

1 **Title: North American temperate conifer (*Tsuga canadensis*) reveals complex physiological**
2 **response to climatic and anthropogenic stressors**

3 **Running Title: Climatic and anthropogenic stressors on conifer physiology**

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30 **Summary**

31 1) Rising atmospheric CO₂ (c_a) is expected to promote tree growth and lower water loss via
32 changes in leaf-gas exchange. However, uncertainties remain if gas-exchange regulation
33 strategies are homeostatic or dynamical in response to increasing c_a , as well as evolving
34 climate and pollution inputs.

35

36 2) Using a suite of tree-ring-based $\delta^{13}\text{C}$ -derived physiological parameters ($\Delta^{13}\text{C}$, c_i , iWUE)
37 and tree growth from a mesic, low elevation stand of canopy-dominant *Tsuga canadensis*
38 in northeastern USA, we investigated the influence of rising c_a , climate and pollution on,
39 and characterized the dynamical regulation strategy of, leaf gas exchange at multi-
40 decadal scales.

41

42 3) Isotopic and growth time series revealed an evolving physiological response where the
43 species dynamically shifted its leaf gas-exchange strategy (constant c_i ; constant c_i/c_a ;
44 constant $c_a - c_i$) in response to rising c_a , moisture availability and site conditions over 111
45 years. Tree iWUE plateaued after 1975 driven by greater moisture availability, and
46 changing soil biogeochemistry that may have impaired stomatal response.

47

48 4) Results suggest trees may exhibit more complex physiological responses to changing
49 environmental conditions over multi-decadal periods, complicating parameterization of
50 earth-system models and the estimation of future carbon sink capacity and water balance
51 in mid-latitude forests and elsewhere.

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53 **Keywords:** acid deposition, carbon dioxide, climate, isotopic discrimination ($\Delta^{13}\text{C}$), intrinsic
54 water use efficiency (iWUE), stable carbon isotopes ($\delta^{13}\text{C}$), tree-rings, conifer

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1. Introduction

Rising atmospheric carbon dioxide (c_a) is expected to promote tree growth through adjustments in leaf-gas exchange resulting in enhanced photosynthetic assimilation rates (A) and lower water loss via reduced stomatal conductance (g_s). As c_a rises causing an increase in internal leaf CO₂ concentration (c_i), stomata may adjust their conductance and move toward a proportional ratio of c_i to c_a with the associated benefit of reduced water loss to the atmosphere (i.e., improved water-use efficiency: WUE) and enhanced photosynthesis. Controlled CO₂-enhancement experiments (Ceulemans & Mousseau, 1994; Ainsworth & Rogers, 2007) and tree-ring studies (Bert *et al.*, 1997; Duquesnay *et al.*, 1998; Saurer *et al.*, 2004; 2014) have reported such findings.

Yet uncertainties remain around C3 plant physiological response to increasing c_a concentrations, alone and in combination with other drivers in ecosystems (Marshall & Monserud 1996). Metabolic set points were first proposed and explored by Ehleringer (1993) and Ehleringer & Cerling (1995) to understand compensatory changes in leaf gas exchange as c_a increased or decreased over time. Others (Saurer *et al.*, 2004; Gagen *et al.*, 2010; Frank *et al.*, 2015) broadened this concept to examine isotopic discrimination $\Delta^{13}\text{C}$ time series (i.e., isotopic difference of $\delta^{13}\text{C}$ of air to that of the plant) derived from whole-tree, tree-ring $\delta^{13}\text{C}$ values under rising c_a , assigning one of three homeostatic gas-exchange regulation strategies to investigated tree species (Voelker *et al.*, 2016). The strategies, representing the degree to which c_i follows increases in c_a , include: maintenance of constant leaf internal CO₂ (c_i), a constant c_i/c_a or a constant drawdown of CO₂ ($c_a - c_i$). Voelker *et al.* (2016) demonstrated leaf gas exchange responses may be evolutionarily prescribed, with C3 plants maximizing carbon gain or moisture stress avoidance. They suggested that no single strategy prevails within or between species, but that shifts may be dynamical over time, occurring along a continuum in response to longer-term changes in c_a . These responses, however, were only evaluated in the context of rising c_a and did not explicitly take into account other environmental controls like climate and pollution.

87 Physiological processes like stomatal conductance (g_s) and photosynthetic assimilation (A)
88 regulate the amount of c_i in the leaf (Farquhar *et al.* 1982; 1989), and in turn, g_s and A are
89 influenced by environmental drivers, both natural and anthropogenic including rising c_a (e.g.,
90 Cernusak *et al.*, 2013; Loader *et al.*, 2011; Saurer *et al.*, 2014), changing moisture availability
91 (e.g., Dupouey *et al.*, 1993; Saurer *et al.*, 1995; Warren *et al.*, 2001) and pollutant deposition
92 (e.g., Guerrieri *et al.*, 2006; Rinne *et al.*, 2010; Savard, 2010; Thomas *et al.*, 2013; Boettger *et al.*
93 *et al.*, 2014). On-going climate change and/or legacy effects of pollution in midlatitude forests
94 have been shown to influence tree carbon and water dynamics (Thomas *et al.*, 2013; Saurer *et al.*
95 *et al.*, 2014; Mathias *et al.*, 2018; Maxwell *et al.*, 2019). Moisture stress can cause stomatal closure
96 and increased WUE with (Peñuelas *et al.*, 2008; Andreu-Hayles *et al.*, 2011; Nock *et al.*, 2011;
97 Silva & Anand, 2013) or without (Farquhar *et al.*, 1980; Yi *et al.*, 2019) a decline in A and
98 growth. Disrupted nutrient cycles due to acid deposition of anthropogenically-generated acids
99 and acid-forming substances (e.g. SO_x , NO_x) cause base cation leaching and depletion from
100 soils (DeHayes *et al.*, 1999; Driscoll *et al.*, 2001) and negatively affect stomatal control.
101 Calcium (Ca^{+}), a crucial cation, helps to regulate stomatal functioning via its movement into and
102 out of guard cells adjacent to the stomatal opening (Mcainish *et al.*, 1997; Hetherington &
103 Woodward, 2003; Wang & Song, 2008; Wang *et al.*, 2012). The removal of Ca^{+} from leaves
104 and needles via direct acid deposition and its longer term loss from soils have been shown to
105 influence stomatal function (Borer *et al.*, 2005), reduce tree physiological responsiveness to
106 environmental change, (DeHayes *et al.*, 1999; Schaberg *et al.*, 2001) and may have important
107 implications for plant carbon-water fluxes across a range of scales (Lanning *et al.*, 2019).

