermolysis of perfluorodiacyl and perfluoropolyether peroxides [157]. It is worth noticing that this approach provided a highly hydrophobic CB, further enhancing its hydrorepellence, while the textural properties of the modified CB were mostly retained. As a representative reaction, Figure 28 illustrates the perfluorination of CB by perfluoropolyether peroxides.

+ 
$$R_F = CF_3CF_2$$
  
2  $R_F = CF_3CF_2CF_2$   
3  $R_F = (CF_3)_2CF$   
I  $R_F = CF_3CF_2CF_2$   
II  $R_F = CF_3CF_2CF_2$   
III  $R_F = CF_3CF_2CF_2$ 

**Figure 28** – Schematic of the reaction of CB with perfluorodiacyl peroxide. Reprinted with permission from reference [157]. Copyright 2009 American Chemical Society.

## 3.4 Functionalization with polymers

Polymer grafting and encapsulation have been successfully applied to CB. Encapsulation and grafting follow two distinct mechanisms, but some authors have interchangeably used the two terms. A comprehensive review by Tsubokawa on the functionalization of CB by surface grafting of polymers was reported in the early 90's, no other reviews have appeared on the

later progress and findings since then [158]. Therefore, we report here some of the later most outstanding findings in the area of polymer grafting on CB.

Tsubokawa et al. proposed three distinct mechanisms for grafting polymers on CB. These proceeded through anionic, cationic, and living radical polymerization. These reactions have been classified as (a) *Grafting onto CB* (i.e., CB-X + growing polymer  $\rightarrow$  CB-X-polymer, with X = functional group on CB that grafts the polymer chain and stops its growth), (b) *Grafting from CB* (i.e., CB-X + monomer  $\rightarrow$  CB-X-polymer, with X = functional group on CB that initiates the polymerization of the monomer), and (c) *Reaction of CB with polymer* (i.e., CB-X + Y-polymer  $\rightarrow$  CB-Z-polymer, with X + Y = Z representing the reaction between the functional groups X, of CB, and Y, of the polymer).

2,2,6,6-tetramethyl-1-piperidinyloxy (TEMPO)-terminated polystyrene (PSt-TEMPO) was employed by the Tsubokawa group to graft polystyrene with controlled and narrow molecular weight distribution onto CB (Philblack I and COLOUR BLACK FW 200). This method yielded PSt-grafted CB as a stable colloidal dispersion in THF. Briefly, the polymer radicals formed from the thermal dissociation of the C-ON bond of PSt-TEMPO grafted onto the poly-condensed aromatic rings of CB. While the polystyrene radical reacted with CB, the TEMPO radical was not trapped on the CB surface, as presented in Figure 29 [159].

$$\begin{array}{c} \text{Me} \\ \text{Me} \\$$

**Figure 29** - Grafting polystyrene onto CB. Adapted with permission from reference [159]. Copyright 1998 Wiley-VCH.

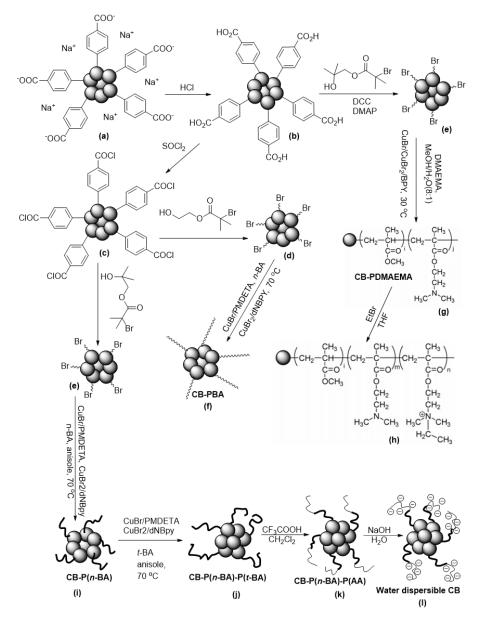
The presence of delocalized radicals during the reaction of PSt-TEMPO and CB was confirmed by electron spin resonance. The radicals, however, may attack either (i) a carbon at the edge of the aromatic graphene system, or (ii) quinonic oxygen groups, thus illustrating the impact of the surface heterogeneity on this reaction, as shown in Figure 30. These two mechanisms are of general value and valid for other radical scavenging reactions.

$$\begin{array}{c} & & \\$$

**Figure 30** - Possible mechanism of scavenging of the radical molecules by CB. Adapted with permission from reference [159]. Copyright 1998 Wiley-VCH.

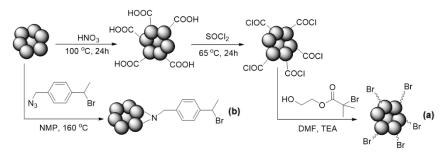
Atom Transfer Radical Polymerization (ATRP) can also be used to covalently attach polymers to CB. ATPR has become one of the most widely investigated living radical polymerization methods. It has been used to develop a broad range of polymers with precisely controlled molecular weight, functionality and nanostructure [160, 161]. Figure 31 presents the multi-step modification of CB (MONARCH 700) recently carried out using ATRP [162-166]. CB modified with C<sub>6</sub>H<sub>4</sub>COONa groups (a) was used as the starting material. Once protonated (b), and in some cases further converted to acyl chloride (c), ATRP initiators, e.g. α-bromoisobutyrate groups, were anchored onto the surface providing the CB-Br platform substrate (d and e) on which to grow the target polymers [164]. For example, *n*-butyl acrylate (*n*-BA) was grown onto this substrate to give PBA (f) densely grafted on CB aggregates [163]. Another example is the grafting of 2-(dimethylamino)ethyl methacrylate (DMAEMA) in methanol to give copolymer functionalized CB (g) further modified to its ammonium form through quaternization with ethyl bromide (h). The CB-Br substrate (e) was also used to make CB-P(*n*-BA) (i), equivalent to (f), on which to

polymerize t-butyl acrylate (t-BA) (j) [165]. The t-butyl groups of the resulting P(t-BA) polymeric segments were then removed using a strong acid (CF<sub>3</sub>COOH) to convert the P(t-BA) to poly(acrylic acid), P(AA) (k). The carboxylic groups of the P(AA) were subsequently deprotonated in aqueous NaOH solution to provide water dispersible CB (l). In these examples, the molecular weight of the polymers was controlled using sacrificial initiators such as ethyl 2-bromoisobutyrate typically used in surface-initiated controlled radical polymerizations [162].



**Figure 31** - Multi-step manipulation of CB by ATRP. Redesigned and adapted with permission from references [162-165]. Copyright 2002, 2003, 2006 American Chemical Society, and 2005 Wiley-VCH.

CB-Br substrates for ATRP polymerization have also been synthesized following two other methods (Figure 32). The first is similar to what seen previously in Figure 31, reaction from (c) to (d), and consists in the reaction of acyl chloride modified CB with 2-hydroxyethyl 2-bromoisobutyrate. However, in this case the -COOH groups necessary to introduce the acyl chloride on CB were initially added via wet oxidation with HNO<sub>3</sub>. The second method, instead, uses nitrene chemistry to place both azido and bromine groups directly onto the CB surface. In both cases, the CB surface was then functionalized with hyperbranched polymers, such as poly(p-chloromethylstyrene) (PCMS) [166].

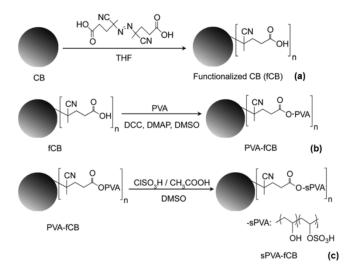


**Figure 32** - Functionalization of CB with ATRP initiator by (a) acid oxidation method and (b) nitrene chemistry method. Redesigned and adapted with permission from reference [166]. Copyright 2013 Royal Society of Chemistry.

Figure 33 shows different synthetic routes to produce polymer core-shell structures. In these examples, pristine CB (VXC 605) was first oxidized with HNO<sub>3</sub> to introduce carboxylic groups onto the CB surface, the resulting OCB was then treated with thionyl chloride (SOCl<sub>2</sub>). In the following step, glycol was introduced, thus providing the substrate (a) on which to grow various polymers-CB core-shell structures. For instance, the core-shell structure (b) [167] was prepared from the surface-initiated ring-opening polymerization of  $\varepsilon$ -caprolactone. Similar studies led to the anchoring of  $\alpha$ -bromoisobutyrate groups ATRP initiators (c) from the reaction of 2-bromo-2-methylpropionyl bromide with (a). Thereupon, polystyrene (d), poly(methyl methacrylate) (e) [168], and poly(N-isopropylacrylamide) (f) [169], chains were grafted on the CB surface by surface-initiated ATRP.

**Figure 33** - Preparation of hyperbranched polymers or core-shell structures by ATRP initiator. Redesigned and adapted with permission from references [167-169]. Copyright 2007 Elsevier, 2007 and 2008 Wiley-VCH.

Polymer-modified carbon black was also prepared by Hwang et al. who synthesized sulfonated polyvinyl alcohol functionalized CB nanocomposites and tested them as downhole hydrocarbon detectors for oil and gas exploration (Figure 34). The sulfonate groups enhanced the thermal and chemical stability of the CB nanoparticles required for the high temperatures and high salinity conditions found in the downhole rock environment [170].



**Figure 34** - Synthesis of (a) functionalized carbon black (fCB); (b) PVA modified fCB (PVA–fCB) and (c) sulfated PVA–fCB (sPVA–fCB). Sulfonation yields units with –OSO<sub>3</sub>H

pendants. Reprinted and adapted with permission from reference [170]. Copyright 2012 Royal Society of Chemistry.

Table 4 is a summary of the most relevant CB-polymer products obtained from the grafting of polymer radicals onto CB. In these examples, all polymer radicals were formed via thermal activation, with subsequent polymer grafting onto the CB surface.

**Table 4** – Overview of polymer grafting on CB via thermally generated radical polymers.

Entry	Initial Polymer/monomer	Product	Ref.
1	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	OO <sub>t</sub> Bu	[171] <sup>a</sup>
2	$ \begin{pmatrix} O & O & O & O \\ II & II & II & II \\ -C - C_4H_8 - C - (OC_2H_4)_3O - C - C_4H_8 - C - O - O \end{pmatrix} $ and ATPPO: Poly(tetraethylene glycol peroxyadipate)	$ \begin{array}{c c} O & O \\ C - O + C_2H_4 - O + C_3C - C_4H_8 \\ D + C + C_4C + C_$	[172] <sup>b</sup>
3	$HO \downarrow (CH_2)_4O \uparrow_n$ $O \downarrow O \downarrow$ $O \downarrow$	$ \begin{array}{c}                                     $	[173] <sup>c</sup>
4	C-O-(CH <sub>2</sub> CH) <sub>n</sub> -O-N	BuO,OO,Bu  Perhexa-C	[173] <sup>c</sup>
5	HO- <del>(</del> CH₂CH₂O) <sub>n</sub> −H	O-(CH₂CH₂O) <sub>\in</sub> H	[173] <sup>c</sup>
6	Polyamide-block-poly(ethylene oxide) (PA-b-PEO)	PEO-PAVV	[174] <sup>d</sup>
7	JOH m	CH <sub>2</sub> O - CH <sub>2</sub> C - n CH <sub>3</sub>	[175] <sup>e</sup>



<sup>a</sup>Philblack O (N330) and I (N220), Channel black (Neo-Spectra II), Denka Black (DB). <sup>b</sup> Philblack O (N330). <sup>c</sup>VXC 605. <sup>d</sup>Porousblack. <sup>e</sup>SB4. <sup>g</sup> CORAX<sup>®</sup> N774.

## 3.5 Alternative approaches

In 1972, Kinoshita and Bett first reported a method based on the electrochemical oxidation (corrosion) of CB (Neo-Spectra II) in concentrated phosphoric acid at a temperature of 135 °C. The investigated parameters included the total anodic current, the amount of CO<sub>2</sub> evolved and the oxygen content of the carbon as a function of time and potential. They also discussed a few mechanisms defining the electrochemical corrosion processes of CB by which both oxide growth and CO<sub>2</sub> formation occur concurrently but as two independent reactions, and with the CO<sub>2</sub> evolution eventually becoming the main process [176]. This approach offers a tuneable level of oxidation up to 15% by adjusting potentials from 700 to 1000 mV, nevertheless, long processing time restricts its scalability.

Electrochemical oxidation of CB was observed at both high and low potentials. At high potentials carbon was directly oxidized in the presence of water to produce CO<sub>2</sub>, HCOOH, and CO as by-products. Instead, a general mechanism of CB oxidation at low potential was suggested by Chaparro et al., as shown in Eq 1-3, consisting in the reduction of dissolved oxygen to hydrogen peroxide that then acted as the oxidation agent of CB [177].

$$2O_2 + 4e^- + 4H^+ \rightarrow 2H_2O_2$$
 (1)

$$C+H_2O_2 \rightarrow CO + H_2O \tag{2}$$

$$CO + H_2O_2 \rightarrow CO_2 + H_2O \tag{3}$$

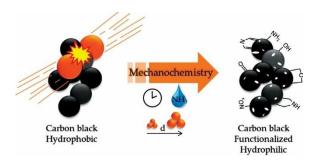
Another alternative oxidation method is the oxygen plasma. This method has been found to more uniformly oxidize the CB surface, when instead the wet oxidation methods produce ununiform CB preferentially oxidized at the edges of the BSU and in the amorphous domains present on the surface. This includes the amorphous portion, and the edge and basal plane sites of the ordered BSUs, performed under milder conditions than required with other oxidation methods [178]. Oxygen plasma generation of oxygen-containing functional groups, such as carboxyl, hydroxyl, lactone, and carbonyl groups, on the CB (ASTM N220) surface has also been reported by Park et al. [179]. Besides, oxygen plasma efficiently introduces

surface oxygen functionalities without adding impurities onto the CB surfaces, as demonstrated for ASTM N200. This process also minimizes carbon burn-off or significant changes in bulk properties [180]. Although it has been established as one of the cleanest methods in industry, the oxygen plasma treatment leads to OCBs with lower oxygen contents than those obtained from wet oxidation methods.

