

# The Role of Virtual Synchronous Machines in Future Power Systems: A Review and Future Trends

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## Abstract

The large-scale integration of renewable energy sources (RESs) into the grid is reshaping the energy landscape, and can significantly impact the operation and stability of the power system. The issues stemming from the evolving energy landscape are challenging, but not insurmountable. Virtual synchronous machines (VSMs) have been proposed as a grid-friendly approach to sustainably integrate large-scale RESs into the grid. This paper provides a comprehensive review of the state-of-the-art VSM topologies proposed in literature. Further, it discusses some of the challenges which will stem from the integration of large-scale RESs into the generation mix. Thereafter, potential solutions are proposed based on insights derived from extensive academic research and demonstration projects from the energy industry.

*Keywords:* Distributed Energy Resources, Grid-connection, Inertia, Islanded, Renewable Energy Sources, Short-circuit level, Synchronous Generator, Virtual Synchronous Machine.

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## Abbreviation

APL Active Power Loop

AVR Automatic Virtual Regulator

DERs Distributed Energy Resources

5 DSM Demand Side Management

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EMF Electromotive Force

EMS Energy Management Systems

ERCOT Electric Reliability Council of Texas

ESOs Electricity System Operators

10 ESSs Energy Storage Systems

LFO Low Frequency Oscillation

LPF Low Pass Filter

PCC Point of Common Coupling

PEC Power Electronic Converters

15 PEV Plug-in Electric Vehicles

PI Proportional Integral

PLL Phase Locked Loop

PSC Power Synchronization Controller

PWM Pulse Width Modulation

20 RESs Renewable Energy Sources

RoCoF Rate of Change of Frequency

SCL Short Circuit Level

SG Synchronous Generator

SynVC Synchronous Voltage Controller

25 V2G Vehicle-to-grid

V2H Vehicle-to-home

VSM Virtual Synchronous Machines

VSM0H Virtual Synchronous Machine Zero Inertia

VSYNC Virtual Synchronous Control

## 30 1. Introduction

The vision for a net-zero carbon economy is driving a paradigm shift in the energy landscape [1], [2]. The power system is transitioning from a centralized fossil-fuel based system to a decarbonized and decentralized smart system with energy prosumers (producers + consumers) [2–4]. It is estimated that the decarbonisation of the energy sector by 2050 will add \$52 trillion to the global  
35 GDP, and a 62% reduction in air pollution related ailments [5], [6]. The National Grid is committed to decarbonizing the energy sector in compliance with the British government’s directives, by replacing the conventional fossil-fueled SGs with RESs [6], [7]. RESs are often clustered with local loads, ESSs and other DERs to form a microgrid [8], [9]. The microgrid functions as a single controllable entity, which can be grid-connected via a PCC, and can operate in isolation from the  
40 grid (islanded mode) [10], [11].

Currently, most RESs operate in grid-following mode, where the grid imposes the voltage and frequency, and the RESs injects a pre-defined amount of power into the network [13], [14]. In islanded mode of operation, the microgrid must regulate the voltage and frequency within stipulated limits by maintaining power balance [15], [16]. A plethora of droop topologies have been proposed in  
45 literature to enable reliable operation of microgrids in islanded mode [17]. However, the conventional droop topologies are inertia-less and prone to transient instability [18], [19]. Moreover, most of the conventional algorithms employed on RESs in microgrids, are often designed to operate in either grid-connected or islanded modes, thus necessitating a switching mechanism during transition from grid-connected to islanded mode (and vice-versa), which can undermine the stability of the power  
50 system [20]. Reports from various ESOs have demonstrated that the increasing penetration of RESs poses significant operational and control challenges [22–24]. The British National Grid recently reported undesirable trends including declining SCLs, reduction in system inertia, dynamic voltage and frequency support, which have been directly linked to the increasing proportion of RESs in the generation mix [12], [21]. Likewise, ERCOT reported increasing voltage oscillations and declining  
55 inertia [23], [25]. Currently, the penetration of RESs on the Irish grid is capped at 65% to mitigate instability from RESs operation [26], [27]. Figs. 1 & 2 illustrates the projected trend in the SCL

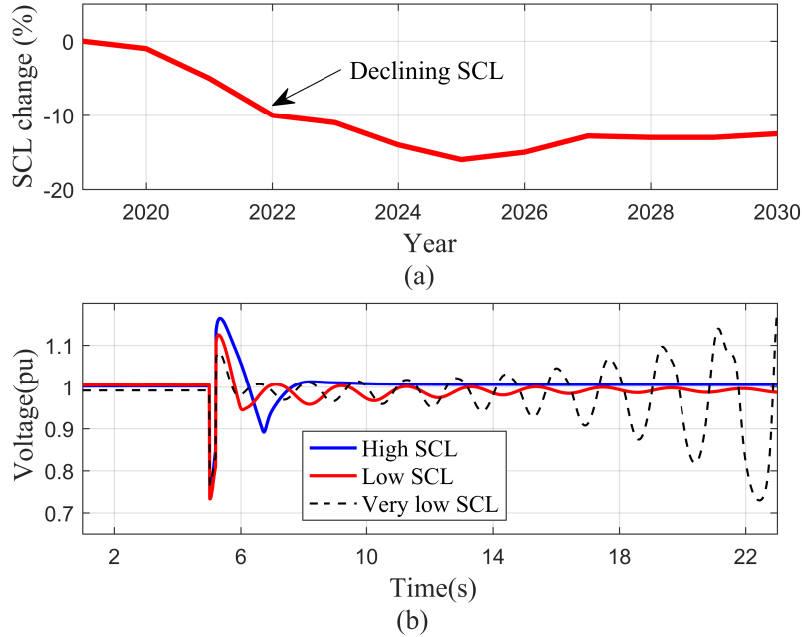


Figure 1: British National Grid SCL trend: (a) Declining SCL trend (b) Impact of declining SCL on power system dynamic performance [12].

and inertia of the British National Grid. Fig. 1(b) illustrates the dynamic response of the power system when subjected to a short circuit-fault (at  $t = 5s$ ) [12]. Fig. 2(b) illustrates the frequency response of the power system when there is a sudden load change (at  $t = 1s$ ) [28]. In Fig. 2(b),  $\Delta f$  represents the frequency deviation from the nominal value. From Figs. 1 & 2, it is evident that the SCL and inertia, which are key indicators of the power system's strength and robustness, are on the decline; the SCL impacts the voltage stability, while the system inertia impacts the frequency stability [12], [29]. It is noted that voltage and frequency disturbances will become more intertwined with increasing RESs, such that low SCL will impact frequency stability and vice-versa [30].

For ESOs to achieve “net-zero carbon emission” [7], whilst maintaining the grid resilience and stability, RESs must employ control paradigms, which offer similar robustness as the conventional SGs [31]. Hence, the concept of grid-friendly VSMS, which mimic the dynamics of the SG have been proposed to facilitate the integration of RESs into the power system [31, 32]. Since there are a plethora of academic and industrial works on VSMS, it is necessary to collate and characterize the different design approaches, aimed to picture the future trends in the technology.



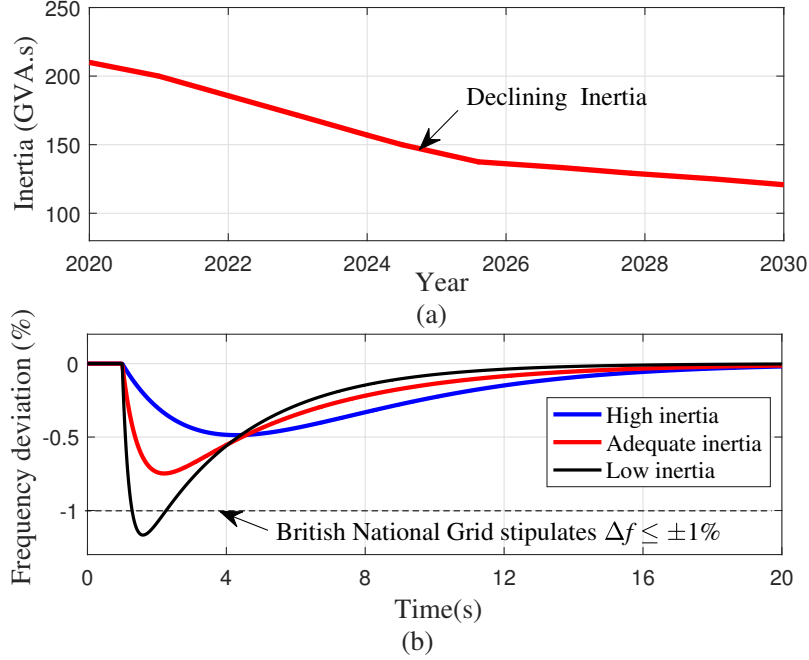


Figure 2: British National Grid inertia trend: (a) Declining inertia for “community renewables scenario” [21] (b) Illustrative frequency response for declining inertia

This paper presents a comprehensive review of the state-of-the-art VSM topologies, the challenges and potential solution to the integration of RESs in a decentralized and decarbonized power system. This paper is organized as follows: A comprehensive review of the VSM topologies in the literature is presented in Section II. Section III discusses some of the challenges associated with the operation of a net-zero grid, and proffers potential solutions and future research directions. Section IV concludes the paper.

## 2. Review of VSM topologies

This section provides a comprehensive review of the state-of-the-art VSM topologies in the literature. It describes the modelling approach of each VSM topology, the salient characteristics and the modifications required to facilitate the transition to a net-zero grid.

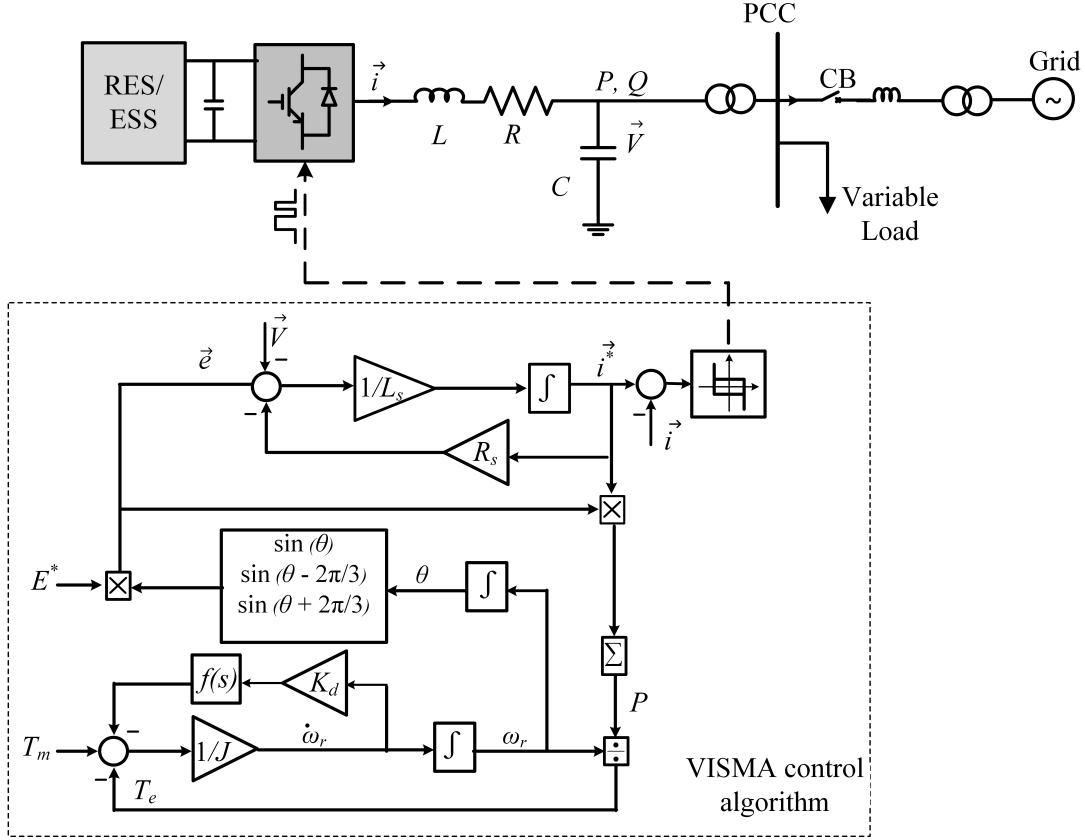


Figure 3: Topology of VISMA and the grid interface [33].

