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2 **Carbon nanofiber dispersion in alkali solution and its reinforcement of alkali-activated volcanic ash-** 3 **based geopolymers**

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8 **Abstract**

9 Volcanic ash has several negative impacts on the environment and can be used in massive to synthesize
10 geopolymers, which are considered quasi-brittle. Carbon nanofibers (CNFs) can reinforce the geopolymers,
11 and the dispersion of CNFs directly determines the reinforcement effect. However, the dispersion of CNFs in
12 alkali solutions is challenging. In this paper, the effect of surfactant type, dosage, and ultrasonic time on CNFs
13 dispersion in aqueous and alkali solutions was investigated by the quantitative method of microscopic image
14 processing to determine the preferred dispersion scheme. Zeta potential tests and visual observation were used
15 to analyze the mechanism and stability. Based on this, volcanic ash-based geopolymer nanocomposites with
16 0.1 wt% contents of CNFs were synthesized. The mechanical properties were tested, and the microstructure
17 was characterized using Scanning Electron Microscope coupled with Energy Dispersive Spectroscopy and
18 Mercury Intrusion Porosimetry. The results showed that methylcellulose (MC), polycarboxylate
19 superplasticizer (PC), and polyvinylpyrrolidone (PVP) could disperse CNFs in aqueous, but evident
20 aggregations can be observed in the alkali solution. PC performed better than MC and PVP in alkali solutions,
21 with 4.83% aggregation area of the whole image, 86% of 0-100 μm^2 aggregations, and an average aggregation
22 area of 87 μm^2 , beneficial from the comb-like structures. Dispersed CNFs can improve the 28-d flexural and
23 compressive strength of the resulting geopolymer nanocomposite by 23% and 16%, respectively, and refine
24 the pore structure through filling, bridging, and nucleation effects, decreasing the average pore size and
25 porosity by 33% and 15%. This paper will contribute to the resourceful use of volcanic ash to reduce pollution
26 and the higher reinforcement of CNFs in alkali-activated geopolymers.

27 **Keywords:** Volcanic ash, Geopolymer, Carbon nanofibers, Dispersion, Mechanical properties

28 **1. Introduction**

29 Volcanic ashes are fragments less than 2 mm in diameter formed by magma condensed during volcanic

eruptions (Rose and Durant, 2009). The reservation of volcanic ash is abundant due to volcanic activity worldwide (Djobo et al., 2017). However, several environmental problems are caused by volcanic ash, including water pollution, heavy metals, and air pollution (Horwell and Baxter, 2006; Wygel et al., 2019). Therefore, the resourceful use of volcanic ash in massive is necessary. Geopolymers are an environmentally friendly way to use volcanic ash with less energy consumption and lower carbon footprint compared to cement production and are beneficial to reduce pollution, land occupation problems and global warming (Djobo and Stephan, 2022; Wang, Y. et al., 2021; Zhang et al., 2021; Zhou et al., 2021).

It has been reported that cementitious materials, including geopolymers, have the disadvantages of low tensile strength and poor strain capacity, often presenting brittle damage (Konsta-Gdoutos et al., 2010; Pan et al., 2011), and the problem gets further aggravated due to the pozzolanic effect of precursors of geopolymerization (Farhan et al., 2021). Adding fibers can inhibit the development of cracks and reinforce cementitious materials (Bai et al., 2020; El-Sayed and Shaheen, 2020; Kan et al., 2020). Carbon nanofibers (CNFs) are considered an excellent reinforcer. They can improve the mechanical properties and toughness at the microscopic scale and are well reflected in flexural strength due to their small size, high strength, high aspect ratio, and large specific surface area (Shi et al., 2019).

The uniform dispersion of CNFs is the key and prerequisite for acting as reinforcement and interacting with the geopolymer (Aslani and Wang, 2019; Zhao et al., 2018). Several physical and chemical methods are investigated to improve the dispersion in aqueous, including mechanical stirring, ball milling, ultrasonic treatment, acid functionalization, and adding surfactants (Yazdanbakhsh et al., 2010; Yuan et al., 2017). The most commonly used dispersion method combines adding surfactants and ultrasonication.

