

Forecasting the crowd: An effective and efficient neural network for citywide crowd information prediction at a fine spatio-temporal scale

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Abstract: Modelling and forecasting citywide crowd information (e.g., crowd volume of a region, the inflow of crowds into a region, outflow of crowds from a region) at a fine spatio-temporal scale is crucial for urban and transport planning, city management, public safety, and traffic management. However, this is a challenging task due to its complex spatial and temporal dependences. This paper proposes a novel and efficient model to reduce the training time cost while maintaining predictive accuracy in forecasting citywide crowd information at a fine spatio-temporal scale. Our model integrates Gated Recurrent Unit (GRU), convolutional neural network (CNN), and k-nearest neighbors (k-NN) to jointly capture the spatial and temporal dependences between two regions in a city. The evaluation with two different datasets in two different cities shows that compared to the state-of-the-art baselines, our model has better predictive accuracy (reducing the mean absolute errors MAEs by 20.99% on average) and a lower training time cost (reducing the time cost to only 26.16% on average of that of the baselines). Our model also has better abilities in making accurate predictions with low time cost under the influences of large-scale special events (when massive crowds of people are gathering in a short time) and for regions with high and irregular crowd changes. In summary, our model is an effective, efficient, and reliable method for forecasting citywide crowd information at a fine spatio-temporal scale, and has a high potential for many applications, such as city management, public safety, and transportation.

Keywords: Crowd Information, Convolutional Neural Network; k-Nearest Neighbor; Gated Recurrent Unit; Training Time Cost

1. Introduction

Modelling and predicting fine-grained spatial-temporal crowd information in citywide environments, e.g., crowd volume (i.e., the number of people presented) in each region of a city, crowd flows into or out of each region, is of great importance to urban planning, public safety, transport planning, and traffic management (Ahas et al. 2015, Demissie, Correia and Bento 2015, Liu et al. 2020). For instance, modelling the fine-grained crowd distribution in a city provides a scientific basis for city management to reasonably allocate city resources dynamically and optimally. Knowing and predicting (near) real-time crowd mobility in a city also helps to prevent

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43 catastrophic accidents caused by massive crowds of people gathering in a short time. For example,
44 many tourists and citizens gathered into Bund in Shanghai to celebrate the New Year of 2015,
45 causing many people to fall and overlap and resulting in a stampede that killed 36 and injured
46 49. Additionally, such information also helps traffic managers and transportation operators to
47 optimize their mobility services and improve the efficiency of the transportation system.
48 Accordingly, much research has been conducted in recent years to develop methods for modeling
49 and predicting such fine-grained spatial-temporal crowd information in cities. Various data have
50 been employed as a proxy to represent such crowd information, including mobile phone network
51 data (such as call detail records CDRs and signaling data) (Jarv, Ahas and Witlox 2014), bike-
52 sharing data (Zhang et al. 2016, Zhang et al. 2018), taxi GPS trajectory data, location-based social
53 media data, and location-based services usage/log data.

54 Forecasting crowd information at a fine spatial-temporal resolution in a citywide
55 environment (e.g., the total volume of crowds in each 1km*1km region of a city in an hourly
56 interval), however, has always been a challenging task due to its complex spatial and temporal
57 dependences. In terms of spatial dependences, due to human mobility between different regions
58 in a city, the crowd volume in a region is affected by the inflow and outflow of nearby regions
59 and vice versa. With the development of transportation infrastructure, such as subways and other
60 high-speed transportation (e.g., light rail), that more efficiently connect different regions within
61 a city, such spatial dependences exist not only between nearby regions, but also between distant
62 regions. In other words, such spatial dependences often present nonlinear characteristics and are
63 influenced by many factors. In terms of *temporal dependences*, the distribution of crowds in a city
64 changes dynamically over time and can be generally characterized by periodicity (e.g., the crowd
65 distribution at 9am might be similar on consecutive workdays, repeating every 24 hours) and
66 recent trends (e.g., the citywide crowd distribution at 9am will affect that of 10am, or even longer).
67 Meanwhile, time of the day, weekdays/weekends, and special events might also significantly
68 affect the crowd distribution in a city. Therefore, to effectively forecast fine-grained crowd
69 information, it is essential to consider both the spatial and temporal dependences.

70 Existing methods for predicting the volume of crowds and traffic flows can be mainly
71 divided into two groups: (i) statistical and machine learning, and (ii) deep learning algorithms
72 (For an extensive overview, please refer to Section 2). Examples of the first group include the
73 applications of k-nearest neighbors (k-NN), autoregressive integrated moving average (ARIMA)
74 and its extensions, random forest (RF), support vector regression (SVR) (Smith, Williams and
75 Oswald 2002, Hamner 2010, Xia et al. 2016). Although these statistical and conventional machine
76 learning algorithms are easier to train, they are often limited in their predictive accuracy. Recent
77 years have seen an increasing interest of developing deep learning-based methods. Such studies
78 typically combine (graph) convolutional neural network (CNN, GCNN), recurrent neural
79 network (e.g., long short-term memory networks LSTM and gated recurrent units GRU), and
80 other neural networks to capture the spatio-temporal dependences between the data (Zhang et
81 al. 2018, Wu et al. 2019, Zheng et al. 2020, Xu, Kang and Cao 2022). Although more complex
82 models (e.g., with more hidden layers or sophisticated structures) can potentially achieve better
83 predictive accuracy, much more time must be spent on the training phase, and thus more
84 computational resources are required and more energy is consumed. How to reduce training time
85 cost while maintaining excellent predictive accuracy is still an open research challenge.

86 To tackle this open research challenge, this study proposes a novel and *efficient* neural
87 network model for forecasting crowd information in citywide environments, with the aims to
88 reduce the training time cost while maintaining a better predictive accuracy than the baseline
89 models. The proposed model combines recurrent neural networks (i.e., GRU) and convolutional
90 neural network (CNN) to jointly capture the complex spatio-temporal dependences of crowd
91 information. More importantly, a k-nearest neighbors (k-NN) module, which is shown to be an

92 effective and efficient conventional predictive method in the literature, is added to the model to
93 further capture more ‘neighborhoods’ features and accelerate the convergence of the loss function,
94 thus reducing the training time cost of the proposed model and improving its predictive accuracy.
95 In short, we term the proposed neural network model as ST-RCNet-knn. Our contributions are
96 three-fold:

- 97 1) Our proposed ST-RCNet-knn model integrates two DL approaches GRU and CNN and
98 a conventional ML method k-NN to jointly model spatial and temporal dependences
99 between nearby grid cells in a city. This combination allows to significantly reduce the
100 training time cost, while still ensuring an excellent predictive accuracy.
- 101 2) We comprehensively evaluate our ST-RCNet-knn model with state-of-the-art predictive
102 models using two different datasets in two different cities. The evaluation results show
103 that our ST-RCNet-knn model can better capture both temporal dependences (via the
104 GRU part) and spatial dependences (via the CNN and k-NN part), despite a very simple
105 and shallow network structure. Compared to the state-of-the-art baselines, our model
106 reduces the mean absolute errors (MAEs) by 20.99% on average (minimum: 4.00%;
107 maximum: 63.56%), while its training time cost is only 26.16% on average (minimum:
108 1.07%; maximum: 57.98%) of that of the baselines. In short, our model significantly
109 outperforms the state-of-the-art models in terms of both the predictive accuracies and
110 the training time costs.
- 111 3) The results also illustrate that compared to the state-of-the-art baselines, our model is
112 able to make more accurate prediction with low time cost under the influences of large-
113 scale special events (when massive crowds gather in short time), as well as more robust
114 to make prediction for regions with high and irregular crowd changes.

115 2. Related Work

116 With regard to prediction of the volume of crowds and traffic flows, two groups of methods
117 can be identified in the literature: (i) statistical and machine learning-based method, and (ii) deep
118 learning algorithms. This section summaries the related works from these two perspectives.

119 2.1 Traditional method for crowd flow prediction

120 For statistical and conventional machine learning-based algorithms, many researchers have
121 applied k-nearest neighbors (k-NN) to predict flow volume for a short time (Chen et al. 2018, Xia
122 et al. 2016). Other predictive methods include Kalman filtering model (Okutani and Stephanedes
123 1984), support vector regression (Wu, Ho and Lee 2004, Semanjski et al. 2017), Bayesian model
124 (Sun, Zhang and Yu 2006), and autoregressive integrated moving average (ARIMA) (Smith et al.
125 2002). With the improvement of prediction techniques, many extended models were proposed to
126 enhance the predictive accuracy, including spatial-temporal weighted k-nearest neighbors (Xia
127 et al. 2016), Kohonen ARIMA (Van Der Voort, Dougherty and Watson 1996), seasonal ARIMA
128 (Williams and Hoel 2003), seasonal SVM (Hong 2011), and online SVM (Castro-Neto et al. 2009).
129 Additionally, random forest (RF) has been also employed in traffic flow prediction and achieved
130 a good performance by consider more contextual information (Hamner 2010). Although the
131 statistical and machine learning algorithms have a lower cost in training time, they are often
132 limited in capturing complex and dynamic spatio-temporal dependences to obtain better
133 predictive accuracy.

134 2.2 Deep learning method for crowd flow prediction

135 In recent years, DL has grown as one of the best techniques in application fields such as
136 computer vision (Vinyals et al. 2015) and natural language processing (Gu et al. 2018). As an

137 excellent tool being capable of modelling complex dependences between data, DL is very
138 promising for addressing the problems of predicting crowd information (Xie et al. 2020). For
139 example, there were researchers who focused on applying the convolutional neural network
140 (CNN) (Ma et al. 2017) to capture the spatial characteristics for forecasting traffic flow.
141 Additionally, other researchers proposed an online gated recurrent unit (GRU) model to consider
142 periodicity characteristics for improving prediction accuracy (Fan et al. 2018). Likewise, Liu, Liu
143 and Jia (2019) combined fully connected network and long short-term memory (LSTM) to predict
144 metro passenger flow, wherein fully connected network is used to extract the external features,
145 and LSTM is applied to portray the temporal dependency. The studies mentioned above only
146 considered either spatial or temporal dependences and failed to jointly consider both aspects.

147 To address this issue, Zhang et al. (2018) proposed a DL-based model (called ST-ResNet),
148 which combines CNN and residual convolutional network, to capture spatiotemporal
149 dependences based on three units of temporal closeness, period, and trend of crowd flow. To
150 further portray the temporal features, many works integrate CNN and RNN to exploit the
151 capability of the latter to address temporal patterns (Luca et al. 2021). For instance, Yao et al. (2019)
152 proposed a spatial-temporal dynamic network which applied CNN module to capture the spatial
153 features and LSTM to portray temporal features. Extended from ST-ResNet, Xu et al. (2022)
154 developed a high-resolution spatiotemporal transformer network, which employed multi-head
155 attention mechanism's transformer to capture the spatiotemporal features in closeness, period
156 and trend patterns . Apart from portraying the spatiotemporal dependences, other features are
157 also added to enhance the prediction accuracy. For example, Geng et al. (2019) proposed a multi-
158 graph convolutional network, in which the relationship of neighborhoods, their connective and
159 function were represented into three graphs. A multi-view residual attention network
160 additionally applied node2vec to encode the transition probability and transition distance
161 between urban functional areas, and the crowd flows patterns of the functional areas, which
162 effectively portrays the mobility pattern to enhance prediction accuracy (Yuan et al. 2020).
163 Additionally, Zhang et al. (2020) used the Euclidean distance between two regions and the
164 Pearson correlation coefficient of historical data as distance to construct two k-NN graphs that
165 are encoded with graph convolutional neural network (GCNN).