### 2.1. VISMA

The pioneer VSM algorithm was presented in [33, 34] and termed VISMA. It employs the 5<sup>th</sup> order model of the SG to fully capture the static and dynamic characteristics of the SG. The input and output of the VISMA algorithm, are the 3-phase measured voltage  $\vec{V}$  and 3-phase reference current respectively  $\vec{i}^*$ . A fast hysteresis controller processes  $\vec{i}^*$ , to derive the desired VSM operation. Unlike the conventional SG, VISMA allows bi-directional flow of active and reactive power, which caters for energy storage applications. The topology of the VISMA is illustrated in Fig. 3, and is described by (1)–(3):

$$\vec{e} = \vec{V} + \vec{i}^* R_s + L_s \frac{d\vec{i}^*}{dt} \quad (1)$$

$$\omega_r = \int \frac{T_m - T_e}{J - D_p f(s)} \quad (2)$$

$$\theta = \int \omega_r dt \quad (3)$$

Where  $\vec{e} = [e_a \ e_b \ e_c]^T$  is the induced EMF in the stator winding (in  $abc$  frame),  $R_s$  is the stator resistance and  $L_s$  is the stator inductance. The measured current  $\vec{i} = [i_a \ i_b \ i_c]^T$  is compared with  $\vec{i}^* = [i_a^* \ i_b^* \ i_c^*]^T$  in the hysteresis controller. The notations  $J$ ,  $\omega_r$ ,  $P$ ,  $T_m$ ,  $T_e$ ,  $D_p$ ,  $f(s)$  and  $\theta$  respectively represent the moment of inertia, virtual angular frequency, active power, mechanical torque, electromagnetic torque, damping coefficient, phase compensation term and phase angle. The induced EMF reference  $E^*$  determines the magnitude of  $\vec{e}$ . Although the VISMA offers considerable support for the grid-connected operation, the voltage in islanded mode is highly distorted for no-load (and presumably low-load) conditions. From Fig. 3, it is also observed that the VISMA does not employ any control for the reactive power  $Q$  injected to the grid. Furthermore, when the current tracking error from the hysteresis controller is large, the VISMA does not satisfactorily replicate the desired SG dynamics [31]. Ref. [35] proposed enhancing the islanded operation of the VISMA by implementing a PWM based control. To achieve this, the input and output parameters of the VISMA were swapped such that the measured grid current and grid voltage are the input and output parameter respectively. Although this alteration improved performance in the islanded mode, the model employs a differentiator to obtain the output voltage from the current, which may lead to instability (since differentiators have a tendency to amplify noises and harmonics). Ref. [36] proposed mitigating grid harmonics by synthesizing the difference between  $\vec{e}$  and  $V$  by a distortion compensation factor, prior to processing by the hysteresis controller. Ref. [37] observed that neglecting the transient and sub-transient dynamics of the stator in the VISMA design resulted in undesirable transient performance. Hence, the authors [37] proposed employing an auxiliary controller in parallel with the VISMA. The auxiliary controller employs an exact replica of the VISMA but with easily adjustable parameters based on the change in operating conditions. Although this approach improves the transient performance, it increases the system complexity and significantly increases the computational burden on the digital signal processor.

## 2.2. Synchronverter

Zhong *et al.* [31], [38] proposed a VSM strategy, which offers the same dynamics as the SG from the grid point of view. Similar to the VISMA, this VSM also employs a detailed mathematical model of the SG and is termed a synchronverter. It embodies a round rotor machine (i.e. the direct and quadrature axis have the same synchronous reactance), but neglects the dampers, eddy current and iron core losses. The synchronverter is equipped with frequency and voltage droop control loops which enable parallel operation of multiple units. The frequency droop mechanism is achieved by comparing  $\omega_r$  with the angular frequency reference  $\omega^*$  as shown in Fig. 4. Here,  $D_p$  provides both damping and  $P - \omega$  droop. Unlike the VISMA, the synchronverter has a dedicated control loop for  $Q$ . The systems voltage is regulated by comparing the measured voltage  $V$  with the reference voltage  $V^*$ . The error in the measured voltage is added to the reactive power control loop as shown in Fig. 4. The voltage drooping coefficient  $D_q$  determines the  $V - Q$  droop. The notation  $\langle \cdot, \cdot \rangle$  denotes the inner product in  $\mathbb{R}^3$ , and the overall dynamics of the synchronverter can be represented by (4)–(9):

$$\frac{d\omega_r}{dt} = \frac{1}{J}(T_m - T_e - D_p(\omega^* - \omega_r)) \quad (4)$$

$$T_e = -M_f i_f \langle \vec{i}, \widetilde{\sin\theta} \rangle \quad (5)$$

$$E = \omega_r M_f i_f \quad (6)$$

$$e = E \widetilde{\sin\theta} \quad (7)$$

$$P = E \langle \vec{i}, \widetilde{\sin\theta} \rangle \quad (8)$$

$$Q = -E \langle \vec{i}, \widetilde{\cos\theta} \rangle \quad (9)$$

Where  $P^*$ ,  $Q^*$ ,  $E$ ,  $i_f$ , and  $M_f$  represents the reference active power, reference reactive power, amplitude of the induced EMF, field excitation current, and maximum mutual inductance between the stator windings and the field winding.

The notations  $\widetilde{\sin}$  and  $\widetilde{\cos}$  represent:

$$\widetilde{\sin\theta} = \begin{bmatrix} \sin\theta \\ \sin(\theta - \frac{2\pi}{3}) \\ \sin(\theta - \frac{4\pi}{3}) \end{bmatrix}, \quad \widetilde{\cos\theta} = \begin{bmatrix} \cos\theta \\ \cos(\theta - \frac{2\pi}{3}) \\ \cos(\theta - \frac{4\pi}{3}) \end{bmatrix} \quad (10)$$

An advantage of the synchronverter over the SG, is the freedom of tuning system parameters as required (i.e. parameters such as inertia and mutual inductances are not physical) to obtain

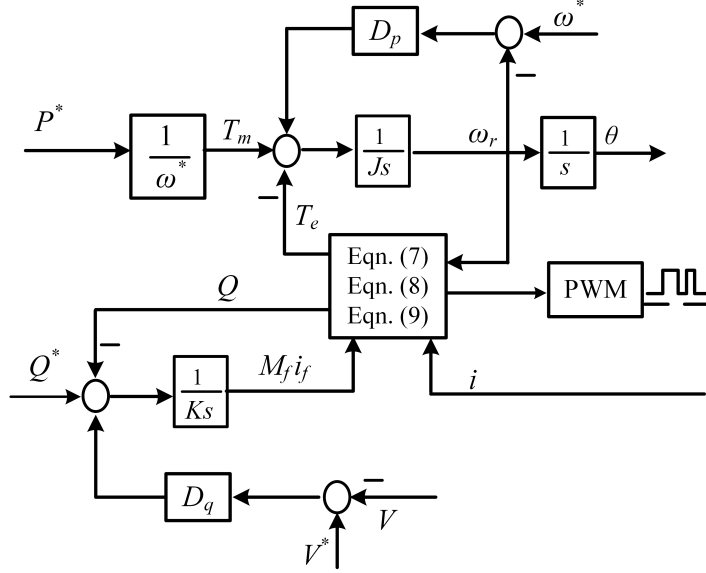


Figure 4: Control topology of the synchronverter [31].

the desired performance. However, the synchronverter also exhibits all the undesirable phenomena present in the SG including: loss of stability due to under-excitation, and hunting phenomena [31]. It is also observed that the synchronverter (see Fig. 4) employs a pure integrator to generate  $\theta$ . However, since the integrator accumulates the previous input states over time, this will cause a challenge in reconnection to the grid from islanding, when the integrator must be reset to achieve synchronization. This necessitates a communication from the PCC to the VSM to reset the integrator when reconnecting to the grid. Otherwise, there might be a phase shift between the VSM unit and the grid, which can even trip their operation. Several improvements on the synchronverter have been proposed in literature [38–45].

Ref. [38] augmented the structure of the synchronverter to achieve self-synchronizing capability, without the need of a PLL. The main principle of this concept is to drive the phase difference  $\Delta\theta$  between  $e$  from the synchronverter, and the grid voltage  $V_{PCC}$  to zero, while simultaneously ensuring equal voltage magnitude (i.e.  $|E| = |V_{PCC}|$ ). To achieve this, a synchronization mode is defined;  $P$  and  $Q$  are set to zero, and proportional integral (PI) controllers are used to drive  $\Delta\theta$  to zero. Doing so solves the need to reset the integrator at the time of grid reconnection, at the price of creating an intermediate mode that interrupts the operation of the VSM ( $P = Q = 0$ ). In addition, a virtual impedance is employed to drive the difference between  $|V_{PCC}|$  and  $|E|$  to zero.

Ref. [39] performed a stability analysis of the self-synchronizing synchronverter to facilitate optimal parameter tuning. Although, this topology [38], [39] enables self-synchronization with the grid, it adds complexity to the control paradigm. Furthermore, it does not allow seamless operation, as it necessitates a change in normal operating condition (i.e.  $P$  and  $Q$  must be set to zero before  
130 re-synchronization). Ref. [40] observed that, the synchronverter [31] lacks some degree of control freedom, as it is impossible to vary the response speed of the active power loop without altering the  $P - \omega$  droop (which is normally fixed by the grid requirements). Hence, an additional damping correction loop was employed which enables the adjustment of the APL response without impacting the frequency droop. The authors also suggested that this technique can improve the stability of  
135 the synchronverter by reducing the active and reactive power coupling, when a fast APL response is employed.

Ref. [41] proposed a technique to limit the inrush current in the synchronverter during short-circuit fault. An inrush current detection circuit was employed to detect large inrush current during fault, thereafter the synchronverter control is switched to enable the operation of a fast hysteresis con-  
140 troller to limit the inrush current. Although this technique is suitable for symmetrical fault, its applicability to asymmetric fault was not discussed. Moreover, the fault detection circuit increases the system complexity. The stable operating boundary of the synchronverter was analyzed in [42], [43]. Ref. [44–46] proposed employing virtual impedance to improve the synchronverter stability, while Ref. [47] adapted the synchronverter for high voltage direct current systems. It is noted that  
145 most of the improvements for the synchronverter cannot be implemented simultaneously (e.g. the self-synchronizing control [38] cannot be simultaneously employed with the inrush current protection scheme [41]); hence, they do not provide a comprehensive solution. In addition, the complex mathematical computations required for the implementation of the control algorithm may lead to numerical instability [48].