108
109 In this study, we assessed a whole-tree 111 year tree-ring $\delta^{13}C$ chronology developed from a
110 mesic eastern hemlock (*Tsuga canadensis* (L.) Carr.) old growth stand in the northeastern North
111 American (NENA) forest. This research is a step toward understanding the longer-term
112 physiological response of a temperate conifer species in the NENA forest to rising c_a , changing
113 climate and pollution inputs, as well as exploring dynamical change in leaf gas exchange to
114 rapidly evolving environmental conditions. Across New England, rising c_a has been
115 accompanied by rising annual (1.7 °C since 1901 or 0.09°C decade⁻¹; 1901-2011), as well as
116 winter, spring and fall temperatures (Janowiak *et al.*, 2018). The freeze-free growing season
117 lengthened by 10 days (1960-1990 vs. 1991-2010) (Kunkel *et al.*, 2013), with end of the growing

118 season occurring later in the fall (Dragoni & Rahman, 2012). Annual PRCP_{mean}, while variable
119 across space and time, increased by 175 mm (1901-2011) (Janowiak *et al.*, 2018) in New
120 England, with an increase in the occurrence of heavy precipitation events (Kunkel *et al.*, 2013).
121 Following the mid-1960s drought, the region experienced a strong increase in precipitation and is
122 currently in an extended pluvial (Pederson *et al.*, 2013; Melillo *et al.*, 2014). Drought incidence,
123 duration and severity, particularly during the growing season, did not change or decreased
124 slightly (1885-2011; Kunkel *et al.*, 2013; NOAA National Climatic Data Center, 2014).
125 However, while the NENA forest is typically characterized as mesic, soil moisture availability
126 can limit tree growth (Martin-Benito & Pederson, 2015; D'Orangeville *et al.*, 2018), an
127 additional potential stress factor as climatic regimes shift and c_a rises. Vapor pressure deficit
128 (VPD) is also predicted to rise in the 21st century, but the influence of atmospheric water demand
129 vs. soil water deficit on stomatal response is not completely understood (Ficklin & Novick,
130 2017). As well, soil nutrient depletion and recovery and an accelerated nitrogen cycle are linked
131 to 20th century acid deposition and its legacy (Likens *et al.*, 1996; 1998; Groffman *et al.*, 2018).

132 Research examining NENA forest conifer and deciduous tree species' responses to
133 environmental change have provided insight into the multiple drivers of gas exchange and
134 growth response (Thomas *et al.*, 2013; Belmecheri *et al.*, 2014; Levesque *et al.*, 2017; Mathias &
135 Thomas, 2018; Maxwell *et al.*, 2019). These studies have identified and articulated the various
136 importance that drivers such as moisture availability, pollution inputs and rising c_a , alone and in
137 combination, have had on tree physiological response and growth. However, previous work
138 focused on the mid to late-20th and early 21st century and did not address longer-term isotopic
139 trends and/or explore dynamical changes in leaf-gas response as driven by concomitant changes
140 in c_a , climate and pollution.

141 Based on our understanding of changing moisture availability in the NENA forest and
142 atmospheric VPD dynamics, we hypothesize climate and pollution are as important as rising c_a in
143 modulating stomatal leaf-gas exchange and ultimately A at local to regional scales. Thus, the
144 goals of this study were to, 1) evaluate the influence of rising c_a , climate and pollution on leaf
145 gas exchange, 2) characterize the dynamical leaf gas exchange regulation strategy at multi-
146 decadal scale by examining a suite of $\delta^{13}\text{C}$ -derived physiological parameters ($\Delta^{13}\text{C}$, c_i , iWUE)
147 and tree growth (basal area increment: BAI), and 3) examine if regional acid deposition has

148 influenced tree physiology over time. Such information is needed to better parameterize Earth
149 system models which link future biosphere-atmosphere-hydrosphere interactions with
150 biochemical cycling under changing climatic and atmospheric conditions.

151

152 **2. Materials and Methods**

153 **2.1 Study site and species**

154 Abbey Pond (ABP) (Table **S1a**), located in the Green Mountains National Forest, Vermont
155 (USA) is an example of the Eastern Hemlock-White Pine-Northern Hardwood Forest (Thompson
156 & Sorenson, 2005). The stand contains a mixture of canopy-dominant eastern hemlock and
157 white pine (*Pinus strobus* L.), interspersed with sub-dominant sugar maple (*Acer saccharum*
158 Marshall var. *saccharum*), American beech (*Fagus grandifolia* Ehrh.) and yellow birch (*Betula*
159 *alleghaniensis* Britton). Eastern hemlock is a long-lived (~400-500 years), shallow-rooted, late-
160 successional conifer, capable of existing in the shade of a hardwood canopy for decades before
161 becoming dominant (Marshall, 1927; Fowells, 1965; Kelty, 1986). It is considered moisture
162 sensitive (Cook, 1991; Cook & Cole, 1991) and its tree-ring chronologies are widely used in
163 climate and stream flow reconstructions in eastern North America (Cook & Jacoby, 1977;
164 Pederson *et al.*, 2013; Maxwell *et al.*, 2017). The stand is old growth and shows no evidence of
165 logging or other anthropogenic disturbances (Cogbill, C.V., *pers. communication*). Twentieth
166 century natural disturbances events (e.g., tropical storms) affected <15% of the eastern hemlock
167 in the stand (Belmecheri, S. *et al.*, unpublished) and hemlock wooly adelgid was not present.

168 ABP is a humid-temperate, mid-latitude, continental site (Zielinski & Keim, 2003; Leathers &
169 Luff, 2007). The 30-year July average temperature is 20°C with a daily maximum of 27°C and a
170 range of 11-16°C ([https://www.usclimatedata.com/climate/salisbury/vermont/united-](https://www.usclimatedata.com/climate/salisbury/vermont/united-states/usvt0489)
171 [states/usvt0489](https://www.usclimatedata.com/climate/salisbury/vermont/united-states/usvt0489)). In winter, January average temperature is -7°C with a daily range of >11°C.
172 Precipitation is well distributed throughout the year with average annual totals reaching 1100
173 mm.

174 **2.2 Ring-width and BAI chronologies**

175 Nineteen canopy-dominant eastern hemlock trees were sampled in late August 2010 using a five
176 mm increment borer (2 cores/tree; opposite sides of the tree; perpendicular to the slope at breast
177 height, ~1.07 m above ground level) (Table **S1b**). Samples were prepared using standard
178 dendrochronological techniques (Stokes & Smiley, 1996) and crossdated using COFECHA
179 (Homes, 1983). Tree-ring width chronologies were converted into a basal area increment (BAI,
180 $\text{cm}^2 \text{ year}^{-1}$) time series to detect growth changes in stem woody biomass over time. This
181 technique standardizes annual increments relative to basal area (assuming a circular stem cross
182 section), addressing the issue of declining tree-ring width with increasing tree diameter as a tree
183 matures (West, 1980; Biondi & Qeadan, 2008). BAI was averaged over all sampled trees for the
184 period 1849-2010.

185 **2.3 Stable isotope measurement and chronologies**

186 Seven cores (1 core per tree) were selected from the master ring-width chronology to develop the
187 $\delta^{13}\text{C}$ chronology (Table **S2**). All cores covered the 1849-2010 period and correlated with the
188 master chronology (Range: $r = 0.55 - 0.71$, $P < 0.05$). Individual whole rings were separated from
189 the core with a single-edged razor. Individual rings across the seven cores were pooled for years
190 ending in 1 to 9 (e.g., 1901, 1902...) (Leavitt & Long, 1992; Leavitt, 2008). Before pooling,
191 individual samples were weighed and adjustments made to ensure equal mass contribution from
192 each sample for each year. For years ending in 0 (e.g., 1900, 1910...), individual rings were
193 processed without pooling to examine between-tree variability and establish confidence limits
194 around the chronology mean (McCarroll & Loader, 2004). Samples were milled to a
195 homogeneous fine powder, reweighed and extracted to α -cellulose. The chemical procedure for
196 larger (10-30 mg) and smaller (400-1500 μg) samples followed Brendel & Iannetta (2000) and
197 Evans & Schrag (2004), respectively. Extraction to α -cellulose was based on the simultaneous
198 delignification and removal of non-cellulosic polysaccharides (NCPs) using an acetic acid: nitric
199 acid mixture, followed by sequential washings with ethanol, deionized water, and acetone
200 (Brendel & Iannetta, 2000).