The mechanism oxidation of CB with plasma-generated atomic oxygen is not fully understood at present, but it is assumed that the BSU edges terminated with hydrogen are involved in the formation of reactive intermediates, such as hydrogen and carbon radicals formed during oxygen plasma treatment. These radical intermediates are stabilized through electronic delocalization in the graphene planes [150] leading to numerous possible structural rearrangements. Each rearrangement yields broadly differing functionalities on the CB surface upon oxidation [113, 179]. Figure 35 schematically represents some of the possible reaction pathways for CB oxidation which are likely to involve reactive oxygen intermediates formed during oxygen plasma or other oxidation reactions, such as treatment with piranha or H<sub>2</sub>O<sub>2</sub> solutions [122, 181]. The mechanisms suggest either ozonolysis or participation of atomic oxygen species in cleaving C=C bonds, followed by further oxidation and dehydration, thus forming a variety of oxygen functionalities. Importantly, the existence of the OH, COOH, five-membered ring like cyclic anhydride etc. has been proposed for either oxidized CB or activated carbon [113, 182] according to X-ray photoelectron spectroscopy and FTIR analysis. Atomic oxygen can also be inserted at the edges where a radical [183] or a hydrogen atom bound to an unsaturated carbon is available [132].

**Figure 35** - Carbon surface functionalization involving reactions with atomic oxygen. Radical, hydrogen, and oxygen content is shown in the starting CB structure, as highlighted in the square frame. The dashed lines indicate the extension of the graphene plane. Partially adapted and expanded with permission from reference [122].

Oxygen and nitrogen functionalities have also been introduced in CB using mechanochemical approaches. Recently, ball milling mechanochemistry has attracted much attention due to its clean nature, high speed, and simple operation which can contribute to existing and new solid chemistry strategies. Mechanochemistry provide a simple means to tailor the porosity and improve the wettability of CB. For instance, ball milling in the presence of ammonia solution produced nitrogen functionalized CB with increased surface area as compared to the starting material (Figure 36). The area increased from 67 m<sup>2</sup>/g to 307 m<sup>2</sup>/g, and the total nitrogen and oxygen content increased to 2.5 wt% and 8.7 wt%, respectively. Oxygen was introduced at the fractured sites, where covalent C-C bonds were broken [184].



**Figure 36** - Mechanochemical functionalization of CB in a ball mill. Reprinted with permission from reference [184]. Copyright 2009 MDPI.

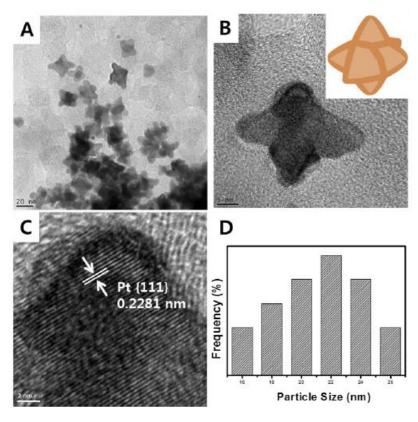
## 4. Emerging energy and environmental applications of CB-based materials

### 4.1 Fuel cells

Fuel cells are electrochemical cells that convert the chemical energy stored in H<sub>2</sub> or another fuel source into electricity through an electrochemical reaction with O2 or another oxidizing agent [185]. Many types of fuel cells exist, being these classified according to the electrolyte used. The most commonly investigated fuel cells are the proton exchange membrane fuel cell (PEMFC), direct methanol fuel cell (DMFC) or direct formic acid fuel cell (DFAFC), alkaline fuel cell (AFC), phosphoric acid fuel cell (PAFC), and solid oxide fuel cell (SOFC). Fuel cells consist of an anode, a cathode, and an electrolyte that allows protons or other charged species to move between the two sides of the fuel cell. In a typical PEMFC, a catalyst, i.e. Pt, promotes the oxidation of H<sub>2</sub> at the anode, thus generating protons and electrons. The protons flow from the anode to the cathode through the electrolyte after the reaction and the electrons flow from the anode to the cathode through an external circuit. The electrolyte is supported on an ionomer Nafion membrane. Nafion is a copolymer of sulfonated tetrafluoroethylene and perfluorinated vinyl ether, and it was first produced and commercialized by DuPont. Nafion is known for its excellent proton conductivity, thermal and mechanical stabilities, all desired properties for PEMFC application [186]. At the cathode, a catalyst promotes the oxygen reduction reaction (ORR) with the protons and electrons to form water. The net reaction yields H<sub>2</sub>O, and the external current is used for power. The diffusion of gases in both anode and cathode sides of the cell is controlled by a gas diffusion layer (GDL) membrane, which must resist oxidation in order to minimize interaction with water and prevent side reactions. The assembled electrode containing a catalyst layer and the GDL is the gas diffusion electrode (GDE) [185, 187].

Due to the high cost of Pt and to the low specific surface area (SSA) and high density of the bulk metal, Pt is dispersed as finely divided nanoparticles onto an inexpensive, chemically and thermally stable, and electronically conductive support. The support also plays a role of maximizing access to the catalyst while stabilizing the Pt-NP and preventing their aggregation and sintering during fuel cell operation. Carbon materials in general, and quite extensively CB, have been investigated as catalyst supports or as the catalyst material in fuel cell electrodes and GDL [11, 187-191]. Examples of CB commonly tested for fuel cells include Black Pearls (BP 2000), Ketjenblack® (KB EC600JD and EC300J), Shawinigan and Denka Black [192]. A key property of CB affecting performance is the surface area. For example, VULCAN XC-72R has been widely used as catalyst support due to its high SSA and good electrical conductivity, thus displaying better performance for PEMFC when compared to acetylene black, and in GDL than Shawinigan as cathode supports in PEMFC [187]. The SSA has been found to help control the catalyst NP size, as in higher SSA CB yielding smaller NP. This effect is due to increase in small mesopores due to CB particle aggregation, presence of oxygen surface functional groups, and micropores within the CB particles [189, 193].

The existence of these deep micropores and small mesopores, however, have detrimental effects for devices such as PEMFC, DMFC or DFAFC.[188, 192] The reason lies in the fact that Nafion, the proton conductive polymer used for assembling the membranes, forms micelles greater than 40 nm in size [188, 189, 192]. Therefore, the limited contact between the proton conducting membrane and the anode restricts the proton transfer to catalyst NP confined to pores smaller than 2 nm. Therefore, electroactive surface areas may be drastically low, meaning, most NPs will not be participating in redox processes during fuel cell operation [188]. To avoid trapping of small Pt-NP into deep micropores, hexapod Pt-NP with roughly 20 nm in size and having an excess of (111) surfaces, presented in Figure 37, exhibit higher catalytic activity than commercial Pt/C catalysts [194]. Despite its bigger size, hexapods had high active surface area (ASA) of 62.4 m<sup>2</sup>/g, compared to 2.7 m<sup>2</sup>/g of commercial Pt/C composites. The electroactivity of hexapod Pt-NP deposited on VULCAN XC-72R for MeOH oxidation in HClO<sub>4</sub> reached 0.26 mA/cm<sup>2</sup> and 0.35 V, versus 0.11 mA/cm<sup>2</sup> and 0.34V of commercial Pt/C. An added benefit of working with excess (111) surfaces, was that less carbonaceous deposits formed on the surfaces of hexapods than onto commercial Pt/C. From a broader perspective, despite the large size of Pt-hexapods, small Pt NPs of ~2.4 nm in size had 42.8 m<sup>2</sup>/g ASA. Reported mass activity for the latter Pt NPs on the same Vulcan XC-72R support was 313.3 mA/mg Pt and  $0.732 \text{ mA/cm}^2$  Pt area activity for MeOH oxidation. For reference, a commercial Pt/C had 37.2 m<sup>2</sup>/g ASA, 264.6 mA/mg Pt and 37.2 m<sup>2</sup>/g mass and area activity, respectively.



**Figure 37** – TEM of Pt-hexapod NPs on commercial CB (A); HRTEM image of Pt-hexapod NP (B) and of (111) Pt plane (C); representative particle size distribution of Pt-hexapod NPs (D). Adapted from reference [194].

In general, CB suffer from poor chemical stability in highly acidic and in highly alkaline conditions. Very low, or very high pH leads to corrosion of CB electrodes and catalyst NP to detach from support surfaces. CB are also susceptible to oxidation at low temperatures at potentials greater than 1.2 V versus the standard hydrogen electrode (SHE), and at high temperatures at potentials approaching 0.9 V versus SHE. Oxidation often results in loss of ASA, changes in pore structure and in surface functional groups [188]. CB have surface oxygen functional groups, most of which are acidic, and the stability of these groups further affects the stability of the supported NP catalysts [189]. For Pt-NP, the precursor used is the acid H<sub>2</sub>Pt(Cl)<sub>6</sub>, and basic surface sites are preferred for strong interaction and better dispersion of final NPs. Therefore, oxidation methods that lead to increase in surface acidity of carbons, do not improve NP dispersion, and the solution pH for Pt impregnation is also

important. Furthermore, due to the different SSA and oxygen content, CB display different interactions with Pt. For instance, REGAL 660R has been found to stabilize Pt-NP against sintering, whereas BP 2000 has lower corrosion resistance than VULCAN XC-72R [189]. A way to improve the interaction of Pt with the CB surfaces, is the alloying of Pt with Ru, which binds more strongly to carbon surfaces and without greatly impacting the ASA of the electrocatalysts [11, 187]. By employing Pt-Ru NP, some authors have reported that pre-oxidation of CB surface with hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) resulted in better ORR activity of the catalysts and to better overall NP stability in PEMFC and DMFC due to better NP dispersion and accessibility. For instance, the SSA of H<sub>2</sub>O<sub>2</sub> treated VULCAN XC-72R was reduced from 241 m<sup>2</sup>/g to 166 m<sup>2</sup>/g [195]. This decrease in SSA decreased NP access to deep micropores, which favored contact with Nafion membrane in the cells.

Other systems of interest include cells using alternative hydrogen sources. These sources include ammonia [196] and hydrazine [197]. One of the advantages of using ammonia or hydrazine, for example, is that it generates more power than a H<sub>2</sub> fuel cell and without Ptcatalyst. Similar to H<sub>2</sub> fuel cells, hydrazine fuel cells have also shown positive effects linking CB chemical treatment to increased catalytic activity of the supported metal NPs. For example, the activity of silver on oxidizing acid pretreated CB was found to increase for the hydrazine oxidation [11]. Acetylene black treated in KOH in an anodic oxidation cell, made this CB more hydrophilic, and with lower electrical conductivity. Surface areas also decreased from 64 m<sup>2</sup>/g down to 47 m<sup>2</sup>/g. The pore volumes of these OCBs also decreased slightly from 1.13 cm<sup>3</sup>/g to as low as 0.85 cm<sup>3</sup>/g. Such changes improved the H<sub>2</sub>O<sub>2</sub> decomposition performance, with optimum SSA of 52 m<sup>2</sup>/g being reported for this reaction [198]. Likewise, electrochemical pre-treatment of C impacts the Au-NP activity for ORR onto the carbons support [199]. The peak potential for this reaction was directly linked to the applied pre-treatment potential. Oxidation of CB with SSAs of ~62 m<sup>2</sup>/g and 50 nm particle size (c-nergy super C65) in piranha solution (3:1 V/V H<sub>2</sub>SO<sub>4</sub>:HNO<sub>3</sub>), or electrochemically in KOH afforded metal-free catalysts for the water oxidation reaction (WOR) or alcohol oxidation reaction (AOR) (i.e. methanol, ethanol) [138]. WOR is strongly dependent on applied potential when using OCB, as additional carbon oxidation takes place above 1.70 V and 1.80 V. At this stage, faradaic efficiency dropped from 95% (1.59 V, and current density of 0.65 mA/cm<sup>2</sup>) down to 32% and 18%, respectively. Electrochemical oxidation of these same CB in KOH proved even more efficient than piranha solution. For both WOR and AOR processes, ketone surface groups are responsible for the catalytic activity, and the

electrochemical process yielded more of these groups, in addition to some degree of CB exfoliation during KOH intercalation and CB particle growth [138]. Other authors have reported on the surface oxidation of VULCAN XC-72R using MeOH/H<sub>2</sub>SO<sub>4</sub>, O<sub>3</sub> improved dispersion of Pt-Ru NP, and consequently catalyst activity, where O<sub>3</sub> oxidation of a generic furnace black sample was found to yield surface basic lactone and phenol groups [200]. Whereas, citric acid treatment of VULCAN XC-72R resulted in smaller Pt particles on CB, rich in carboxyl and hydroxy groups [189, 201].

A drawback of surface oxidation is the strong interaction with water in fuel cells, as in the GDL [187, 189, 190]. For all devices, surface hydrophobicity is desired for fast water removal and reduced oxidation of the device and etching of catalyst NPs. For instance, CB particles treated at temperatures used for graphitization are preferred supports for Pt-alloys cathode catalyst used for ORR. The graphitization process makes the CB surfaces more hydrophobic, which is important for fast H<sub>2</sub>O removal, and that in turns makes the catalyst less prone to corrosion [190]. Graphitized CB-based anodes have also been found to increase the tolerance to carbon monoxide (CO) of Pt-Ru NPs supported on CBs in PEMFCs [190]. As discussed in previous sections, graphitization leads to improved ordering of graphene sublattice, BSU growth and loss of functional groups from heteroatoms. Such defects and oxygen functionalities reduce electrical conductivity of carbons. Not surprisingly, fluorinating CB surfaces with C<sub>2</sub>F<sub>2</sub> leads to increased surface hydrophobicity but lowers electrical conductivity due to formation of C-F bonds and disruption of the delocalized sp<sup>2</sup> carbon lattice [202, 203]. The fluorinated CB materials, however, were still good catalyst supports for PEMFC [189]. Other surface treatments, include low temperature thermal treatments under air or N<sub>2</sub> (~350 °C), which has been found to improve the stability of CBs in H<sub>2</sub>SO<sub>4</sub> by cleaning the CB surfaces [189]. Also, physical activation at 800-1100 °C under inert atmospheres or using air-steam have been reported to remove surface oxygen groups off VULCAN XC-72R and Shawinigan surfaces, while simultaneously increasing its surface areas by introducing micropores. Pt-supported on these activated CBs had higher ASAs than Pt-supported on nonactivated CBs or even over fullerene soot [189].