### 150 2.3. ISE Lab VSM

The ISE laboratory research group (in Osaka University, Japan) proposed a simplified model of the SG for VSM implementation [49]. This VSM has also been termed the “ISE lab” VSM in literature [48–51]. In contrast to the VISMA and synchronverter which employ the detailed dynamics of the SG, the ISE lab VSM only considers the swing equation of the SG. It employs a voltage-mode control [52], where  $\theta$  and  $E$  are modulated to regulate  $P$  and  $Q$  respectively. The

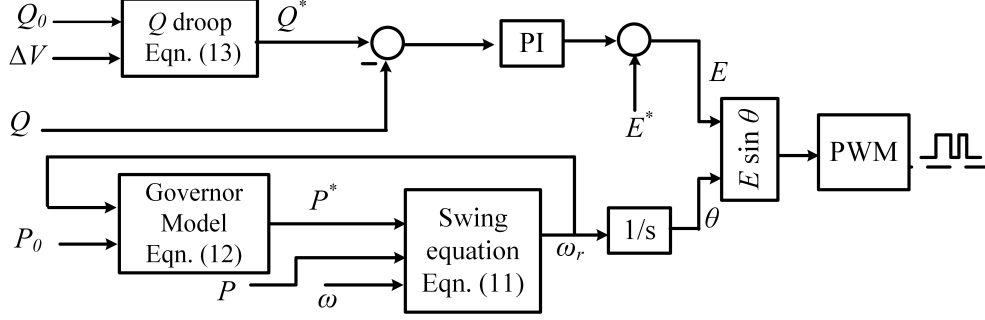


Figure 5: Topology of the ISE Lab VSM [53].

dynamics of the ISE lab VSM is represented by (11) – (13), and is illustrated in Fig. 5.

$$P^* - P = J\omega_r \frac{d\omega_r}{dt} - D\Delta\omega \quad (11)$$

$$P^* = P_0 + K_p \Delta\omega \left( \frac{1}{1 + T_d s} \right) \quad (12)$$

$$Q^* = Q_0 + K_q \Delta V \quad (13)$$

In Fig. 5,  $\omega_r$  is solved from the swing equation (11) by iteration [53], while  $\omega$  is the measured angular frequency obtained from a PLL. The swing equation is calculated at every control cycle to emulate the SG's inertia [48]. The governor block is a  $\omega - P$  droop which regulates the reference power  $P^*$  based on the angular frequency deviation  $\Delta\omega$  (i.e.  $\Delta\omega = \omega - \omega_r$ ). The governor employs a LPF with a time constant  $T_d$ , which emulates the mechanical delay in the governor of the SG. The ISE lab VSM was augmented with a reactive power loop in [54]. The  $Q$ -droop block (see Fig. 5) regulates the reactive power flow in response to voltage deviation (i.e.  $\Delta V = V^* - V$ ). The droop gains of the active and reactive power loop are represented by  $K_p$  and  $K_q$  respectively. The preset active power  $P_0$  and reactive power  $Q_0$  are determined by the VSM rating and the ESOs requirements. Although this VSM is relatively simpler than the VISMA and synchronverter [31], [33], it suffers from reactive power sharing error and transient active power sharing error. Also, since the ISE lab VSM (see Fig. 5) employs a voltage-mode control, it has no inherent over-current protection. This can lead to undesirable and unpredictable current transients, which can damage the PEC [52]. Improvements on the ISE lab VSM were proposed in [53], [55–57]. Ref. [53] employed a virtual inductance to enhance the transient active power sharing error. Further, an inverse voltage droop ( $V-Q$  droop) control with a common ac-bus voltage estimation was employed to achieve accurate reactive power sharing. However, if the estimated ac-bus voltage is

inaccurate, the reactive power sharing will worsen. In [55], a particle swarm optimization algorithm was developed to optimally tune the system parameters to minimize phase angle deviation and achieve smooth transitions after disturbances for parallel operation of the VSM. Ref. [56], employed an alternating inertia on the VSM to damp LFOs. Ref. [57] proposed a FRT strategy for the ISE lab VSM. This was achieved by replacing the reactive power loop with a direct measurement of  $V$ , such that a voltage sag at PCC is directly reflected at  $E$ . Further,  $P$  is controlled inversely proportional to  $V$ , while  $J$  is varied in response to changes in  $\omega$ , to achieve satisfactory performance. However, this topology increases the VSM complexity. Further, the proposed topology is unable to inject fast-fault current as stipulated in [58].

#### 2.4. VSM0H

The VSM expert group from the National Grid (UK) proposed a zero inertia VSM control termed VSM0H [59]. The topology adopted for the VSM0H is similar to the conventional droop control. However, it does not have an inner current control loop and PI controllers. The frequency and voltage are droop regulated in proportion to the active and reactive power demand respectively. Fig. 6 illustrates the topology of the VSM0H and the dynamics of the droop block is represented by (14) and (15) below:

$$\omega_r = \omega^* + K_p(P^* - P) \left( \frac{1}{1 + T_d s} \right) \quad (14)$$

$$E = E^* + K_q(Q^* - Q) \quad (15)$$

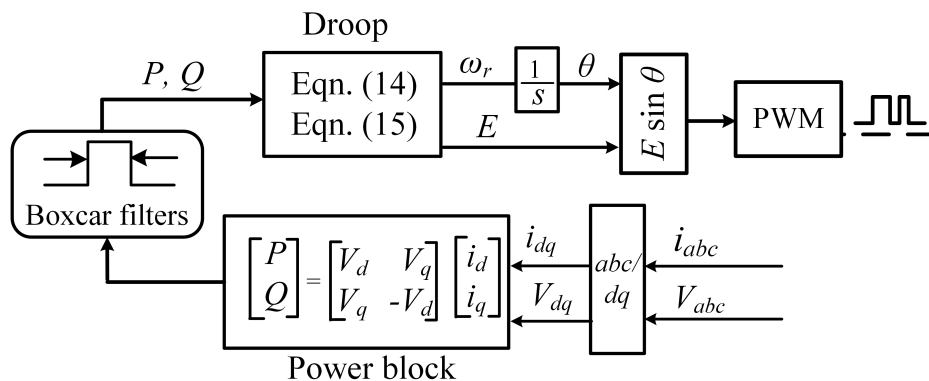


Figure 6: Control topology of the VSM0H [59].



The VSM0H is designed with a small control bandwidth (less than 50 Hz) to minimize voltage harmonics. Although the VSM0H is not equipped with synthetic inertia, it has a fast acting frequency droop slope. The capability of the VSM0H to operate in a scenario of 100% RESs penetration was demonstrated using a simplified power system model. However, an infinite bus is required to initialize the system. Similar to the synchronverter, the pure integrator on the VSM0H needs to be reset at the time of reconnecting the grid from islanding. Ref. [60] augmented the VSM0H with a phase angle correction block to enable soft-start. This was followed up by an experimental validation; however, the FRT capability was not investigated. An improvement on the VSM0H was proposed in [61] to add synthetic inertia into the VSM0H; however, this causes the system to resonate around certain frequencies (2-5 Hz). Further, since the VSM0H employs a voltage-mode control, it has no inherent over-current protection.

### 2.5. Algebraic Model of VSM

The research team from Kawasaki Heavy Industries [62], proposed an algebraic model of the VSM, which employs the phasor representation of the SG (in steady state), while the dynamic equations of the SG are neglected. Similar to the synchronverter, the algebraic VSM also embodies a round rotor machine. It is assumed that the VSM impedance is low for a wide range of frequencies to enable smooth operation in grid and islanded mode. A major advantage of this VSM model over the previous models (e.g. synchronverter, VSM0H) is the inherent over-current protection provided by the current loop. The structure of the algebraic VSM is illustrated in Fig. 7. The dynamics of the governor (16) and AVR (17) can be represented by:

$$\omega_r = \omega^* + K_p(P^* - P) \left( \frac{1}{1 + T_d s} \right) \quad (16)$$

$$E = \left[ \Delta V + K_q(Q^* - Q) \left( \frac{1}{1 + T_d s} \right) \right] \left( K_p + \frac{K_I}{s} \right) \quad (17)$$

The notations  $E_{dq}$ ,  $V_{dq}^*$ ,  $V_{dq}$ ,  $i_{dq}^*$  and  $i_{dq}$  are the  $dq$ -axis representation of the VSM's induced EMF, reference voltage, measured voltage, reference current and measured current respectively. The relationship between  $E_{dq}$ ,  $V_{dq}$  and  $i_{dq}^*$  are described in (18). The admittance  $Y$  is a function of the generator's synchronous reactance  $X$  and  $R$ . Here,  $X$  is a constant, whose magnitude is independent of variations in  $\omega$ . The magnitude of  $E$  is a function of  $V^*$  and  $Q^*$  from the AVR (see Fig. 7). Similarly,  $\omega_r$  is a function of  $P^*$  and  $\omega^*$ . Also,  $\theta$  is obtained from the integral of the difference between the virtual angular frequency provided by the governor  $\omega_r$  and  $\omega$  from the PLL.

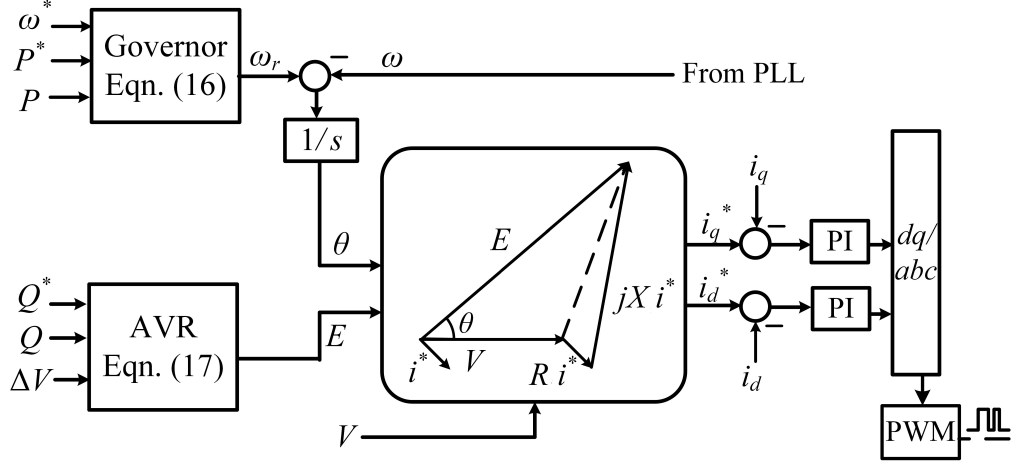


Figure 7: Control topology of the Algebraic VSM model [62].