201 Isotope ratios were measured at the Environmental Stable Isotope Facility, Geology Department,
202 University of Vermont, USA. Samples (~ 0.2 to 2.7 mg of α -cellulose) were prepared using an
203 off-line combustion and cryogenic distillation system followed by analysis on a dual inlet V.G.

204 SIRA II Stable Isotope Ratio Mass Spectrometer. The results are reported in delta (δ) notation in
205 permil units (‰) relative to the carbonate Vienna Pee Dee Belemnite (V-PDB) standard:

$$206 \quad \delta^{13}\text{C}_{\text{sample}} = \left[\frac{(^{13}\text{C}/^{12}\text{C})_{\text{sample}}}{(^{13}\text{C}/^{12}\text{C})_{\text{VPDB}}} - 1 \right] 1000(\text{‰}) \quad (\text{Eqn 1})$$

207 Analytical sample precision was $\pm 0.05\text{‰}$ offline (based on replicate standards).

208 **2.4 Calculations for $\Delta^{13}\text{C}$, ci/ca, ci and iWUE**

209 While the original $\delta^{13}\text{C}$ chronology covered the 1850-2010 period, we truncated the time series
210 to 1900-2010 to account for size effects (i.e., tree diameter, height, canopy position) linked to a
211 tree's position within the canopy. A tree's position can influence trends in $\Delta^{13}\text{C}$ and iWUE
212 related to increasing height (McDowell *et al.*, 2011) via assimilation of $\delta^{13}\text{C}$ -depleted air at the
213 forest floor (Schleser and Jayasekera, 1985; Buchmann *et al.*, 2002), increases in hydraulic
214 resistance as trees become taller (Monserud & Marshall, 2001; McDowell *et al.* 2011) and
215 changes in irradiance and photosynthetic capacity (Francey & Farquhar 1982; Brien *et al.*,
216 2017). Light attenuation (Brien *et al.*, 2017) leads to a decrease in assimilation while an
217 increase in hydraulic resistance results in decreased stomatal conductance. Evidence has shown
218 that these effects will manifest, when unaccounted for, in declining trends in $\Delta^{13}\text{C}$ and an
219 overestimation of iWUE (Francey & Farquhar, 1982; Monserud & Marshall, 2001;
220 Vadeboncoeur *et al.*, 2020). By limiting the period of analysis to 1900-2010, when the trees
221 were in a dominant canopy position, these size effects were largely avoided (Carmean *et al.*,
222 1998; McDowell *et al.*, 2011; Klesse *et al.*, 2018). Previous studies provided evidence that prior
223 to the rise in atmospheric CO_2 concentration, trees in their juvenile phase (~50 years) were not
224 characterized by age-related trends in $\delta^{13}\text{C}$ (Loader *et al.*, 2007; Gagen *et al.* 2007; Leavitt 2010;
225 Levesque *et al.*, 2017; Vadeboncoeur *et al.*, 2020).

226 Stable carbon isotope discrimination ($\Delta^{13}\text{C}$) was calculated from the $\delta^{13}\text{C}$ time series and is
227 defined as:

$$228 \quad \Delta = \frac{\delta^{13}\text{C}_{\text{atm}} - \delta^{13}\text{C}_{\text{tree}}}{1 + \delta^{13}\text{C}_{\text{tree}}/1000} \quad (\text{Eqn 2})$$

229 where $\delta^{13}\text{C}_{\text{atm}}$ is the isotopic value of atmospheric CO_2 and $\delta^{13}\text{C}_{\text{tree}}$ is the isotopic value of the
230 tree ring, and results from the preferential use of ^{12}C over ^{13}C during photosynthesis. Farquhar *et*
231 *al.* (1982) described the relationship between carbon isotope discrimination and leaf gas
232 exchange as:

$$233 \quad \Delta \approx \delta^{13}\text{C}_{\text{atm}} - \delta^{13}\text{C}_{\text{tree}} \approx a + (b - a) c_i / c_a, \quad (\text{Eqn 3})$$

234 where a is the fractionation between $^{13}\text{CO}_2$ and $^{12}\text{CO}_2$ during diffusion of CO_2 through the
235 stomata (4.4‰) (O’Leary, 1981), b is the discrimination by RuBisCO against $^{13}\text{CO}_2$ during
236 carboxylation (27‰) (Farquhar & Richards, 1984), and c_i and c_a are leaf intercellular and
237 ambient ($\mu\text{mol mol}^{-1}$) CO_2 concentrations, respectively. Corrections for internal leaf (mesophyll)
238 CO_2 conductance (g_m) were not included in this analysis (Seibt *et al.*, 2008; Flexas *et al.*, 2012;
239 Voelker *et al.*, 2014).

240 To calculate Δ , c_i and iWUE, estimated values of atmospheric CO_2 concentrations and $\delta^{13}\text{CO}_2$
241 from McCarroll & Loader (2004) for the period 1850-2004 were used. Atmospheric CO_2
242 concentration values were derived from Robertson *et al.* (2001) and $\delta^{13}\text{CO}_2$ from long-term
243 Antarctica ice core data from Francey *et al.* (1999). The atmospheric CO_2 data were updated to
244 2010 using *in situ* measurements from Mauna Loa (HI) and the South Pole (1958-2010)
245 (https://scrippsco2.ucsd.edu/data/atmospheric_co2/sampling_stations.html) (Keeling *et al.*,
246 2001), and the $\delta^{13}\text{CO}_2$ data using direct observations (2004-2010;
247 [https://www.esrl.noaa.gov/gmd/dv/data/index.php?category=Greenhouse%2BGases¶meter](https://www.esrl.noaa.gov/gmd/dv/data/index.php?category=Greenhouse%2BGases¶meter=name=C13%252FC12%2Bin%2BCarbon%2BDioxide)
248 [name=C13%252FC12%2Bin%2BCarbon%2BDioxide](https://www.esrl.noaa.gov/gmd/dv/data/index.php?category=Greenhouse%2BGases¶meter=name=C13%252FC12%2Bin%2BCarbon%2BDioxide); White *et al.*, 2015).

249 Physiological or intrinsic water-use efficiency (iWUE) is defined as the ratio of the fluxes of
250 carbon assimilation (A) and stomatal conductance (g_s) (Feng, 1999; Ehleringer *et al.*, 1993) and
251 is estimated from $\Delta^{13}\text{C}$ and c_a values as (Farquhar & Richards, 1984):

$$253 \quad \text{iWUE} = \frac{A}{g_s} = (c_a - c_i) \frac{1}{1.6} \quad (\text{Eqn 5})$$

254 where 1.6 is the ratio of diffusivities for water vapor relative to CO_2 . Unlike iWUE, actual WUE
255 is calculated at the whole plant level and is dependent upon evaporative demand, influenced by

256 vapor pressure differences with the atmosphere and the leaf and stomatal conductance. As
257 iWUE takes into account neither this constraint nor respiratory losses, it is treated as potential
258 WUE (Seibt *et al.*, 2008).