However, some researchers proposed that carbon nanomaterials (CNMs) may re-agglomerate in alkaline environments, although they were well-dispersed in aqueous. The aggregations would not only affect the reinforcement function of CNMs but also possibly lead to defects (Lu and Zhong, 2022). Recently, the research mainly focused on graphene oxide (GO) and carbon nanotubes (CNTs) dispersing in cement pore solution but limited to CNFs. Zhao et al. (2018) investigated the influence of different water reducing agents on GO dispersion in cement pore solution. The results showed that polycarboxylate superplasticizer performs superior to sodium lignosulfonate and b-naphthalene sulfonate formaldehyde in dispersing GO uniformly. Chuah et al. (2018) suggested that the high alkalinity of the solution and high concentration of Ca^{2+} are the main factors for the aggregation of GO in cement pore solution. And polycarboxylate, air entrainer, and Gum Arabic

59 promise to the dispersion. Jiang et al. (2003) prepared CNT aqueous solution and studied the variation of
60 CNTs dispersion with pH. It was found that the surfactant sodium dodecyl sulfate significantly fails to adsorb
61 on the CNTs resulting in agglomerations when pH is above 8. Stephens et al. (2016) proposed that although
62 re-aggregation of CNFs occurs regardless of the dispersion method, the dosage of the surfactant and CNFs,
63 the surfactant can beneficially affect the size and distribution of the aggregations.

64 For geopolymers, the commonly used alkali activators are sodium hydroxide (NaOH), potassium
65 hydroxide, sodium silicate, and other solutions at 6-14 mol/L concentrations, whose alkalinity is significantly
66 higher than cement pore solution. Also, the higher Na⁺ concentration in alkali activators would be another
67 factor for the aggregation (Heister et al., 2010; Zhao et al., 2020). However, to the best of the authors'
68 knowledge, only one research is available on the dispersion of CNMs in alkali activator solutions.
69 Davoodabadi et al. (2021) compared the dispersions of CNTs in sodium silicate and NaOH solutions and
70 claimed that a smaller agglomeration size appears in the Na₂SiO₃ based nanofluid.

71 Therefore, considering the high concentration and strong alkalinity of the alkali activator solutions and
72 the changed agglomerations caused by the structural distinctions between CNFs, GO and, CNTs, it is vital to
73 compare the dispersion effects of different surfactants in alkali activators and preferably select a favorable
74 dispersion scheme for the promotion of CNF-reinforced volcanic ash-based geopolymers. In this paper, the
75 number, area, and particle size distribution of CNF aggregations in CNF-aqueous and CNF-NaOH solutions
76 were quantitatively characterized by microscopic image processing to determine the proper dispersion scheme,
77 including the surfactant type, dosage, and ultrasonic time. The dispersion mechanism was analyzed in
78 combination with the zeta potential test. Then, the volcanic ash-based geopolymer nanocomposites with 0 and
79 0.1% CNFs were prepared through the preferred scheme. The mechanical properties of the nanocomposites
80 were investigated, and microstructures were characterized by a Scanning Electron Microscope coupled with
81 Energy Dispersive Spectroscopy (SEM-EDS) and Mercury Intrusion Porosimetry (MIP) test to verify the
82 reinforcement effect of dispersed CNFs.

83 **2. Materials and methods**

84 **2.1 Materials**

85 The CNFs used in this study were XFM60, 50-200 nm in diameter and 1-15 μm in length, produced by
86 chemical vapor deposition (Nanjing XFNANO Technology, Nanjing, China). Their physical properties are
87 shown in Table 1.

Table 1 Properties of CNFs.

Commercial name	Length (μm)	Diameter (nm)	Purity (%)	Tap density (g/cm^3)	True density (g/cm^3)	Specific surface area (m^2/g)
XFM60	1-15	50-200	>95%	0.0431	2.1	>18

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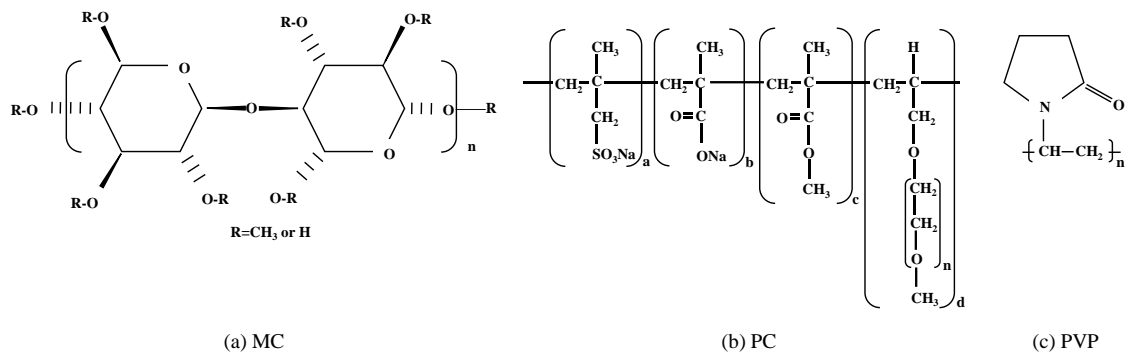
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Since the geopolymerization reaction environment is strongly alkaline and ionic surfactants are more susceptible to high ionic concentration (Sargam et al., 2021), three commonly used commercially available nonionic surfactants, methylcellulose (MC), polycarboxylate superplasticizer (PC), and polyvinylpyrrolidone (PVP) were selected. MC was purchased from Shanghai Aladdin Biochemical Technology (Shanghai, China) with a viscosity factor of 15 mPa·s, Sika 540P PC with a comb-like molecular structure was from Shanghai Chenqi Chemical Technology Co (Shanghai, China), and polyvinylpyrrolidone (PVP) with a relative molecular weight of 8000 and a K value of 16-18 was from Shanghai Aladdin Biochemical Technology (Shanghai, China). The appearance of the three surfactants is a white powder, and their molecular structures are shown in Figure 1. Also, a control group was set up to disperse CNFs directly in aqueous and NaOH solutions without surfactant.