The main drawback of this scheme is the need to switch the control topology during transition from grid to islanded mode of operation which undermines seamless operation of the system especially in cases of fault.

$$\begin{bmatrix} i_d^* \\ i_q^* \end{bmatrix} = Y \left\{ \begin{bmatrix} E_d \\ E_q \end{bmatrix} - \begin{bmatrix} V_d \\ V_q \end{bmatrix} \right\}$$

(18)

$$\begin{bmatrix} E_d \\ E_q \end{bmatrix} = E \begin{bmatrix} \cos\theta \\ \sin\theta \end{bmatrix}, Y = \frac{1}{R^2 + X^2} \begin{bmatrix} R & X \\ -X & R \end{bmatrix}$$

## 190 2.6. Power synchronization controller

Zhang et al [63] proposed a VSM which is capable of emulating the self-synchronizing capability of the SG and is termed a PSC. The active power loop (also termed as the power synchronization loop in this topology) directly controls the phase angle deviation  $\Delta\theta$ . The summation of the reference phase angle  $\theta^*$  and  $\Delta\theta$  generates  $\theta$  (i.e.  $\theta = \theta^* + \Delta\theta$ ). The dynamics of the PSC can be represented by (19):

$$\begin{aligned} \frac{d\Delta\theta}{dt} &= K_p(P^* - P) \\ \Delta E &= K_v(V^* - V) \left( \frac{1}{1 + T_d s} \right) \end{aligned} \quad (19)$$

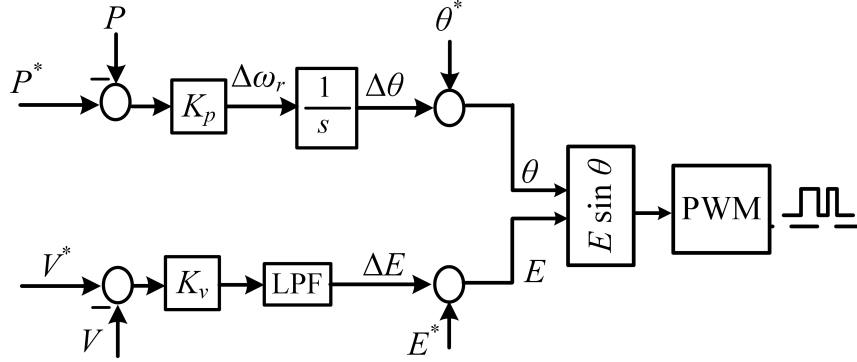


Figure 8: Power Synchronization controller [63].

The voltage control is similar to the conventional droop [17], and the voltage droop gain is represented by  $K_v$ . The LPF block has a time constant  $T_d$ . A fundamental drawback of the PSC is the absence of a current control loop, which makes the PEC vulnerable to over-current events [52]. It also suffers from large steady state error [64]. The PSC was augmented with a current controller in [65], [66] and the weak grid operation and FRT capability were demonstrated. In [67], the power loop was modified to enable independent tuning of the  $P-\omega$  droop, damping and inertia of the power loop; this was achieved by employing a lead-lag compensator to emulate the swing equation of the SG. Ref. [68] proposed emulating the impedance of the SG, by augmenting the PSC with a virtual admittance. The virtual admittance improves the dynamic performance of the PSC and enables smooth transition from grid connected mode to islanded mode. However, the grid re-synchronization was not evaluated. In the aforementioned PSC topologies, grid re-synchronization will be very challenging, due to the absence of a frequency detection circuit (e.g. PLL). A plausible justification for the elimination of the frequency detection circuit will be that  $f \approx 1$  pu. However, in practice  $f$  can vary within the nominal value stipulated in the grid code (e.g. British National Grid stipulates  $\Delta f \leq \pm 0.01$  pu) [58]. Therefore, if the grid frequency is, for example, 49.5 Hz, while the VSM reference uses the nominal 50 Hz (as there is no frequency detector), there will be a phase difference (hence a circulating current) that can interrupt the operation. Further, in islanded operation, technical and economical constraints can compel microgrid owners to employ less stringent regulation of  $V$  and  $f$  [9]. Hence, an attempt to re-synchronize the PEC when  $V$ ,  $f$  and  $\theta$  are not within the stipulated standards will lead to large transients which can damage the PEC [69, 70]. Ref. [71] proposed a technique to enable smooth re-synchronization of the PSC to the

grid. It employs a PLL at PCC to obtain the phase angle, angular frequency  $\omega$  and voltage  $V_{PCC}$  of the grid, while the PSC is in islanded operation. Thereafter, PI controllers are employed on the active power and voltage loop to ensure  $\omega = \omega_r$  and  $|E| = |V_{PCC}|$ , before reconnection to the grid.

215 A major drawback of this approach is that it also requires switch of controllers from islanded to grid-connected operation. Also, the topologies in [67], [68] employ open loop voltage control, which will impact the reactive power sharing amongst multiple systems.

### 2.7. Synchronous Voltage controller

Ashabani et al [72] proposed a voltage based approach for SG emulation termed SynVC. Distinct from the preceding VSM models (e.g. PSC, ISE lab VSM), where the SG emulation was realized on the power loop, the SynVC actualizes the SG emulation on the voltage loop. The overall control of the SynVC is achieved using a joint  $d$ -axis and joint  $q$ -axis control loop. The joint  $d$ -axis control loop regulates  $i_d$ ,  $V_d$ , and provides a virtual inertia and virtual PLL. Unlike the conventional droop control [17], this topology employs an outer current loop, while the voltage loop is the inner loop. The outer current loop indirectly regulates the active power flow in the SynVC. As illustrated in Fig. 9, the error of  $i_d$  is processed by the PI controller to generate  $V_d^*$ . Further, the deviation of  $V_d$  (i.e.  $\Delta V_d = V_d^* - V_d$ ) is processed by the virtual PLL to generate the phase angle. The LPF in the virtual PLL adds a virtual inertia and damping effect, and also attenuates PWM switching effect. The dynamics of the virtual PLL and joint  $q$ -axis is given by (20)–(22):

$$\theta = \int (\omega^* + \Delta\omega) \quad (20)$$

$$\Delta\omega = \left( K_p + \frac{K_I}{s} \right) \left( \frac{\Delta V_d}{1 + T_d s} \right) \quad (21)$$

$$E = (i_q^* - i_q) \left( K_p + \frac{K_I}{s} \right) \left( \frac{1}{1 + T_d s} \right) \quad (22)$$

The joint  $q$ -axis control loop, regulates the voltage magnitude via  $i_q$ . The LPF on this control 220 loop mimics the dynamics of the  $RL$  excitation circuit of the rotor in the SG. The joint  $d$ -axis and  $q$ -axis respectively perform the role of a virtual governor and virtual AVR. A major stability concern for the SynVC, is the deployment of  $V_d$  instead of  $V_q$  for frequency estimation. Since  $V_d$  undergoes much larger excursions than  $V_q$  during faults, the SynVC may be susceptible to instability or loss of synchronization post-fault. Also, although it was demonstrated that the SynVC is able to 225 track changes in the grid variables (i.e.  $V$  and  $f$ ) in grid-connected mode, the re-synchronization

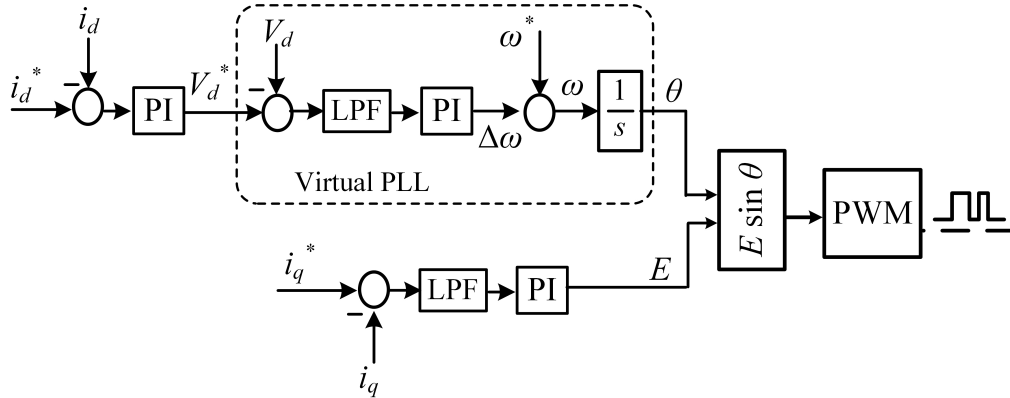


Figure 9: Topology of the synchronous voltage controller [72].

capability from an islanded mode was not investigated. An alternative to the SynVC was proposed in [64], where the SG emulation was realized on the current loop. The frequency loop directly controls the phase angle, and the reference current is synthesized from the phase angle. Although this topology has high degree of control freedom, the direct alteration of the phase angle may cause re-synchronization and stability problems [73].

230

## 2.8. Inducverter

The inducverter is designed to mimic the dynamics of the induction generator. Although the inducverter is not *synchronous* in operation, it aims to achieve the core objective of the VSM i.e. mimic the desired characteristics of conventional generators to improve robustness of the grid [74]. The salient feature of the inducverter is its soft- and auto-synchronizing capability. The inducverter consists of two principal units: the current damping/synchronization unit and the core controller unit. The principal function of the current damping/synchronization unit is to accurately estimate the grid frequency and phase angle using local information, thus enabling soft- and auto-synchronization without the need of a dedicated synchronizing unit or PLL. Figure 10 illustrates the topology of the inducverter, where the angular frequency deviation  $\omega_{slip}$  is estimated as a function of  $i_d$  and  $i_q$ . Hence,  $\omega_{slip}$  varies in response to variations in the output power and grid frequency

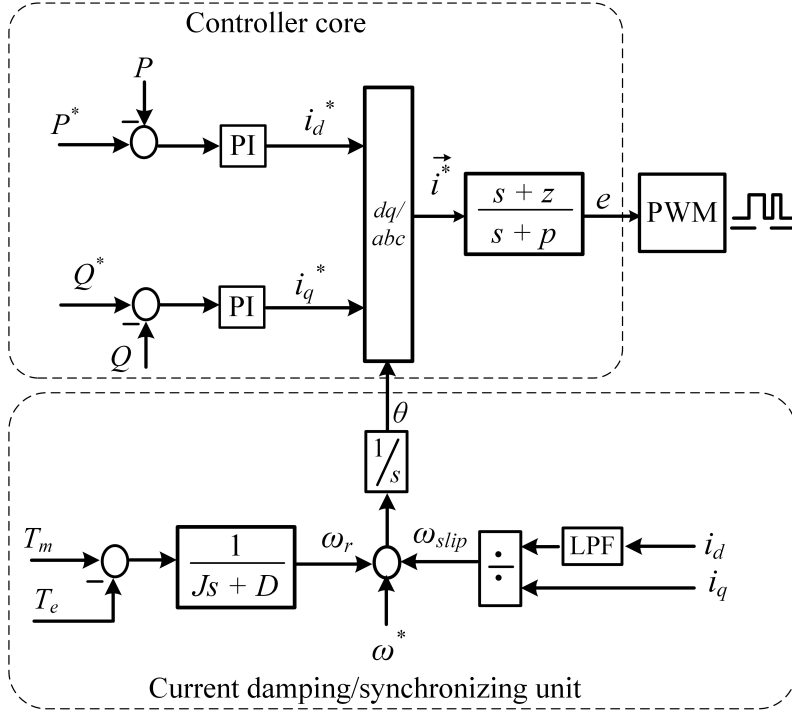


Figure 10: Topology of the Inducverter [74].

to enable auto-synchronization. The dynamics of the inducverter can be expressed by (23)–(26):

$$i_d^* = (P^* - P) \left( K_p + \frac{K_I}{s} \right) \quad (23)$$

$$i_q^* = (Q^* - Q) \left( K_p + \frac{K_I}{s} \right) \quad (24)$$

$$J \frac{d\omega_r}{dt} = T_m - T_e + D\omega_r \quad (25)$$

$$\theta = \int (\omega_r + \omega_{slip} + \omega^*) \quad (26)$$

The core controller is realized using a hybrid  $dq/abc$  controller as illustrated in Fig. 10. The errors of the active and reactive powers are processed via PI controllers to generate the reference current in  $dq$ -frame. The reference current is transformed to the equivalent  $abc$  frame using the angle generated from the current damping/synchronization controller. The voltage input to the PWM is obtained from  $\vec{i}^*$  using the virtual impedance. The virtual impedance is realized using an adaptive lead or lag compensator, to enhance either the transient response or steady state error respectively. In the proposed inducverter, the core controller, feeds constant amount of real and

reactive powers to the grid regardless of variations in grid parameters. Although this may be  
 240 advantageous for some applications, exporting constant power irrespective of voltage deviation can  
 cause negative resistance on the grid, hence leading to instability [75]. Moreover, since the power  
 output is kept constant regardless of grid variation, the inducverter is unable to provide fast-fault  
 current as stipulated in [58]. From Fig. 10, it is observed that the voltage and current control  
 are both open loop; hence, the voltage and current may exhibit undesirable transients during large  
 245 disturbance [52]. Further, although the inducverter enables soft connection to the grid, its islanded  
 operation was not investigated.