Alternatively, CB composites have been found to solve some of the issues associated with conductivity losses, catalyst dispersion and stability, or formation of deep micropores. Hydrophobicity and porosity in GDL cathodes in PEMFC have been balanced by mixing different types of CB particles forming the membrane [204]. For instance, upon mixing acetylene black (SSA ~62 m²/g) with BP 2000, membranes with tailorable thickness, and

SSA of 335 m<sup>2</sup>/g could be assembled. While electrical conductivity was comparable for composites and individual CB-type membranes, composites had higher permeability than BP 2000 and higher hydrophobic porosity than acetylene black. The latter properties could be tailored by adjusting the acetylene black to BP 2000 ratios, thus favoring water removal and decreased mass polarization losses. Peak performance reached 0.91 W/cm<sup>2</sup> for 10 wt.% BP 2000 loading in membranes. Another benefit of mixing was the avoidance of micron-sized cracks on the surfaces of composite membranes, which were only observed in acetylene black, or in BP 2000 membranes. Similarly, membranes prepared using acetylene black, P702 and P701 CBs, were investigated for O<sub>2</sub> electroreduction into a H<sub>2</sub>O<sub>2</sub> solution [205]. The optimum membrane thickness with 70% open porosity was found to be in the range of 0.2-0.3 mm for the working layer, yielding 24-29 g/l H<sub>2</sub>O<sub>2</sub> from atmospheric O<sub>2</sub>. CB-reduced graphene oxide (rGO) composites have also been investigated for ORR, by mixing CB with graphene oxide (GO), followed by reduction [206]. Composites were found to stabilize Pt-NPs and promote stability, with 95% activity being retained in O<sub>2</sub> saturated HClO<sub>4</sub> solution. While CB was found to provide the active sites to capture and re-nucleate dissolved Pt, rGO prevented leaching of Pt into the electrolyte solution.

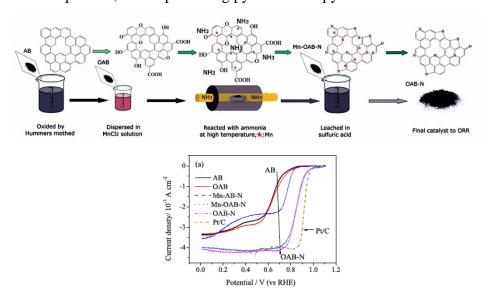
CB-polymer composites have also been investigated in order to promote NP dispersion and accessibility. VULCAN XC-72R composites with PEI were investigated as Pt support in PEMFC [207]. PEI loading affected Pt size, with smallest NPs and narrower size distributions obtained at 0.4wt.% PEI in composite support. At this optimum composition, the highest power was obtained in H<sub>2</sub>SO<sub>4</sub> medium, of 1.05W/cm<sup>2</sup> at 2.31A/cm<sup>2</sup>. Pt-supported CB-PEI had similar ASA to commercial Pt/C. CB-PEI composites, however, were much stable, retaining nearly 50% of ASA after 1200 cycles versus less than 30% retention for the reference Pt/C.

Similarly, Pt-Pd NPs deposited onto VULCAN XC-72R-PEI composites displayed enhanced activity over Pt-Pd NPs on solely VULCAN XC-72R for MeOH oxidation in H<sub>2</sub>SO<sub>4</sub> [208]. Results indicate that besides favoring better NP dispersion onto supports, PEI is also an electrical conductor. ASA for Pt-Pd on PEI-CB composites reached  $68m^2/g$ , with Pt-Pd crystallite size of 1.9nm. For the CB supported catalysts, Pt-Pd had ASA of  $55m^2/g$ , and crystallites of 2.4nm in size. PEI further improved catalyst stability and tolerance to carbon monoxide (CO) [208]. Future advances in the preparation of low-cost, CB-conductive polymer composites with high electrical conductivity and permeability for GDL, and DFAFC. For the latter, recent studies showed that Pd-supported on conducting titanium

suboxide (Magnéli phase)  $Ti_4O_7$  exhibited higher ASA ( $47.2m^2/g$ ) for FA oxidation than Pd on VULCAN XC-72R ( $22.1m^2/g$ ) [209]. Alloying Gd with Pd further shift the CO oxidation potentials in  $H_2SO_4$  from -0.55V to -0.45V, where  $Ti_4O_7$  improved performance at high current densities and favored reduction of Pd. The abundance of conducting polymers and of CBs, however, could lead to further improvements in oxidation potentials, catalyst stability and tolerance to CO, at lower cost, and lighter weight over inorganic oxide supports.

The alloying of Pd with boron (B) has also been found to improve stability of the NP catalysts on VULCAN XC-72R in DFAFC [210]. Pd-B NP prepared using borane dimethylamine, (CH<sub>3</sub>)<sub>2</sub>NH·BH<sub>3</sub>, were 4nm in size, and exhibited improved long-term stability over NP prepared with sodium borohydride, NaBH<sub>4</sub>, especially after thermal annealing. Although B may not be catalytically active in the Pd-B alloys, metal-free B, Ndoped CBs have been found active catalysts for ORR [211]. These materials were prepared by high-energy ball-milling of hexagonal boron nitride (h-BN) with CB particles. This mechanochemical alloying process resulted in B-C and C-N bond formation, with simultaneous increase in the  $d_{002}$ -spacing of h-BN lattice and loss of its graphitic structure. The B, N-doping levels studied ranged from 0wt.% to 20wt.%, and although activity for ORR in O<sub>2</sub>-saturated H<sub>2</sub>SO<sub>4</sub> electrolyte was below that of commercial Pt/C, further improvements in alloying process are expected to lead to metal-free catalysts with activity approaching that of Pt/C. Previous studies have focused on the role of N-doping in carbons, as in the NH<sub>3</sub> activation of furnace black at 950°C [212, 213]. The SSA of activated carbons exceeded 800m<sup>2</sup>/g, with micropores forming from the burn off of disordered carbon in the CB particles. Iron acetate was further added to furnace black during activation study, and this work developed a model to predict ORR activity of these materials [212]. Other studies have suggested that N-doping allowed for the control of Fe NP catalysts, and in final activity, whereas 2wt.% to 8wt.% N-doping in CB from the pyrolysis of urea-formaldehyde resins, was also active in the reduction of O<sub>2</sub> into H<sub>2</sub>O<sub>2</sub>. A more detailed study was reported for Ndoped Ketjenblack® EC 300J materials prepared using formaldehyde cross-linked resins with melamine, urea, thiourea, and selenourea [133]. The CB were first oxidized in HNO<sub>3</sub> prior to mixing with resins and pyrolysis between 400°C and 1000°C under N<sub>2</sub> atmosphere. N-doped Ketjenblack® particles had varying ratios of N, more specifically of graphitic Nsites to quaternary N-sites in the carbon lattice. Optimum catalysts formulations were obtained from selenourea precursors pyrolyzed at 800°C, for which the onset potential for ORR was of 0.76V (SHE), and the amount of H<sub>2</sub>O<sub>2</sub> produced at 0.5V (SHE) reached ~3% in

 $O_2$  saturated  $H_2SO_4$ . Results indicated synergistic effects of lone-electron pair in quaternary N-sites and additional electron donated by graphitic N-site to the delocalized  $\pi$ -system in the BSU for the facilitated reduction of  $O_2$ . It was further highlighted that  $HNO_3$  oxidation introduced quinone groups, which enhanced performance of N-doped CB due to higher double-layer capacitance and consequently higher onset potentials for ORR [133]. Pre-oxidation of acetylene black by a modified Hummers method using sodium nitrate (NaNO<sub>3</sub>.H<sub>2</sub>O) in H<sub>2</sub>SO<sub>4</sub> was found to favor N-doping, ~6.3wt.% N, during NH<sub>3</sub> activation in the presence of manganese chloride (MnCl<sub>2</sub>) as illustrated in Figure 38 [214]. The SSAs increased from  $77m^2/g$  for acetylene black to ~140m<sup>2</sup>/g for the Mn-free, N-doped CB. Final materials had good ORR activity in 0.1M KOH with onset potential of 1.0V (SHE), in a one-step 3.92 electron process, due to prevailing pyridinic and pyrrolic C-N sites.



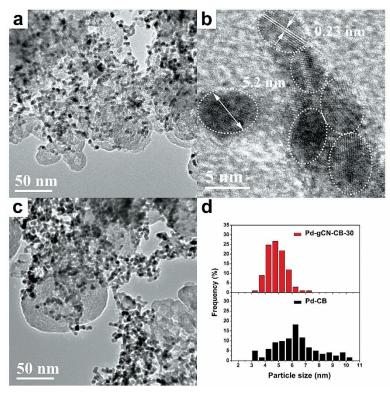
**Figure 38** – Scheme illustrating the preparation of N-doped acetylene black using MnCl<sub>2</sub> oxidation catalyst solution (left) and LSV curves of acetylene black (AB), oxidized acetylene black (OAB), reduced Mn-containing N-doped acetylene black (AB-N), oxidized Mn-OAB-N, final metal-free OAB-N and commercial 20wt.% Pt/C catalyst obtained at a rotation speed of 900 rpm in 0.1 M KOH, with 10mV/s. Adapted from reference [214].

Recent studies have demonstrated that N-doped CB exhibit better activity for ORR in alkaline electrolytes than commercial Pt/C. These N-doped CB were prepared by the pyrolysis of poly-p-phenylenediamine onto BP 2000 [215]. Electrooxidation on N-doped CB followed a 4-electron process, with higher current density and more positive half-wave potential than Pt/C, i.e. 2.17mA/cm<sup>2</sup> vs 1.11mA/cm<sup>2</sup> at 0.1V. Durability tests revealed decreased activity over time due to contamination of catalytically active sites from exposure

to oxygen, as evidenced by increased O-contents in N-CB. Furthermore, ORR activity decreased with increasing current densities to 0.29mA/cm<sup>2</sup> at -0.1V due to poor catalyst stability in air. N, F, and N-F-doped VULCAN XC-72R have also been tested for ORR in neutral pH media [216]. N, F-doped CB had the highest onset potential (-0.17V) over Fdoped CB (-0.20V). F-doping favors the coexistence of 2-electron and 4-electron processes, whereas co-doping with F and N favored a quasi-4-electron ORR process. Both F-CB and N, F-CB exhibited high SSAs, and enhanced tolerance for MeOH and Na<sub>2</sub>S and good long-term electrochemical stability compared to Pt/C at neutral pH electrolyte. Similar results have been reported for N and F-doped BP 2000 for ORR in alkaline conditions. F, N-CBs exhibited the best cathode performance for DMFC. BP 2000 had the lowest onset potential of -0.22V for 2-step 2-electron process. For either N-doped or F-doped CB, onset potential was -0.15V for a 1-step 4-electron process. For F, N-CB, the potential increased to -0.04V for this 1-step 4-electron reaction. Peak potential and half-wave potential for F, N-CB were -0.20V and -0.12V, respectively. Results were comparable to commercial Pt/C. The activity of doped materials was attributed to high contents of pyrrolic and pyridinic N-sites, and to ionic and to semi-ionic C-F bonds in or near lattice vacancy sites.

Despite the low costs of preparing metal-free catalysts, acidic electrolytes are preferred due to cell and electrode corrosion in alkaline pH, and undesired alkali depletion upon reaction with atmospheric CO<sub>2</sub>. Such issues are not found for metal-based catalysts. As for metalbased electrooxidation, the effect of doping the carbon supports has been investigated. For instance, Pt-supported on N-doped CB have been prepared by plasma treatment of VULCAN XC-72R with allylamine, and N<sub>2</sub>; Pt-NP catalysts were then deposited onto these supports [217]. SSA of plasma treated CBs decreased from 256m<sup>2</sup>/g to 212m<sup>2</sup>/g for N<sub>2</sub>, and down to 152m²/g for allylamine due to CB micropore blockage. Although N-site information was not determined, N-doping introduced more basic surface sites, while lowering the numbers of carbon surface acid sites. This effect leads to better Pt-NP dispersion, size control, and Pt ASA. While NP were ~2.46nm in size for  $N_2$ -plasma treated materials with 0.417cm<sup>2</sup>/g ASA, these were 2.25nm in size for allylamine-treated CB and ASA of 0.315cm<sup>2</sup>/g, versus 3.12nm and 0.299cm<sup>2</sup>/g on untreated VULCAN XC-72R. Pd-NP have also been deposited on 2dimensional hexagonal carbon nitride (g-C<sub>3</sub>N<sub>4</sub>)/CB nanocomposites [218]. The g-C<sub>3</sub>N<sub>4</sub> were obtained by oxidizing VULCAN XC-72R with HNO<sub>3</sub>, which was then mixed with melamine solution in ethylene glycol, followed by pyrolysis in N<sub>2</sub> atmosphere at 350°C or 550°C. Graphitic, tertiary, and primary N-sites prevailed in these nanocomposites, whereas N/C

ratios were controlled by the melamine to CB ratios and pyrolysis temperature. As depicted in Figure 39, deposited Pd-NPs had broad size distributions over untreated VULCAN XC-72R, whereas for g-C<sub>3</sub>N<sub>4</sub> nanocomposites these were narrower, centered around 5nm and were well-dispersed onto supports. Electrooxidation currents reached 2155mA/mg Pd and 1720mA/mg Pd in DFAFC and DMFC, respectively. Although the lowest charge transfer resistance was found for Pd-CB, also a consequence of the larger size of metallic NP and lack of semiconducting g-C<sub>3</sub>N<sub>4</sub>, the g-C<sub>3</sub>N<sub>4</sub> was found to protect the CB from corrosion in acidic and alkaline conditions. The g-C<sub>3</sub>N<sub>4</sub> also promoted the stronger interaction of Pd with the support and their improved stability. Consequently, nanocomposites were able to retain their SSA and reactivity during cycling.



**Figure 39** – Representative TEM (a) and HRTEM (b) images of Pd-g-C<sub>3</sub>N<sub>4</sub>-CB with 30wt.% CB; TEM image of Pd-CB (c) composite; particle size histograms of Pd-g-C<sub>3</sub>N<sub>4</sub>-CB-30 and Pd-CB materials. Adapted from reference [218].