259 **2.5 Data Standardization**

260 The $\Delta^{13}\text{C}$ chronology was standardized using a cubic smoothing spline with a frequency
261 response cut-off at 0.50 and a wavelength of 50 years (ARSTAN; Cook, 1985; Cook & Holmes,
262 1986) to reduce the influence of spurious longer-term trends and to retain multi-decadal and
263 interannual variability. Time series were transformed into dimensionless indices by dividing the
264 raw values with the spline function estimates (Fritts, 2001) and then averaged using the bi-
265 weight robust mean (Cook, 1985; Cook & Briffa, 1990). Chronology quality ($\delta^{13}\text{C}$, BAI) was
266 evaluated using the RBAR (Fritts, 1976; Wigley *et al.*, 1984), Expressed Population Signal
267 (EPS) and Subsample Signal Strength (SSS) (Briffa, 1984; Wigley *et al.*, 1984, but see Buras,
268 2017). The residual chronology was used in subsequent correlation-based analyses.

269 **2.6 Climate, pollution and atmospheric carbon dioxide data**

270 Monthly climate data (1900-2010) at 4 km resolution were obtained from the PRISM Climate
271 Group (<http://prism.oregonstate.edu>; 2004) including: mean, minimum and maximum
272 temperature (T_{mean} , T_{min} , T_{max} , °C), total precipitation (PRCP, mm) and maximum vapor pressure
273 deficit (VPD_{max} , mb). The average of multi-month (e.g., May-September) periods were
274 calculated to account for integrated seasonal effects. Monthly Palmer drought severity index
275 (PDSI) for the western division (2) of Vermont was also explored in analysis
276 (<https://www.esrl.noaa.gov/psd/data/timeseries/>). The three moisture-related variables (VPD,
277 PRCP, PDSI) were included in the analysis to explore the influences of atmospheric water
278 demand and/or soil moisture on $\delta^{13}\text{C}$ -derived physiological parameters during periods of greater
279 or reduced moisture availability in the environment. Increasing temperatures under climate
280 change will influence atmospheric water demand and soil moisture differently (Novick *et al.*,
281 2016; Ficklin & Novick, 2017) and thus, greater understanding of the influence on these
282 variables on g_s is needed (e.g., Yi *et al.*, 2019; Zhang *et al.*, 2019). Trends over time in dominant
283 climate variables were characterized through linear regression analysis and differences among

284 periods were examined through analysis of variance. Homogeneity of variance was examined
285 with Levene's test and post-hoc analysis using Dunnett T3 test.

286 Pollutant deposition data (NO_3^- , NH_4^+ , SO_4^{2-} , mg/L) were derived from volume-weighted,
287 average monthly concentration of bulk precipitation from watershed 6 (W6) at the Hubbard
288 Brook Experimental Forest LTER (HBEF; Woodstock, NH, USA; 1966-2010;
289 <https://hubbardbrook.org/d/hubbard-brook-data-catalog>; Likens, 2010). Pollutant data were also
290 averaged across months to create seasonal (e.g., June-August) and water year (previous October-
291 September) variables (Fig. S1). The HBEF W6 dataset was selected over the Underhill, Mount
292 Mansfield, VT (USA) site (National Atmospheric Deposition Program,
293 <http://nadp.slh.wisc.edu/data/ntn/>; 1984-2010) due to its longer time span and the high
294 correlation between the two time series ($r = 0.94$, $p < 0.0001$). It was also selected over longer
295 pollutant time series (e.g., Thomas *et al.*, 2014; Mathias *et al.*, 2018) due to the high quality of
296 field measurements and their proximity to the study site. Trends in pollutant data over time were
297 evaluated using linear regression analysis.

298 The target climate and pollutant time series were also detrended using a cubic smoothing spline
299 with a frequency cutoff at 0.50 and a wavelength of 50 years (ARSTAN; Cook, 1985; Cook &
300 Holmes, 1986) to remove anthropogenically-driven trends in climate and reductions in pollutants
301 associated with the Clean Air Act and its Amendments (Driscoll *et al.*, 2001). As the time series
302 explored in this study were 111 years ($\Delta^{13}\text{C}$, climate) and 45 years (pollutants), we were limited
303 to the identifiable and interpretable higher to medium frequencies (e.g., interannual to multi-
304 decadal). By filtering the time series used in the correlation analyses ($\Delta^{13}\text{C}$, climate, pollutants)
305 (see below), we sought to reduce the influence of lower frequency climatic and pollution
306 variance that might be indistinguishable from non-climatic/non-pollutant variance and, to avoid
307 the influence of artificial, lower frequency trends.

308 **2.7 Data analysis**

309 Correlation analyses (DendroCLIM2002; Biondi & Waikul, 2004) were used to evaluate
310 relationships between the standardized $\Delta^{13}\text{C}$, climate (1900-2010) and pollutant (1966-2010)
311 time series. DENDROCLIM2002 employs bootstrapped confidence intervals to compute the
312 significance of correlation coefficients at the $P < 0.05$ level. Correlation coefficients were

313 calculated for a 17-month period (previous May-current September), as well as for multi-month
314 periods (e.g., May-September). DendroCLIM was also used to explore the persistence and
315 changing significance of $\Delta^{13}\text{C}$, climate and pollutant relationships using a forward evolving
316 interval of 30-years (30-year window length is incremented by one, starting from the least recent
317 year with each iteration) for 1900-2010. The length of the HBEF pollutant record limited time
318 series comparison to the 1966-2010 period. A rank-based non-parametric Pettitt test (1979) was
319 used to detect shifts in the central tendency of the c_i time series (Killick & Eckley, 2014). The
320 Pettitt test is considered distribution free and insensitive to outliers. Based on identified time
321 periods with statistically significant differences in the mean c_i , temporal trends in the $\Delta^{13}\text{C}$, c_i ,
322 c_i/c_a , iWUE and BAI time series were assessed using linear regression analysis. Analysis was
323 carried out in IBM SPSS 24 (2018) and DendroCLIM2002 (Biondi & Waikul, 2004).

324

325 **3. Results**

326 **3.1 BAI and $\delta^{13}\text{C}$ chronologies**

327 The ABP BAI and $\delta^{13}\text{C}$ chronology and its derivatives provide a 111-year perspective (1900-
328 2010) of an eastern North American, mid-latitude, conifer species' growth trajectory and gas
329 exchange response to environmental change in the 20th and 21st centuries. The mean length of
330 the xylem increment cores used in this study was 144.9 ± 25.37 years (range: 87-183 years) with a
331 mean DBH of 58.3 ± 10.28 cm (range: 49-92 cm) (Table **S1b**). All trees used for stable isotope
332 analysis began growing before 1850 and thus, were at least 50 years old and ~18-22 m in height
333 at the start of the 20th century (Carmean *et al.*, 1998). We assume that increases in height would
334 likely have had minimal effects on the suite of tree-ring-based $\delta^{13}\text{C}$ -derived physiological
335 parameters values (Carmean *et al.*, 1998; McDowell *et al.*, 2011; Levesque *et al.*, 2017; Klesse *et*
336 *al.*, 2018). Based on $\delta^{13}\text{C}$ measurements for every tenth year, mean $\delta^{13}\text{C}$ values fell within the
337 95% confidence interval (Fig. **S2b**). Based on shifts in the central tendency of the c_i time series
338 (Pettitt, 1979) and the predominant trend in the data, three periods were delineated including, an
339 initial stable period (1900-1956), a shift downward (1957-1975), and a third period (1976-2010)
340 characterized by a continuous upward trend (Fig. **S3**).