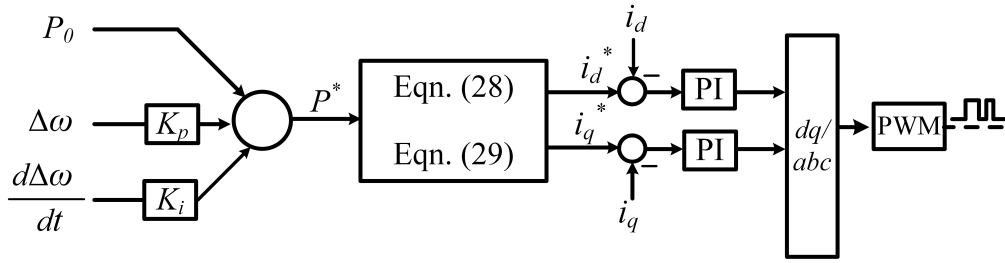


Figure 11: Control topology of VSYNC [76].

## 2.9. VSYNC

This VSM topology was developed by the virtual synchronous control (VSYNC) research group  
 under the 6<sup>th</sup> European Research Framework program [76]. VSYNC employs a PLL-based emula-  
 tion of the SG [76–78]. As illustrated in Fig. 11, VSYNC employs a simple topology, which is not  
 computationally intensive. The PLL generates  $P^*$  from  $\omega$  as shown in Fig. 11. This VSM topology  
 does not implement any reactive power control and the system dynamics is described by (27)–(29).

$$P^* = P_0 + K_i \frac{d\Delta\omega}{dt} + K_p \Delta\omega \quad (27)$$

$$i_d^* = P^* \left( \frac{2V_d}{3(V_d^2 + V_q^2)} \right) \quad (28)$$

$$i_q^* = P^* \left( \frac{2V_q}{3(V_d^2 + V_q^2)} \right) \quad (29)$$

The operability of VSYNC was demonstrated via real time simulations and field tests in [79] and [80]  
 respectively. An improvement on this topology was presented in [81], where an energy management  
 250 system was implemented to enable multiple VSMs support the grid proportionally without com-  
 munication. This algorithm ensures sufficient leverage for energy absorption and injection during

disturbance, while preventing deep discharge or overcharge of the local ESSs. A major drawback of VSYNC is the absence of a voltage control loop. Hence, it is not applicable for islanded operations, and cannot provide dynamic voltage support to the grid.

255 *2.10. Universal VSM*

Fazeli et al [73] proposed a VSM topology which enables seamless operation in all operating modes (i.e. grid-connected, islanded and during fault). A salient feature of this VSM, is that it employs a single control paradigm; hence, no switching operation is required when transiting between the different operating modes [20]. The universal VSM seamlessly operates in resistive and inductive grids and offers black-start capability. It employs the conventional  $dq$  current control [17], which simplifies its implementation, and provides inherent over-current protection. The control paradigm of the universal VSM topology is illustrated in Fig. 12. The dynamics of the virtual AVR and the energy management system (EMS) is represented by (30), while the dynamics of the virtual governor is given by (31):

$$i_d^* = K_v(V_d^* - V_d) \left( \frac{1}{1 + T_d s} \right) + i_{dset} \quad (30)$$

$$i_q^* = K_f(f^* - f) \left( \frac{1}{1 + T_d s} \right) \quad (31)$$

In Fig. 12, the notations  $f_{max}$  &  $f_{min}$  represents the maximum and minimum operating frequency, while  $V_{d-max}$  &  $V_{d-min}$  represents the maximum and minimum operating voltage stipulated by the grid code. The EMS (see Fig. 12) can be a combination of maximum power point tracking (for photovoltaic plants or wind turbines) and ESS control. The virtual AVR regulates the voltage in proportion to  $i_{d-v}$ , which is a function of the active power demand. The LPF on the virtual AVR provides dynamics similar to the excitation circuit of the SG, which provides damping to the VSM. The output from the virtual AVR is added to  $i_{d-set}$  to obtain  $i_d^*$ . The virtual governor regulates the frequency in proportion to  $i_q^*$ , which is a function of the reactive power demand. The LPF on the virtual governor adds damping and inertial support to the VSM. It is noted that this VSM topology can also be deployed with the traditional droop  $i_d - f$ ,  $i_q - V$  as demonstrated in [82]; however, some studies have shown that the traditional droop may not yield satisfactory performance in resistive grids [83]. Ref. [3] proposed an enhanced paradigm for the universal VSM, which provides optimal support for the future grid-less power system. Here, the static LPFs in Fig. 12 were replaced with dynamic LPFs which offered superior dynamic performance. A comprehensive small-signal stability



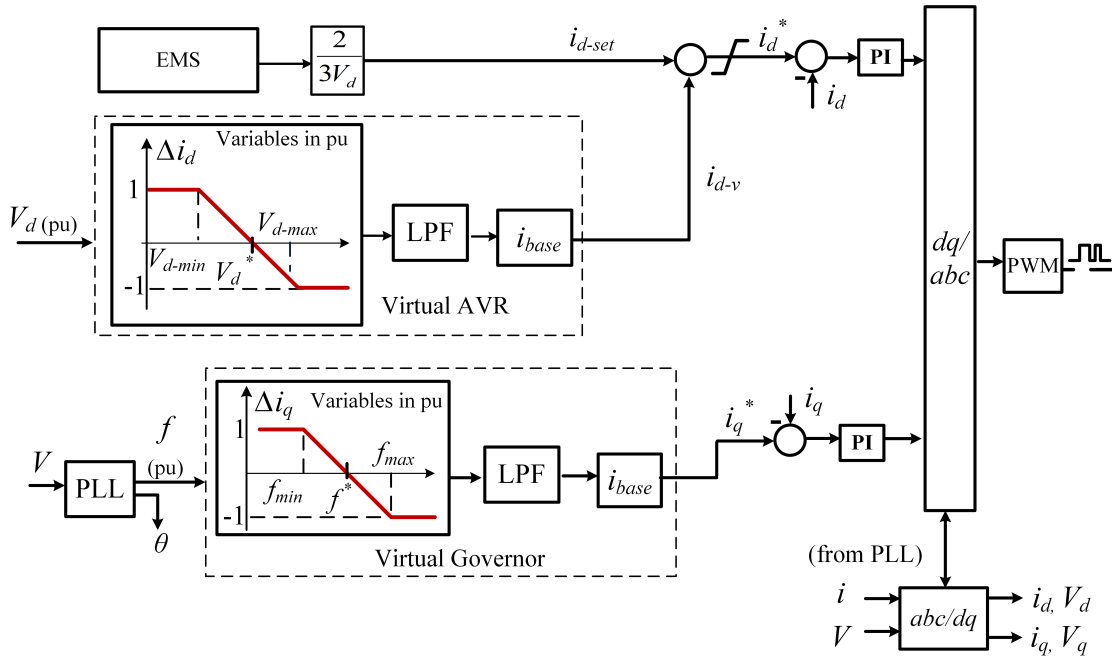


Figure 12: Control topology of the Universal VSM [73].

270 analysis was performed to verify the optimal value for the LPFs on the VSM. Ref. [84] employed  
the universal VSM as a buffer which decouples the microgrid from the main grid. The VSM droop  
was integrated with a dead-zone, which reduces power transmission losses by minimizing the energy  
exchange between the microgrid and the main grid. Similarly, studies in [85] demonstrate that this  
VSM topology improves the LFOs inherent in the power system. The study in [85] also corroborates  
275 the reports in [3], [84], that the power system LFOs can be eliminated by employing the universal  
VSM as a buffer between the SG and the grid. Although the seamless operation of the universal  
VSM in grid-connected and islanded modes were demonstrated, the experimental validation has  
not been reported.

### 280 3. Simulation and Discussion

It is envisaged that an ideal VSM will exhibit specific characteristics which guarantee the global  
stability of the power system. At the moment, no specific VSM topology has been stipulated as a  
recommended standard; however, some ESOs [30], [86], [87] have drafted some desirable technical

Table 1: Key features of VSM topologies

Topology	Key features					
	Seamless transition between grid and islanded mode	Frequency detector (e.g. PLL)	Voltage control /AVR	Inherent over-current protection	Fault Ride-Through	Black-start capability
VISMA	Not investigated	None	Closed loop	Present	Not investigated	Yes
Synchronverter	Not realizable	Present in [38], [39]	Closed loop	Achievable in [41]	Achievable in [41]	Yes
ISE Lab VSM	Not investigated	None	Closed loop	None	Achievable in [57]	Yes
VSM0H	Not investigated	None	Closed loop	None	Not investigated	Yes
Algebraic VSM	Not realizable	Present	Closed loop	Present	Not investigated	Yes
Power Synchronization Controller	Not investigated	Present in [63]	Closed loop except [67],[68]	Present except [63]	Yes	Yes
Synchronous Voltage controller	Not investigated	Present	Closed loop	Present	Not investigated	Yes
Inducverter	Not investigated	Present	Open loop	Present	Yes	Yes
VSYNC VSM	Not realizable	Present	None	Present	Not investigated	Not realizable
Universal VSM	Yes	Present	Closed loop	Present	Yes	Yes

Table 2: System’s parameters

Universal VSM		
Parameter	Value	
Current Loop PI control	$K_p = 3e-3$	$K_i = 3e-2$
$t_f, t_v$	0.5 s,	0.005 s
PLL PI control	$K_p = 0.015$	$K_i = 0.15$
Filter impedance	$R_f = 0.004$ pu	$L_f = 0.14$ pu
Transformer impedance	$X_L = 0.16$ pu	
VSM0H		
Parameter	Value	
Filter impedance	$R_f = 0.004$ pu	$L_f = 0.14$ pu
$t_P, t_Q$	0.05 s,	0.05 s
Transformer impedance	$X_L = 0.16$ pu	

requirements for VSMs, which include: (i) seamless operation in grid-connected and islanded mode  
 285 (ii) inherent over-current protection (iii) dynamic voltage and frequency support (iv) FRT (v) black-  
 start capability. Based on this criteria, Table 1 provides a birds-eye view of the key features of the  
 VSM topologies discussed in literature. Since all the VSM topologies provide frequency support,  
 this is not highlighted in Table 1. The study in this section observes how the salient features of the  
 VSMs can impact the grid stability for different scenarios.