166 For the irregular grids and topological construction, Zhao et al. (2020) proposed a temporal
167 graph convolutional network, which combines GCNN and GRU, to describe the spatio-temporal
168 characteristics of traffic flow. Diffusion convolutional recurrent neural network was also
169 developed to model diffusion process of graph signals, which is proved to be effective in spatio-
170 temporal modelling (Li et al. 2017b). Inspired by that, Wu et al. (2019) designed a gating
171 mechanism with diffusion convolutional layer, which is helpful for aggregating and transforming
172 the neighborhood information, along with GCNN to predict the traffic flow. Additionally, Guo
173 et al. (2019) developed an attention-based GCNN to capture the spatio-temporal attributes of
174 traffic flow. Similarly, Zheng et al. (2020) introduced the attention mechanism into GMAN, that
175 includes spatio-temporal embedding layer, ST-attention blocks and transformer attention layer,
176 to forecast the traffic flow at intersections. They further used node2vec to capture the topological
177 relationships between intersections. Meanwhile, to capture the time series characteristics more
178 completely, researchers introduced different ways to encode the temporal dependences of crowd
179 flow integrating GCNN and transformer model (Xu et al. 2020, Cai et al. 2020).

180 While more complex models (e.g., with more hidden layers, sophisticated structures, or
181 supplement information) can potentially achieve better predictive accuracy, much more time
182 must be spent on the training phase, and thus more computational resources are required and
183 more energy is consumed, which is unfriendly to the limited computational resources or users
184 who just expect a good accuracy under limited time cost. How to reduce training time cost while
185 maintaining excellent predictive accuracy is still an open research challenge. Thereby, this study

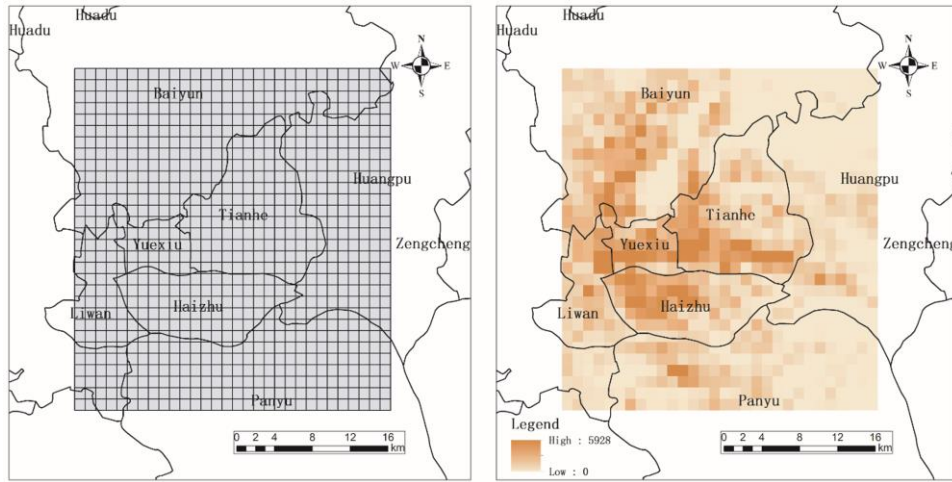
186 addresses this issue by proposing ST-RCNet-knn, which integrates DL approaches (specifically
 187 GRU and CNN) and conventional ML (specifically k-NN).

188 3. Methodology

189 3.1 Problem Definition

190 This study aims to predict crowd information at a given time in each region of an entire city,
 191 using historical observations. In our methodology, crowd information is seen as a general concept.
 192 It can be crowd volume of a region (i.e., the number of people presented in the region), crowd
 193 density of a region, inflow crowds into a region (i.e., the total traffic of crowds entering the region),
 194 and outflow crowds from a region (i.e., the total traffic of crowds leaving a region). Without loss
 195 of generality, in the following we mainly use crowd volume as an example of crowd information.

196 Various ways can be used to define a region, in terms of different granularities and semantic
 197 meanings. Similar to Zhang et al. (2018), this study partitions a city into a $I \times J$ grid map based on
 198 latitude and longitude, where each grid cell represents a region, as shown in Figure 1 (left).
 199



200
 201 Figure 1. Regions of Guangzhou. Left: grid map with 900 cells, each of which has a size of 1km x
 202 1km; Right: an example of a cell-level crowd distribution
 203

204 At the t^{th} time interval, the crowd volume in all $I \times J$ regions/cells can be denoted as a matrix
 205 $X_t \in \mathbb{R}^{I \times J}$. An example of such a matrix is shown in Figure 1 (right). It shows the crowd
 206 distribution in Guangzhou (China) during the time from 18:00 to 19:00 on a weekday. Grid cells
 207 with high crowd volumes (those with dark orange colors) are mainly around the urban villages
 208 in the neighboring area of Liwan, Haizhu, and Yuexiu District (old city center) and the CBD
 209 located in Tianhe District (new city center). Owing to relatively low housing expenses and
 210 proximity to workplace, numerous younger people live in these areas, making these areas socially
 211 active.

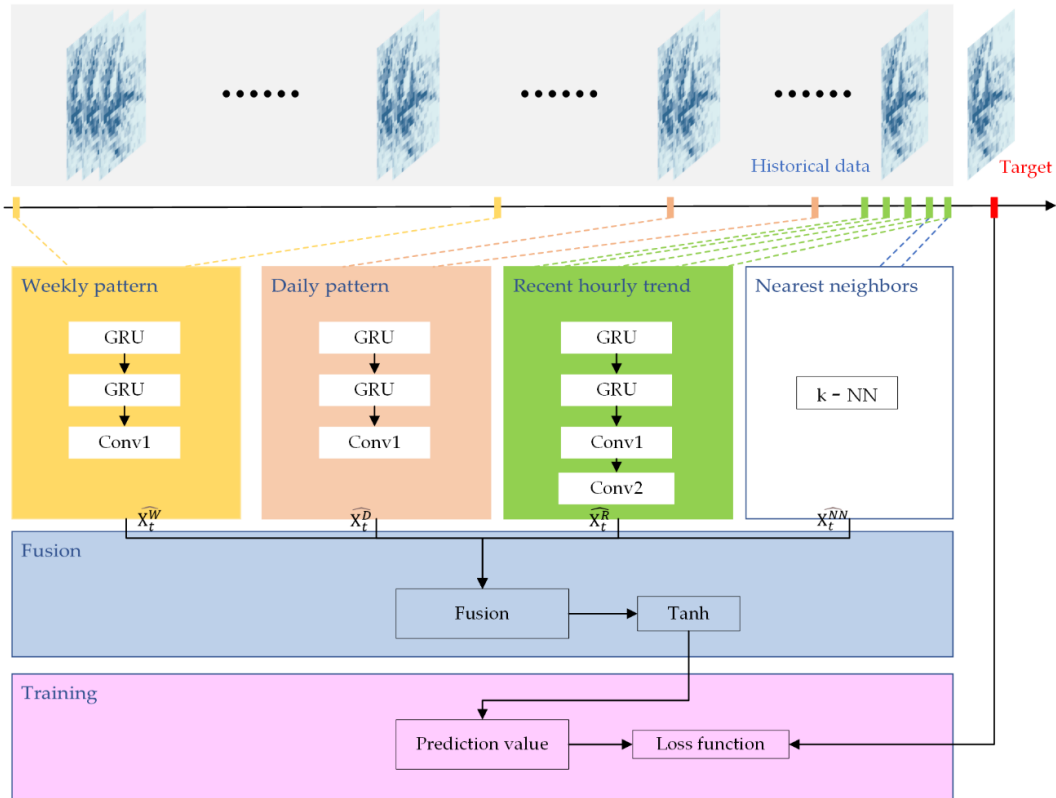
212 Therefore, the problem of fine-grained crowd information prediction can be defined as:
 213 given the historical observations $X_0, \dots, X_{t-2}, X_{t-1}$, predict X_t .

$$214 \quad \widehat{X}_t = f(X_0, \dots, X_{t-2}, X_{t-1}) \quad (1)$$

215 3.2 Overview of the ST-RCNet-knn model

216 Figure 2 presents the framework of the proposed ST-RCNet-knn model, which consists of
 217 four main components, namely ‘weekly pattern’, ‘daily pattern’, ‘recent hourly trend’, and
 218 ‘nearest neighbors’. As illustrated in the top part of Figure 2, we first consider the crowd

219 distribution throughout a city at each time interval as a gray-scale image-like matrix. We then
 220 extract different subsets of historical time series, denoting the recent hourly trend (time series
 221 data of the last several hours immediately before t : $S^H = [X_{t-a}, \dots, X_{t-2}, X_{t-1}]$), daily pattern (time
 222 series of data of the specific hour of the last several days: $S^D = [X_{t-b*24}, \dots, X_{t-2*24}, X_{t-24}]$), and
 223 weekly pattern (time series of data of the specific hour and the specific day of the last several
 224 weeks: $S^W = [X_{t-c*24*7}, \dots, X_{t-2*24*7}, X_{t-24*7}]$). a, b, c are hyperparameters describing the input
 225 time series lengths considered in our prediction model.
 226



227
 228 Figure 2. ST-RCNet-knn architecture. GRU: gated recurrent unit; Conv: Convolution; k-NN: k-
 229 nearest neighbors.

230
 231 These three subsets are then fed into the first three components respectively, with the aim to
 232 model the spatial-temporal characteristics of crowd information at the hourly, daily, and weekly
 233 levels. The ‘weekly pattern’ and ‘daily pattern’ components share the same network structure
 234 with two gated recurrent unit (GRU) layers followed by a convolutional layer (Conv). Such
 235 structure captures the temporal dependences between historical observations of individual
 236 regions (via GRUs), and the spatial dependences between nearby regions (via Conv). For the
 237 ‘recent hourly trend’, the importance of spatial dependences increases (e.g., the inflow/outflow
 238 of other regions, nearby or distant, will more *directly* influence the crowd volume of a region).
 239 Therefore, apart from two GRU layers in the ‘recent hourly trend’, two convolutional layers are
 240 integrated to capture the hourly spatial-temporal characteristics, since two conventional layers
 241 can capture the spatial dependences over a wider range of areas.