341 **3.2 Climatic influences on eastern hemlock $\Delta^{13}\text{C}$**

342 Analysis of $\Delta^{13}\text{C}$ and climate variables indicate the importance of growing season VPD_{max} ,
343 followed by T_{max} and moisture. Correlations between $\Delta^{13}\text{C}$ and PRISM climate data (1900-2010)
344 were significant ($P<0.05$ - 0.01) for individual months and growing season multi-month periods
345 (May-September) (Fig. 1). The strongest correlations with individual months included, May
346 VPD_{max} ($r = -0.42$, $P<0.01$), May T_{max} ($r = -0.40$, $P<0.01$), July PRCP ($r = 0.30$, $P<0.05$) and July
347 PDSI ($r = 0.47$, $P<0.01$). The $\Delta^{13}\text{C}$ chronology was most highly correlated with multi-month
348 periods: May-September VPD_{max} ($r = -0.57$, $P<0.01$), May-July T_{max} ($r = -0.47$, $P<0.01$), May-
349 August PRCP ($r = 0.41$, $P<0.01$), and July-August PDSI ($r = 0.47$, $P<0.01$). Correlation analyses
350 of these multi-month periods for each of the three time periods (Table S3) showed all but May-
351 July T_{max} (1976-2010) were significant ($P<0.05$ - 0.01). Further, 30-year forward evolving
352 intervals revealed persistent and significant ($P<0.05$) relationships with the same four climate
353 variables (1900-2010) (Fig. 2). Moving correlations of $\Delta^{13}\text{C}$ with May-July T_{max} and May-
354 September VPD_{max} were consistent across the 111-year period, with VPD_{max} of slightly greater
355 importance after 1950 as the influence of T_{max} declined. Both May-August PRCP and July-
356 August PDSI correlation values increased from ~1930 to the early-1950s, declined and remained
357 low through the mid-1960s, increased again into the mid-1970s, and then declined slightly to
358 2010.

359 3.3 Shifts in local climate conditions

360 Rising growing season temperature and greater moisture availability, as well as strong
361 atmospheric water demand characterized the ABP site (1900-2010). Based on the previously
362 identified multi-month climate variables, there was an upward trend in May-July T_{max} ($P<0.01$),
363 May-August PRCP ($P<0.0001$) and July-August PDSI ($P<0.0001$) (Fig. S4). May-September
364 VPD_{max} also increased, but was not significant ($P=0.138$). Across the three time periods, these
365 climate variables showed positive trends for May-July T_{max} and May-September VPD_{max} in the
366 early period (1900-1956; $P<0.01$ - 0.001), May-August PRCP and July-August PDSI in the
367 middle period (1957-1975; $P<0.05$ - 0.01), and May-September VPD_{max} and July-August PDSI in
368 the late period (1976-2010; $P<0.05$) (not shown). The four climate variables showed
369 intercorrelations (1900-2010; $P<0.05$), including strong relationships between May-July T_{max} and
370 May-September VPD_{max} ($r=0.69$, $P<0.01$) and May-August PRCP and July-August PDSI
371 ($r=0.72$, $P<0.01$) (Table S4) – indicative of the local hydroclimate. Analysis of variance and

372 post-hoc tests comparing the three periods revealed May-August PRCP was significantly
373 different ($P < 0.05$) between 1900-1956 and 1976-2010, indicating an increase in moisture
374 availability between the start and end of the 111-year period. No other climate variables were
375 significantly different among periods.

376 **3.4 Trends in $\Delta^{13}\text{C}$, c_i/c_a , c_i , iWUE and BAI**

377 Based on the three time periods, the $\Delta^{13}\text{C}$ series showed a declining trend from 1900-1956 ($R^2 =$
378 0.21 , $P < 0.0001$), no trend from 1957-1975 ($R^2 = 0.09$, $P > 0.05$), and an increasing one from
379 1976-2010 ($R^2 = 0.40$, $P < 0.0001$) (Fig. **3a**, Fig. **S5a**). This pattern was mirrored in the c_i/c_a time
380 series (Fig. **3b**, Fig. **S5b**). Intercellular CO_2 concentration (c_i) remained relatively unchanged
381 from 1900-1956 ($R^2 = 0.00$, $P > 0.05$), showed a positive but not significant slope from 1957-1975
382 ($R^2 = 0.002$, $P > 0.05$), and an increase after 1976 ($R^2 = 0.78$, $P < 0.0001$) (Fig. **3c**, Fig. **S5c**).

383 The iWUE increased from 1900 to 1956 ($R^2 = 0.53$, $P < 0.0001$) and again from 1957 to 1975 (R^2
384 $= 0.35$, $P < 0.01$) (Fig. **3d**, Fig. **S5d**). From 1976 to 2010, iWUE continued to rise ($R^2 = 0.19$,
385 $P < 0.01$) and reached its highest measured value during this period (2007: $130.69 \mu\text{mol/mol}$).
386 Overall, the percentage increase in iWUE was 28.01%, relative to the 1900-1910 period.
387 However, iWUE began to plateau after 1975 with the rate of increase decelerating from
388 $0.51 \pm 0.17 \text{ ppm year}^{-1}$ (1957-1975) to $0.19 \pm 0.07 \text{ ppm year}^{-1}$ (1976-2010). Further, when iWUE
389 was compared against c_a (1900-2010), the relationship was more variable after the mid-1960s
390 and the rate of increase in iWUE plateaued and then declined at recent c_a concentration (Fig. **4a**).

391 For the combined period of 1900-1975, a flat BAI trend prevailed (1900-1956, $R^2 = 0.04$,
392 $P > 0.05$; 1957-1975, $R^2 = 0.07$, $P > 0.05$) and then it increased (1976-2010; $R^2 = 0.45$, $P < 0.0001$)
393 (Fig. **3e**; Fig. **S5e**). BAI remained consistently near the chronology mean ($17.15 \text{ cm}^2 \text{ year}^{-1}$)
394 until 1975. A regression of BAI over iWUE (1900-2010) showed a positive relationship ($R^2 =$
395 0.30 , $P < 0.0001$) (Fig. **4b**), but when examined over the three periods no trends were significant
396 (1900-1956, $R^2 = 0.00$, $P > 0.05$; 1957-1975, $R^2 = 0.00$, $P > 0.05$; 1976-2010, $R^2 = 0.03$, $P > 0.05$)
397 (Fig. **S6**).