While catalyst stability and costs are of major concern, one important recent development was that of graphene-CB composite cathodes for  $O_2$  reduction in GDE for the degradation of bisphenol A as a model pollutant in water [219]. By doping VULCAN XC-72R with 3wt.% graphene, composites reduced  $O_2$  to  $H_2O_2$  and then to decompose the peroxide into OH radical. In these, CB was found to be catalytically active in the reduction reaction into  $H_2O_2$ ,

and graphene provided the  $\pi$ -electrons for the peroxide decomposition in near neutral conditions. The membrane electrodes had large mesopores, which were nearly identical to that of undoped CB, approximately 40nm in width. Despite the low levels of doping, the doping effect was clear; while 1wt.% graphene was detrimental to the electrode performance, 3wt.% graphene largely increased the  $H_2O_2$  and OH radical yield as compared to VULCAN XC-72R [219]. Higher doping levels of graphene have not been tested. The latter is of particular importance, due to the protective action of single-layer graphene to the VULCAN XC-72R layer in the GDE, especially for fuel cells operating at very alkaline pH. Besides being hydrophobic and a good electrical conductor, both desirable properties for GDE, graphene has the potential to further improve the long-term stability of heteroatom doped-CB catalysts in ORR.

#### **4.2 Batteries**

Batteries are devices formed by one or multiple galvanic cells that store and deliver electrical energy through electrochemical reactions [220]. Battery components include the electrolyte(s), and a positive and a negative terminal that are physically separated by a membrane. Both terminals are connected through an external circuit through which electrons flow during the redox process. By convention, when a battery is discharging, the positive terminal is the cathode, where a positively charged species is reduced, and the negative terminal is the anode, where a species is oxidized. Thus, the anode is the source of electrons that flow through the external circuit. Different than fuel cells, batteries contain all of its components and do not require an external fuel source. Many different batteries exist, and these are classified according to the number of charge-discharge cycles. For instance, primary batteries (or cells) are single-use, whereas secondary batteries can be recharged and discharged numerous times [220]. Example of primary cells includes the alkaline batteries, and of secondary cells include Ni-Cd, Ni-Zn, nickel metal-hydride (Ni-MH), and lithium ion battery (LIB or Li-ion) cells. Recent research has also looked into inexpensive cations such as sodium (Na), and for multivalent cations that could lead to higher energy through increasing the number of electrons, e.g. magnesium (Mg) and even having multiple possible oxidation states as iron (Fe) [221, 222]. Also, of recent interest is the Zinc-Air battery, where Zn is oxidized by O<sub>2</sub> from the air. This cell has high energy density and is of interest to power future electric vehicles (EV). It represents a hybrid system between battery and fuel cell. The latter technologies still require many more years of research until these can reach

consumer products, and until that happens, most portable electronic devices and EV continue to move towards LIB [223].

In commercial LIB, the anode material is graphite, and a Li salt is dissolved in an organic solvent, usually a mixture of ethylene carbonate and diethyl carbonate. The organic solvents decompose on the surface of the graphite, forming a polycarbonate film on its surfaces, known as the solid electrolyte interphase (SEI). Li-cations lose their solvation shell when migrating to the anode through the SEI, then forming an intercalation compound with graphite that has an ideal stoichiometric composition of LiC<sub>6</sub>. Lithium is not reduced in the graphite, but rather interacts through charge-transfer with graphite. When a lithium metal anode is used as Li<sup>+</sup> source, the battery is classified as a half-cell. These batteries have very high energy densities but are unsafe and dangerous. Thus, graphite allowed for widespread commercialization of safer LIB. On the cathode side, oxides of aluminum, cobalt, nickel, manganese, vanadium, and iron phosphates, that have either layered or the spinel structure are used. The latter form intercalation compounds with Li<sup>+</sup>. For example, while LiNiMnCoO<sub>2</sub> (NMC) is the most common cathode used in EVs, LiNiCoAlO<sub>2</sub> (NCA) is used by Panasonic in the battery cells powering the best-selling EVs in the United States, namely Tesla Models S, X, and 3 [224]. In this segment, the selected cathode material has been one of the important factors helping Tesla's EVs achieve the best overall performance, as top speed and mileage. Modern LIB with graphite anode thus have variable nominal potential depending on the cathode material selected [225]. Since these oxides have poor electrical conductivity, an additive is required to promote the conductivity of the cathode.

In this direction, CB has been extensively investigated as a major conductive component in composite battery cathodes. One of the first examples is that of CB-MnO<sub>2</sub> composites prepared by mixing KMnO<sub>3</sub> with AB [226]. The system was then thermally treated, and the reaction between KMnO<sub>3</sub> and carbon from CB surfaces yielded MnO<sub>2</sub>. The incomplete reaction left smaller CB particles in the oxide, which increased the electrical conductivity of the final composites. And the morphology of the CB-MnO<sub>2</sub> resembled that of the starting CB particle aggregates. When tested as cathode material for LIB, discharge capacities of 231 mAh/g and 40 mA/g versus Li were obtained. Another interesting development for LIBs, was that of CB nanocomposite cathodes with LiMn<sub>2</sub>O<sub>4</sub>-spinel, LiCoO<sub>2</sub> or with LiFePO<sub>4</sub> [227]. These were mixed using 2wt.% gelatin and LiOH, NaOH or KOH to disperse the CB in the composites. CB had a more uniform distribution onto the oxide and phosphate particles than mechanically mixed components. This gelatin method resulted in cathode materials with

higher reversible capacities, capacity retention at various rates, and cycling stability than the mechanically mixed composites. This effect of even more pronounced at lower loadings of CB, i.e. 2wt.%, versus higher loadings of 10wt.%. Finally, the higher pH of 12 favored better distribution of CB particles than at pH of 9, and resulting composites demonstrated better cathode particle coating by CB, and consequent enhanced electrical conductivities.

As anode materials, CBs are also of major interest as conductive filler in carbon-carbon or carbon-ceramic composites. As an example of carbon-carbon composites, so-called graphitized sucrose-based carbon microspheres containing CB (GPCMs) have been investigated as an anode material for LIB [228]. After thermal treatments at 2800 °C, anode materials had a reversible capacity of 480 mAh/g at a current density of 50 mA/g, which exceeds that reported for graphite microspheres (GM) of 372 mAh/g. During the thermal treatment process, it has been verified that the ordering of the turbostratic graphene sublattice from CB improved, while that of sucrose-derived carbon (a hard-carbon) retained large degree of carbon lattice defects and stacking mismatch. The dooz-spacing determined by HRTEM for the CB component was 0.339nm, still greater than the spacing expected for graphitic materials. The GPCM composites however, provided a more continuous path for electrical conductivity between CB particles. Consequently, the electrodes prepared with graphitized nanocomposites displayed lower series resistance than GM electrodes, or CB thermally treated at 2800 °C (GCB) alone. Besides the enhanced electrical conductivity properties contributing to the superior reversible capacity, the core-shell structure of the GPCM composites offered extra Li-ion storage sites and allowed for faster Li-ion diffusion compared to GMs and GCBs.

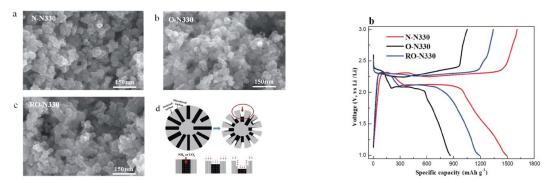
For carbon-oxide composites, ZnO-CB (AB) composites have been prepared by ALD and tested as anodes in LIB [229]. Cells reached a capacity of 2096mAh/g at 100mA/g, and reversible capacity of 1080mAh/g at 2000mA/g. A stable reversible capacity of 1026mAh/g was reached after 500. The reversible capacity of nanocomposites is comparable to the theoretical capacity for ZnO anode of 987mAh/g. In these nanocomposites, strong ZnO interactions with CB surfaces prevent large volume changes in the ZnO lattice during Liintercalation and deintercalation. The large volume changes in ZnO during charge-discharge cycles and its poor electrical conductivity have hindered its applications for batteries. In the nanocomposites, CB enhanced electrical contact between particles, whereas, oxygen vacancies in the ZnO surfaces allowed for faster Li-ion transport. Other ZnO-CB nanocomposites have been investigated for Li-air battery [225]. These studies have reported

that while pure ZnO had a capacity of 556mAh/g and power density of 17 mW/cm<sup>2</sup>, the addition of 2 wt.% CB Super P resulted in an increase to 776 mAh/g in capacity and final power density of 20 mW/cm<sup>2</sup>. The latter capacity value is much closer to the theoretical one of 820 mAh/g.

Other high-energy density storage device of interest is the Lithium-Silicon battery. The latter battery has a theoretical capacity of 4200mAh/g and high potential of 0.4V versus Li/Li<sup>+</sup> [225]. This device has its commercialization hampered by the electrode expansion and contraction during Li-intercalation-deintercalation in the Si crystal. In order to prevent Si degradation during battery cycling, Si has been deposited in the large CB textural (interparticle) pores [230]. Si and CB were prepared by solution plasma processing of Si suspension in benzene and xylene as carbon precursor. Si-particle size ranged from 50nm to 200 nm, and the CB SSA was ~200 m<sup>2</sup>/g. The charge and discharge of a battery are governed by C-rates. The capacity of a battery is commonly rated at 1 C, meaning that a fully charged battery rated at 1 Ah should provide 1 A for one hour, 0.5 A for two hours, and so on. For these composites, the first cycle capacity was of ~ 1000 mAh/g, with 55% coulombic efficiency, meaning a reversible capacity of 553 mAh/g at a fast cycling rate of 1C, 801 mAh/g at C/2, and finally 1005 mAh/g at the slowest rate of C/5. Cells were stable for up to 50 cycles, and final capacities dropped to 260 mAh/g.

Moreover, CB has been intensively investigated as cathode material for the high-power and high-energy density Lithium-Sulfur battery (Li-S) [231]. In these studies, CB (N330) was functionalized with O and N species that could strongly interact with S and prevent its dissolution as polysulfides, a well-known issue for this particular device. N-sites were introduced by NH<sub>3</sub> activation. Whereas O-groups were introduced via activation with CO<sub>2</sub>. Both activation methods additionally introduced micropores by etching the amorphous carbon fractions present the neat CB surfaces, as illustrated in Figure 40. A control sample was prepared by activating CB in a CO<sub>2</sub>/H<sub>2</sub> mixture, thus eliminating the O-functionalities while introducing microporosity to the carbon. Final surface areas were ~1300 m<sup>2</sup>/g, whereas the total pore volume reached 1.2 cm<sup>3</sup>/g; the average pore width for internal micropores and textural pores was in the range of 3 nm to 4 nm for all materials. While N-doping significantly improved the electrochemical performance of CB, O-doping deteriorated the battery performance due to loss of electrical conductivity of neat CB, and to unwanted reactions with S. N-doped electrode cells exhibited a reversible capacity of 1020 mAh/g at 0.1 C rate and 93% coulombic efficiency. On the other end, O-doped electrode cells had a

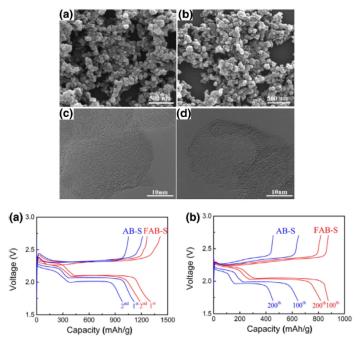
capacity of 550 mAh/g, whereas  $CO_2/H_2$  treated materials afforded cells with 700 mAh/g for the same charge-discharge rate. Non-functionalized acetylene black (AB) mixed with S was reported to have a discharge capacity of 563 mAh/g at 0.1 C and 71% capacity retention at 0.1 C [232].



**Figure 40** – Left: FESEM images of N-doped N330 (a), O-doped N330 (b), and N/O-doped N330 (c). Right: First Galvanostatic charge-discharge profiles of assembled Li-S cells using N-doped, O-doped, and CO<sub>2</sub>/H<sub>2</sub> treated CBs as active electrode materials. Adapted from reference [231].

Edge functionalized CB particles (AB) prepared by high energy ball-milling under Ar atmosphere have also been investigated [233]. This process partially exfoliates CB outer layers, as seen in Figure 41, and SSA increased from 60 m²/g for CB up to 170 m²/g after ball-milling. CB and functionalized CB were then impregnated with S and tested in battery cells. While CB-S electrode cell had a capacity of 453 mAh/g, the exfoliated CB-S composite had a capacity of 814 mAh/g after 200 cycles and 0.2 C rate. The increased performance was due to the increased SSA of the exfoliated material, which shortened the Li-diffusion pathways, while retaining the good electrical conductivity of CB.





**Figure 41** – Top: Scheme illustrating the fabrication of edge-functionalized acetylene black S nanocomposites. Center: SEM images of acetylene black (a), ball-milled acetylene black (b) and respective TEM images in (c) and (d). Bottom: Galvanostatic discharge-charge profiles of the as-fabricated acetylene black-S (AB-S) and ball-milled edge-functionalized acetylene black-S (FAB-S) composites at a current density of 0.2 C at various cycles. Adapted from reference [233].

Typical battery anodes and cathodes are prepared from powder materials, which require a binder for casting electrode films onto the current collectors. Issues of polymeric binders include their electric insulating properties and pore blockage of the active materials. To reverse the loss of electrical conductivity, CB is added as conductive filler, whereas pore blocking effects have proven more difficult to probe and solve. In order to investigate the latter, high-surface area CB particles were mixed the poly(vinylidene fluoride), (PVDF), binder, widely used for electrochemical energy storage devices, in dimethoxyethanedioxolane solvent mixture, mixed with poly(vinylidene difluoride-coor hexafluoropropylene, (PVDF-HFP), in NMP solvent [234]. The CB SSA was ~1100 m<sup>2</sup>/g and particles had a total pore volume of 1.74 cm<sup>3</sup>/g. Results indicate that due to poor solubility of PVDF, which hindered access of electrolyte to the cathode particles. When using PVDF-HFP in the same solvent mixture or in NMP, the copolymer had much higher solubility, which improved copolymer swelling and resulted in better electrochemical performance. The latter effect was also observed for poly(ethylene oxide) polymer (PEO).