242 The efficiency of k-NN model in traffic flow prediction have been empirically proved (Chen
 243 et al. 2018, Smith et al. 2002). Essentially, it is a nonparametric regression model without
 244 accounting for specific training in advance. To reduce the time cost in the training phase and
 245 further capture more ‘neighborhoods’ features, we introduce a ‘nearest neighbors’ component
 246 based on the k-NN model, which can accelerate the convergence of the loss function to some

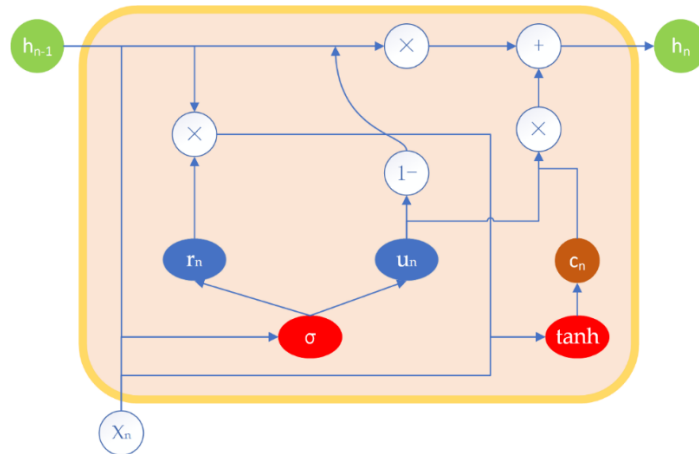
247 extent. We feed the historical time series data of the past two hours and the latitude/longitude
 248 location information of a grid cell into the k-NN model to obtain preliminary results.

249 The outputs of the four components are then fused into a single matrix based on parameters,
 250 which assign different weights to the results of these components in different grid cells. An
 251 activation function of Tanh (hyperbolic tangent) is then applied to the fused matrix to output the
 252 final forecasting values \widehat{X}_t .

253 3.3 Gated Recurrent Unit

254 The crowd volume in each region of a city changes dynamically over time, and is generally
 255 characterized by periodicity (e.g., the crowd distribution at 3 pm might be similar on consecutive
 256 workdays, repeating every 24 hours) and recent trends (e.g., the citywide crowd distribution at
 257 3pm will affect that of 4pm, or even longer). Considering such temporal dependence is vital for
 258 forecasting fine-scale crowd information in a city. Currently, LSTM and GRU are two state-of-
 259 the-art neural network models for processing sequence data, and they avoid the gradient
 260 vanishing and explosion problems of the traditional recurrent neural network (RNN). Both LSTM
 261 and GRU use a gated mechanism to decide how much information from the previous stages
 262 should be passed to the output. Compared to LSTM, GRU has a relatively simpler structure, fewer
 263 parameters and is easier to train (Cho et al. 2014). Therefore, this study employs GRU to model
 264 the temporal dependences from the citywide crowd distribution data.

265



266
 267

Figure 3. The workflow of a GRU unit

268 A single GRU unit (Figure 3) takes the current input data X_n and state h_{n-1} which holds the
 269 useful information of the previous $n - 1$ GRU units, and outputs the new state h_n . It has two gates:
 270 an update gate that determines how much of the previous information needs to be passed along
 271 to the future (i.e., h_n); a reset gate deciding how much of the previous information to forget. The
 272 calculation formula of each GRU unit is shown below:

$$273 \quad r_n = \sigma(W_r \cdot [h_{n-1}, X_n] + b_r) \quad (2)$$

$$274 \quad u_n = \sigma(W_u \cdot [h_{n-1}, X_n] + b_u) \quad (3)$$

$$275 \quad c_n = \tanh(W_c \cdot [r_n \circ h_{n-1}, X_n] + b_c) \quad (4)$$

$$276 \quad h_n = (1 - u_n) \circ h_{n-1} + z_n \circ c_n \quad (5)$$

$$277 \quad \hat{X}_{n+1} = \sigma(W_o * h_n) \quad (6)$$

278 Where X_n denotes the current input data (e.g., the citywide crowd distribution at time n), W
 279 represents the learnable parameters, σ and \tanh refer to sigmoid and hyperbolic tangent
 280 activation functions which add nonlinearities to the model, operator \circ denotes Hadamard

281 product (i.e., element-wise multiplication). r_n and u_n denote the reset gate and update gate
 282 respectively, which control how much previous information h_{n-1} (gained from previous time
 283 steps) and current information gained from X_n will be passed along to the new state h_n , which is
 284 then used to forecast the \hat{X}_{n+1} . c_n is a candidate state.

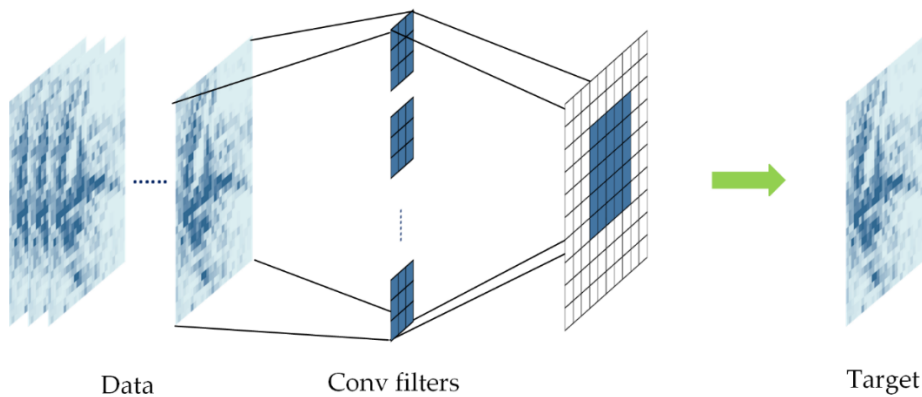
285 As shown in Figure 2, we employ two GRU layers to extract the temporal dependences at
 286 the hourly, daily, and weekly levels respectively. Take ‘recent hourly trend’ as an example, the
 287 time series data of the last several hours immediately before t (i.e., $S^H = [X_{t-a}, \dots, X_{t-2}, X_{t-1}]$) are
 288 taken one-by-one as input for the first GRU layer. In total, there are a GRU units in the first layer,
 289 with the first data input as X_{t-a} , the second as X_{t-a+1} , ..., and until X_{t-1} . Each of these a GRU
 290 units outputs a state h . Following the common practices in GRU stacking, the GRU units in the
 291 2nd layer take each output state h of the first layer as input step-by-step, and finally output the
 292 final state h . Again, there are a GRU units in the 2nd layer. Using equation (6), the final output
 293 state h is then used to forecast \hat{X}_t^H (in terms of recent hourly trend). Similarly, we can obtain \hat{X}_t^D
 294 (in terms of daily pattern), and \hat{X}_t^W (in terms of weekly patterns). Note that \hat{X}_t^H , \hat{X}_t^D and \hat{X}_t^W are
 295 all $I \times J$ matrices. They will be then taken as inputs and fed into the convolutional layer(s) in the
 296 ‘recent hourly trend’, ‘daily pattern’, and ‘weekly pattern’ components, respectively.
 297

298 3.4 Convolutional Neural Network

299 Intuitively, due to human mobility between different regions in a city, the crowd volume in
 300 a region interacts with each other in nearby areas. To model such spatial dependences, we employ
 301 convolutional neural network (CNN) (Lecun et al. 1998), which has been shown to be an effective
 302 method to hierarchically capture the spatial structural information (Zhang et al. 2018). Different
 303 from the classical CNN, we only include convolutional layers, each of which consists of a
 304 convolution operation and activation function (Figure 4). The output of a single convolutional
 305 layer can be described as:

$$306 \quad X_{l+1} = f(W_l * X_l + b_l) \quad (7)$$

307 where $*$ represents the convolution operation; W_l are learnable parameters; l denotes the l -
 308 th layer in whole CNN model; X_l denotes the input of the layer; X_{l+1} refers to the output of the
 309 convolutional layer, and it also can be the input of $l+1$ -th layer; f is the activation function.
 310



311
 312 Figure 4. Simplistic graphic concept of CNN.
 313

314 In this study, we use filters (i.e., kernels) with size of 3×3 , which means that a node in a
 315 higher-layer feature map depends on nine nodes of its previous layer (i.e., a lower-level feature
 316 map). This means that one convolution layer is able to capture spatial dependences across

317 immediately adjacent regions, while several convolution layers together can further capture
 318 spatial dependences over distant regions (Zhang et al. 2018). To ensure that the input and output
 319 have the same size (i.e., $I \times J$), we employ a same padding approach, by padding each area outside
 320 the border with a zero and setting stride = 1.

321 For the ‘weekly pattern’ and ‘daily pattern’ components in Figure 2, a single convolutional
 322 layer with 1 filter is added after the GRU part. In other words, the outputs of the GRU parts (i.e.,
 323 \widehat{X}_t^W and \widehat{X}_t^D , respectively) are fed into the convolutional layer. Using equation (7) and setting $X_l =$
 324 \widehat{X}_t^W (or $X_l = \widehat{X}_t^D$ for daily patterns), they are then used to forecast \widehat{X}_t^W (in terms of weekly pattern)
 325 and \widehat{X}_t^D (in terms of daily pattern). The combination of the two GRUs and the convolutional layer
 326 helps to model both temporal and spatial dependences of the time series data.

327 For the ‘recent hourly trend’, considering the importance of spatial dependences increases,
 328 two convolutional layers, the first with 30 filters and the 2nd with 1 filter, are added after the GRU
 329 parts, with the aims to capture the spatial dependence over a wider range of areas. Using equation
 330 (7), the output of the GRU part (i.e., $\widehat{X}_t^{R'}$) is fed into the first convolutional layer, whose outputs
 331 are then fed into the 2nd convolutional layer to forecast \widehat{X}_t^R (in terms of recent hourly trend).

332 3.5 K-Nearest Neighbors (k-NN)

333 K-Nearest Neighbors (k-NN) is one of the most popular classic machine learning models.
 334 For a new point whose value is to be predicted, k-NN first calculates the distance between the
 335 new point and each training point, e.g., using Euclidian or Manhattan distances (for continuous
 336 features) or Hamming distance (for categorical features). It then identifies the k nearest neighbors
 337 (i.e., the training points) of this new point. For classification tasks, the new point will be assigned
 338 the most common class label among its k nearest neighbors. For regression tasks, the value of the
 339 new point is the (weighted) average of the values of its k nearest neighbors.

340 In this study, we introduce the k-NN model to quickly capture more ‘neighborhoods’
 341 features, with the aims to accelerate the convergence of the loss function and thus to reduce the
 342 time cost in the training phase. The feature space of each data point (i.e., each region in Figure 1)
 343 is the combination of its latitude/longitude and the past 2-hour crowd volumes:

$$344 \quad S_i^{NN} = (lat, lon, x_{t-2}, x_{t-1}) \quad (8)$$

345 Where *lat* and *lon* are the latitude and longitude of the center of the *i*-th region; x_{t-2} and
 346 x_{t-1} are the crowd volumes of the last two hours at this region.

347 The reason of selecting such a feature space is to balance the importance between location
 348 information and crowd volumes. After normalizing (using Min-Max normalization) each feature
 349 dimension of all data points, Euclidian distance is then used to compute the similarity/distance
 350 between each data point. Consequently, the similarity value not only considers the location
 351 distance but also the similarity of the varying trend of crowd volumes. For each region whose
 352 value (i.e., its crowd volume at time *t*) is to be predicted, we then select the top-24 nearest regions
 353 based on the similarity value. The crowd volume of the region at time *t* is then forecasted as the
 354 average volume of these nearest regions at time *t*. This step is repeated for each region in the city.
 355 By aggregating these predicted values as a matrix, we can then obtain \widehat{X}_t^{NN} , i.e., the predicted
 356 outcome of the ‘nearest neighbor’ component.