398 **3.5 Acid deposition and its influence on $\Delta^{13}\text{C}$**

399 Downward trends characterized water year NH_4^+ , NO_3^- and SO_4^{2-} (previous October-September;
400 1966-2010) series, but only SO_4^{2-} was significant ($R^2=0.87$, $P<0.0001$) (Fig. S1). The $\Delta^{13}\text{C}$
401 series were negatively correlated with individual months at the end of the previous and current
402 growing season including, previous September NO_3^- ($r= -0.29$, $P<0.05$) and SO_4^{2-} ($r= -0.32$,
403 $P<0.05$) and August NO_3^- ($r= -0.26$, $P<0.05$) (1966-2010; Fig. 5). No correlations with NH_4^+
404 were significant ($P>0.05$). Thirty-year forward evolving intervals (1966-2010) indicated a
405 persistent and mostly significant ($P<0.05$) correlation with previous September SO_4^{2-} , with the
406 relationship becoming more negative until the early 21st century and then less negative over the
407 next decade (Fig. 6). Previous September NO_3^- moving correlations followed a similar trend, but
408 overall were less negative and not statistically significant.

409 A comparison of static correlations between $\Delta^{13}\text{C}$ and climatic and pollutant variables over two
410 periods common to all datasets (1966-1990; 1991-2010) showed $\Delta^{13}\text{C}$ was most strongly related
411 ($P<0.05$ -0.01) with climate (Fig. S7). This relationship held for the period of greatest pollutant
412 input (1966-1990) prior to the Clean Air Act (CAA) and its amendments and the period directly
413 following its implementation (1991-2010). The $\Delta^{13}\text{C}$ and VPD_{max} correlations were strongest for
414 both periods examined (1966-1990, $r=0.63$, $P<0.01$; 1991-2010, $r=0.45$, $P<0.05$). Correlations
415 with previous September SO_4^{2-} and NO_3^- were not significant ($P>0.05$) for either period.

416

417 4. Discussion

418 4.1 Dynamic trends: Shifts in gas exchange and growth response to climate and c_a

419 The water balance of the NENA forest changed over the 20th and 21st centuries, becoming wetter
420 and warmer (Janowiak *et al.*, 2018), with a clear shift to wetter conditions after 1975. Within
421 this context, eastern hemlock is characterized by an evolving physiological response whereby the
422 species rapidly and dynamically shifted along a continuum of leaf gas-exchange strategies
423 (constant c_i ; constant c_i/c_a ; constant $c_a - c_i$) in response to rising c_a (Saurer *et al.*, 2004; Voelker
424 *et al.*, 2016).

425 The 1900-1956 period was characterized by constant c_i . This strategy requires a dramatic
426 increase in A , or a decrease in g_c , or both (Voelker *et al.*, 2016) and is described as an active

427 response to rising c_a (McCarroll *et al.*, 2009). ABP iWUE rose rapidly as $\Delta^{13}\text{C}$ declined and c_a
428 rose; on the other hand growth remained flat. Our analysis of 20th century regional climate
429 drivers suggest atmospheric demand and moisture availability were likely as influential on
430 stomatal response as rapidly increasing c_a . Stomatal conductance (g_s), in response to these
431 variables, moderates $\Delta^{13}\text{C}$ (Comstock & Ehleringer, 1992; Saurer *et al.*, 1997; Roden &
432 Ehleringer 2007). In the northeastern United States, the first half of the 20th century was drier
433 than the second, involving drought area and total annual and summer precipitation (Pederson *et al.*,
434 2013). Predominantly negative summer PDSI values (1900-mid-1930s; not shown) suggest
435 soil moisture deficit in the region. Thus, greater atmospheric water demand resulted in a decrease
436 in g_s over an increase in A (i.e., reduced transpiration at the expense of CO_2 uptake and tree C
437 gain) during this period. Other studies in arid forests reported similar tree response to moisture
438 deficit despite rising iWUE trends (Andreu-Hayles *et al.*, 2011; Peñuelas *et al.*, 2011; Voltas *et al.*,
439 2013; Lévesque *et al.*, 2014).

440 Constant c_i/c_a (Saurer *et al.*, 2004) typified the 1957-1975 period. Termed an active response
441 (McCarroll *et al.*, 2009), the maintenance of a constant c_i/c_a occurs through the simultaneous
442 changes in g_s and A in response to rising c_a (Saurer *et al.*, 2004). While eastern hemlock
443 continued to respond to *rising* c_a , climate drivers including higher atmospheric demand and low
444 soil moisture availability influenced g_s as evidenced by declining $\Delta^{13}\text{C}$, steady c_i and rising
445 iWUE, particularly in 1964, 1965 and 1975 (Fig. 4a). During this period, the northeastern US
446 experienced the most intense drought (mid-1960s) of the last five centuries in the region
447 (Namias, 1966; Cook & Jacoby, 1977; Pederson *et al.*, 2013). The influence of another variable
448 on g_s (i.e., climate) is further suggested by the greater variability of iWUE values around the
449 trend line when regressed on c_a (Fig. 4b). As well, reduced g_s resulted in limited A , as evidenced
450 by continued level radial growth. Observational and experimental studies from multiple sites
451 showed similar homeostatic c_i/c_a trends (Williams & Ehleringer 1996; Bert *et al.*, 1997;
452 Duquesnay *et al.*, 1998; Saurer *et al.*, 2004; Ward *et al.*, 2005; Linares *et al.*, 2009; Andreu-
453 Hayles *et al.*, 2011; Bonal *et al.*, 2011; Peñuelas *et al.*, 2011; Leonardi *et al.*, 2012; Saurer *et al.*,
454 2014; Frank *et al.*, 2015; Guerrieri *et al.*, 2019).

455 The final period (1976-2010) follows the constant $c_a - c_i$ scenario (Saurer *et al.*, 2004). This
456 strategy is characterized by minor increases in A and/or minor decreases in g_s (Voelker *et al.*,

457 2016), and is described as a passive response (McCarroll *et al.*, 2009). We hypothesized that
458 leaf-gas exchange, as evidenced by rising $\Delta^{13}\text{C}$, c_i/c_a and c_i and a leveling-off of iWUE, was
459 driven primarily by climate and site conditions that resulted in eastern hemlock maintaining open
460 stomata. After the mid-1960s drought, northeastern North America experienced rising moisture
461 levels (Pederson *et al.*, 2013; Maxwell *et al.*, 2017). Six of the region's 20 wettest growing
462 seasons (May-September PDSI; 1900-2010; not shown) occurred between 1990 and 2010 and 12
463 of the top 20 since 1975. This suggests that while the overall rise in T_{max} was sufficient to
464 maintain the dominance of VPD_{max} over g_s , after 1975 the rise in soil moisture availability and an
465 upward trend in summer (JJA) relative humidity in the northeastern US (Brown & DeGateano,
466 2013; Ficklin & Novick, 2017) established a lower gradient of moisture demand and higher leaf
467 water potentials (Ψ_L). Rising evapotranspiration (ET) (Huntington & Billmire, 2014; Kramer *et*
468 *al.*, 2015) and declining trends in daily temperature ranges in the northeastern US (Lauritsen &
469 Rogers, 2012) may have resulted in reduced or stabilized daytime VPD despite warming
470 temperatures (Ficklin & Novick, 2017).