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Figure 1 Molecular structure of (a) MC, (b) PC, and (c) PVP.

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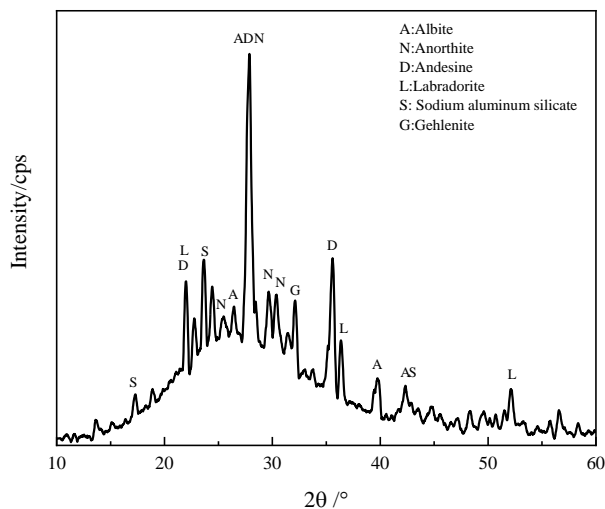
The volcanic ash used in this study was obtained from the Jilin Province of China. The chemical composition of the volcanic ash is shown in Table 2. It can be seen that the sum proportion of SiO_2 and Al_2O_3 is more than 50%. The XRD pattern of the volcanic ash shown in Figure 2 indicates that the amorphous and feldspar-like minerals are the main phase of volcanic ash. The particle size distribution of volcanic ash is shown in Figure 3. As can be seen, d_{10} , d_{50} and d_{90} of volcanic ash are 1.230, 13.289 and 57.195 μm , respectively.

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Table 2 Chemical composition of volcanic ash (wt%).

Item	SiO ₂	FeO	Al ₂ O ₃	CaO	Na ₂ O	K ₂ O	MgO	TiO ₂	P ₂ O ₅	MnO
Volcanic ash	43.3	16.7	16.5	8.8	3.8	3.3	3.0	2.9	0.7	0.3

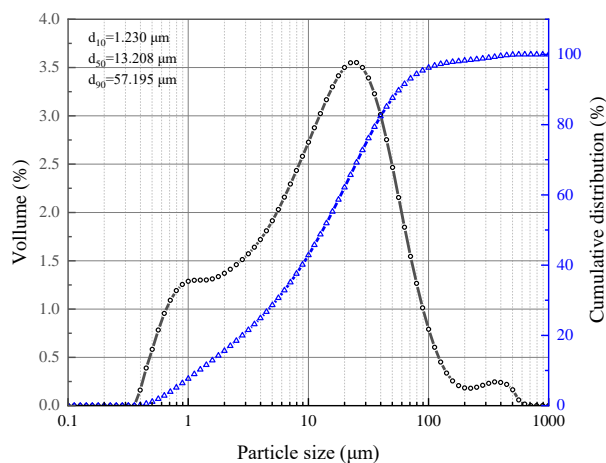
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Figure 2 XRD pattern of volcanic ash.



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Figure 3 Particle size distribution curves of volcanic ash.

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The alkali activator used was NaOH solution, prepared by mixing NaOH pellets with 99% purity (Beijing Chemical Works, Beijing, China) with distilled water.

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2.2 Methods

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2.2.1 Preparation of CNF dispersions

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CNFs were ground with a mortar (bowl for grinding) to destroy the fluffy structure produced by the lap between fibers. Then, the dispersions testing for the effect of surfactant type, dosage, and ultrasonic time was prepared, as shown in Table 3.