357 3.6 Fusion

358 The outputs of the four components ‘weekly pattern’, ‘daily pattern’, ‘recent hourly trend’,
 359 and ‘nearest neighbors’, i.e., \widehat{X}_t^W , \widehat{X}_t^D , \widehat{X}_t^R , and \widehat{X}_t^{NN} , respectively, are then fused into a single matrix
 360 based on parameters, which assign different weights to the results of different components in

361 different regions. An activation function of Tanh (hyperbolic tangent) is then applied to the fused
 362 matrix to output the final forecasting values \widehat{X}_t .

$$363 \quad \widehat{X}_t = \tanh(W^W \circ \widehat{X}_t^W + W^D \circ \widehat{X}_t^D + W^R \circ \widehat{X}_t^R + W^{NN} \circ \widehat{X}_t^{NN}) \quad (9)$$

364 where \circ is element-wise multiplication (i.e., Hadamard product); \widehat{X}_t^W , \widehat{X}_t^D , \widehat{X}_t^R , and \widehat{X}_t^{NN} are
 365 the output of the weekly, daily, recent hourly, and nearest neighbor components, respectively;
 366 W^W , W^D , W^R , and W^{NN} are the learnable parameters that adjust the degrees affected by these
 367 individual components, respectively.

368 In this study, the predicted target is continuous data instead of discrete data, we thus utilize
 369 the mean absolute error (MAE) loss function as evaluation standard to minimize the error and
 370 train this model. The loss function can be calculated as:

$$371 \quad \text{loss}(\theta) = \text{MAE} = \|X_t - \widehat{X}_t\| = \frac{1}{N} \sum_{k=1}^N |x_{t_k} - \widehat{x}_{t_k}| \quad (10)$$

372 Where θ are all learnable parameters in the proposed model, x_{t_k} denotes the actual value,
 373 \widehat{x}_{t_k} represents the predicted value, and N is the total number of values needed to be predicted,
 374 i.e., the total number of regions ($= I \times J$).

375 3.7 Algorithm and Optimization

376 Similar to the training algorithm in Zhang et al. (2018), Algorithm 1 outlines the training
 377 process of the proposed ST-RCNet-knn model. At the first step, we construct the training
 378 instances from the original time series data. The proposed model is then trained via back-
 379 propagation using a batch size of 10. Moreover, since the Adam optimizer (Kingma and Ba 2014)
 380 has been widely used in machine learning and deep learning models, we train the model using
 381 this method to learn the learnable parameters.
 382

Algorithm 1: ST-RCNet-knn Training Algorithm

Input: Historical observations: $\{X_0, \dots, X_{t-1}\}$;

lengths of the recent hourly, daily, and weekly sequence: a, b, c ;

Output: Learned ST-RCNet-knn model

//construct training instances

$I \leftarrow \emptyset$

for all available time interval i ($c * 24 * 7 \leq i \leq t - 1$) **do**

$S^H = [X_{i-a}, \dots, X_{i-2}, X_{i-1}]$

$S^D = [X_{i-b*24}, \dots, X_{i-2*24}, X_{i-24}]$

$S^W = [X_{i-c*24*7}, \dots, X_{i-2*24*7}, X_{i-24*7}]$

//LAT and LON are the latitude and longitude vectors of all grids

$S^{NN} = [LAT, LON, X_{i-2}, X_{i-1}]$

// X_i is the target at time i

put a training instance ($\{S^H, S^D, S^W, S^{NN}\}, X_i$) into I

//train the model

initialize all learnable parameters θ in the ST-RCNet-knn

repeat

randomly select a batch of instances I_b from I

find θ by minimizing the loss function (i.e., equation (10)) with I_b

until stopping criteria is met

383

384 4. Evaluation

385 4.1 Evaluation settings

386 **Datasets.** Two datasets are used for the evaluation: a Tencent location dataset in Guangzhou
387 (China), and a Taxicab dataset in Beijing (China).

- 388 • **TencentGZ:** The Tencent location dataset collected from the big data platform of
389 Tencent (<https://heat.qq.com>) is adopted as the proxy of fine-scale crowd volume
390 data. The data recorded all users' location requests through location-based services
391 across a variety of Tencent products, including social media, gaming, travel, online
392 shopping, communications and payment tools. Given the ubiquity of Tencent users
393 in China, the Tencent location data have a better user representativeness than other
394 alike data (e.g., Twitter data, Weibo data, taxi trace data, and bike-sharing data), and
395 therefore they can better reflect the real crowd volume in a city. In this study, we
396 use a Tencent location dataset generated from 1 to 27, November 2018 for the city
397 Guangzhou in China. However, due to the absence of the data in one Wednesday,
398 we remove all the data on Wednesdays. The dataset divided Guangzhou into 900
399 (=30*30) grid cells, each of which has a size of 1km x 1km. The total number of people
400 in each grid cell was recorded every hour (See Figure 1 (right) for an example on a
401 weekday at 18:00-19:00). The dataset is further divided into two parts: the data
402 before 22 November are viewed as the training set, the rest as the testing set. Besides,
403 we employ Min-Max normalization method to scale the data.
- 404 • **TaxiBJ:** To test the robustness of the proposed model, this study further employs the
405 Beijing Taxicab dataset shared by Zhang et al. (2018), which divided Beijing into
406 1024 (= 32*32) grid cells. For each cell, the dataset recorded both crowd inflow (i.e.,
407 the total traffic of crowds entering this grid) and crowd outflow (i.e., the total traffic
408 of crowds leaving this grid) in each hour. We select the inflow dataset from 42
409 consecutive days, wherein the first 35 days are the training set, the rest as the testing
410 set. Again, we employ Min-Max normalization method to scale the data.

411
412 **Baselines.** We compare our proposed ST-RCNet-knn model with the following five
413 baselines. The first five baselines are selected considering their popularity and publication dates.

- 414 • **RF:** It is a classic and popular machine learning model for regression task. RF is
415 selected mainly because it is found to be easy to train, to have high performances
416 and not to over-fit the data (Breiman 2001, Cutler, Cutler and Stevens 2012).
- 417 • **ST-ResNet:** It is a popular deep learning model proposed by Zhang et al. (2018),
418 which integrates the residual units for enabling the model to have a deeper network
419 and further capture spatiotemporal characteristics.
- 420 • **Graph WaveNet:** It integrates graph convolution layer and dilated casual
421 convolution (Wu et al. 2019).
- 422 • **GMAN:** It includes a spatio-temporal embedding layer, ST-attention blocks and a
423 transformer attention layer. It further uses node2vec to capture the topological
424 relationships between intersections (Zheng et al. 2020).
- 425 • **HRSSTs:** It applies multi-head attention mechanism's transformer to portray the
426 spatio-temporal features in closeness, period and trend patterns (Xu et al. 2022). It is
427 a latest extension of Zhang et al. (2018).

428 **Evaluation metrics.** We are interested in both the predictive accuracy and the training time
429 cost of the seven models.

- **Predictive accuracy.** The Mean Absolute Error (MAE), R^2 , and Root Mean Squared Error (RMSE) are used to assess the predictive accuracy of the proposed model and the baselines.

$$MAE = \frac{1}{N} \sum_{k=1}^N |x_k - \hat{x}_k| \quad (10)$$

$$R^2 = 1 - \frac{\sum_{k=1}^N (x_k - \bar{x})^2}{\sum_{k=1}^N (x_k - \hat{x}_k)^2} \quad (11)$$

$$RMSE = \sqrt{\frac{1}{N} \sum_{k=1}^N (x_k - \hat{x}_k)^2} \quad (12)$$

Where x and \hat{x} are the ground truth and the predicted value, respectively; N is the number of all predicted values (i.e., the number of all regions; $N = 900$ for TencentGZ dataset; $N = 1024$ for TaxiBJ dataset); \bar{x} represents the mean value of the ground truth.

- **Training time cost.** We measure the training time cost needed to allow a model to achieve a good result (i.e., when the test MAEs reach a minimal; by plotting training and test MAEs over different epochs). For a single epoch, the proposed model and the baselines have different execution time (due to different computational complexity). Therefore, we measure the training time cost as the total execution time in seconds. The hardware environment is based on Intel Core i7-8700K CPU and NVIDIA GeForce GTX 1070Ti. The software environment is Python 3.6 and tensorflow-gpu 2.0.0.

Main objectives of the evaluation. For the evaluation, we would like to answer the following questions:

- 1) How do our ST-RCNet-knn model and the five baselines perform in terms of predictive accuracy and the training time cost (Section 4.2)?
- 2) How does each component of our ST-RCNet-knn contribute to its prediction(Section 4.3)?
- 3) How are the predictive accuracies of our ST-RCNet-knn and the baselines influenced by the variation of the crowd volume (Section 4.4)?
- 4) How do our ST-RCNet-knn and the baselines perform when predicting crowd information during a large-scale special event (when the situation of massive people gathering in short time was happening) (Section 4.5)?
- 5) How does the predictive accuracy of our ST-RCNet-knn model vary spatially and temporally (Section 4.6)?

4.2 Model Comparison Results

4.2.1 Model comparison on different input lengths

Table 1 compares the predictive accuracies (MAE, R^2 , and RMSE) and the training time costs of our proposed ST-RCNet-knn model and the baselines, under different input data lengths (i.e., lengths of the recent hourly, daily, and weekly sequence). We did not include RF, Graph WaveNet and GMAN in this comparison, since they employ a different architecture: They do not rely on weekly and daily sequences, but instead only make use the sequence from the last several hours. Table 1 show that for both datasets, our ST-RCNet-knn model outperforms the baselines in both the predictive accuracies and the training time costs under most of the input data lengths.

Predictive accuracy. From the perspective of predictive accuracy, regardless of how the input data lengths and dataset change, our ST-RCNet-knn model achieves almost the best accuracy among these three models via the three evaluation metrics.

For both datasets, ST-RCNet-knn greatly outperforms the ST-ResNet model. Compared to ST-ResNet, our ST-RCNet-knn model reduces the MAEs by 12.9% (minimum: 9.7%; maximum: 19.7%) on average for the TencentGZ dataset, and by 15.4% (minimum: -3%; maximum: 45.5%) on average for TaxiBJ. Similar advantages of ST-RCNet-knn against HRSSTs (which is a latest extension of ST-ResNet) can be also observed, with MAEs being reduced by average 14.1% (minimum: 7.3%; maximum: 24.3%) in the TencentGZ dataset and average 19.1% (minimum: 3.2%; maximum: 43%) in the TaxiBJ dataset. All these demonstrate that the proposed model structure might have a better ability in capturing the spatio-temporal dependences than the baseline models in these two datasets.

Furthermore, by analyzing the varied predictive accuracies of the three models under different combination of input data lengths, we found that the ST-RCNet-knn model has the smallest variation in predictive accuracy, while the other two baseline models show an obvious fluctuation. This suggests that, to some extent, our proposed ST-RCNet-knn model structure is more robust than the other two baseline models.