471 Further, it is unlikely that increased irradiance modulated $\Delta^{13}\text{C}$ via photosynthetic rate. Greater
472 irradiance should result in lower $\Delta^{13}\text{C}$ and greater assimilation or growth (Voelker *et al.*, 2014).
473 However, *both* ABP $\Delta^{13}\text{C}$ and growth (BAI) increased after 1975. Regional irradiance declined
474 as shown by increases in regional cloud cover (Lauritsen & Rogers, 2012) and local declines in
475 growing season total sunshine hours and percent possible sunshine. These variables may be used
476 as proxies for solar irradiance when photosynthetic active radiation (PAR) is not available
477 (<http://www7.ncdc.noaa.gov/IPS/lcd/lcd.html>) (Young *et al.*, 2010). Multiple theoretical and
478 observational studies at the leaf and canopy-level have noted an enhancement in canopy
479 photosynthesis under diffuse radiation conditions (Hollinger *et al.*, 1994; Gu *et al.* 2002; Gu *et*
480 *al.*, 2003; Niyogi *et al.* 2004; Urban *et al.*, 2007; Mercado *et al.*, 2009; Zhang *et al.*, 2010; Urban
481 *et al.*, 2012), and in one modeling study Knohl & Baldocchi (2008) showed an increase in $\Delta^{13}\text{C}$.
482 Thus, under higher moisture availability and cloudier conditions, leaf-gas exchange was not
483 limited by g_s , and indeed, g_s likely remained constant or potentially increased as indicated by
484 declining $\Delta^{18}\text{O}$ values from nearby NENA forests sites (Guerrieri *et al.*, 2019). These conditions
485 allowed the species to maintain open stomata and increase A , resulting in increased $\Delta^{13}\text{C}$ and
486 BAI. Further, the rising trend in the ABP $\Delta^{13}\text{C}$ time series matches the recent rise in global
487 atmospheric measurements (Keeling *et al.*, 2017).

488 4.3 Unusual response of iWUE

489 Contrary to studies showing a secular increase of iWUE in response to rising CO₂ (Ainsworth &
490 Rogers, 2007; Franks *et al.*, 2013; Saurer *et al.*, 2014), at ABP iWUE plateaued and then
491 declined at more recent c_a levels (~330 ppm). Waterhouse *et al.* (2004) hypothesized trees from
492 European sites characterized by a late 20th-early 21st century plateau in iWUE are no longer
493 physiologically forced by or are insensitive to rising c_a (*i.e.*, saturation effect). *In situ*
494 biochemical processes that respond to c_a , moisture and nutrient availability play a critical role in
495 modulating the leaf-gas exchange strategy in C3 plants (Oren *et al.*, 2001; Becklin *et al.*, 2014;
496 Warren *et al.* 2015). Theory posits that as c_a rises, A is less limited by the carboxylation rate of
497 Ribulose-bisphosphate carboxylase/oxygenase (Rubisco) (Farquhar *et al.*, 1980; Long &
498 Bernacchi, 2003). Rising c_a increases the efficiency of Rubisco and A can be maintained or rise
499 despite declines in enzyme content, activity or maximum photosynthetic capacity (Warren *et al.*,
500 2015). However prior to 1975 at ABP, reduced g_s due to lower moisture availability limited any
501 increases in A linked to rising c_a . When c_a exceeds 400 ppm, A will plateau as it is limited by
502 RuBP-regeneration (Long & Bernacchi, 2003). At this point, A is saturated as either soil N
503 availability becomes more limiting or leaf N concentrations are diluted by CO₂-induced growth
504 (Oren *et al.*, 2001; Warren *et al.*, 2015; Voelker *et al.*, 2016). In NENA forests, atmospheric N
505 deposition has declined since the early 2000s (Groffman *et al.*, 2018; Gilliam *et al.*, 2019). The
506 negative effects of this decline on forest productivity and tree response are thought to be
507 exacerbated by increases in c_a , deacidification of soils, and climate change (Richardson *et al.*,
508 2010; Groffman *et al.*, 2012). Stomatal conductance (g_s) will also begin to decline with rising c_a ,
509 but is hypothesized to stabilize at a species-specific minima (Becklin *et al.*, 2014; Voelker *et al.*,
510 2016). However, a recent study (Haverd *et al.*, 2020) using a terrestrial land-based model
511 suggests that as c_a continues to increase, C3 plants may optimize productivity through
512 coordination (Chen *et al.*, 1993; Farquhar & von Caemmerer, 1981; Wang *et al.*, 2017) whereby,
513 the relative nitrogen investments in carboxylation and electron transport are co-limiting.

514

515 It is unknown if this “passive” response in eastern hemlock has only begun and, if it is a short-
516 term acclimation to present c_a or a longer-term physiological response to environmental change.
517 At present, it is unclear how C3 plants in natural environments respond physiologically to the
518 higher c_a over longer periods (but see Becklin *et al.*, 2014) and why this plateau occurs in

519 multiple species growing in various ecosystems and under different climatic regimes. Indeed,
520 multiple studies show this non-linear response during the late 20th to 21st centuries from mid-
521 latitude (e.g., Feng, 1998; Waterhouse *et al.*, 2004; Peñuelas *et al.*, 2008; Andreu-Hayles *et al.*,
522 2011; Belmecheri *et al.*, 2014), high elevation (Marshall & Monserud, 1996; Wu *et al.*, 2015;
523 Wieser *et al.*, 2016) and boreal forests (e.g., Gagen *et al.*, 2011). This anomaly in iWUE
524 requires further investigation as it is clear that the effects are not limited to one region or species.

525

526

527 **4.4 Acid deposition, stomatal response and vegetation water use**

528 Acid deposition, first reported in North America in the White Mountains in 1972 (Likens *et al.*,
529 1972), results in base cation leaching and depletion (e.g., Ca⁺) from leaves and soils. Calcium
530 helps regulate stomatal response, carbon metabolism, and facilitates plants' ability to sense and
531 respond to stress (Marschner, 2002). The negative response of ABP $\Delta^{13}\text{C}$ (1966-2010) to acid
532 deposition indicates net soil leaching of Ca⁺ was on-going (Talhelm *et al.*, 2012; Greaver *et al.*,
533 2012), even post-1990. Thus, in addition to greater moisture availability, changing soil
534 biogeochemistry may be partially responsible for rising $\Delta^{13}\text{C}$ (1976-2010), as Ca⁺ deficit
535 prevented stomatal closure and thus, sustained transpiration. Based on results from a long-term,
536 watershed acidification experiment, Lanning *et al.* (2019) suggested Ca⁺ leaching altered tree
537 stomatal response and vegetation water use, causing an increase in transpiration that depleted
538 available soil water as measured at the watershed scale. Examining the regional hydrological
539 cycle in the Northeastern US (1960-2012), Vadeboncoeur *et al.* (2018) highlighted higher ET in
540 northern watersheds compared to southern ones (i.e., lower ET). This suggests regional water
541 balance dynamics may be responding to both atmospheric demand and plant physiological
542 effects via stomata response as influenced by soil Ca⁺ availability. While the effect of CO₂
543 fertilization on WUE may explain some ET decline in southern watersheds, it did not explain
544 increasing ET trends in the north, which may be driven more by climate (Vadeboncoeur *et al.*,
545 2018) and legacy pollution effects. Our results, showing iWUE plateaued and stomata
546 responded strongly to increasing moisture availability and net Ca⁺ leaching from soil since 1975,
547 provide support for observations of increasing ET in northern watersheds. As numerous studies
548 have detected negative effects of acid deposition on NENA tree species (DeHayes *et al.*, 1999;
549 Schaberg *et al.*, 2001; Halman *et al.*, 2011; 2013; Thomas *et al.*, 2013; Battles *et al.*, 2014; Engel

550 *et al.*, 2015; Mathias *et al.*, 2018; Wason *et al.*, 2019), more thorough investigations of leaf-gas
551 exchange response are needed across species and community types before conclusions are
552 drawn. While peak pollution loading has abated since 1990, legacy effects of long-term net soil
553 base cation depletion will delay soil recovery into the 21st century (Lawrence *et al.*, 2012).