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For the effect of surfactant type tests, the concentration of CNFs was constant at 2 g/L using MC, PC, and PVP as surfactants, and a control group without surfactants was set. The mass ratio of surfactant/CNFs was fixed at 6:1. The detailed procedure included weighing surfactants and CNFs, dissolving the surfactants into distilled water and stirring manually for 3 min, adding CNFs to the surfactant solution, and mixing for another 2 min. Then, the suspension was dispersed in an ultrasonic crusher (Sonics and Materials Vibra-Cell VCX800, USA) for 30 min with an amplitude of 80% at the cycle of 15 s and a temperature limit of 60°C to prevent flocculation of the surfactant due to overheating. The resulting dispersions were the CNF-aqueous solution, and the CNF-alkali solution was obtained after adding 8 mol/L NaOH solution. The control and experimental groups were denoted N-W, MC, PC, and PVP for the CNF-aqueous solution and N-Na, MC-Na, PC-Na, and PVP-Na for the CNF-alkali solution, respectively.

PC was chosen as the only surfactant for the effect of surfactant dosage tests, and the mass ratio of surfactant/CNFs was set at 4:1, 6:1, 8:1, and 10:1. The rest of the test conditions and procedures remained unchanged. The CNF-aqueous solutions were denoted PC4, PC6, PC8, and PC10, and the CNF-alkali solutions were marked PC4-Na, PC6-Na, PC8-Na, and PC10-Na.

The effect of ultrasonic time of 0 min, 15 min, 30 min, and 60 min was also compared at the mass ratio of surfactant/CNFs at 6:1 using PC as the surfactant. The CNF-aqueous solutions were denoted PC-0, PC-15, PC-30, and PC-60, and the CNF-alkali solutions were denoted PC-0-Na, PC-15-Na, PC-30-Na, and PC-60-Na.

Table 3 Dispersion test schemes.

Testing groups	Surfactant type	The mass ratio of surfactant/ CNFs	Ultrasonic time (min)	Aqueous or NaOH solution
N-W	/	/	30	Aqueous
N-Na	/	/	30	NaOH
MC	MC	6:1	30	Aqueous
MC-Na	MC	6:1	30	NaOH
PC4	PC	4:1	30	Aqueous
PC4-Na	PC	4:1	30	NaOH
PC-0	PC	6:1	0	Aqueous
PC-0-Na	PC	6:1	0	NaOH
PC-15	PC	6:1	15	Aqueous
PC-15-Na	PC	6:1	15	NaOH
PC	PC	6:1	30	Aqueous
PC-Na	PC	6:1	30	NaOH
PC-60	PC	6:1	60	Aqueous

PC-60-Na	PC	6:1	60	NaOH
PC8	PC	8:1	30	Aqueous
PC8-Na	PC	8:1	30	NaOH
PC10	PC	10:1	30	Aqueous
PC10-Na	PC	10:1	30	NaOH
PVP	PVP	6:1	30	Aqueous
PVP-Na	PVP	6:1	30	NaOH

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143 2.2.2 Characterization of CNFs dispersion

144 The dispersion uniformity and proportion of CNF aggregations in aqueous and alkali solutions were
 145 comparatively evaluated by a developed quantitative characterization method for microscope image
 146 processing.

147 Firstly, the microscope images were obtained by the following steps: 1. Placed 10 drops sampled from
 148 the mid-position of the CNF solution on glass slides using a pipetting gun and cover them with coverslips. 2.
 149 Waited for 3-5 min for the particles to settle. 3. Took 50 images randomly of the drops from each group of
 150 dispersions at a magnification of 160× using a microscope (VR-3200, Keyence, Japan).

151 Then, all the acquired images were processed with a developed program based on OpenCV to identify,
 152 binarize, and analyze CNF aggregations' size and number. The maximum inter-class variance (OTSU) method
 153 was used to calculate the global threshold and accurately binarize the images to reflect the aggregation
 154 morphology. The calculation principle is shown in Equations (1) and (2).