The PEO binder, however, led to irreversible capacity loss after each cycle. The highest capacity was obtained for a cell assembled without binder. Nonetheless, the electrode collapsed after the first few cycles [234]. Similarly, Li-S batteries assembled using AB electrode cell have been compared to a lamellar carbon electrode using PVDF binder [235]. While lamellar carbon cells had a stable reversible capacity of 787 mAh/g at 0.2 C rate and 90% coulombic efficiency, the CB cell reached a maximum reversible capacity of 334 mAh/g after 100 cycles. Results point to the fact that the higher surface accessibility of the lamellar carbon electrode prevented the detrimental pore blocking effects of the binder, as opposed to CB. Finally, the effect of Shewinigan Black addition to LIB graphite anode has been quantitatively investigated, as well as binder, and the electrolytes LiBF<sub>4</sub> and LiPF<sub>6</sub> [236]. For LiMnO<sub>2</sub> as cathode in the cell, reversible capacities for CB electrodes were 180 mAh/g in the 0.01 V to 1.5 V window, but with 30% coulombic efficiency. First cycle irreversible capacities further increased from ~25% to ~70% as CB percent weights in graphite were increased from 10 wt.% up to 90 wt.%. Although SEI stability was comparable between CB and graphite, but its degradation was stronger over CB. For PVDF binder, additional reduction reactions were observed at 0.35 V. Oxidation reactions were observed between non-fluorinated binders with CB and LiPF<sub>6</sub> in the cathodic region of 3.0-5.5 V. Interestingly, these could be avoided by switching to LiBF<sub>4</sub>.

When AB was directly ball-milled with elemental sulfur (S<sub>8</sub>), composites were found to have uniform S coating the carbon particles. The latter were further coated with sucrose-based carbon, and subsequently deoxidized [237]. This additional carbon coating was added to prevent dissolution of polysulfides in the Li-S battery cells. Indeed, capacities for the coated CB-S particles exceeded 1000 mAh/g at current densities of 100 mA/g or 200 mA/g after 6 cycles, whereas that of uncoated CB-S materials dropped to less than 800 mAh/g at 200 mA/g.

Finally, potential improvement in CB-based battery anodes and cathodes may come from the use of hollow CB particles [42]. These have been reported after extensive oxidation of CB in HNO<sub>3</sub> and subsequent reduction with hydrazine [120] Given the good electrical conductivity of the resulting hollow CB particles, a potential application includes the Li-S batteries as S deposition in inner pores may prevent its dissolution as polysulfides and shuttling during battery cycling [238]. Similarly, for batteries where electrode materials undergo fragmentation due to lattice expansion, such as Si and ZnO [225, 239-243], small nanoparticles could be grown within the hollow CB cores. This modification could lead to

mechanically stable nanoparticles that would not suffer from the lattice expansion of Si or ZnO issues of bulkier crystals due to Li-intercalation during battery cycling. In addition to the good electrical conductivity, these hollow CB particles have more uniform inner pore widths and restricted access by the micropores in the shells. These properties prevent the deposition of polymeric binders within these pores, as it is observed on the external textural pores. Thus, a combination of these properties, indicate new possibilities for high-energy and high-power battery technologies based on CB and CB-based composites.

While Li-batteries have dominated the market for portable electronics and EVs, few countries control nearly all the economically viable Li resources in the world [244]. Thus, there has been a need for identifying more widely available and inexpensive cations to guarantee the future of the market for rechargeable batteries. One of these metals is Sodium (Na), which is widely abundant, inexpensive and it is relatively lightweight. In this direction, carbon anode materials for sodium ion battery (NIB) have been intensively investigated in recent years [225, 245]. These batteries are promising for lightweight, high-power, and inexpensive batteries, and using abundant carbon anodes is of major importance for their popularization. CB materials from Repsol-YPF or Acetylene Black as anode for NIB have been tested versus a Na<sub>0.7</sub>CoO cathode [246]. The reversible capacity of this cell was 200 mAh/g, and an estimated 0.0155 mol of Na-ions per cm<sup>3</sup> of CB could be reversibly intercalated-deintercalated. Further improvements in the capacity of these cells, compared to 280 mAh/g reversible capacity for hard-carbon anode, can be achieved by increasing the surface area and intrinsic porosity of CB-based anodes. The latter can further prevent the deposition of metallic sodium in the textural pores.

# 4.3 Supercapacitors

Electrical double layer capacitor (EDLCs), or simply supercapacitors, are energy storage devices with complementary properties to batteries. Supercapacitors have much higher power densities than batteries, but with lower energy densities than the latter devices [65]. Supercapacitor are composed of an electrically conductive cathode and anode, which are separated by an insulating membrane, and by an electrolyte. In supercapacitors, energy is stored in the electrical double layer (EDL) that form on the surface of the electrodes when an electric potential is applied. When the potential is removed, energy is released and electrons flow through the external circuit connecting the cathode and the anode. Similar to ceramic capacitors, the capacitance depends directly on the surface area of the electrodes. Since the electrolytes wet the surfaces of the electrodes in supercapacitors, high surface area electrodes

are desired. Most surface area results from micropores, which are pores smaller than 2 nm in width. Whereas accessibility is often provided by larger mesopores, pores with widths between 2 and 50 nm, and by macropores that are larger than 50 nm [247]. Thus, hierarchical pore structure is instrumental for balancing surface area and pore accessibility for optimum device performance [248]. Moreover, the power and the energy densities are proportional to the cell voltage and to the square of the voltage, respectively. The electrode and electrolyte stability during charge-discharge are key elements to prevent cell decomposition during cycling. Hence, aqueous electrolytes are limited by the electrolytic decomposition of water, ~1.1 V. Organic electrolytes extend this potential range to 3.5 V, even though bulkier cations and anions have limited access to the micropores and consequently these devices have lower capacitance than those operating with aqueous electrolytes. The energy density of supercapacitors can also be improved with electrochemically reactive groups, as in pseudocapacitors, making these devices potential substitutes for batteries in many applications [249]. The requirements for electrode materials are: good electrical conductivity, hierarchical pore structure, chemical stability and ability to functionalize materials surfaces with redox active groups or even prepare pseudocapacitive nanocomposites with metal oxides of Mn, Re, Ru and Ir [248, 250, 251]. Among all carbon nanomaterials and nanostructured materials, CB has attracted considerable attention for its electrical conductivity, not to mention its availability and low cost.

Initial reports on CB (Pure Black 205) as the active electrode material in supercapacitors, indicate low gravimetric capacity, 2 F/g for organic electrolytes compared to thermally treated nanodiamonds (ND), 35 F/g, or multiwalled carbon nanotube (MWNT), 11F/g under similar cell preparation conditions [252]. Similar to ND, the electrical conductivity of CB (N326, N121 and P366-E) has been found to increase upon thermal treatments between 1100-1600 °C [253]. Whereas thermal treatments above 1600 °C, i.e. 2800 °C, resulted in decreased electrical conductivities and in lower specific surface areas for CB. Between 1100 °C and 1600 °C, disordered carbon domains in CB rearrange into a stacked curved graphene lattice. Further increase in temperatures lead to conversion of curved shells into flat domains [253]. This behavior of CB, as previously discussed [15-17], differs from that of thermally treated ND, which converts into spherical onion-like carbon (OLC) particles [252]. Conversion from sp³ into sp² carbon starts from the outer ND particle layers, with subsequent complete formation of graphitic onion structure having continuous sp² carbon shells; polygonization of the outer particle layers then take place with graphitization at high

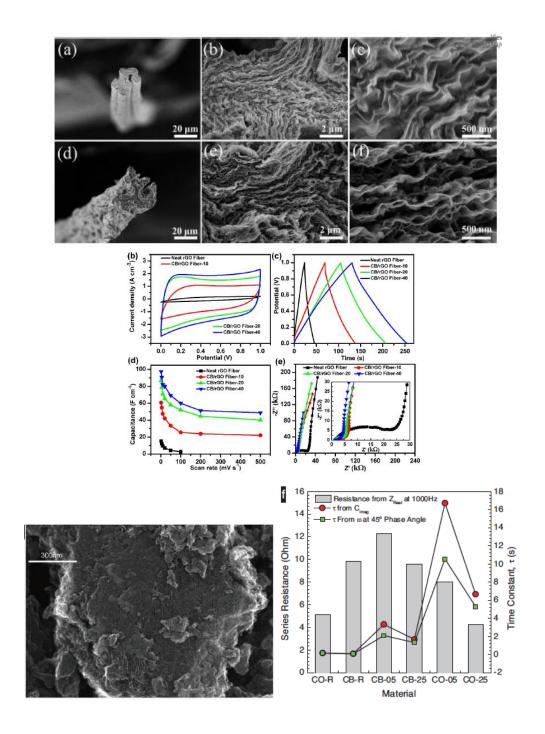
temperatures [252, 254, 255]. Furthermore, while specific surface areas of thermally treated ND are essentially retained upon conversion into OLC, that of CB has been reported to decreased drastically. Such low specific surface areas result in the low capacitance values reported for CB, as ND thermally treated at 2000 °C still exhibit a capacitance of ~23 F/g [252].

Surface oxidation of CB, such as furnace black, using O<sub>3</sub> or NO<sub>2</sub> have been found to increase surface acid sites such as phenol groups and lactone groups [200]. The final capacitance of these carbons was largely improved due to added pseudocapacitive contributions of quinone type groups formed within 0.1 V and 0.5 V in H<sub>2</sub>SO<sub>4</sub>, especially after O<sub>3</sub> treatment. Pseudocapacitive contributions were even more pronounced in activated CB having high SSA.

Most CB grades have much lower surface areas than most activated carbons. While the latter exhibit high capacitance, the former class of materials have considerably higher electrical conductivity, a property that is desirable for fast charge and discharge rates. Similar to GDL and some battery electrodes, CB has been found as an excellent component in high SSA "brick and mortar" type carbon-carbon nanocomposite electrode materials for supercapacitors. Examples include Acetylene Black mixed with GO under ultrasonic conditions, with GO being subsequently converted to rGO [256]. This procedure yielded a composite with CB anchored in rGO basal planes, acting as pillars of a layered mesoporous composite. When CB was directly mixed with rGO, CB was found in rGO edge sites. Nanocomposite specific surface areas ranged from 500 m<sup>2</sup>/g to 600 m<sup>2</sup>/g, whereas that of single component rGO was ~270 m<sup>2</sup>/g. Consequently, the reported capacitances for aqueous KOH electrolyte increased from 69 F/g for rGO to 175 F/g for nanocomposites. Composites further exhibited good cycling stability and capacitance retention at various rates, consequence of the low series resistivity of the cells, 0.7 Ohms. The latter may be attributed to the good electrical contact between CB and rGO which was instrumental for the high electrical conductivity of nanocomposites.

CB-rGO fibers have also been prepared by wet-spinning method, as shown in Figure 42 [257]. In the latter composites, VULCAN XC-72R was also found to act as pillars for the layered rGO lattice, and SSAs raised with increasing CB content up to roughly 255 m<sup>2</sup>/g at 40 wt.%CB. Evidence for constricted mesopores was provided by the  $N_2$  77 K sorption isotherms (not shown), whereas neat rGO fibers were nonporous and had SSA of 13 m<sup>2</sup>/g. These were tested with  $H_3PO_4$  electrolyte in PVA, for which capacitance was 98 F/g, with

96% retention after 2000 cycles. The energy density reported was of 2.8 mWh/cm³, and 1200 mW/cm³ power density. Compared to rGO fibers, composites displayed much higher capacitance, and capacitance retention, with adjustable charge-discharge properties based on the CB content. For instance, increasing the amount of CB resulted in lower electrolyte diffusion resistance, and electrochemical series resistance (ESR). Higher CB contents also translated into flexible composites with enhanced mechanical strength compared to rGO fibers. Thus, CB composite fibers have potential for uses in wearable electronic devices.



**Figure 42** – Top: SEM images of cross-sections of rGO (a-c) and of CB-rGO with 40 wt.% CB nanocomposite fibers. Bottom: Cyclic voltammograms (CVs) at 50 mV/s (b), galvanostatic charge-discharge (GCD) at 0.64 A/cm<sup>3</sup> (c), volumetric capacitance at various scan rates (d) and Nyquist plots of the various nanocomposites in comparison to rGO fibers; inset shows the high frequency region of the Nyquist plots (e). Adapted from reference [257]. Bottom Left: SEM image of CB-OMC composite prepared by the "brick and mortar" soft-templating method with 25 wt.% CB in the synthesis gel. Bottom Right: Calculated ESR and

time constants for various CB-OMC and OLC-OMC nanocomposites in comparison to CB or OLC (CO) supercapacitor electrode materials. Adapted from reference [258].

Other high surface area nanocomposites have been reported for CB with a soft-templated ordered mesoporous carbon (OMC) framework [258]. The CB-OMC materials were prepared following the "brick and mortar" method [259], with CB serving as highly electronically conductive carbon "bricks" interconnected by the high surface area OMC "mortar". The OMC forms via self-assembly, in situ polymerization and microphase separation of a resorcinol-formaldehyde resin with a triblock copolymer of general formula poly-ethylene oxide-poly-propylene oxide-poly-ethylene oxide (PEO-PPO-PEO) that acts as structure directing agent. In the synthesis gels, CB particles were found to interact with the hydrophilic PEO blocks and the resorcinol. This interaction allowed for its dispersion within the resorcinol-formaldehyde resin in the phase-separated polymer composite. After thermal treatments under inert atmosphere, the resin thermosets and converts into a carbon material, whereas the PEO-PPO-PEO polymer decomposes, leaving an open structure of mesopores resembling that of the polymer mesophase. Due to the presence of CB, the effective size of the triblock copolymer micelles increases. Consequently, by increasing the ratios of CB in the synthesis gels, the mesopore widths of final CB-OMC composites also increased from ~6 nm in the neat OMC up to ~17 nm in composites having 50 wt.% CB. At CB ratios less than 25 wt.% the conductive "bricks" were dispersed throughout the OMC materials as seen in Figure 42. At 50 wt.% and higher ratios of "bricks", thin mesoporous films coating and interconnecting the CB particle aggregates formed. The SSA of composites were varied from 200 m²/g to 700 m²/g, which is far higher than most graphitic carbons. Capacitance values were comparable to that of mesoporous carbon materials with similar SSA. Another effect of increasing CB ratio was the decrease in series resistance of the supercapacitor cells assembled. Consequently, CB-OMC composites had better capacitance retention at fast charge-discharge rates than neat OMC or with CB loadings of 5 wt.% or less. Similar effect was found for OLC "bricks" used in the same study [258].