554
555 Our study demonstrates that mesic forest ecosystems may exhibit a more physiologically
556 complicated and dynamic response over multi-decadal time scales and driven by climate change,
557 rising c_a , and pollution effects than previously thought. A generalized pattern of leaf-gas
558 exchange dynamics and iWUE over the 20th and 21st centuries and at regional and global scales
559 therefore, is called into question (Silva & Horwath, 2013; Levesque *et al.*, 2017). Under the
560 specter of rising temperatures (Crouch *et al.*, 2018), greater ET and VPD (Ficklin & Novick,
561 2017), and drought in NENA over the next century (Berg *et al.* 2017) and, the fact that the
562 region's forests provide ecosystems services for > 64 million people in urban and rural areas, a
563 clearer understanding of tree physiological response will be an important contribution towards
564 parameterizing earth-system models and estimating future carbon sink capacity and water
565 balance in mid-latitude forests and elsewhere.

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573

574 **Author Contribution**

575 SAR designed the study. SAR and RG collected and performed tree-ring data analyses. SAR,
576 AL, RG and CJ analyzed isotopic samples. SAR performed isotopic data analyses with input on
577 data interpretation from SB and MHG. SAR wrote the manuscript with contributions from all
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585

586 **Data Availability**

587 Isotopic and tree-ring data will be available on the NOAA National Centers for Environmental
588 Information Paleoclimatology Data ([https://www.ncdc.noaa.gov/data-access/paleoclimatology-](https://www.ncdc.noaa.gov/data-access/paleoclimatology-data)
589 [data](https://www.ncdc.noaa.gov/data-access/paleoclimatology-data)).

590

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1046 **Figure Legend**

1047

1048 **Fig 1.** Pearson's correlation coefficients between Abbey Pond eastern hemlock (*Tsuga*
1049 *canadensis*) stable carbon isotope discrimination ($\Delta^{13}\text{C}$) time series and monthly and seasonal
1050 climate data (1901-2010). The 17-month period examined (left side) includes the previous May
1051 (lower case) to the current September (upper case). Seasonal periods (right side) include two to
1052 five month periods during the current growing season. Climate variables include mean
1053 maximum temperature (T_{max} , blue), mean maximum vapor pressure deficit (VPD_{max} , green), total
1054 precipitation (PRCP, red) and the Palmer drought severity index (PSDI, yellow). Dashed lines
1055 indicate significance at $P < 0.05$.

1056

1057 **Fig 2.** Thirty-year running correlation coefficients between Abbey Pond eastern hemlock (*Tsuga*
1058 *canadensis*) stable carbon isotope discrimination ($\Delta^{13}\text{C}$) time series and climate variables (1901-
1059 2010). Climate variables include mean maximum temperature (T_{max} , blue), mean maximum
1060 vapor pressure deficit (VPD_{max} , green), total monthly precipitation (PRCP, red) and the Palmer
1061 drought severity index (PSDI, yellow). Correlations with T_{max} and VPD_{max} were inverted to
1062 facilitate comparison. Significance level for the 30-year window was $P < 0.05$ and is shown by the
1063 dashed line.

1064

1065 **Fig 3.** Linear regression-derived trends for the three periods (1901-1956 (black circles and line);
1066 1957-1975 (orange circles and line); 1976-2000 (blue circles and line)) for Abbey Pond eastern
1067 hemlock (*Tsuga canadensis*): (a.) $\delta^{13}\text{C}$ discrimination ($\Delta^{13}\text{C}$, ‰); (b.) leaf intercellular CO_2 over

1068 atmospheric CO₂ concentration (c_i/c_a , ppm); (c.) leaf intercellular CO₂ concentration (c_i , ppm);
1069 (d.) intrinsic water-use efficiency (iWUE, $\mu\text{mol}/\text{mol}$); and (e.) basal area increment (BAI, cm^2
1070 year^{-1}). Trend lines, slope, confidence interval (high & low CI), coefficient of determination
1071 (R^2) and significance (P-value) are provided.

1072

1073 **Fig 4.** (a.) Abbey Pond eastern hemlock (*Tsuga canadensis*) annual values of intrinsic water use
1074 efficiency (iWUE) regressed against annual atmospheric CO₂ concentrations (c_a) for the period
1075 (1901-2010). Second-order polynomial trend line is included. (b.) Annual values of Abbey Pond
1076 eastern hemlock (*Tsuga canadensis*) basal area increment (BAI) regressed against iWUE for the
1077 period 1901-2010. Trend lines, coefficient of determination (R^2) and significance (P-value) are
1078 provided.

1079

1080 **Fig 5.** Pearson's correlation coefficients between Abbey Pond eastern hemlock (*Tsuga*
1081 *canadensis*) stable carbon isotope discrimination ($\Delta^{13}\text{C}$) (1966-2010) and pollutants (SO_4^{2-}
1082 (brown); NO_3^- (orange)) measured at Hubbard Brook Experimental Forest (New Hampshire,
1083 USA). The 17-month period examined (left side) includes the previous May (lower case) to the
1084 current September (upper case). Seasonal periods and water year (p October-September) (right
1085 side) were also examined. Dashed line indicates significance at $P < 0.05$.

1086

1087 **Fig 6.** Thirty-year running correlations between Abbey Pond eastern hemlock (*Tsuga*
1088 *canadensis*) stable carbon isotope discrimination ($\Delta^{13}\text{C}$) time series and pollutants (1966-2010)
1089 measured at Hubbard Brook Experimental Forest (New Hampshire, USA). Pollutant variables
1090 include mean monthly NO_3^- for the previous September (orange) and August (light orange) and
1091 SO_4^{2-} measurements for the previous September (brown). Significance level for the 30-year
1092 window was $P < 0.05$ and is shown by the dashed line.

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1095 **Supplemental Figure and Table Legend (Abbreviated)**

1096 **Fig. S1** Time series and trends of pollutants in bulk precipitation.

1097 **Fig. S2** Pettitt test-identified changes in mean of c_i time series.

1098 **Fig. S3** Time series of $\delta^{13}\text{C}$, confidence interval and BAI.

1099 **Fig. S4** Climate variable trends over time.
1100 **Fig. S5** Time series of $\Delta^{13}\text{C}$, c_i/c_a , c_i , iWUE, and BAI.
1101 **Fig. S6** Linear regression of BAI over iWUE for three periods.
1102 **Fig. S7** Comparison of $\Delta^{13}\text{C}$ with climate and pollutant variables.
1103 **Table S1** Site information and chronology statistics for eastern hemlock.
1104 **Table S2** Sampling and core information.
1105 **Table S3** Correlation coefficients between $\Delta^{13}\text{C}$ and climate time series.
1106 **Table S4** Correlation coefficients among climate time series.
1107