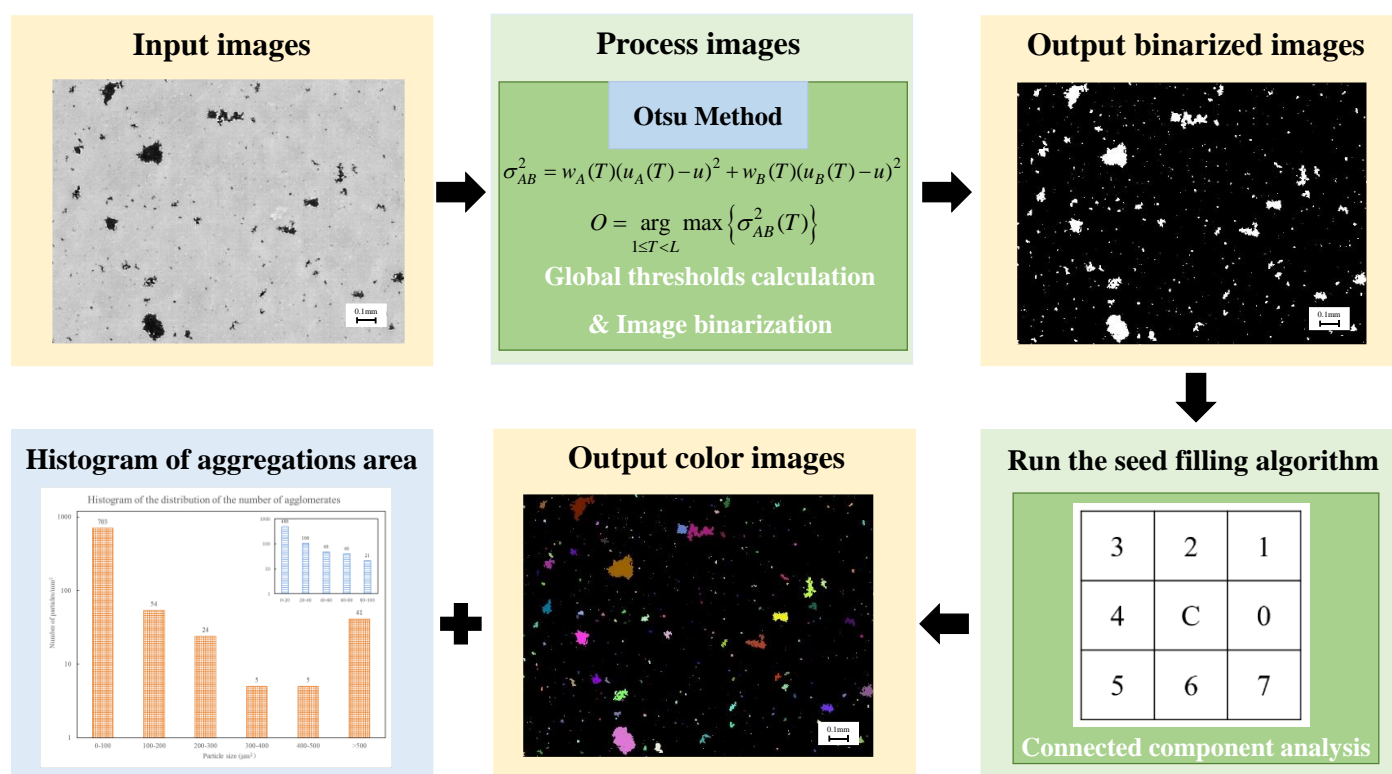
$$155 \sigma_{AB}^2 = w_A(T)(u_A(T) - u)^2 + w_B(T)(u_B(T) - u)^2 \quad (1)$$

$$156 O = \arg \max_{1 \leq T < L} \{ \sigma_{AB}^2(T) \} \quad (2)$$

157 Where σ_{AB}^2 is intra-class variance; A and B are the set of pixel points in the foreground and background
 158 areas; L is the grayscale value; T is the segmentation threshold; w_A and u_A are the proportion of foreground
 159 points to the image and the average grayscale; w_B and u_B are the proportion of background points to the image
 160 and the average grayscale; u is the average grayscale value of the whole image, and O is the best threshold
 161 value to be used in the end. The program also supports manual input of specified thresholds to adjust the image.

162 After the binarization processing, the connected domain of the image was analyzed by the seed filling
 163 method to determine the areas that have the same grey value and are adjacent to each other. Information about
 164 the connected domains in the foreground can be obtained and automatically output in the form of color maps
 165 and tables. The tables included the area of each aggregation, the total number of aggregations, and the

166 proportion of the agglomerate area in the whole image. Based on this, the distribution of different aggregation
 167 areas per mm² can be calculated and used as an indicator, together with the area proportion, for quantitative
 168 evaluation of the dispersion effect of CNF. Figure 4 presents the flow chart of the scheme for image processing.



169
170 Figure 4 Scheme flow chart for image processing.

171 The zeta potential of the dispersions was characterized by an analyzer (Zetasizer Nano ZS90, Malvern,
 172 UK) to investigate the dispersion mechanism. The dispersions were diluted 20 times before testing to keep the
 173 measured values within the test range.