Besides carbon-carbon composites, conductive composites of CB with polymers with fibrous and segregated particle morphologies have been investigated. Core-shell composite nanospheres of CB and polypyrrole (PPY) have been prepared in the presence of poly(2-hydroxy-3-(methacryloyloxy) propane-1-sulfonate), (PHMAS), that act as both surfactant and as sulfur dopant [260]. Nanocomposites displayed good electrical conductivity, with

maximum values found for a 20 wt.% loading CB, which also had the lowest S/N ratio. Materials have semiconducting properties, with the electrical conductivity of samples increasing with increasing temperature. Capacitance values for neat PPY nanoparticles in aqueous NaNO<sub>3</sub> electrolyte was ~120 F/g, which increased to 366 F/g for composite with 20 wt.% CB. The capacitance dropped to 210 F/g for higher CB loadings. Composites exhibited good cycling stability and capacitance retention at current densities of up to 5 mA/cm<sup>2</sup>, and various charge-discharge rates. Finally, electrochemical series resistivities were between 3 and 8 Ohms, similar to those reported for CB-OMC composites. Other composite examples include the mechanically mixed CB (CDX975) with poly(methyl methacrylate), (PMMA), which yielded a segregated structure with PMMA forming faceted grains and CB particles aligning in a 3D network of nanowires [261]. Composites where transparent up to 1 wt.% of CB in PMMA. In contrast, solution mixing yielded randomly distributed CB particles in the bulk PMMA matrix, consequently having higher electronic percolation threshold. Electrochemical impedance measurements indicate that mechanically mixed composites have similar electrical conductivity to SWNT as conductive fillers. Although only electrical conductivity properties were measured for CB-PMMA composites, these are promising for flexible capacitive energy storage in electronic displays, sensors and other portable electronic devices.

Beyond conventional supercapacitors, electrochemical flow capacitor (EFC) devices have been the subject of recent investigation for large-scale energy storage [262]. Different than supercapacitors that utilize liquid electrolytes and electrode films on a current collector, EFC make use of flowable porous carbon-electrolyte solution slurries. The slurry flows through 2 separated loops, each constituting a half-cell, and with each loop being forced to flow through electrostatically charged plates that act as current collectors. At this stage, each half-cell is separated by a membrane that allows dissolved counter ions to diffuse through, thus balancing the EDL on the particles on both sides. The charged particles are then stored in separate reservoirs and released as energy is needed. The charge transfer is given by contact between particles, and concentrated slurries with good stability in the electrolyte-solvent system is desired. This stability is guaranteed by the electrical double layer once the electrolyte is adsorbed and immobilized on the particle surfaces. Although the charge-discharge process takes places within several minute intervals, the EFC devices are attractive alternative to large-scale energy storage such as power-grids, where higher energy densities than conventional supercapacitors or batteries can currently provide are desirable. Similar to

conventional supercapacitors, active carbon particles need to have high surface area for storing charge and good electrical conductivities for charge transfer and polarization in EFC. First reports used activated carbon micron sized spheres as active material [262-265], whereas, graphene has been proposed as an efficient material for EFC [266]. In this direction, graphitized sucrose-based carbon microspheres containing CB are of interest due to their high SSAs and electrical conductivities [228]. Similarly, mesoporous CB-pillared graphene composites [256] have the potential for active slurry in EFC, as these retain the particle porosity even if stacking of layered particles in a slurry may take place. Additionally, there is vast number of possibilities to improve the electrical conductivity of CB composites with tertiary particle structures, to modify the surfaces of CB with hydrophilic or hydrophobic polymers, i.e. hydrophilic poly(sodium-4-styrene sulfonate) [267, 268], and pH responsive polymers such as poly(acrylamide-co-vinylamine) [269], for suspending these particles in water, or organic solvents, and dope the surfaces with redox active coatings [133, 207, 260] to increase the energy storage by pseudocapacitive contribution in EFC [270]. Besides ensuring good electrical conductivity, the ability to tailor interparticle and particle-electrolyte interactions, and potentially slurry viscosity for modulated charge-discharge cycles, further increase interest for CB in emerging energy storage technologies [262, 264, 270].

# 4.4 Photocatalysts and Solar Devices

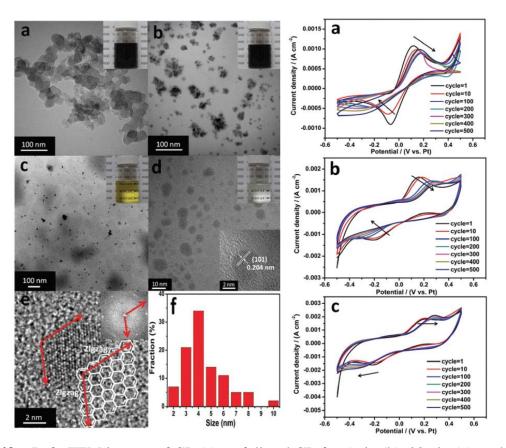
CB is a good active component in composite electrodes for dye sensitized solar cell (DSSC) and for photocatalysts [271-273]. Photocatalysts, commonly titanium dioxide (TiO<sub>2</sub>) and other semiconducting materials, have bandgaps of energies in the UV-vis region of the electromagnetic spectrum. Light excites electrons from the conduction band to the valence band of the solid producing an electron-hole pair. The hole ( $h^+$ ) and electron ( $e^-$ ) pairs generate free-radicals which promote redox reactions on the photocatalysts surfaces. Photocatalysts properties can be tailored by chemical composition, as well as by their nanoarchitecture [274, 275].

DSSC, or Grätzel cells, also operate with semiconducting materials formed between a photosensitized anode and an electrolyte [276]. The Grätzel cell design has a top transparent anode, either fluoride-doped tin oxide (FTO) or indium tin oxide (ITO) conductive glass. On the back of this conductive plate is a thin layer of sintered TiO<sub>2</sub> nanoparticles, which has a mesoporous structure with high surface area. Since TiO<sub>2</sub> absorbs only photons in the UV, the plate is then immersed in a mixture of a photosensitive solution of ruthenium-polypyridine dye (molecular sensitizers), i.e. [Ru(4,4'-dicarboxy-2,2'-bipyridine)<sub>2</sub>(NCS)<sub>2</sub>] (N<sub>3</sub>), that is

bound to a photoanode via carboxylate moieties, an ester, a chelating, or bidentate bridging linkage. A second conductive plate made of Pt and coated with a thin layer of iodide electrolyte is then joined and sealed with the FTO or ITO supported electrode to prevent the electrolyte from leaking. In this cell, the dye is excited by light and rapidly injects an  $e^-$  into the TiO<sub>2</sub> after light absorption. The  $e^-$  diffuses through the TiO<sub>2</sub> particle network to be collected at the front side of the FTO electrode. The dye is regenerated via reduction by a redox shuttle,  $I_3/\Gamma$ , dissolved in a solution. Diffusion of the oxidized form of the shuttle to the counter electrode completes the circuit [277].

In photocatalysts, the good electrical conductivity of CB allows for fast electron transfer and leads to decreased electron-hole recombination in the semi-conducting oxide, i.e. TiO<sub>2</sub> [274]. The CB additive further increases the thermal stability of the anatase phase, preventing its crystallization into rutile. Results confirm that indeed, Acetylene Black-TiO2 composite films have been found to exhibit 50% more activity than TiO<sub>2</sub> films alone [278-280]. In all these works, the intimate contact between CB and TiO<sub>2</sub> photocatalyst is instrumental. Thus, nanocomposites prepared by a sol-gel method yielded materials with bang-gaps of 1.3eV, and well-defined radiation absorption in the visible region of the electromagnetic spectrum [279]. The latter had not been obtained for physical mixtures of CB and TiO<sub>2</sub>. This results from the abundance of inactive sites found in the physically mixed nanocomposites, as opposed to a more uniform surface found in the sol-gel materials, for which the abundance of hydroxyl surface groups in the sol-gel composites was largely responsible for the long-term stability and activity of the photocatalysts [280]. CB-TiO<sub>2</sub> composites prepared from the solgel method further presented higher photocatalytic activity than activated carbon-TiO<sub>2</sub> composites. That was mostly due to the large textural pores found in the CB composites and to their higher electrical conductivities compared to activated carbon, which favored fast diffusion of dyes to the catalyst surfaces. In addition to electrical conductivity, external polarization studies under UV irradiation demonstrated uniform response of CB-TiO<sub>2</sub> prepared by the sol-gel method under anodic polarization [281]. This was in contrast to results obtained for physical mixtures of the two components, which had non-uniform responses and with enhancement under cathodic polarization. Capacitive tests under dark conditions revealed larger positive shift in the rest potential of the sol-gel composites plus evidence of Fermi-level pinning due to carbon electronic states with strong acceptor character in the sol-gel derived nanocomposites [281].

Parallel to these developments, CB-composites have been investigated as alternative for Pt on FTO or ITO glass counter-electrodes for reduction of I<sub>3</sub> in DSSCs [282]. Advantages of CB-FTO over Pt-FTO glass includes the fact that CB is vastly abundant, it is inexpensive, and is corrosion resistant. Other CB-nanocomposites and carbons, namely CB-graphite, CBpolyaniline, CB-TiO<sub>2</sub>, CB-Pt, activated carbon, and single-walled carbon nanotubes (SWNT) have been reported with results pointing to CB as the best catalyst material [282]. In fact, PRINTEX L is an excellent active catalyst for the I<sub>3</sub> reduction, with cells reaching an efficiency of 9.1% under 1 sun in a solvent mixture of acetonitrile and valeronitrile [283]. The short-circuit current (J<sub>SC</sub>) and open circuit potential (V<sub>OC</sub>) were 16.8 mA.cm<sup>2</sup> and 789.8 mV, respectively [283]. Results represented an improvement over graphite-CB, for which a 6.67% photocatalytic efficiency (PCE) with lower V<sub>OC</sub> of 826 mV and J<sub>SC</sub> of 11.34 mA.cm<sup>2</sup> was obtained in acetonitrile solvent [283]. The activity of CB results from the large numbers of edge sites in its short graphene domains, thus explaining the increased activity [283]. CB-TiO<sub>2</sub> composites have also been found as good catalysts for I<sub>3</sub> reduction, with cells reaching 7.4% efficiency for 5:1 wt. ratio of TiO<sub>2</sub>:CB compared to the 7.7% PCE of Pt-FTO in similar conditions [282]. Yet, CB-TiO<sub>2</sub> displayed lower charge transfer resistance than Pt-FTO, and comparable to the 8% PCE found for more conductive Pt-PRINTEX L [284]. Similarly, commercially available activated carbon, despite its high surface area and poor crystallinity, exhibited much lower efficiency than CB, reaching 3.89% CE in acetonitrile as the latter lacked graphene edge sites. Hence, there is possibility to further improve the reactivity of activated carbons by precursor selection. As previously mentioned, similar to graphite, CB particles such as acetylene black, furnace black, and others can also be oxidized and by KMnO<sub>4</sub>-H<sub>2</sub>SO<sub>4</sub> solution [18], or by HNO<sub>3</sub> and HNO<sub>3</sub>-H<sub>2</sub>SO<sub>4</sub> mixture [45, 120, 285] and subsequently exfoliated into graphene quantum dots (GQD) with additional OCB nanoparticles [18, 45, 120]. GQD are advanced nanomaterials with structure composed of single layer and multilayer graphene stacks having less than 30 nm in size, and lateral size larger than particle stacking height. GQD exhibit prolonged quantum confinement effects, stable photoluminescence and excellent chemical stability. Their electronic properties originate from the quantum confinement or conjugated  $\pi$ -system, the different hybridization of the carbon atoms, presence of surface groups and of covalently bound luminescent molecules, and to crosslink-enhanced emission (CEE) effect [286, 287]. In this direction, high surface area carbon aerogels (CA) coated with GQD from CB precursor have been recently reported, see Figure 43 [285]. The average GQD size obtained from microwave assisted oxidation of CB in HNO<sub>3</sub>-H<sub>2</sub>SO<sub>4</sub> mixture was 10 nm and with 2 nm thickness. These were adsorbed on the CA, and subsequently film cast onto FTO glass. The resulting composites not only had activities comparable to Pt-FTO CEs, but greater stability than Pt-FTO or CA-FTO as assessed by the drop of the cathodic current for the latter 2 materials after 500 cycles. Currents slightly increased for GDQ-CA composites, thus representing a more corrosion-resistant and inexpensive alternative to Pt. Moreover, N-doped graphene has also been proposed as an efficient I<sub>3</sub> reduction photocatalyst [288]. Recent study on N-doped multilayer GQD has found that the emission properties can be modulated from 300 nm to over 1000 nm [289]. Moreover, the N and O-sites in GQD have been found to catalytically active centers in reduction reactions [290]. Hence, there is a need to develop methods to prepare N-doped GQDs from CB, as these could substantially lower the costs of this novel photocatalyst material.

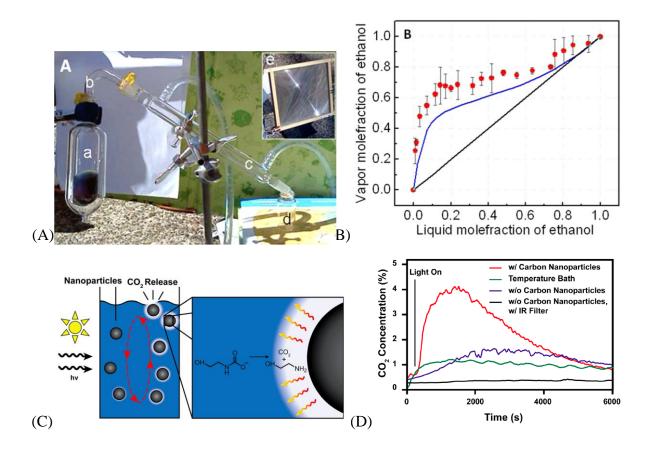


**Figure 43** – Left: TEM images of CB (a), exfoliated CB for 5min (b), 20min (c), and 60min (d), with inset pictures of product suspensions. HRTEM of GQD prepared using 60min for exfoliation, and size distribution of GQDs (f). Right: Cyclic voltammograms of Pt (a), carbon

aerogel (CA) (b), and GQD/CA electrodes recorded for 500 cycles in 1mM I<sub>2</sub>, 10mM LiI, and 0.1mM LiClO<sub>4</sub> in CAN at 25mV/s scan rate. Adapted from reference [285].