Training time cost. From the perspective of training time cost, the performance of the proposed ST-RCNet-knn model is extremely better than the other two models. In the TencentGZ dataset, the training time cost of our ST-RCNet-knn model is only average 16.8% and 43.3% of the baselines ST-ResNet and HRSSTs respectively. Similarly, in the TaxiBJ dataset, the training time cost of our ST-RCNet-knn model is only 28.6% and 33.8% on average of the baselines ST-ResNet and HRSSTs respectively. The training time cost of our ST-RCNet-knn model is only average 68.4% of ST-RCNet for the TencentGZ dataset, and average 71.8% of ST-RCNet for the TaxiBJ dataset.

Summary. The above results show that our ST-RCNet-knn model outperforms ST-ResNet and HRSSTs in terms of both the predictive accuracies and the training time cost under all input data lengths. This suggests that the proposed model, compare to these two baselines, is more suitable for large scale prediction task due to the low training cost under premise of excellent predictive accuracy.

Table 1. The predictive accuracies and the training time costs of the proposed ST-RCNet-knn model and the baselines, under different input data lengths (i.e., lengths of the weekly, daily, and recent hourly sequence (c, b, a))

Input lengths (c, b, a)	Metrics	TencentGZ			TaxiBJ		
		ST-RCNet-knn	ST-ResNet	HRSSTs	ST-RCNet-knn	ST-ResNet	HRSSTs
(1,1,1)	MAE	8.253×10^{-3}	9.601×10^{-3}	1.046×10^{-2}	6.164×10^{-3}	6.791×10^{-3}	7.936×10^{-3}
	R ²	96.25%	95.2%	94.8%	98.86%	98.65%	98.13%
	RMSE	1.718×10^{-2}	1.943×10^{-2}	2.023×10^{-2}	1.161×10^{-2}	1.264×10^{-2}	1.485×10^{-2}
	Time(s)	90.3	557.7	215.3	219.3	820.8	692.5
(1,1,2)	MAE	8.254×10^{-3}	9.92×10^{-3}	9.783×10^{-3}	5.981×10^{-3}	7.093×10^{-3}	9.432×10^{-3}
	R ²	96.25%	95.22%	95.03%	98.9%	98.47%	97.53%
	RMSE	1.719×10^{-2}	1.94×10^{-2}	1.978×10^{-2}	1.113×10^{-2}	1.346×10^{-2}	1.707×10^{-2}
	Time(s)	92.9	559.9	215.6	222.9	823.6	692.9
(1,1,3)	MAE	8.295×10^{-3}	1.003×10^{-2}	9.352×10^{-3}	6.068×10^{-3}	7.377×10^{-3}	7.653×10^{-3}
	R ²	96.23%	94.97%	95.38%	98.90%	98.41%	98.42%
	RMSE	1.722×10^{-2}	1.989×10^{-2}	1.907×10^{-2}	1.139×10^{-2}	1.37×10^{-2}	1.365×10^{-2}
	Time(s)	95.9	557.1	215	232.3	818.7	694.3

(1,1,4)	MAE	8.358×10^{-3}	9.78×10^{-3}	9.697×10^{-3}	6.006×10^{-3}	6.767×10^{-3}	6.659×10^{-3}
	R ²	96.25%	95.10%	95.23%	98.93%	98.63%	98.75%
	RMSE	1.719×10^{-2}	1.965×10^{-2}	1.937×10^{-2}	1.125×10^{-2}	1.274×10^{-2}	1.216×10^{-2}
	Time(s)	97.1	555.6	215.2	235.0	822.3	693.5
(1,2,1)	MAE	8.212×10^{-3}	9.49×10^{-3}	9.817×10^{-3}	6.217×10^{-3}	7.027×10^{-3}	7.326×10^{-3}
	R ²	96.27%	95.3%	95.08%	98.83%	98.56%	98.48%
	RMSE	1.715×10^{-2}	1.923×10^{-2}	1.968×10^{-2}	1.174×10^{-2}	1.305×10^{-2}	1.341×10^{-2}
	Time(s)	92.9	555.3	214.4	222.9	821.5	693
(1,2,2)	MAE	8.165×10^{-3}	9.633×10^{-3}	1.025×10^{-2}	6.055×10^{-3}	6.556×10^{-3}	7.315×10^{-3}
	R ²	96.29%	95.40%	94.93%	98.9%	98.83%	98.35%
	RMSE	1.709×10^{-2}	1.902×10^{-2}	1.998×10^{-2}	1.139×10^{-2}	1.177×10^{-2}	1.395×10^{-2}
	Time(s)	95.4	557.5	214	228.6	820.5	695.1
(1,2,3)	MAE	8.34×10^{-3}	9.55×10^{-3}	1.053×10^{-2}	5.998×10^{-3}	7.276×10^{-3}	6.901×10^{-3}
	R ²	96.21%	95.33%	94.52%	98.94%	98.48%	98.66%
	RMSE	1.727×10^{-2}	1.918×10^{-2}	2.078×10^{-2}	1.121×10^{-2}	1.341×10^{-2}	1.258×10^{-2}
	Time(s)	97	569.4	215.8	236.9	808.3	696
(1,2,4)	MAE	8.307×10^{-3}	9.630×10^{-3}	9.506×10^{-3}	5.939×10^{-3}	8.489×10^{-3}	6.701×10^{-3}
	R ²	96.2%	95.29%	95.29%	98.96%	97.85%	98.73%
	RMSE	1.715×10^{-2}	1.925×10^{-2}	1.926×10^{-2}	1.109×10^{-2}	1.594×10^{-2}	1.226×10^{-2}
	Time(s)	98.9	562.9	216.4	239.0	822.3	695.4
(1,3,1)	MAE	8.215×10^{-3}	1.024×10^{-2}	9.393×10^{-3}	6.194×10^{-3}	6.878×10^{-3}	9.067×10^{-3}
	R ²	96.29%	95.1%	95.41%	98.83%	98.67%	97.98%
	RMSE	1.709×10^{-2}	1.965×10^{-2}	1.9×10^{-2}	1.176×10^{-2}	1.255×10^{-2}	1.546×10^{-2}
	Time(s)	96.0	564.2	214.8	232.6	822.1	695.3
(1,3,2)	MAE	8.305×10^{-3}	9.357×10^{-3}	9.253×10^{-3}	6.029×10^{-3}	6.58×10^{-3}	6.499×10^{-3}
	R ²	96.24%	95.49%	95.41%	98.93%	98.77%	98.82%
	RMSE	1.721×10^{-2}	1.884×10^{-2}	1.902×10^{-2}	1.125×10^{-2}	1.207×10^{-2}	1.118×10^{-2}
	Time(s)	98.1	566.8	215.2	237.3	822	696.7
(1,3,3)	MAE	8.260×10^{-3}	9.411×10^{-3}	9.818×10^{-3}	5.938×10^{-3}	6.542×10^{-3}	7.844×10^{-3}
	R ²	96.27%	95.32%	95.17%	98.97%	98.77%	98.34%
	RMSE	1.713×10^{-2}	1.919×10^{-2}	1.951×10^{-2}	1.105×10^{-2}	1.206×10^{-2}	1.398×10^{-2}
	Time(s)	97	565.6	216	244.9	825.4	697.1
(1,3,4)	MAE	8.222×10^{-3}	9.322×10^{-2}	9.399×10^{-3}	6.02×10^{-3}	6.905×10^{-3}	7.606×10^{-3}
	R ²	96.28%	95.43%	95.43%	98.94%	98.59%	98.41%
	RMSE	1.712×10^{-2}	1.897×10^{-2}	1.898×10^{-2}	1.112×10^{-2}	1.291×10^{-2}	1.372×10^{-2}
	Time(s)	95.8	565.2	216.7	249.4	825.5	697.3
(2,1,1)	MAE	8.402×10^{-3}	9.54×10^{-3}	9.77×10^{-3}	6.656×10^{-3}	7.699×10^{-3}	8.42×10^{-3}
	R ²	96.2%	95.46%	93.78%	98.44%	97.89%	97.49%
	RMSE	1.729×10^{-2}	1.891×10^{-2}	2.212×10^{-2}	1.359×10^{-2}	1.579×10^{-2}	1.723×10^{-2}
	Time(s)	85.3	563.5	214.1	222.4	821	693
(2,1,2)	MAE	8.285×10^{-3}	9.417×10^{-3}	9.76×10^{-2}	6.382×10^{-3}	1.164×10^{-2}	1.112×10^{-2}
	R ²	96.24%	95.42%	95.08%	98.64%	91.58%	93.13%
	RMSE	1.721×10^{-2}	1.899×10^{-2}	1.969×10^{-2}	1.269×10^{-2}	3.153×10^{-2}	2.849×10^{-2}
	Time(s)	88.9	556.3	215.5	229	825.7	696
(2,1,3)	MAE	8.416×10^{-3}	9.413×10^{-2}	9.367×10^{-3}	6.964×10^{-3}	8.088×10^{-3}	8.0×10^{-3}
	R ²	96.19%	95.35	95.2%	98.37%	97.46%	97.72%
	RMSE	1.731×10^{-2}	1.913×10^{-2}	1.944×10^{-2}	1.386×10^{-2}	1.733×10^{-2}	1.643×10^{-2}
	Time(s)	90.1	547.6	215.5	235.9	824	697
(2,1,4)	MAE	8.572×10^{-3}	9.596×10^{-3}	9.462×10^{-3}	7.518×10^{-3}	8.422×10^{-3}	7.777×10^{-3}
	R ²	96.1%	95.31%	95.17%	97.8%	97.11%	97.69%