290 To achieve this, the IEEE benchmark three-machine, nine-bus system has been implemented (Fig.  
 13)[88]. The VSM topologies employed in these tests are: (i) The VSM0H, which exemplifies VSMs  
 without current control and PLL, (ii) The Universal VSM, which exemplifies VSMs with current  
 control and PLL. The SG and network parameters are detailed in [88], [89]. The VSMs have a  
 rating of 140 MVA, and are designed to maintain  $\Delta V$  and  $\Delta f$  within the nominal value [58]. The  
 295 VSM parameters are detailed in Table 2. The notations  $t_v$  and  $t_f$  for the universal VSM represent  
 the time constant of the damping filters on the virtual AVR and virtual governor respectively.  
 Similarly,  $t_P$  and  $t_Q$  for the VSM0H represents the damping filters of the active and reactive power  
 loop. The  $V$  and  $f$  are measured at bus 7 (see Fig. 13). Two test cases have been investigated:

- (a) Impact of the VSM on the transient stability of the grid.
- 300 (b) Synchronization and Islanding Test

### 3.1. Impact of the VSM on the transient stability of the grid.

According to the revised grid codes, RESs are mandated to remain connected during faults, and  
 inject a certain amount of reactive power into the grid, thus facilitating the post-disturbance recov-

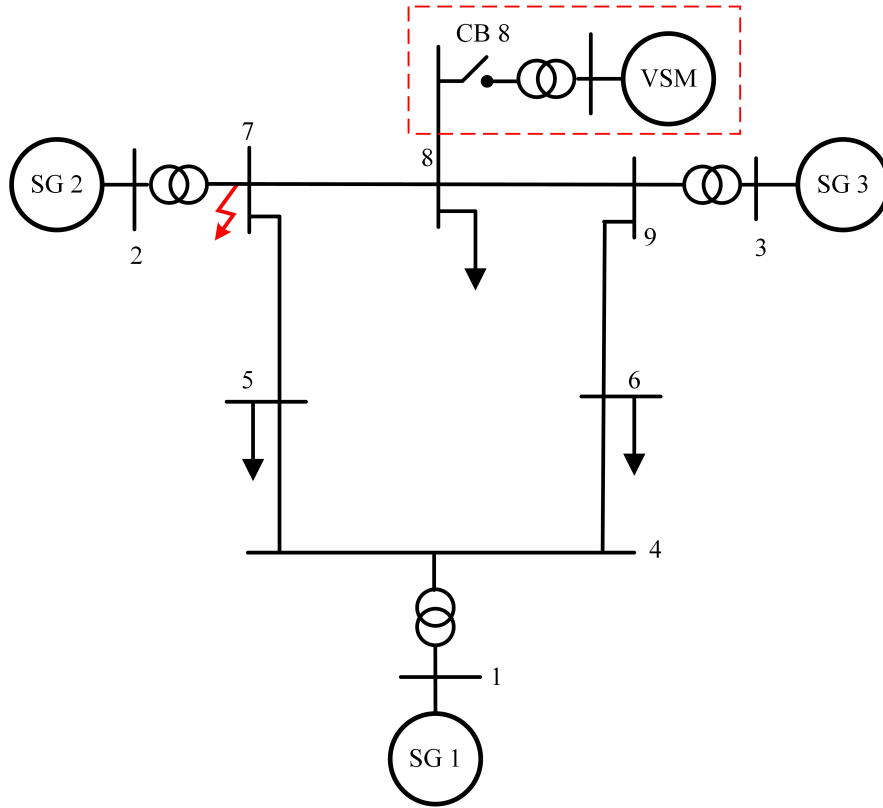


Figure 13: Modified IEEE benchmark three-machine nine-bus system [90].

ery of the power system [58], [91]. In this test, the impact of the VSM on the grid is investigated during fault. Three scenarios are observed:

- (a) Response of the grid to fault without VSM.
- (b) Impact of the VSM0H on the grid.
- (c) Impact of the universal VSM on the grid.

A 3-phase fault is applied at bus 7, at  $t = 20$  s. It is observed from Fig. 14(a), that the VSM0H and the universal VSM provide similar  $P$  during fault, thus improving the frequency response of the system (see Fig. 14(d)). From Fig. 14(b), it is observed that during fault, the VSMs inject dynamic  $Q$  into the grid as stipulated by the grid code [58]. As observed in Fig. 14(c), the injection of  $Q$  improves the voltage recovery. It is noted that the VSYNC VSM (see Table. 1) will not be capable of meeting the grid requirement, due to the absence of a voltage (or AVR) loop. Similarly,

the inducverter does not meet the grid requirement, as it only provides constant  $Q$ . Hence, it does not provide the dynamic voltage support required for the post-disturbance recovery of the grid [30], [58].

From Fig. 14 (b), it is observed that the  $Q$  injected by the VSM0H is greater than 1 pu. Similarly, from Fig. 14(e), it is observed that the magnitude of the current ( $I$ ) injected by the VSM0H is 2 pu during fault, which can damage the power electronic converter.

From Table 1, it is also observed that a number of VSM topologies (e.g. synchronverter, ISE LAB VSM) also employ the voltage-mode control as a means of mimicking the voltage source capabilities of the SG. Hence, these topologies have no inherent over-current protection, due to the absence of a current control loop. It is noted that unlike VSMS, SGs have high over-current tolerance, and are capable of injecting fast-fault currents beyond the SGs rating (e.g. 5–7 pu) [92]. However, for VSMS with limited over-current capability, it essential to employ appropriate control loops to provide over-current protection, which is difficult (if not impossible) without a current loop.

For the universal VSM which employs a current control loop (see Fig. 14(e)),  $I$  is limited to 1 pu during fault, thus protecting the power electronic converter from damage.

From the test in this sub-section (see Fig. 14), it is observed that the addition of VSMS improve the frequency response of the grid and supports the voltage recovery. However, VSMS must employ a current limiting control (e.g. with a current control loop) in order to protect the VSM from damage due to over-current.

### 3.2. Synchronization and Islanding Test

From Table 1, it is observed that some of the topologies proposed in literature (e.g. VSM0H, ISE LAB VSM) do not employ frequency detection schemes. Hence, seamless transition from islanded to grid-connected operation may not be guaranteed.

In this test, the performance of the VSM0H is compared with the universal VSM, when synchronizing to the grid and during islanding operations. The VSMS are initially in islanded operation, at  $t = 15$  s, the circuit breaker at bus 8 (CB 8) is closed, thus connecting the VSMS to the grid. From Fig. 15, it is observed that the universal VSM achieves a much smoother synchronization than the VSM0H. This is due to the presence of the PLL on the universal VSM, which facilitates a smooth synchronization with the grid.

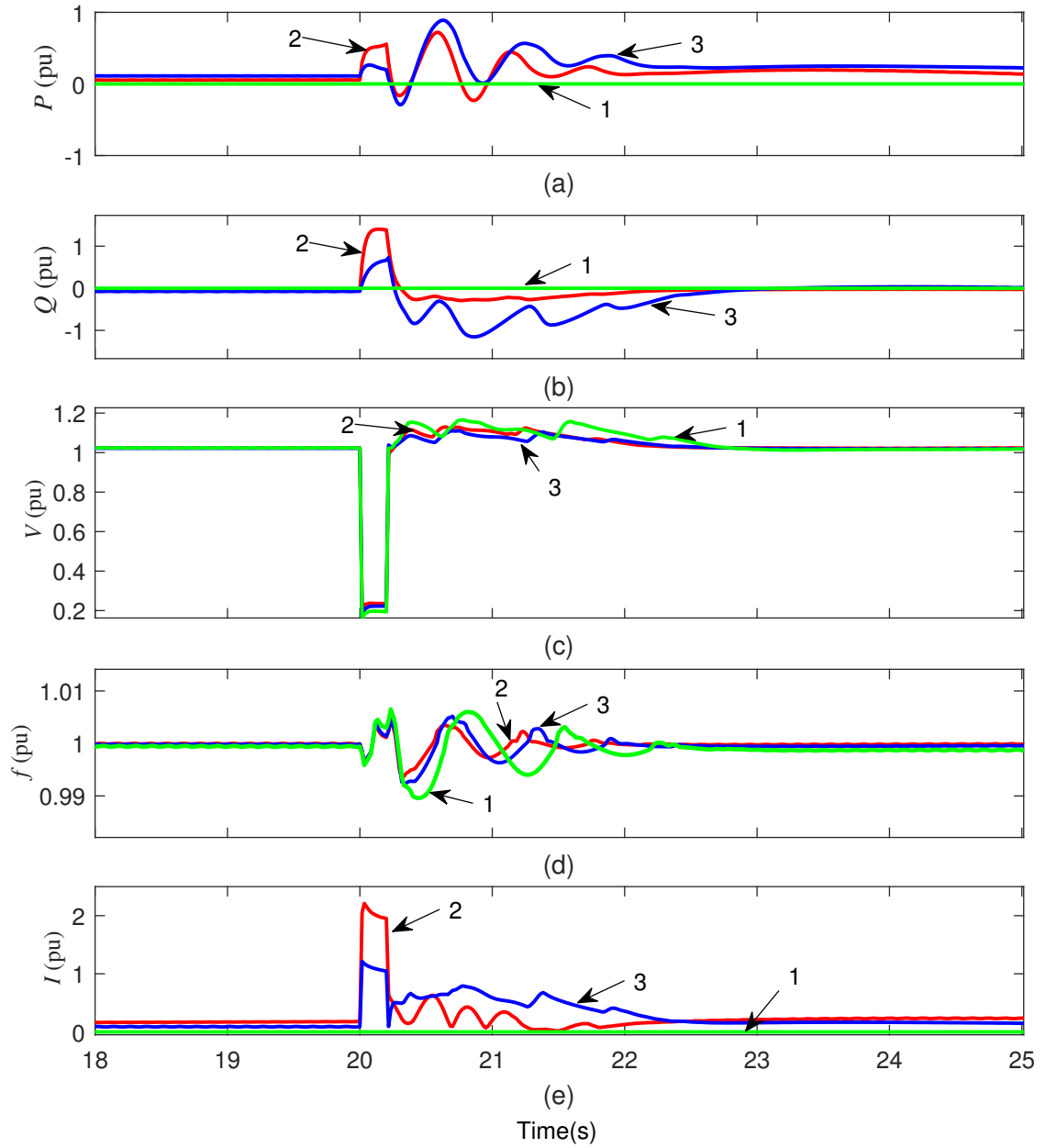


Figure 14: Impact of the VSM on the grid during faults: (a)  $P$  (pu) 1-VSM0H, 2-Universal VSM, 3-no VSM. (b)  $Q$  (pu) 1-VSM0H, 2-Universal VSM, 3-no VSM. (c)  $V$  (pu) 1-VSM0H, 2-Universal VSM, 3-no VSM. (d)  $f$  (pu) 1-VSM0H, 2-Universal VSM, 3-no VSM. (e)  $I$  (pu) 1-VSM0H, 2-Universal VSM, 3-no VSM.