174 In addition, the stability of dispersions after 0 h, 12 h, and 72 h ultrasonication was assessed by visual
 175 observation of the status and precipitation.

176 2.2.3 Synthesis of nanocomposites of CNF and geopolymer

177 Four CNF/geopolymer nanocomposites with 0 and 0.1% CNFs by weight of volcanic ash were prepared
 178 to test the mechanical properties and microstructure, denoting F0 and F10. The content of 0.1 wt% was chosen
 179 because it was determined that 0.1 wt% of nanofibers could effectively reinforce the cementitious materials,
 180 while the effect would be negatively affected below or above the content (Al-Rub et al., 2012; Ge et al., 2015;
 181 Li et al., 2021; Rovnaník et al., 2016). The NaOH solution was prepared 24 h before casting at a constant
 182 concentration of 8 mol/L, and the water/binder ratio was 0.30 for all groups. The volcanic ash and CNF
 183 dispersions were pre-mixed for 60 s in a mortar mixer (DB-10, Jianyi, Jiangsu, China) to reduce the direct

184 contact between CNF and NaOH. Then the NaOH solution was added and stirred at 140 ± 5 r/min for 120 s and
185 285 ± 10 r/min for another 120 s to obtain the geopolymer nanocomposites. The slurry was then poured into
186 molds of $40\times 40\times 160$ mm³ and vibrated. The molds were covered by polyethylene film and cured at 60 °C.
187 After 6 h, the specimen was demolded and placed in the curing box at 60 °C at 80% relative humidity for 3,
188 7, and 28 days to avoid shrinkage and efflorescence (Jiang et al., 2023).

189 **2.2.4 Characterization of nanocomposites of CNF and geopolymer**

190 According to GB/T-17671-2020, the three-point bending and uniaxial compression tests were carried out
191 using the improved electronic universal testing machine (RGM-6300, Shenzhen Regel Instrument, China) for
192 3, 7, and 28 days. Three specimens were tested for flexural strength with a 0.1 mm/min displacement rate, and
193 the span was 100 mm. The compressive strength test was conducted on the two halves of the prism obtained
194 from three-point bending tests with a 0.5 mm/min displacement rate.

195 To evaluate the changes in microscopic morphology and pore structure of the geopolymer after the
196 addition of CNF and to verify the enhancement effect of dispersed CNF, the microscopic morphology and C-
197 element distribution were characterized by SEM-EDS (SU8020, Hitachi, Japan). The pore size distribution
198 and porosity of the geopolymer nanocomposites were evaluated by MIP (Autopore IV 9500, Micromeritics
199 Instrument, Norcross, Georgia).

200 **3. Results and discussion**

201 **3.1 Dispersion effect of CNFs in solutions**

202 **3.1.1 Effect of surfactant type**

203 (1) Microscopic image processing analysis

204 Typical optical micrographs, binary images, and histograms of the area distribution of CNF aggregations
205 in aqueous solutions obtained from microscopic image processing are shown in Figure 5. As CNFs floated
206 completely on the aqueous surface without surfactant and could not be tested, the control group of N-W was
207 not included in this part. It can be seen from the macroscopic state in Figure 5 that all three types of surfactants
208 were beneficial to the dispersion of CNFs in aqueous. No notable large particles were in the images, and the
209 distribution seemed uniform as point-like aggregations. As shown in the histogram of area distribution, the
210 area of CNF aggregations was concentrated in the range of $0-100$ μm², with the proportion of MC, PC, and
211 PVP being 99%, 98%, and 99%, respectively. The numbers of aggregations >100 μm² were all below 3%.
212 Thus, all dispersions were considered high-quality.