	RMSE	1.751×10^{-2}	1.922×10^{-2}	1.951×10^{-2}	1.611×10^{-2}	1.848×10^{-2}	1.653×10^{-2}
	Time(s)	93.4	544.6	216.8	241.2	823.4	695
(2,2,1)	MAE	8.291×10^{-3}	9.338×10^{-3}	1.095×10^{-3}	6.718×10^{-3}	7.199×10^{-3}	1.021×10^{-2}
	R ²	96.27%	95.56%	94.76%	98.45%	98.27%	94.92%
	RMSE	1.714×10^{-2}	1.87×10^{-2}	2.031×10^{-2}	1.352×10^{-2}	1.429×10^{-2}	2.449×10^{-2}
	Time(s)	87.4	545.5	214.8	227.2	823.9	694.3
(2,2,2)	MAE	8.633×10^{-3}	9.763×10^{-3}	9.317×10^{-3}	6.683×10^{-3}	1.225×10^{-2}	8.222×10^{-3}
	R ²	96.19%	95.32%	95.46%	98.50%	89.95%	97.38%
	RMSE	1.732×10^{-2}	1.919×10^{-2}	1.891×10^{-2}	1.333×10^{-2}	3.445×10^{-2}	1.758×10^{-2}
	Time(s)	88.8	547.5	215.7	231.9	823.7	695.8
(2,2,3)	MAE	8.307×10^{-3}	9.357×10^{-3}	9.874×10^{-3}	6.638×10^{-3}	7.363×10^{-3}	7.47×10^{-3}
	R ²	96.22%	95.49%	95.03%	98.51%	98.04%	98%
	RMSE	1.725×10^{-2}	1.884×10^{-2}	1.978×10^{-2}	1.327×10^{-2}	1.523×10^{-2}	1.536×10^{-2}
	Time(s)	94.1	546.3	216.2	243.5	818.5	697
(2,2,4)	MAE	8.325×10^{-3}	9.625×10^{-3}	9.612×10^{-3}	6.759×10^{-3}	7.897×10^{-3}	8.278×10^{-3}
	R ²	96.19%	95.33%	95.39%	98.44%	97.51%	97.46%
	RMSE	1.731×10^{-2}	1.917×10^{-2}	1.906×10^{-2}	1.357×10^{-2}	1.715×10^{-2}	1.733×10^{-2}
	Time(s)	92.8	549.9	217.5	244	826.9	699.1
(2,3,1)	MAE	8.23×10^{-3}	9.517×10^{-3}	9.46×10^{-3}	6.969×10^{-3}	7.314×10^{-3}	8.93×10^{-3}
	R ²	96.25%	95.41%	95.33%	98.25%	98.1%	96.69%
	RMSE	1.719×10^{-2}	1.902×10^{-2}	1.919×10^{-2}	1.437×10^{-2}	1.5×10^{-2}	1.976×10^{-2}
	Time(s)	89.9	545.3	215.7	235.9	828.2	697.3
(2,3,2)	MAE	8.228×10^{-3}	9.233×10^{-3}	9.471×10^{-3}	7.727×10^{-3}	7.465×10^{-3}	8.919×10^{-3}
	R ²	96.25%	95.67%	95.33%	97.91%	98.03%	96.58%
	RMSE	1.714×10^{-2}	1.846×10^{-2}	1.943×10^{-2}	1.571×10^{-2}	1.526×10^{-2}	2.009×10^{-2}
	Time(s)	94.4	548.1	215.3	243.4	828.3	698.9
(2,3,3)	MAE	8.40×10^{-3}	9.299×10^{-2}	9.68×10^{-3}	6.879×10^{-3}	7.853×10^{-3}	8.216×10^{-3}
	R ²	96.23%	95.51%	95.02%	98.36%	97.68%	97.47%
	RMSE	1.722×10^{-2}	1.881×10^{-2}	1.979×10^{-2}	1.392×10^{-2}	1.654×10^{-2}	1.728×10^{-2}
	Time(s)	94.5	538.4	216.6	249.4	828.9	700.4
(2,3,4)	MAE	8.327×10^{-3}	9.257×10^{-3}	8.983×10^{-3}	6.818×10^{-3}	1.041×10^{-2}	7.86×10^{-3}
	R ²	96.24%	95.59%	95.6%	98.41%	93.8%	97.7%
	RMSE	1.72×10^{-2}	1.864×10^{-2}	1.856×10^{-2}	1.372×10^{-2}	2.708×10^{-2}	1.649×10^{-2}
	Time(s)	95.6	547.4	217.9	253.5	830.6	701.4

507 4.2.2 Model comparison with all baselines

508 To further evaluate the performances between our ST-RCNet-knn model and all baselines,
509 we chose the best model (in terms of predictive accuracy) after turning the hyperparameters for
510 each of all baselines. Specifically, for GMAN, we used the recent 5 hours and 7 hours for training
511 TencentGZ and TaxiBJ respectively. As in the Zheng et al. (2020), we set the number of layers,
512 attention heads and dimensions to (3, 4, 6) and (2, 3, 8) for GMAN on TencentGZ and TaxiBJ
513 respectively. For Graph WaveNet, we set the numbers of recent hours, sequences of dilation
514 factors and diffusion steps to (12, 8, 1) and (15, 8, 1) on TencentGZ and TaxiBJ respectively.
515 According to Table 1, we selected the inputs combination that resulted in the best MAE
516 performance. Therefore, we selected the (1, 2, 2), (2, 3, 2) and (2, 3, 4) for ST-RCNet-knn, ST-
517 ResNet and HRSSTs on TencentGZ, along with (1, 3, 3), (1, 3, 3) and (1, 3, 2) for them on TaxiBJ.
518 Additionally, we add one of variants of ST-RCNet-knn, ST-RCNet (i.e., without the k-NN part),
519 in this comparison. We selected the inputs of (2,1,1) and (1,1,2) on TencentGZ and TaxiBJ

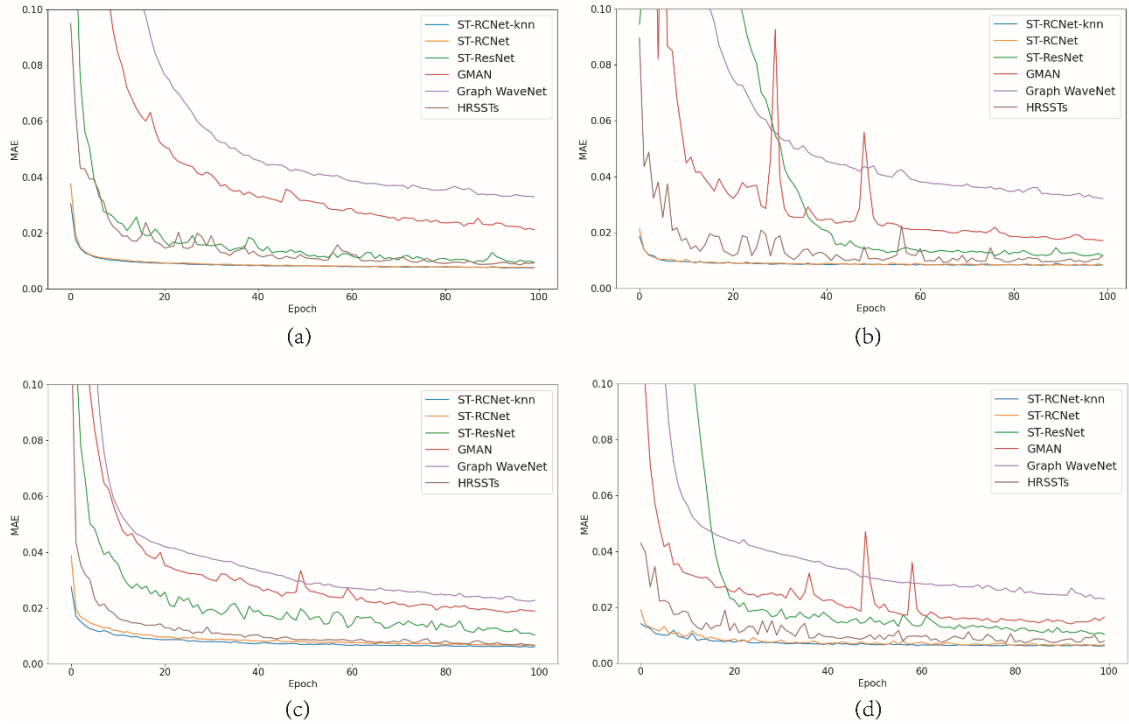
520 respectively for ST-RCNet. By setting these values, we ensure that the comparison is based on
 521 the best predictive performance of all models, making it a fair comparison.

522 The comparison results are presented in Table 2. It shows that our ST-RCNet-knn model
 523 outperforms all baselines on both datasets in terms of predictive accuracy and training time cost,
 524 followed by its trimmed version ST-RCNet (i.e., without the k-NN part). Compared to the other
 525 baselines (ST-ResNet, GMAN, Graph WaveNet, HRSSTs, and RF), our ST-RCNet-knn reduces
 526 their MAEs by 18.76% on average (minimum: 4.00%; maximum: 51.08%) in the TencentGZ dataset,
 527 and more importantly, the training time cost of our ST-RCNet-knn is only about 25.65%
 528 (minimum: 1.07%; maximum: 57.96%) of their training time costs. Similar results can be found
 529 for the TaxiBJ dataset. Additionally, the GMAN and Graph WaveNet are worse than the other
 530 models, probably because these two models make predictions only based on the last several hours,
 531 without making use of the weekly and daily patterns. In summary, compared to the baselines,
 532 our model significantly reduces the training time cost, while still maintaining a better predictive
 533 accuracy.

534 Table 2. The performance of all models

Data	Metric	ST-RCNet-knn	ST-RCNet	ST-ResNet	GMAN	Graph WaveNet	HRSSTs	RF
Tencent GZ	MAE	8.17×10^{-3}	8.24×10^{-3}	9.23×10^{-3}	9.99×10^{-3}	1.67×10^{-2}	8.98×10^{-3}	8.51×10^{-3}
	R ²	96.29%	96.19%	95.67%	94.66%	84.43%	95.6%	95.67%
	RMSE	1.71×10^{-2}	1.73×10^{-2}	1.85×10^{-2}	2.05×10^{-2}	3.5×10^{-2}	1.86×10^{-2}	1.85×10^{-2}
	Time(s)	95.4	129	548.1	8934.2	1185.02	217.9	164.6
TaxiBJ	MAE	5.94×10^{-3}	5.95×10^{-3}	6.54×10^{-3}	7.09×10^{-3}	1.63×10^{-2}	6.50×10^{-3}	7.29×10^{-3}
	R ²	98.97%	98.9%	98.77%	98.42%	90.6%	98.82%	98.31%
	RMSE	1.11×10^{-2}	1.12×10^{-2}	1.21×10^{-2}	1.37×10^{-2}	3.33×10^{-2}	1.12×10^{-2}	1.41×10^{-2}
	Time(s)	244.9	310.2	825.4	16212.8	2713.6	696.7	422.4

535 Figure 5 shows the learning curves of all models on the two datasets. Since each model has
 536 different computational complexity, Table 3 presents their computation time for each epoch. For
 537 both the training and test curves, our ST-RCNet-knn model has better performance of training
 538 convergence on the two datasets. This suggests that the proposed combination of GRU, CNN and
 539 k-NN together with the hourly, daily, and weekly sequences is more efficient in capturing the
 540 spatio-temporal dependences of the data, and therefore leads to faster training convergence.
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Figure 5. The training curve of all DL-based models: (a) – training curves on TencentGZ; (b) – test curves on TencentGZ; (c) – training curves on TaxiBJ; (d) – test curves on TaxiBJ. Note that different models have different computational time in an epoch (See Table 4).

546

Table 3. The computation time (in second) for each training epoch.

Data	ST-RCNet-knn	ST-RCNet	ST-ResNet	GMAN	Graph WaveNet	HRSSTs
TencentGZ	0.6	0.5	0.5	10.5	0.4	1.2
TaxiBJ	1	1.1	0.9	16.2	0.9	3.1

547

548 4.3 Ablation study

549 To investigate how effective each component contributes to the predictive performances of
550 our ST_RCNet_knn, we compare the four variants by removing the parts of k-NN, weekly, daily,
551 and recent hourly pattern from ST-RCNet-knn separately. For each of these four variants, we
552 select the inputs combination with best performance from all inputs combinations. Table 4 shows
553 that ST-RCNet-knn (i.e., with all four components) outperforms all variants in terms of accuracy,
554 indicating that considering all these four components together (k-NN part, weekly, daily, and
555 recent hourly pattern) can better model the complex spatio-temporal dependencies. Additionally,
556 compared to removing k-NN part, the models with k-NN lead to a much lower training time.
557 This suggests that the k-NN part is helpful to accelerate the convergence of loss function, and
558 thus reduce the training time cost.