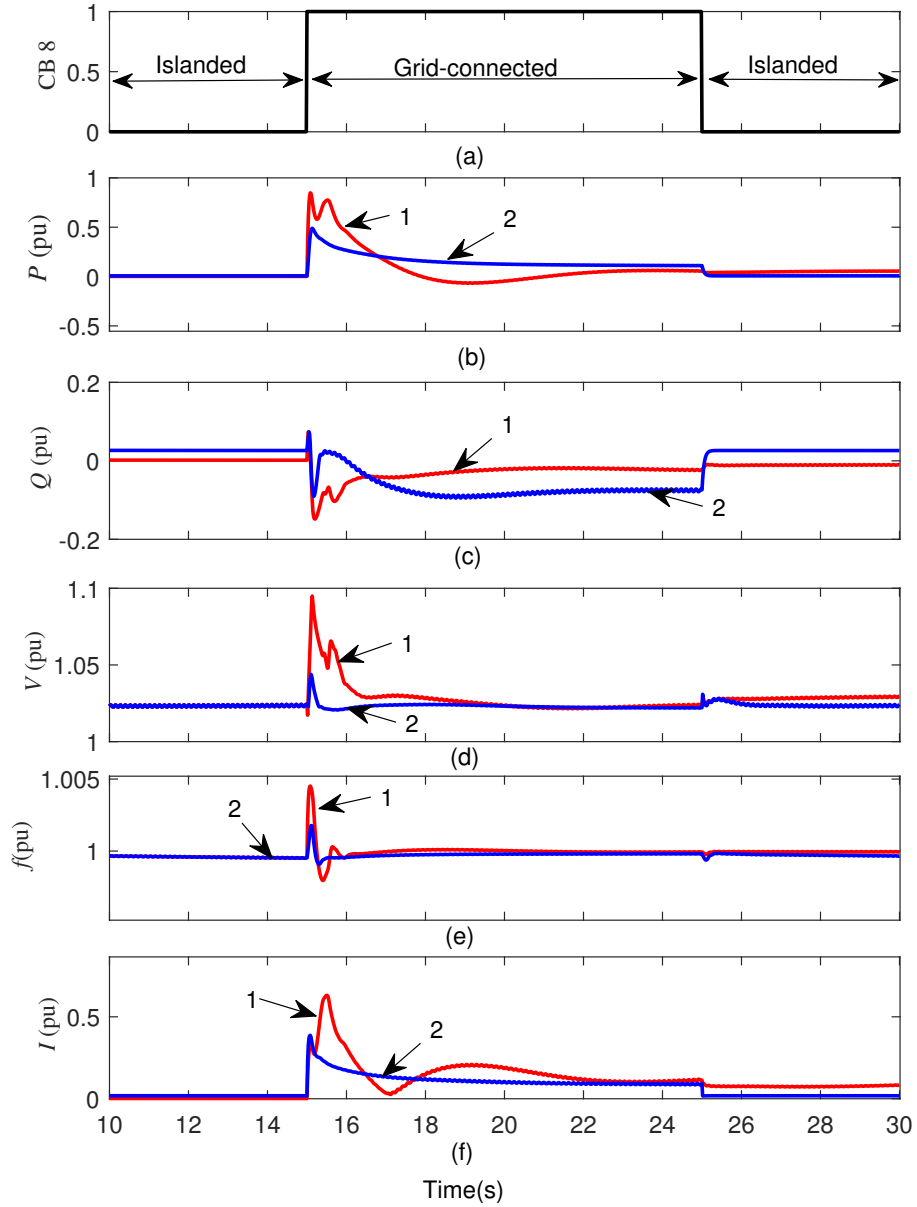


Figure 15: Grid-connected and islanded operation of the VSM:(a) CB 8. (b)  $P$  (pu) 1-VSM0H, 2-Universal VSM. (c)  $Q$  (pu) 1-VSM0H, 2-Universal VSM. (d)  $V$  (pu) 1-VSM0H, 2-Universal VSM. (e)  $f$  (pu) 1-VSM0H, 2-Universal VSM. (f)  $I$  (pu) 1-VSM0H, 2-Universal VSM.

In practice, synchronism devices (e.g. phase measurement units, synchro-check relays) are often employed to match the parameters of SGs (i.e.  $V$ ,  $f$ ,  $\theta$ ) prior to synchronization or parallel operation [93], [94]. Automated synchronism devices are capable of facilitating precise closure of the mains switch (i.e. at  $\Delta\theta = 0^\circ$ ), thus achieving soft-synchronization [94]. Similarly, it is essential for VSMS  
350 to employ a form of frequency detection/estimation scheme to ensure soft-synchronization with the grid or adjacent microgrids. PLLs have been the standard device for synchronizing PECs to the grid [73], [74], and recent reports have demonstrated that optimally tuned PLLs can improve system stability in weak grids [95]. Also, for VSM schemes employing in-built frequency estimation schemes (e.g. synchronous voltage controller), it is essential to validate the soft-synchronization capability.  
355 It is noted that although the self-synchronizing synchronverter can achieve soft-synchronization, the transition from islanded to grid-connection operation is not seamless, as a change in operating point ( $P$  and  $Q = 0$ ) is required prior to re-connection with the grid [96].

At  $t = 25$  s, CB 8 is opened, thus disconnecting the VSMS from the grid. As observed in Fig. 15, the islanded operation is seamless on both the VSM0H and universal VSM. This is because both  
360 VSMS have  $V$  and  $f$  control, thus ensuring smooth operation in islanded operation. It is assumed that VSMS capable of establishing independent regulation of  $V$  and  $f$  (grid-forming) can perform black-start operation [97]. From Table 1, it is observed that all the VSM topologies are capable of black-start except the VSYNC, which does not employ a voltage control loop. Hence, the VSYNC needs to be augmented with voltage control loop to enable black-start capability. The role of VSMS  
365 in the black-start of a net-zero grid will be discussed further in the next section.

Although several VSM topologies have been proposed in literature, some VSM topologies require further modifications to meet the grid requirement [58]. Table 3 summarizes the benefits and drawbacks of each of the VSMS topologies discussed above.

It is noted that VSMS employing complex algorithms (e.g. VISMA, synchronverter and inducverter)  
370 are computationally intensive, difficult to implement in real time and susceptible to numerical instability [48]. On the other hand, VSMS employing simpler models may proffer more stability to the grid [98]. At the moment, no specific VSM topology has been stipulated as a recommended standard. However, it envisaged that an ideal VSM will be capable of replicating the characteristics highlighted in this section, and thus facilitate the transition to a net-zero grid.



Table 3: Summary: Benefit and drawback of VSM topologies

Topology	Benefit	Drawback
VISMA	<ul style="list-style-type: none"> <li>• Detailed replication of the SG's dynamics</li> <li>• Employs fast hysteresis control</li> </ul>	<ul style="list-style-type: none"> <li>• Prone to numerical instability</li> <li>• Computationally intensive</li> </ul>
Synchronverter	<ul style="list-style-type: none"> <li>• Detailed replication of the SG's dynamics</li> <li>• Same dynamics as SG observed from grid</li> </ul>	<ul style="list-style-type: none"> <li>• No inherent over-current protection</li> <li>• Prone to numerical instability</li> <li>• Computationally intensive</li> </ul>
ISE VSM	<ul style="list-style-type: none"> <li>• Simpler replication of SG's dynamics</li> </ul>	<ul style="list-style-type: none"> <li>• No inherent over-current protection</li> <li>• Prone to power oscillations</li> <li>• No frequency detection scheme</li> </ul>
VSM0H	<ul style="list-style-type: none"> <li>• Simple control structure</li> <li>• Similar to the traditional droop</li> <li>• Small control bandwidth</li> </ul>	<ul style="list-style-type: none"> <li>• No inherent over-current protection</li> <li>• No frequency detection scheme</li> </ul>
Algebraic VSM	<ul style="list-style-type: none"> <li>• Phasor representation of the SG</li> <li>• Inherent over-current protection</li> </ul>	<ul style="list-style-type: none"> <li>• Requires change of control paradigm between grid and islanded modes</li> </ul>
Power synchronization controller	<ul style="list-style-type: none"> <li>• Emulates self-synchronizing capability of the SG's</li> <li>• Simple control structure</li> </ul>	<ul style="list-style-type: none"> <li>• Large steady state error</li> <li>• Black-start requires change of algorithm</li> </ul>
Synchronous voltage controller	<ul style="list-style-type: none"> <li>• Emulation of the SG dynamics on voltage loop</li> <li>• Self-synchronizing</li> </ul>	<ul style="list-style-type: none"> <li>• Potential instability during fault</li> </ul>

Table 3: Summary of VSM topologies (cont.d)

Topology	Benefit	Drawback
Inducverter	<ul style="list-style-type: none"> <li>• Replication of induction motor's dynamics</li> <li>• soft-synchronizing and auto-synchronizing capability</li> <li>• Employs hybrid <math>abc/dq</math> frame</li> <li>• Generates constant power irrespective of grid disturbance</li> </ul>	<ul style="list-style-type: none"> <li>• Open loop voltage and current control</li> <li>• Limited fast-fault current injection</li> <li>• Potential instability during fault</li> </ul>
VSYNC VSM	<ul style="list-style-type: none"> <li>• PLL emulation of the SG's swing equation</li> <li>• Simple and easy to implement</li> </ul>	<ul style="list-style-type: none"> <li>• Susceptible to noise from frequency derivative term</li> <li>• No islanded operation</li> <li>• No reactive power support</li> </ul>
Universal VSM	<ul style="list-style-type: none"> <li>• Simple control structure</li> <li>• Seamless operation in all operating modes</li> <li>• Inherent over-current protection protection</li> </ul>	<ul style="list-style-type: none"> <li>• No experimental validation</li> </ul>

#### 375 4. Challenges and Potential solutions

The large-scale penetration of RESs adds significant operational and technical challenges for the power system operators. This section discusses some of the challenges posed by RESs, and the potential solutions.

##### 4.1. Modelling and stability analysis

380 The foundation of power system analysis have been established on in-depth understanding of the SG's dynamics, associated controllers and decades of hands-on experience [99]. The increasing replacement of the well-known SGs dynamics, with the dynamics of the RESs calls for the review of the power system modelling and analysis techniques [87], [100]. In view of this, a new stability classification for microgrids has been formulated by the IEEE Power & Energy Society Task Force  
385 [10]. Ref. [101] recommended the modification of existing benchmark models to facilitate the analysis of power systems with high RESs in the generation mix. Similarly, [27] discussed the

possibility of having new standards/regulations for power system variables e.g. frequency nadir and rate of change of frequency (RoCoF).

In classic power systems theory, the grid, consisting of hundreds of SGs, is often considered as an “infinite-bus” generating constant voltage and frequency; such that the dynamics of a single or small number of SGs under study will have negligible impact on the overall performance of the power system [99], [102]. However, with the decline of SGs in the generation mix, the grid may no longer behave as an infinite-bus [3], [14]. Thus, the commonly employed single machine infinite-bus model may no longer provide an insightful conclusion on the power system stability [3], [100]. As an alternative, two-machine test-bed models were employed in [85, 103, 104] to investigate the stability and dynamic performance of the power system with high RESs penetration.

Also, in the conventional power system stability studies, the grid network is commonly assumed to be quasi-static, this is because the dynamics of the SGs are much slower than the network dynamics [99], [105]. However, for RESs with fast response, the dynamic interaction of the RESs with the network will be more significant and must be evaluated to derive accurate stability reports [85], [106]. Ref. [107] also demonstrated that the quasi-static network model can provide a false conclusion of the power system stability. Hence, detailed state-space representation of the grid network will be required for accurate stability analysis of the power system [105] [106].

In the same light, the impact of the load dynamics on the system stability must be carefully considered. The proliferation of power electronic devices is changing the aggregated load dynamics [108]. In power system studies, the loads are usually represented as dynamic and/or static loads (with constant impedance, constant current and constant power (ZIP) coefficients) [102]. Studies in [75] reported that the increasing proportion of power electronics with predominantly constant power characteristics will negatively impact the system stability. Similarly, [100] employed a benchmark microgrid model, which demonstrated that the dynamic performance and stability of the power system will vary for different loads (e.g. static or dynamic loads). It is noted that modelling each load component in a system will be impractical; however, an aggregated model can be obtained by summing up the individual load characteristics of the network under study [102]. Ref. [108] carried out a comprehensive study which details the ZIP coefficients of static loads for residential, commercial and industrial sites; the resulting data from the study can be employed as a guide in modelling loads for power system stability and analysis [84].