Another major development for more cost effective DSSC came from the substitution of FTO by stainless steel (SS) as counter electrode substrate, which was possible to attain due to the good interaction of CB with SS, and to the chemical stability of CB [291]. In this work, varying ratios of CB to epoxy polymer composites were directly cast onto SS current collectors. Optimum ratio of 86 wt.% CB, a 6.1% PCE for the I<sub>3</sub> reduction was obtained, versus 7.1% for Pt-FTO, or for Pt-resin composite with 6 wt.% CB (7.3%). Besides, at 86 wt.% CB, electrodes exhibited better stability due to the corrosion protective properties of CB-epoxy resin and provides suitable alternative to replacing Pt-FTO in DSSC.

Besides films, C-based nanofluids have been found as inexpensive alternatives for solar energy conversion, as these nanofluids have enhanced solar absorption properties that can lead to water vapor generation without fuel resources [292, 293]. When N115 was mixed with water with various mass ratios, nanofluids with shear thinning behavior and light absorption between 200 nm and 2500 nm were obtained [292]. As shown in Figure 44, CB nanofluids and water have near perfect infrared absorption, whereas CB nanofluids have higher UV-vis light absorption than water. Furthermore, thermal conductivity of fluids could be increased by raising the N115 concentration in the nanofluid composition, given partial particle networking enhances thermal conductivity by reducing fluid interfacial resistance [294]. The combination of the latter properties results in higher fluid temperature and greater temperature enhancement of nanofluid over water. Such properties are also of great interest for the development of solar heaters, and distillation systems which are capable of generating liquid vapor without the requirement of heating the fluid volume [293]. For instance, for the vapor-liquid phase diagram of ethanol and water produced by solar distillation using N115 with Au-SiO<sub>2</sub> core-shell nanofluids (Figure 44), the mole% ethanol obtained in the distillate, relative to a standard equilibrium distillation curve at 1atm and 25 °C, is consistently higher than that obtained by conventional flash distillation. Authors have pointed out that this is due to the hot surfaces of the illuminated nanoparticles induce preferential vaporization of the more volatile component of the mixture. Finally, analysis of the distillate showed no presence of particles nor any evidence of oxidation products or from chemical degradation of ethanol, such as methanol and acetic acid.



**Figure 44** – Photography of an assembled system for the solar distillation of ethanol. The solar distillation apparatus includes (a) vacuum-jacketed glass container, (b) connector tube, (c) water condenser, and (d) fraction collector vessel. The solution was irradiated by a 26.67 x 26.67 cm Fresnel lens (e) with a 44.5 cm focal length (inset) in (A). Vapor-liquid diagram of ethanol-water fractions produced by solar distillation. Mole % of ethanol in vapor phase for Au-SiO<sub>2</sub> nanoparticle alcohol-water mixtures under solar exposure (red dots) and standard equilibrium distillation curve at 1 atm and 25 °C (blue curve) in (B). The Au-SiO<sub>2</sub> nanoparticle concentration is 2.5x10<sup>10</sup> particles/cm<sup>3</sup>. Adapted from reference [293]. CB nanoparticle CO<sub>2</sub> regeneration mechanism (C): CB nanoparticles absorb actinic light and convert it to thermal energy, resulting in a high local temperature near the nanoparticle surface. At the surface, CO<sub>2</sub> is regenerated from MEA, forming a gas bubble around the nanoparticle. The bubble can grow large enough to force the nanoparticle to the surface of the fluid and release CO<sub>2</sub>. Representative data. Release of CO<sub>2</sub> is triggered by light in MEA. After 2000 s, maximum CO<sub>2</sub> release takes place and the release rate of CO<sub>2</sub> slows. Some CO<sub>2</sub> is released without carbon black due to the IR transmittance of the light source, resulting in increased bulk fluid temperatures (D). Adapted from references [295, 296].

N115-monoethanolamine nanofluids (CB-MEA) in water or 1-butanol have been tested as energy-efficient for alternative photochemical  $CO_2$ release, regenerating an monoethanolamine (MEA) in post-combustion CO<sub>2</sub> capture technology and tuning CO<sub>2</sub> reactivity with light [295, 296]. As illustrated in Figure 44, the CB particles absorb heat, and a large temperature gradient between the CB surface and MEA-CO<sub>2</sub> solution arises. The thermal energy is then transferred from the CB particles the solution, thus breaking the bond between MEA and CO<sub>2</sub> and regenerating the MEA without the need for heating the system. Consequently, these nanofluids are of great importance for preventing the thermal degradation and MEA evaporation during recycling in post-combustion CO<sub>2</sub> capture.

# 4.5 CO<sub>2</sub> storage and separations

CCS technologies aim at reducing the CO<sub>2</sub> emissions at large emitting sources, as thermoelectrical power plants [297]. These technologies cover the separation of carbon from an effluent gas stream, and its transport to an underground geological formation. Regarding transportation, pipes seem the most cost-effective option for directing CO<sub>2</sub> towards plants for mineralization, or to geological storage points, saline aquifers, the ocean, and also driving CO<sub>2</sub> towards oil production sites, where it is injected in rocks for enhanced oil recovery [297].

MEA has been proposed for CO<sub>2</sub> separation, but it is very corrosive, volatile and difficult to regenerate [297]. Recent research has focused in non-volatile systems that could replace MEA, including room temperature ionic liquids (RTIL) and task specific ionic liquids (TSIL). RTIL and TSIL are promising compounds to substitute MEA in post-combustion systems due to modular properties, less corrosive nature, better thermal stability and negligible vapor pressure than organic amines [298-300]. These compounds, however, have high viscosity and high densities, making handling difficult and limiting the diffusion and solubility of CO<sub>2</sub>. Porous membranes having functional groups capable of binding to CO<sub>2</sub> have emerged as an attractive alternative over liquids [301]. But controlling the surface density and nature of these groups is still challenging, thus reducing the selectivity for CO<sub>2</sub> over other post-combustion gases. Consequently, membranes supporting RTIL and TSIL compounds appear as more functional option to maximize the surface areas of high viscosity TSILs and for promoting the interaction of the porous support with CO<sub>2</sub>.

Similar to mesoporous carbon supports, RTIL have further been found to increase surface polarity of CB micropores, which is important for developing sorbents for CO<sub>2</sub> [302]. Hence,

RTIL composite membranes with mesoporous carbonaceous materials have high selectivity for  $CO_2$  separations and capture in post-combustion industrial emissions containing other less polar gases such as  $N_2$  [303-305]. Free-standing mesoporous carbon-CB composite membranes prepared by the "brick and mortar" method, were successfully tested as supports to RTIL for  $CO_2$  separations. Similar to powders prepared by the same method and tested for supercapacitors, increasing ratios of CB to the synthesis gels lead to carbons with enlarged mesopores. The increase in mesopores widths, facilitated the diffusion of gases and maximized the distribution of adsorbed surface RTILs and consequently the accessible active surface area for  $CO_2$  binding and the selective of the membranes over  $N_2$  [304].

Besides controlled ratios of ultramicropores (pores smaller than 0.7 nm) and supermicropores (pores with widths between 0.7 and 2 nm) [306], the direct N-doping of porous carbons is another effective way to introduce basic surface groups for CO<sub>2</sub> separations [307-311]. Recent findings for N110 CB indicate that N-doping plays major role in CO<sub>2</sub> adsorption capacity [302]. Surface areas are relatively low for N110, ~143 m²/g, and HNO3 oxidation increased it to 263 m<sup>2</sup>/g. N-doping of oxidized N110 using DETA reduced SSAs of OCB down to 30 m<sup>2</sup>/g. The CO<sub>2</sub> uptake of the N-doped CB was investigated by breakthrough method in flowing CO<sub>2</sub>/N<sub>2</sub> feeds and compared to the pristine and to oxidized N110, all three supporting the (Trihexyl(tetradecyl)phosphonium 1,2,4-triazole), [P<sub>66614</sub>][Triz], TSIL. Among the 3 nanocomposites, the uptake of the CB- and OCB-[P<sub>66614</sub>][Triz] were approximately the same, ~6.5-6.6 mgCO<sub>2</sub>/g sorbent. Despite the considerably lower SSA, the CO<sub>2</sub> capacity of the N-doped CB was double that of the CB and OCB based nanocomposites, ~12.8 mgCO<sub>2</sub>/g [302]. Although authors claim that micropores in these nanocomposites restricted CO2 accessibility to the binding sites, it is clear by the calculated SSA that micropores and small mesopores in oxidized N110 were blocked during treatment with diethylenetriamine (DETA). More studies on N-doped activated CB having even larger SSA [212, 213] are thus necessary for verifying the effect of PSD on the surface availability Nsites and of its interactions with immobilized TSIL. Such studies are of major significance to the design of platforms for cost-effective, large-scale CO<sub>2</sub> mitigation technologies.

The importance of grafting CO<sub>2</sub> binding groups to accessible regions other than deep micropores of CB composites was recently demonstrated for Cabot BP-2000 and EC 600JD from Akzo Nobel, both before and after steam activation; these were further compared to commercial activated carbons with SSA between 1100 and 2300 m<sup>2</sup>/g [312]. All carbon materials were then modified using polyethyleneimine (PEI) by non-covalent method. The

resulting molecular basket sorbents (MBS) were tested for the CO<sub>2</sub> adsorption under flowing CO<sub>2</sub>. The SSA of BP-2000 and of EC 600JD were 1486 and 1121 m<sup>2</sup>/g, respectively. The SSA of CB-PEI nanocomposites ranged between 320 m<sup>2</sup>/g and 30 m<sup>2</sup>/g for increasing the PEI ratios from 30 wt.% up to 50-60 wt.%, as PEI blocked the existing deep micropores in BP-2000 and EC 600JD. While steam treated activated commercial carbon exhibited the highest CO<sub>2</sub> adsorption capacity among polymer-free carbons, ~126 mgCO<sub>2</sub>/g sorbent, the adsorption capacity of BP-2000 with 50 wt.% PEI (SSA ~37 m<sup>2</sup>/g) reached 135 mgCO<sub>2</sub>/g sorbent. The efficiency of this sorbent reached 0.26 mmolCO<sub>2</sub>/mol of amine groups from PEI. Further increasing the PEI ratios resulted in lower efficiency. Despite the negligible microporosity of these nanocomposites, the majority of nitrogen functional groups of PEI were located on the external surfaces of the CB particles. PEI loadings exceeding 60 wt.% resulted in external aggregates with limited CO<sub>2</sub> accessibility. Studies on the textural porosity of this type of nanocomposites and its impacts on gas diffusion are still missing and could lead to sorbents with improved surface distribution of amine sites and consequently superior gravimetric capacity.

Alternatively to non-covalent functionalization of CB, surface covalent grafting of hyperbranched polymers having quaternary ammonium cations and hydroxyl counter ions onto CB via atom transfer radical polymerization (ATRP) are attractive for reversible CO<sub>2</sub> capture [166, 313]. In fact, these types of polymer surface groups have been found to reversibly adsorb CO<sub>2</sub> using humidity swing at very fast rates, at least an order of magnitude faster than commercial sorbent resins containing amine groups. And fast sorption kinetics is of major importance for sorbent regeneration in less time and with less energy in industrial scale carbon capture [313]. At low humidity conditions, the CB-polymer nanocomposites bind to CO<sub>2</sub>, whereas at high humidity levels, the carbonate and bicarbonate species react with water and release CO<sub>2</sub>. This mechanism mimics the functioning of plant leaves, except that humidity, rather than light, regulates the sorbent's "breathing". Compared to the same polymer having pores templated by colloidal silica crystal or by emulsion, the CB nanocomposites display similar adsorption and desorption rates, and CB-composite properties could be further improved by maximizing the surface area and porosity. In addition, such polymer grafting method with electrostatically charged groups improved alkali resistance of CB, consequently prolonging the life of the sorbents and retaining their reactivity upon cycling [133, 207, 260]. These coatings further lead to higher water solubility of functional CB in aqueous and other polar solvents [267-269], and enhanced

thermochemical stability. These properties make CB-polymer nanocomposites of major importance for large-scale carbon capture using photochemical energy, as previously described for functional nanofluids [166, 295, 296].

### 5. Conclusions

The structure and chemistry of Carbon Black (CB) largely resemble that of most graphitic and turbostratic carbons. The existing link is the extended two-dimensional sp<sup>2</sup> C lattice of the BSU and of most carbon materials. Surface heterogeneities such as lattice defects and small size of graphene units forming each BSU give curvature and favor stacking mismatches, further resembling a vast array of porous carbon materials. As discussed in this manuscript, CB comprises a family of nanostructured materials that are non-graphitizable and that are mixed with amorphous carbon, although small localized graphitic ordering has been reported for few examples of CB. The resulting morphology and particle sizes depend on the preparation method and precursors used. CB is prepared in a controlled manner, making it different from other pyrolysis carbonaceous products, i.e. Black Carbon (BC) and Soot. This review is a comprehensive report of CB uses in renewable energy and environmental applications, with a critical analysis of the fundamental properties of CB essential to these applications. The CB structure and physicochemical properties are covered in terms of pioneering and recent chemical modifications, in particular those relevant to technologically emerging applications in energy storage and conversion processes. CB is widely available, it is inexpensive compared to most synthetic carbons, and it is an excellent platform for the preparation of carbon-based composites for many applications. The highlights of this review are expected to engross the attention of not only the chemistry community, but also chemical engineers and materials/environmental scientists who are dealing with renewable energy challenges.