559

Table 4. The comparison between variants.

Data	Metric	ST-RCNet-knn	without k-NN	without weekly	without daily	without hourly
	MAE	8.17×10^{-3}	8.24×10^{-3}	8.34×10^{-3}	8.2×10^{-3}	8.2×10^{-3}

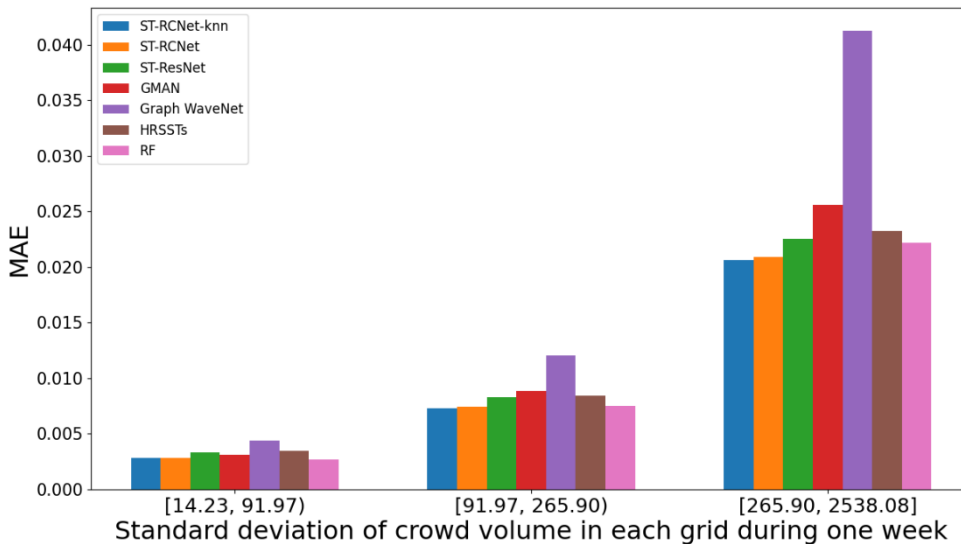
TencentGZ	R ²	96.29%	96.19%	96.14%	96.26%	96.27%
	RMSE	1.71×10⁻²	1.73×10 ⁻²	1.74×10 ⁻²	1.72×10 ⁻²	1.71×10⁻²
	Time(s)	95.4	129	88	88.5	82.6
TaxiBJ	MAE	5.94×10⁻³	5.95×10 ⁻³	5.97×10 ⁻³	5.97×10 ⁻³	7.32×10 ⁻³
	R ²	98.97%	98.9%	98.95%	98.9%	98.3%
	RMSE	1.11×10⁻²	1.12×10 ⁻²	1.11×10⁻²	1.11×10⁻²	1.41×10 ⁻²
	Time(s)	244.9	310.2	203.1	235.6	237.4

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562 4.4 Influences of the crowd volume variation on the model predictions

563 This section investigates how the variation of the hourly crowd volumes in each grid cell
564 influences the predictive accuracies of the seven models. The same hyperparameter values as in
565 Section 4.2.2 were used. We focused on the TencentGZ dataset, and filtered out the grid cells
566 where the hourly crowd volume (i.e., the number of people presented in the region) has never
567 exceeded 100. We therefore removed 197 cells (out of the total 900 ones).

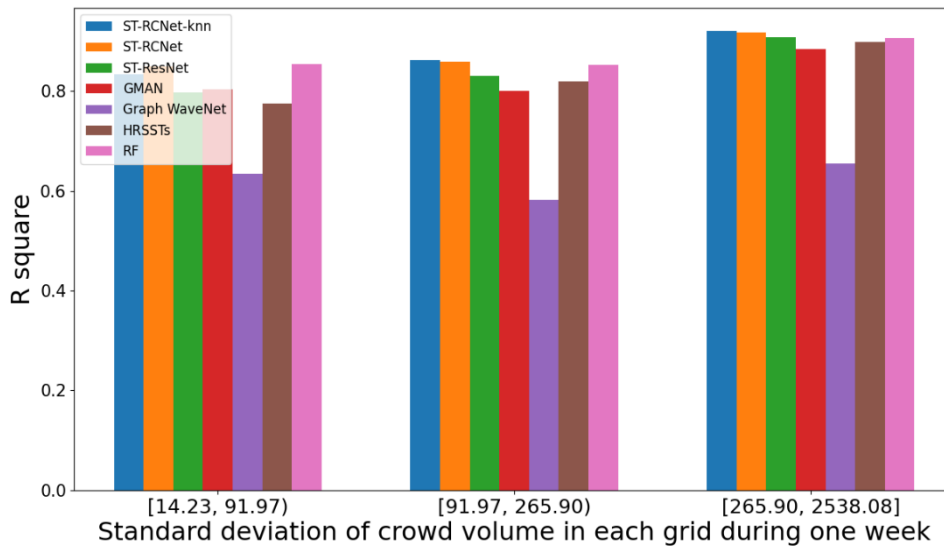
568 Figure 6 shows the results of the MAEs. The x-axis represents the standard deviation of the
569 hourly crowd volumes in each grid cell, which is then classified into three groups. The y-axis
570 shows the MAEs of the seven models. As shown in Figure 6, the MAEs of the seven models
571 increase greatly with the increase of the standard deviation of the crowd volumes. This is
572 expected as grid cells with frequently changing crowd volumes are more difficult to predict than
573 cells with less changes. More importantly, our ST-RCNet-knn model is better than the baselines
574 in general, and the MAE gaps between ST-RCNet-knn and the baselines become bigger with the
575 increase of the standard deviation of crowd volumes. Figure 7 shows the results of R². Similarly,
576 our ST-RCNet-knn model is better than the baselines, especially when the standard deviation of
577 crowd volume increase. The above results illustrate that compared to the baselines, our ST-
578 RCNet-knn model is generally able to provide more accurate prediction of crowd volumes for
579 both grid cells that are highly changing and cells that have less changes.



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Figure 6. The MAEs of our ST-RCNet-knn model and the baselines on grid cells with different degrees of crowd change.



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Figure 7. The R² of our ST-RCNet-knn model and the baselines on grid cells with different degrees of crowd change.

587 4.5 Prediction of crowd information influenced by a large-scale special event

588 This section aims to study how our ST-RCNet-knn model and the baselines perform when
589 predicting crowd information during a large-scale special event. An international light festival of
590 Guangzhou held on the time included in the TencentGZ dataset was found. The international
591 light festival held on Monday, 26 November 2018 led to the large gathering of people at Zhujiang
592 New Town which is the CBD of Guangzhou. It covers an area of 6.44 km². Figure 8 shows how
593 the crowd volumes of the relevant grids differ on the light festival Monday and other Mondays.
594 As shown in Figure 8, these two hourly trend lines were similar to each other before 17:00, while
595 the obvious differences took place after 17:00. The international light festival was held at 19:00,
596 hence the crowd volumes reached the peak at 19:00. People began to gradually leave after 22:00
597 when the light show was over. As shown in the literature, predicting crowd information during
598 such a large-scale special event is very challenging (Ni, He and Gao 2017, Li et al. 2017a).

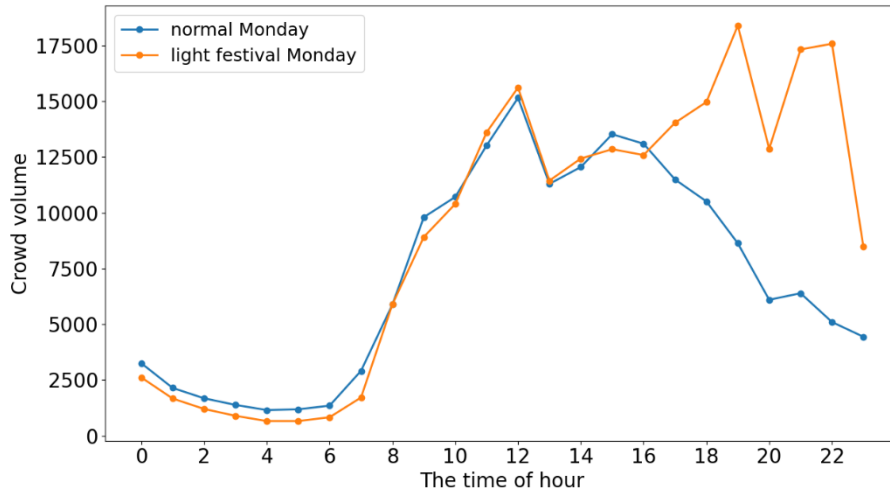
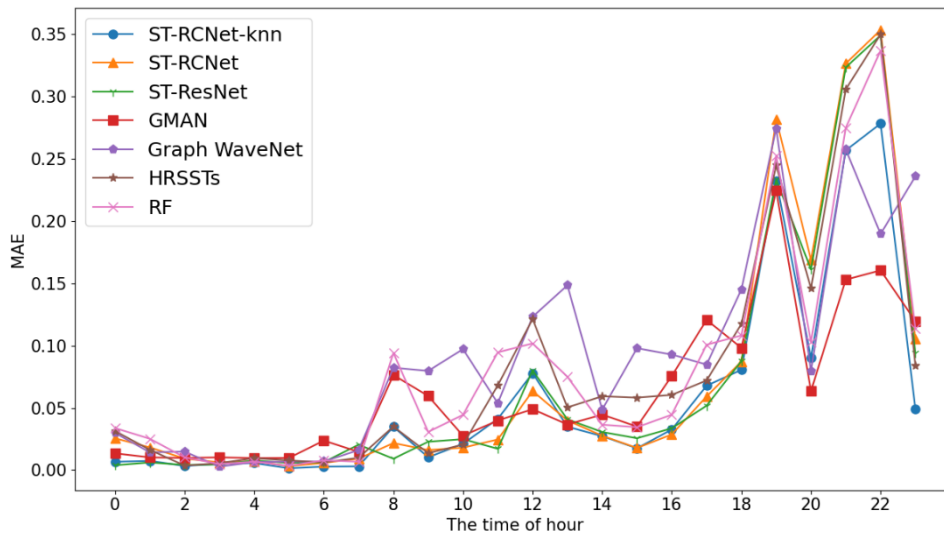


Figure 8. Varying trends of the crowd volumes of the relevant grid cells on the light festival Monday (i.e., 26 November 2018) and other Mondays.

In the following, we compare the performances of all models in predicting crowd volumes during the international light festival. Here, the same hyperparameter values as in Section 4.2.2 were used. The MAEs of different models changing over 24 hours on the light festival day are shown in Figure 9. As expected, for all models, the MAEs quickly increased during the period of the light festival. Importantly, the MAEs of our ST-RCNet-knn model (i.e., the blue line with circle) is below the MAEs of the baselines during the period of the light festival, except those of GMAN. Specifically, during the period from 17:00 to 23:00, our ST-RCNet-knn model reduces the MAEs by 21.9%, 15.7%, 14.2%, 22.7%, and 22.8% on average compared to ST-RCNet, ST-ResNet, Graph WaveNet, HRSSTs, and RF, respectively. Note that while GMAN model outperforms our ST-RCNet-knn model during the period, its training time cost is about 93 times more than our model. All these demonstrate that our ST-RCNet-knn model is able to achieve good predictive results with extremely low time cost, when the situation of large people gathering in short time was happening.