#### 4.2. Demand side Management

Large scale penetration of intermittent RESs greatly challenges the power system balance, thus necessitating greater flexibility from the demand side [109], [110]. DSM refers to a portfolio of  
420 measures (including demand side response, smart charging, vehicle-to-grid) employed to sustainably facilitate optimal and flexible energy demand from the consumer side [111], [112]. It is envisaged that the grid will transition from the traditional “load-following” operating strategy to “load-shaping” operation, where the demand side loads are flexibly managed to meet the available generating capacity [113]. Ref. [114] proposed an “energy internet” for the future grid, consisting of intelligent  
425 EMSs which facilitates the participation of prosumers in DSM. The British National Grid recently launched a stakeholder-led programme termed “power responsive”, which is aimed at stimulating the participation of end users in DSM [115], [116]. Likewise, several ESOs are adopting policies for the implementation of advanced metering infrastructures, to facilitate the implementation of DSM schemes [113], [117], [118].

Demand side response is a part of DSM, which involves increasing, reducing or shifting load demand  
430 in response to signals from the ESOs [119]. It is envisaged that the future smart grid will afford ESOs direct control of consumer loads as part of the demand response scheme [109], [119]. Studies in [120, 121] have demonstrated that the systematic aggregation and modulation of demand side load can be employed to damp LFOs, thus improving power system stability. Demand side response  
435 can also minimize the impact of intermittent RESs during black-start restoration [97]. Various forecasting techniques have also been proposed in literature to facilitate efficient management of the available generation via demand response [111], [122].

PEVs and ESSs are also crucial drivers for flexible DSM [14], [123]. It is noted that some ESOs (e.g. the British National Grid) do not categorize generating sources and ESSs under demand response,  
440 but as a separate scheme under DSM [124]. Smart deployment of ESSs can provide increased flexibility on the demand side [123]. Various ESOs envisage a significant growth in ESSs connected at the distribution level, and co-located with RESs [124]. ERCOT allows prosumers participating in DSM to deploy ESSs as “controllable load resource” when absorbing power from the grid, and as “generation resource” when injecting power back into the grid [117].

Emerging technologies including super-rapid PEV charging and inductive charging are reckoned to  
445 facilitate the adoption of PEVs [124]. The cost of PEV batteries have fallen by more than 65% since 2010 [124], and it is estimated that 100% of cars sold by 2050 will be PEVs [124], [125]. It

is noted that uncontrolled integration of PEVs will detrimentally impact the distribution network [123]. Hence, PEVs must be deployed with smart charging schemes, which charge at periods of low demand and favourable tariffs [125], [126]. Further, clusters of PEVs can be exploited using “herding behavior” to provide ancillary services to the grid via V2G schemes, and to supply domestic loads in a vehicle-to-home-scheme [123], [124]. A number of PEV manufacturers in the UK have begun test on probable V2G services [124]. Also, the Electra integrated research project group (funded by the EU) proposed a control algorithm for PEVs to provide synthetic inertia and fast frequency response for the power system[127].

A number of large-scale smart grid projects including EcoGrid EU [128], Nordhavan Energy Lab [129] and Salzburg smart grid [130], have demonstrated the operability of DSMs. Reports from various ESOs have also demonstrated that the major bottleneck in the participation of prosumers in DSM schemes are the energy policies, rather than technical barriers [117], [118]. Hence, energy markets must adopt appropriate policies and provide incentives which facilitate the engagement of prosumers, thus fostering healthy competition amongst stake-holders, and driving down the energy cost.

#### *4.3. Interoperability of net-zero grid*

The power system is gearing towards net-zero operation, which implies fewer SGs in operation, and a proliferation of RESs adopting diverse control algorithms [7]. The major operational challenge arises in the interoperability of RESs with SGs, and between RESs employing diverse control paradigms. As discussed in section 2, several research works have demonstrated that VSMS are the solution to large-scale integration of RESs [31], [33]. Consequently, the British National Grid created a VSM expert group to facilitate the integration of VSMS into the grid [32]. It is expected that some architectural changes will be required to facilitate the integration of VSMS and other DERs into the grid [14], [114]. Ref.[131] suggested a partial grid-forming concept (see Fig.16(a)), where a percentage of RESs employ VSMS, while the remaining RESs employ grid-following topologies. Although, the simulated test scenarios demonstrate the feasibility of the network architecture, this architecture reduces the resilience of the grid, as grid-following converters rely on VSMS to maintain network stability. Further, the study completely neglects the operation of SGs, as it assumes a 100% converter based grid. However, it is noteworthy, that a zero carbon power system, does not totally preclude SGs from operation, as nuclear, hydro and bio-fueled power plants which are

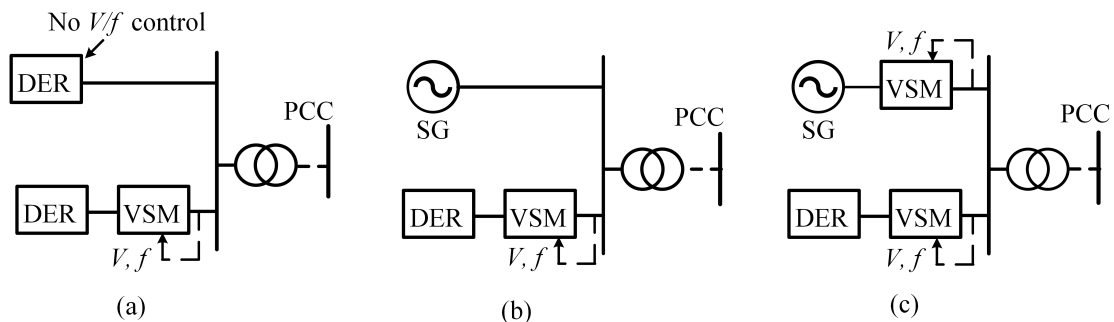


Figure 16: Simplified grid architecture (a) Partial grid-forming architecture [131] (b) VSM + SG based grid [132] (c) Grid-less architecture with decoupled SG [3].

all SG based, are clean energy sources [84]. Ref.[132], proposed that all RESs and PEC interfaced loads (e.g. motors, PEVs) are connected to the grid via VSMs (see Fig.16(b)). This will enable all the VSM controlled devices to provide inertial support to the grid, thus bolstering the grid stability [133]. However, since the SGs are still directly coupled with the grid, this topology will inherit some of the drawbacks of the conventional power system e.g. LFOs. Moreover, since SGs are very sensitive to phase angle movements, significant care must be taken to make sure that they are protected from phase angle changes in a system with a high number of VSMs. This will further complicate the reconnection and re-synchronization of SGs with the grid. Also, as stated in the Stability Pathfinder report [86], the British National Grid seeks stability products (e.g. VSMs) that can provide both inertia and  $SCL \geq 1.5$  pu. These requirements are essential for the reliable operation of the power system e.g. high SCL is required for reliable operation of protection relays [12]. It is noted that while SGs can provide both inertia and  $SCL \gg 1.5$  pu [92], PECs (like VSMs) have limited over-load capability and cannot supply  $SCL > 1.5$  pu (unless using over-rated switches or de-loading in normal operation, which do not seem economically viable) [3]. As an alternative, Ref.[3] proposed decoupling the SGs from the grid using VSMs (see Fig. 16(c)). This eliminates the direct interaction of the SG with the grid, thus eliminating LFOs. Moreover, since only VSMs are coupled directly to the grid, a common framework can be employed, which enables VSMs operate at nominal value without requiring over-engineering or impacting operation of protection devices. Further, since SGs are decoupled from the grid, they do not have to operate at the exact grid frequency; hence, the stored kinetic energy in the rotor can be exploited to provide energy storage [3]. Also, since PECs can easily handle large phase movements, the SGs are protected from phase

angle changes. This enables a fast and easy reconnection for all units.

500 It is noted that SGs which form the grid have virtually same dynamics, thus same mathematical equations have been used to represent all SGs regardless of the manufacturer; this facilitates power system analysis, and plug and play capability [14], [99]. At the moment, there is no unified topology for VSMS; however, the British National Grid has outlined some technical specifications and assessment criteria for VSMS [86]. As discussed in section 2, diverse control paradigms can be employed  
505 by prosumers to achieve the VSM specifications. Hence, it is essential to comprehensively validate each VSM performance, such that adding a certain VSM topology will not destabilize a hitherto stable system. The interoperability of a net-zero grid requires further research from academics and industry experts, in order to achieve smooth transition to a zero-carbon power system. It is noted that architectural changes for a net-zero grid will also be influenced by regulatory policies and  
510 projections from cost-benefit analysis.

#### 4.4. Black-Start from DERs

Black-start is the process of restoring power to the grid after a power blackout [14] [134]. Blackouts rarely occur, and represent a worst-case scenario from the ESOs perspective [97], [135]. In the unlikely event of a blackout, the impact is often socio-economically devastating [135], [136]. For  
515 example, the 2006 European blackout affected 15 million households and led to an estimated loss of £500 million [137], [138]. Thus, adequate provisions must be in place to rapidly restore power, to minimize the impact of the blackout [134].

Conventionally, black-start has been a transmission-led approach, where large SGs re-energize transmission networks and power stations in a coordinated framework, before feeding power to consumers  
520 at the distribution level [97], [134]. However, the ongoing decarbonization of the power system and increasing proliferation of DERs necessitates a paradigm shift in the black-start process, from a transmission-led approach to a decentralized and distributed approach [97], [139].

Several research are ongoing to evaluate the feasibility of restoring power to the transmission network in a distributed-led approach [134, 140, 141]. Studies in [140] demonstrated that some VSM  
525 topologies are suitable for black-start operation. Also, some small-scale projects have been successfully deployed to demonstrate the black-start capability of DERs in a microgrid. For example, a microgrid in Schwerin, Germany, consisting of a 15 MWh battery park and gas-fired DERs successfully demonstrated black-start capability for islanded operation [142]. Similarly, a large microgrid

in Fort Bragg, USA employs a variety of DERs to enable black-start capability [139], [143]. Although DERs have been deployed to black-start microgrid, it is noted that DERs have never been employed by ESOs for power restoration to the transmission network [139]. The current technical specifications for black-start operation cannot be met by DERs [97]. Hence, it is pertinent to modify the black-start frame work to enable DERs participate in the power restoration process. This will encourage participation of prosumers, thus drive down cost of black-start service, and facilitate the transition to a net-zero power system.

## 5. Conclusion

The large-scale integration of RESs significantly impacts the power system operation and stability. VSMS have been proposed as the grid-friendly approach to integrate RESs in the generation mix. This study provided a comprehensive review of the VSM topologies in literature. The IEEE three-machine nine-bus benchmark was employed to compare the performance of the VSMS. It was concluded that VSMS must employ a current-limiting strategy, and frequency detection schemes to protect the PEC from damage and ensure soft-synchronization with the grid respectively.

This paper also provided insights on some of the challenges of large-scale RESs in the generation mix, and proffered solutions to facilitate the transition to a net-zero grid. It was highlighted that, the conventional techniques for modelling and analysis of the power system will not be suitable for RESs based system. Rather, a more comprehensive framework, which considers the transmission network and load dynamics will be required for power system analysis. Also, a highly flexible demand side, with significant participation of prosumers will be required for a secure and reliable operation of a decarbonized power system.

It was recommended, that a grid-less architecture, where the SGs are decoupled from the grid, provides a common operating framework for the power system operation and control. This architecture fully exploits the inertial capability of the SGs thus improving the robustness and resilience of the power system. However, in addition to the practical implementation challenges, the cost-benefit analysis of such architecture is yet to be performed. Finally, black-start capability will be required from VSMS, as the power system becomes more decentralized and decarbonized.

It is envisaged that the discussions from this study will proffer directions for further research (in academia and the energy industry) on the operation and control of net-zero power systems.



## Acknowledgements

The support from the FLEXIS project, which is part-funded by the European Regional Development Fund through the Welsh Government, is acknowledged.

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