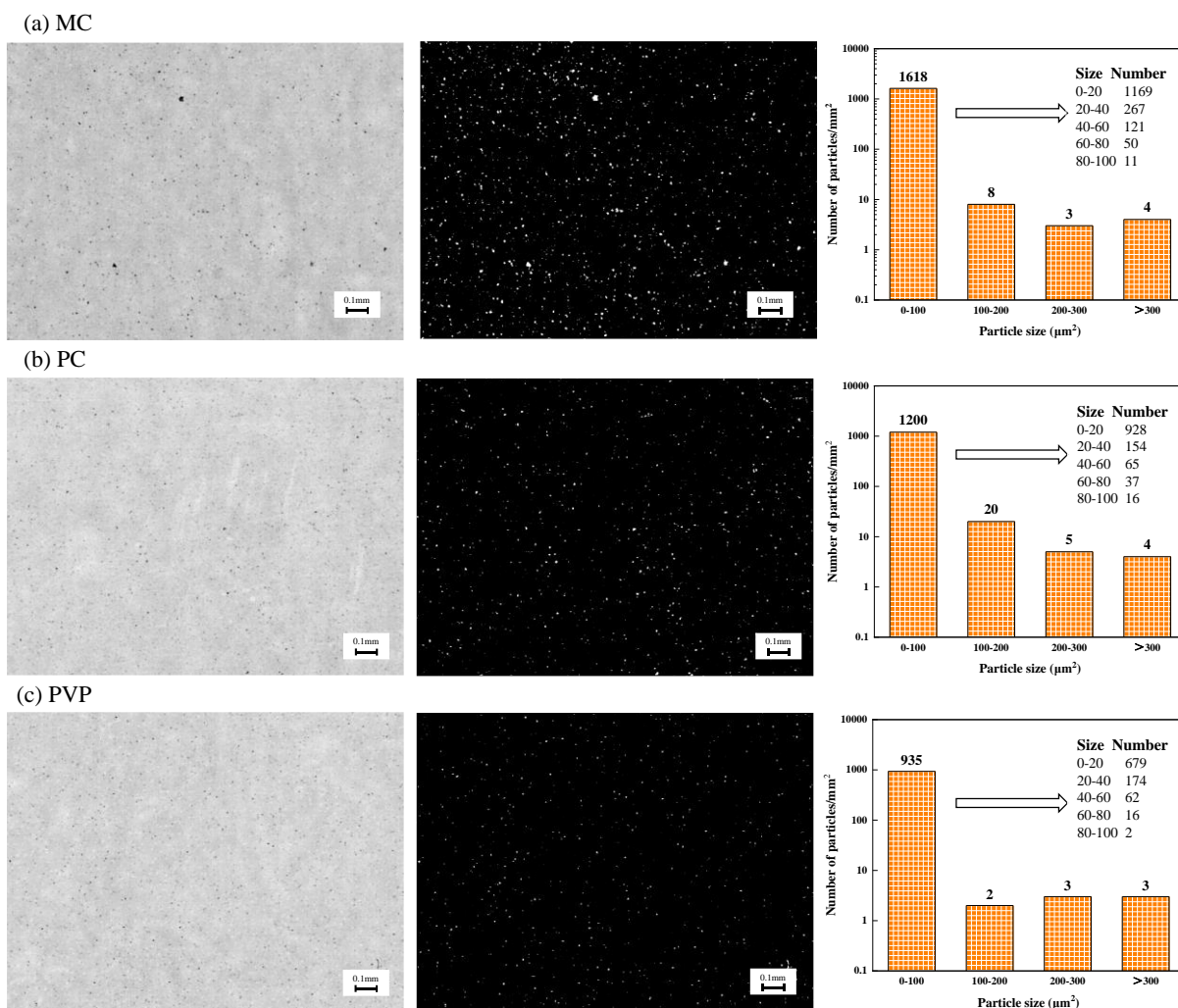
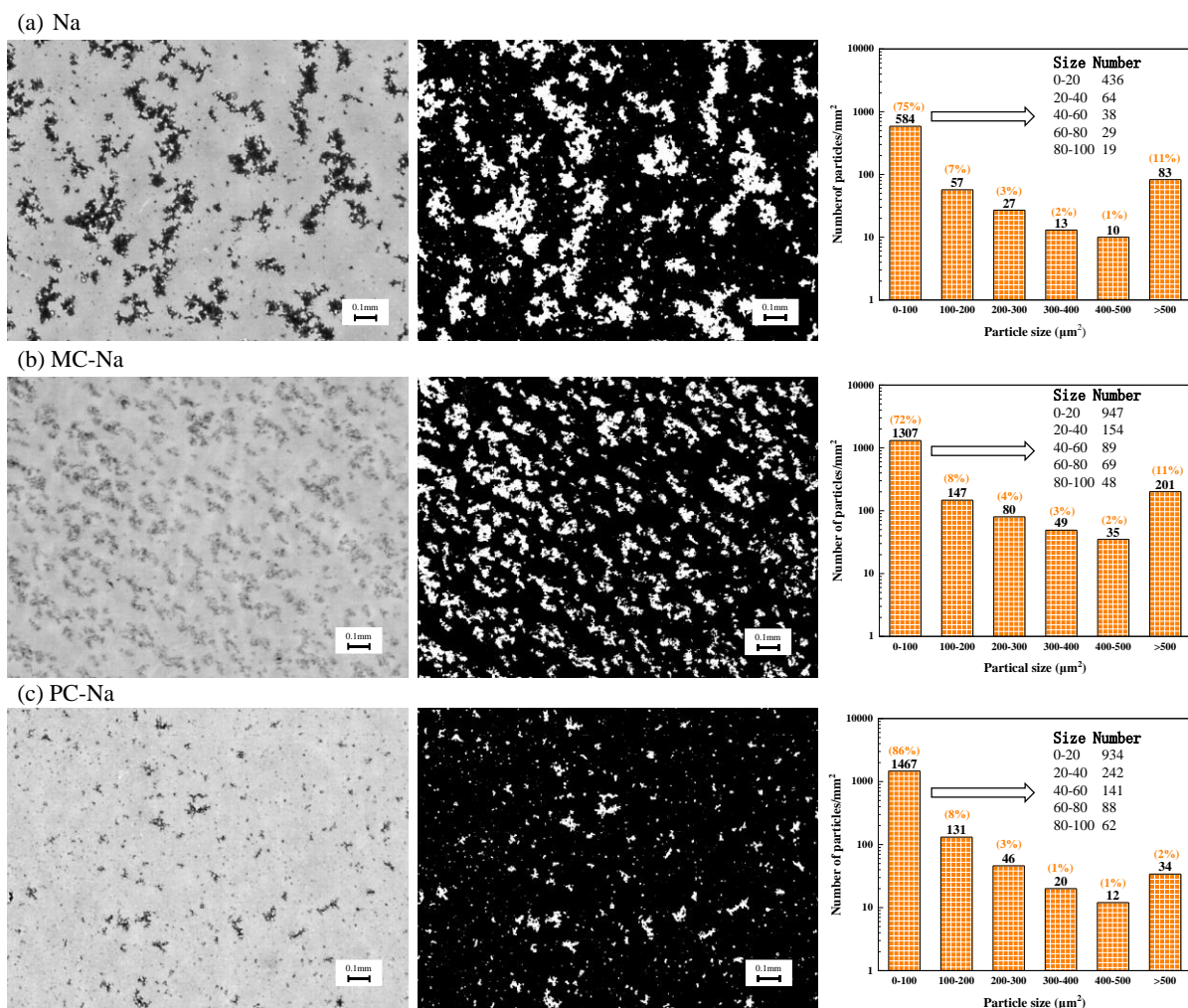