With CB being one of the most abundantly produced forms of carbon and that approximately 70% of all CB produced globally is used as pigment and as reinforcing phase in tires, there is a need to highlight recent scientific reports on other uses of CB that are of current interest, such as renewable energy harvesting and environmental remediation. Compared to other carbon nanomaterials, CB and functional CB materials have proven competitive in terms of properties for CO<sub>2</sub> separations, supercapacitors, batteries, and as catalyst supports in fuel cells and solar cells. Some key feature of CB composites was the ability to tailor the surface

functionality, and/or porosity from subnanometer pores up to the mesoscale. Moreover, the unique structure of CB makes it an excellent starting material for graphene quantum dots, hollow carbon spheres, and as components in "brick and mortar" carbon-carbon nanocomposites with unique electrical conductivity properties and reactivity. Those include advanced chemical methods to modify the surfaces such as ATRP and other polymer grafting reactions mainly due to delocalized electrons existing in the CB structure, with their many possibilities to prepare functional nanocomposites.

Future research may reveal other interesting properties of CB-based materials and CB chemistry. For instance, although wet oxidation strategies are applicable for all CB grades, many questions still remain open for investigation, especially the existing differences among wet oxidation methods under conventional heating and microwave irradiation. Such differences determine whether oxidized CB, hollow spheres or graphene nanosheets form. Microwave [314] and ultrasound [315] are potential substitutes for conventional energyintensive processing methods, also of interest for selectively peeling-off specific fragments from the CB surfaces without the need for oxidation. As an example of graphite exfoliation in organic solvents [316-318], surfactants and polymers [319, 320], and RTIL [321] in ultrasound, similar methods can be investigated for CB. Besides graphene sheets and GQD, isolating fullerene-like fragments could shed new light on the structure of the different CB grades, their reactivity and electrical properties. HRTEM, atomic force microscopy (AFM), scanning tunneling microscopy (STM) and other high-resolution surface techniques help identify such fragments, study topology and probe for pentagonal and heptagonal defects on those various fragments. Centrifuge methods can further be developed to separate all possible products, as it has been done for graphene produced from liquid exfoliation of graphite. In addition to those, the abundance of CB with distinct surface reactivity, electrical conductivity, particle and aggregate sizes have yet to be systematically compared, as these offer almost unlimited possibilities to the design functional carbon materials with unique properties for energy and environmental applications.

Renewable energy applications predominantly involve the transfer and storage of charged species, hence electrical and ion conductivities are essential. CB is electrically conducting; it is also possible to alter its porosity and surface chemistry to best tailor its properties to the application. Higher electrical conductivity is often sought, although a compromise is made when surface functionalization (which is known to impact negatively on electrical conductivity) can improve performance, stability, and durability of the device. Higher surface

areas are also important, but this has to be balanced since deep microporosity can at times be detrimental. In the following, we have recapped all key aspects of CB properties adapted and altered to meet the specific needs of key renewable energy applications: fuel cells, batteries, supercapacitors, and solar devices.

In fuel cells, CB has been applied as conductive support for catalysts (mainly Pt nanoparticles) where the interplay of surface area, porosity, and surface functional groups determine the resulting performance of the electrode. Porosity and functional groups prevent the aggregation of catalyst particles, but nanoparticles trapped in deep microporosity cannot contact the proton exchange membrane (mainly Nafion) impacting negatively on performance. Besides selecting CB with limited or no microporosity, a strategy to avoid this issue is the synthesis of nanoparticles with geometries and sizes that impede entrapment in micropores. Nanoparticle dispersion and stability can also be improved using alloy catalysts (e.g. Pt-Ru) able to bind more strongly to CB. Alloying of Pd with boron (borane dimethylamine) has also been shown to improve nanoparticle stability on CB. Pre-treatment of CB such as oxidation can change the nature and number of the surface functional group allowing the tuning of nanoparticle stability. Oxidation can also introduce ketone functional groups able to catalyse water and alcohol oxidation reactions. However, oxygen-containing groups can also make CB hydrophilic impacting on the water removal necessary for the operation of the cell. For this reason, CB for ORR is commonly thermally treated in an inert atmosphere (graphitized) to remove oxygen functionalities and increase hydrophobicity. Graphitized CB has been shown to increase the catalyst tolerance towards carbon monoxide. Boron and nitrogen-doped CB has intrinsic catalytic ORR activity, B and N-doped CB were prepared mechanochemically in the presence of boron nitride, for example. F-doping has also been reported to enhance ORR. CB-polymer composites (with polyethyleneimine) have been used to promote nanoparticle dispersion and accessibility. Other aspects to consider in using CB as catalyst support are the limited stability in acid and alkaline media, and the easy of oxidation at relatively low potentials (0.9 V vs SHE) affecting surface area, porosity and surface chemistry. CB can also be used in gas diffusion layers (GDLs), published work shows how gas permeability, electrical conductivity, hydrophobicity (water removal), and mechanical stability can be improved and tuned when using blends of different CB grades in the GDLs, or when conducting polymers are used.

In batteries, CB has been applied for both cathodes and anodes. CB improves the electrical conductivity of the electrodes when electrically insulating polymeric binders are used.

Cathode composites of CB with MnO<sub>2</sub>, LiMn<sub>2</sub>O<sub>2</sub>-spinel, LiCoO<sub>2</sub>, or LiFePO<sub>2</sub> have been reported for lithium-ion batteries. Functionalised CB has been used in lithium-sulfur batteries achieving high reversible specific charge capacity above 1000mAh/g using CB with N sites added via NH<sub>3</sub> activation. Partially exfoliated CB via high energy ball milling was also added to sulfur with resulting capacities of about 800mAh/g after 200 cycles. Direct ball milling of CB with S produced sulfur-coated CB applied in Li-S batteries with capacities exceeding 1000mAh/g. The CB-S particles were stabilised with a carbon coating to prevent the dissolution of polysulfides. Anodes for Li-ion batteries were made from the graphitisation of spray-dried CB-sucrose mixtures, the performance of the resulting electrode was superior to that made from commercial graphite microspheres. Other LIB anodes were made from CB and ZnO (from atomic layer deposition) attaining specific capacities superior to the theoretical value of ZnO (987mAh/g). Strong ZnO-CB interactions prevented large volume changes of ZnO during lithium intercalation and deintercalation. The addition of a few weight percent of CB to ZnO has also been shown to improve the performance of Li-air batteries. CB materials have been used in sodium-ion batteries achieving a value of reversible capacity of 200mAh/g thanks to the low density and disordered carbon sheets stacking of CB helping the reversibility of the process. Silicon can intercalate a large number of lithium ions but with a correspondingly large volume change (swelling), in the attempt to solve this problem silicon has been deposited in the interparticle space of CB aggregates improving durability and cycling life of silicon-based anodes.

In supercapacitors, CB alone was shown to achieve limited gravimetric capacities of a few F/g. This could increase via oxidation introducing electroactive species contributing to pseudocapacitive charge storage. More importantly, CB was successfully used in carbon-carbon composites to increase the capacitance of reduced graphene oxide (rGO) from 69 F/g for rGO to 175 F/g for rGO-CB in aqueous KOH electrolyte. This was related to the intimate mixing of rGO and CB resulting in the formation of interlayer mesopores increasing significantly the specific surface area of the blended materials. CB acted as pillars for the layered rGO lattice. Decreased electrolyte diffusion resistance was also observed in rGO-CB fibers using H<sub>3</sub>PO<sub>4</sub> electrolyte in PVA, again thanks to the presence of mesoporosity. CB-pillared graphene composites have also been used in electrochemical flow capacitors where flowable carbon-electrolyte slurries are used to store energy. Here, the particle porosity of CB-graphene composites was maintained even when stacking of layered particles in a slurry took place. In a different approach, CB was integrated is an ordered mesoporous carbon

(OMC) network. Thanks to the presence of CB during the preparation of the material, the resulting OMC-CB achieved high capacitance values comparable to those of carbon mesoporous carbons with a similar specific surface area. CB can also be combined with conducting polymers. Capacitance values for neat polypyrrole (PPY) nanoparticles in aqueous NaNO<sub>3</sub> electrolyte was ~120 F/g, which increased to 366 F/g for composite with 20 wt.% CB (core-shell CB-PPY).

In solar devices, CB has been applied to improve the performance of photocatalysts and thermal energy absorption. In dye-sensitized solar cells, CB has been shown to decrease electron-hole recombination in the semiconducting oxide, typically TiO<sub>2</sub>, and improve its thermal stability, preventing anatase to rutile transition. CB added to TiO<sub>2</sub> was 50% more active than TiO<sub>2</sub> film alone. Key to this improvement was the method of preparation of CB-TiO<sub>2</sub> composites. Sol-gel mixtures resulted in better and more homogenously distributed contact between CB and TiO<sub>2</sub>, which could not be achieved via physical mixing. CB composites have also been evaluated as alternatives to Pt on transparent counter-electrodes (FTO, ITO) to facilitate the reduction of I<sub>3</sub>. Cell efficiencies above 9% were achieved using CB in place of Pt, the activity was correlated to a large number of edge sites of CB short graphene domains. CB was also used as a precursor of graphene quantum dots (GQD) obtained via oxidation and subsequent exfoliation. GQD have prolonged quantum confinement effects, stable photoluminescence and excellent chemical stability, ideal properties for photonic devices including solar cells. GQD-coated carbon aerogel composites cast on FTO glass performed better than Pt-FTO. N-doped GQD have been proposed as efficient I<sub>3</sub> reduction photocatalysts thus there is the opportunity to prepare N-doped GQD as a cheaper alternative to Pt. Pt-free counter electrodes were also made from CB compounded in epoxy resins then coated on stainless steel, the CB-epoxy resin functioned also as a corrosion protection layer. Different from photovoltaics, yet another interesting application of CB is in C-based nanofluids working on the principle of solar energy absorption. CB nanofluids and water have a nearly perfect infrared absorption, and CB particle networking improves the thermal conductivity of the fluid. Such fluids are of great interest for the development of solar heaters and distillation systems which are capable of generating vapor without the requirement of heating the fluid volume. We hope this final recount have now fully motivated the reader to further investigate the many different applications of CB, and accordingly find the most suitable ways to apply this economical and largely available carbon materials in the field of renewable energy.

### **Abbreviation List**

AB: Acetylene black

AFC: alkaline fuel cell

AFM: atomic force microscopy ALD: atomic layer deposition

AOR: alcohol oxidation reaction

ASA: active surface area

ATRP: atom transfer radical polymerization

bpy: 2,2-Bipyridine

BET: Brunauer–Emmett–Teller

BSU: basic structural unit

CAs: carbon aerogels

CB: Carbon Black

CBNPs: carbon black nanoparticles

CNT: carbon nanotube

CCS: carbon capture and sequestration

CV: cyclic voltammogram

DCC: dicyclohexylcarbodiimide

DETA: diethylenetriamine

DFAFC: direct formic acid fuel cell

DMAP: 4-Dimethylaminopyridine

DMC: dimethyl carbonate

DMF: dimethylformamide

DMSO: dimethyl Sulfoxide

DMTMM: 4-(4,6-dimethoxy-1,3,5-triazin-2-yl)-4-methylmorpholinium chloride

DMFC: direct methanol fuel cell

dNbpy: 4,4'-dinonyl-2,2'-dipyridyl

DSSC: dye sensitized solar cell

EC: ethylene carbonate

EDCI: 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide

EDLC: electrical double layer capacitor

EFC: electrochemical flow capacitor

EDL: electrical double layer

 $e^{-}$ : electron

ESR: electrochemical series resistance

EV: electric vehicle FB: Furnace Black

FBO: oxidized Furnace Black

FESEM: field emission scanning electron microscopy

FTO: fluoride-doped tin oxide

GDC: galvanostatic charge-discharge

GDE: gas diffusion electrode

GDL: gas diffusion layer

GQD: graphene quantum dot

GM: graphite microsphere

GO: graphene oxide

 $h^+$ : hole

HACA: hydrogen-abstraction/carbon-addition

HRTEM: high resolution transmission electron microscopy

IARC: International Agency for Research on Cancer

ITO: indium tin oxide

J<sub>SC</sub>: short circuit current

LIB: lithium-ion battery

LSV: linear sweep voltammetry

MBS: molecular basket sorbent

MEA: monoethanolamine

MWNT: multiwalled carbon nanotube

n-BA: n-butyl acrylate

ND: nanodiamond

NIB: sodium-ion battery

Ni-MH: nickel metal hydride

NMP: N-methyl-2-pyrrolidone

NOx: nitrogen oxides

NP: nanoparticle

OC: oxygen carrier

OCB: oxidized carbon black

ODA: octadecyl amine

OLC: onion-like carbon

OMC: ordered mesoporous carbon

ORR: oxygen reduction reaction

PAFC: phosphoric acid fuel cell

PAH: polycyclic aromatic hydrocarbon

PCE: photocatalytic efficiency

PEMFC: proton exchange membrane fuel cell

PEI: polyethyleneimine

PEO: poly(ethylene oxide) polymer

PEO-PPO-PEO: poly-ethylene oxide-poly-propylene oxide-poly-ethylene oxide

PHMAS: poly(2-hydroxy-3-(methacryloyloxy) propane-1-sulfonate)

PMMA: poly(methyl methacrylate)

PO: pyrolysis oil

PPY: polypyrrole

PPS: primary particle size

PVA: polyvinyl alcohol

PVDF: poly(vinylidene fluoride)

PVDF-HFP: poly(vinylidene difluoride-co-hexafluoropropylene

PMDETA: N,N,N',N",N"-Pentamethyldiethylenetriamine

PDMAEMA: poly(2-dimethylaminoethyl methacrylate)

PSD: pore size distribution

PSt-TEMPO: (TEMPO)-terminated polystyrene

rGO: reduced graphene oxide

RTIL: room temperature ionic liquid

SEI: solid electrolyte interphase

SEM: scanning electron microscopy

SHE: standard hydrogen electrode

SOFC: solid oxide fuel cell

SOx: sulfur oxides SS: stainless steel

SSA: specific surface area

STM: scanning tunneling microscopy

STSA: statistical film thickness surface area

SW: Stone-Wales

SWNT: single-wall carbon nanotube

TEA: triethylamine

TEM: transmission electron microscopy

TEMPO: 2,2,6,6-tetramethyl-1-piperidinyloxy

THF: tetrahydrofuran

TSIL: task specific ionic liquid

V<sub>OC</sub>: open circuit potential

WOR: water oxidation reaction

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### \*Declaration of Interest Statement

### **COLLEGE OF ENGINEERING**

Department of Nuclear Engineering



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