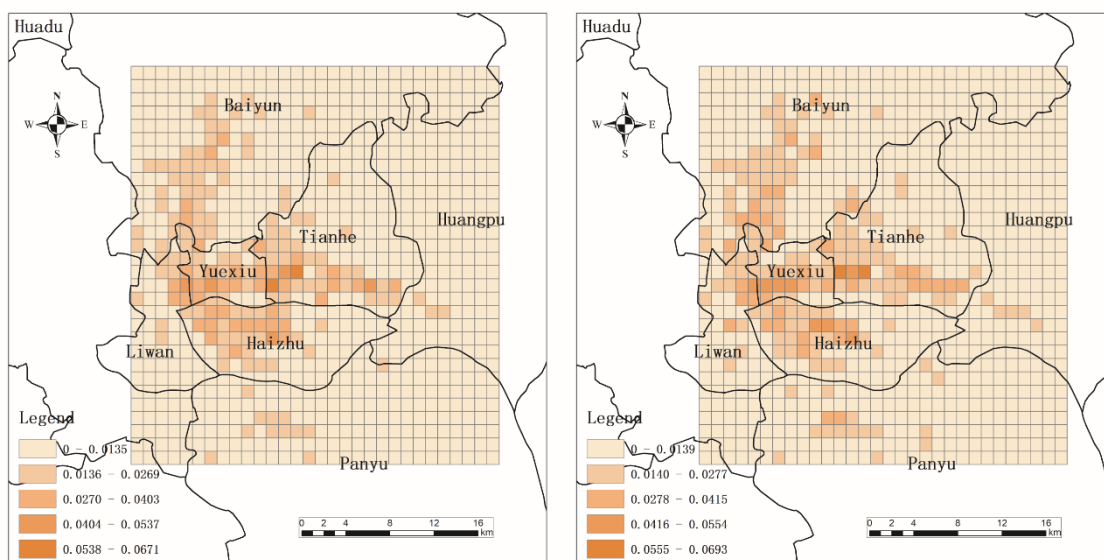
616

617 Figure 9. The predictive performance of our ST-RCNet-knn model and the baselines on the light
 618 festival day in Guangzhou
 619

620 *4.6 Spatial and temporal distributions of the predictive performance*

621 Across the city, prediction errors are likely to vary spatially and temporally for a variety of
 622 reasons. To further examine the characteristics of our ST-RCNet-knn model, this section
 623 investigates how its predictive performance (focusing on MAE) varies spatially and temporally,
 624 using the TencentGZ dataset. Again, the input sequence lengths (1,2,2) are selected for the ST-
 625 RCNet-knn model.

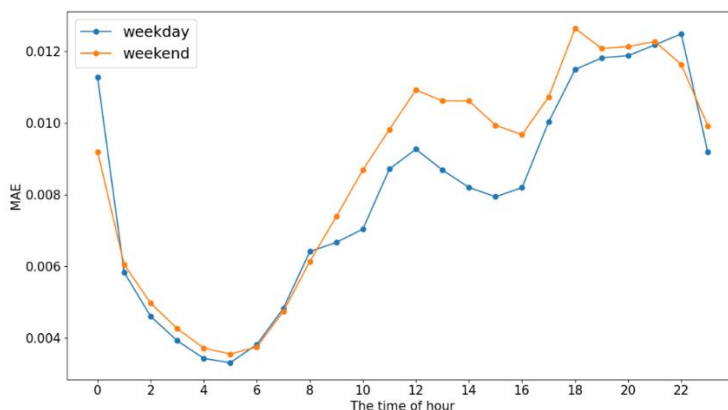
626 Figure 10 shows the spatial distribution of the MAEs, comparing weekdays and weekends.
 627 According to the MAEs, we classify all the grid cells in Guangzhou into five groups using an
 628 equal interval classification scheme. As shown in Figure 6, 95% of the grids have low-level errors
 629 (i.e., the 2 categories with the lowest MAEs in the legends) for both weekday and weekend, which
 630 illustrates that our ST-RCNet-knn model has a high potential to be used in city management. Grid
 631 cells with a relatively high level of errors (i.e., the 2 categories with the highest MAEs in the
 632 legends) are mainly located around the urban villages in the neighboring area of Liwan, Haizhu,
 633 and Yuexiu District (old city center), as well as around the CBD located in Tianhe District (new
 634 city center). Specifically, one of the dark-orange cells is always located at an urban village near
 635 the most prosperous area of Guangzhou no matter on weekday or weekend. This is probably
 636 because a large percentage of population lives in narrow urban villages there (due to relatively
 637 low housing expenses and proximity to workplaces), and the high and irregular human mobility
 638 happens in these areas.



639
 640 Figure 10. Spatial distribution of the predicting errors (MAEs) of our ST-RCNet-knn model,
 641 comparing weekdays (left) and weekends (right).
 642

643 Figure shows the temporal distribution of the predicting errors. As shown in Figure 11, the
 644 MAEs of our ST-RCNet-knn model are relatively low from 1 am to 11 am, and start to increase
 645 and fluctuate for the rest of the day. This can be explained by the fact that most people often have
 646 relatively regular activity patterns (e.g., either resting at home or commuting) from 1 am to 11
 647 am. And starting from the middays, people start to be involved in various activities over different
 648 areas in a city, which then significantly influences the crowd distribution in the city and makes it
 649 more difficult to achieve an accurate prediction.

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Figure 11. Temporal distribution of the predicting errors of our ST-RCNet-knn model.

653 5. Discussion

654 The main aim of this paper is to explore a model to reduce the training time cost while
655 maintaining an excellent predictive accuracy in forecasting citywide crowd information at a fine
656 spatio-temporal scale. To this end, we propose ST-RCNet-knn, which integrates two DL
657 approaches (i.e., GRU and CNN) and a conventional ML method k-NN to jointly model the
658 spatial and temporal dependences between any two regions in a city. Using two different datasets
659 in two different cities, we show that, compared to the state-of-the-art models, our ST-RCNet-knn
660 model performed better in terms of MAEs and R^2 for both datasets. More importantly, the
661 training time costs of ST-RCNet-knn model are just about 26.16% on average (minimum: 1.07%;
662 maximum: 57.98%). In other words, compared to the baselines, our model significantly reduces
663 the training time cost, while still maintaining a better predictive accuracy. Comparison between
664 ST-RCNet-knn and its trimmed version ST-RCNet (i.e., with the k-NN part) shows that adding
665 k-NN reduces the training time costs to approximately 76.45% of ST-RCNet. The above results
666 demonstrate that compared to the baselines, our ST-RCNet-knn model can better capture both
667 temporal dependences (via the GRU part) and spatial dependences (via the CNN and k-NN part).
668 Meanwhile, due to the relatively simpler and shallower structure, our ST-RCNet-knn model leads
669 to a significant less training time cost. Furthermore, the adding of k-NN to our model also helps
670 to capture more spatial information and to accelerate the convergence of loss function, thus
671 reducing the training time cost of the proposed model and further improving its predictive
672 accuracy.

673 Checking the model performance when predicting crowd information during a large-scale
674 special event (when massive crowds are gathering in short time), we found that our ST-RCNet-
675 knn model outperforms almost all baselines and only slightly worse than GMAN in such a case
676 (note that the training time cost of GMAN is about 93 times more than that of our model). This is
677 desirable, as accurately predicting sudden massive crowds gathering is of vital importance to
678 applications related to public safety and helps to prevent potential catastrophic accidents. The
679 evaluation results also show that the MAEs of all models increase with the increase of the
680 standard deviation of the crowd volumes, and R^2 of all models increase with the increase of the
681 standard deviation of the crowd volumes. However, our ST-RCNet-knn model is generally able
682 to provide more accurate prediction for both regions that are highly changing and those with less
683 changes. Such advantages of our model under large-scale events and crowd volume variation
684 might be due to the proposed combination of GRU, CNN and k-NN together with the hourly,
685 daily, and weekly sequences.

686 In summary, the evaluation results show that our ST-RCNet-knn model significantly
687 outperforms the state-of-the-art models in terms of both the predictive accuracies and the training
688 time costs. Meanwhile, ST-RCNet-knn is able to make accurate prediction under the influences
689 of large-scale special events with lowest training time cost, as well as robust to regions with
690 various degrees of variations. All these suggest that our proposed model has a high potential in
691 many applications (e.g., city management and transportation), in which forecasting citywide
692 crowd information at a fine spatio-temporal scale is a key.

693 Several limitations of this study (and thus future work) should be noted. Firstly, in the
694 evaluation, data were at an 1km × 1km spatial and 1 hour temporal resolution, and from two
695 large cities. It would be interesting to investigate how our proposed model performs across
696 different spatial and temporal scales, as well as in medium and small cities. Secondly, while our
697 model allows to capture some spatio-temporal dependences, more explicit considerations of the
698 underlying geographic features, e.g., land use, distance to city center, POI categories and their
699 distribution, road/transportation network configuration, are still missing. Considering such
700 geographic features might improve the “transferability” of a predictive model. Thirdly, despite
701 being significantly better than the state-of-the-art model, the MAEs of our model still increase
702 greatly with the increase of the standard deviation of the crowd volumes, as well as when the
703 situation of massive crowd of people gathering in short time was happening. Further research
704 attentions should be paid to such issues. Again, explicitly considering the underlying geographic
705 contexts might be a potential solution.

706 **6. Conclusion**

707 This paper proposes a novel and efficient model (i.e., ST-RCNet-knn) to reduce the training
708 time cost while maintaining an excellent predictive accuracy in forecasting citywide crowd
709 information at a fine spatio-temporal scale. ST-RCNet-knn seamlessly integrates GRU, CNN and
710 k-NN to jointly capture the spatial and temporal dependences in a citywide. The evaluation with
711 two different datasets in two different cities shows that our ST-RCNet-knn significantly
712 outperforms the state-of-the-art models in terms of predictive accuracy, training time cost, and
713 abilities in making accurate prediction with lowest training time cost under the influences of
714 large-scale special events and for regions with various degrees of variations. All these suggest
715 that ST-RCNet-knn is an *effective, efficient, and reliable* method for forecasting citywide crowd
716 information at a fine spatio-temporal scale, and has a high potential for many applications, such
717 as city management, public safety, and transportation.

718 Further research attentions should be paid to improve the prediction under the influences of
719 large-scale short-time and irregular events and for regions with high degrees of variations.
720 Considering the underlying geographic features (e.g., land use, road/transportation network
721 configuration), as well as external aspects (e.g., weather) might be a potential solution.
722 Meanwhile, we are also interested in developing explainable AI techniques to better understand
723 the capacities and limitations of the prediction models.

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726 **Declarations of interest**

727 none

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