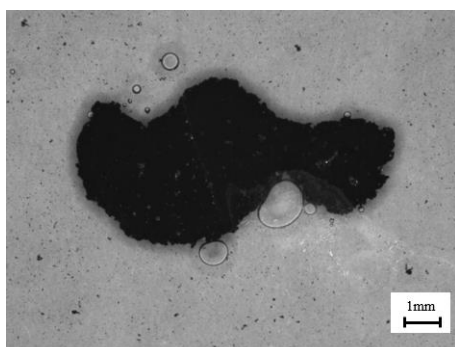
Figure 5 Typical optical micrographs (160 \times), binary images, and histograms of the number of CNF particles per mm^2 versus the area of the particles of each area class in aqueous solutions with (a) MC, (b) PC, and (c) PVP.

Typical optical micrographs, binary images, and histograms of the area distribution of CNF aggregations in alkali solutions obtained from microscopic image processing are presented in Figure 6. It was noted that CNFs in the PVP-Na group agglomerated rapidly and exhibited an apparently oversized particle that cannot be included in the magnification of 160 \times . The optical micrograph (40 \times) of the large aggregation formed is displayed in Figure 7. Therefore, we believe that PVP does not disperse CNF well in NaOH, and PVP-Na is no longer involved in the comparison. Comparing the morphology shown, the Na group without surfactant formed aggregations with irregular shapes and various sizes, and large particles can be observed. The MC-Na group showed flocculent particles of similar size, clearly different from the other groups. Particles in PC-Na seemed to be smaller in different shapes. The histogram of area distribution indicated that the area of aggregations in the CNF-alkali solution was still the most in the 0-100 μm^2 interval, but the proportion of which was significantly lower than that of the corresponding aqueous solution. The number of aggregations

227 in the Na, MC-Na and PC-Na groups accounted for 75%, 72% and 86% of the total. $>500 \mu\text{m}^2$ aggregations
 228 were 11%, 1%, and 2% of the total in Na, MC-Na and PC-Na groups, respectively. The significant increase of
 229 aggregations $>100 \mu\text{m}^2$ mainly came from the particles already present in the aqueous and the secondary
 230 agglomeration of CNFs in the alkaline solution (Stephens et al., 2016).



231
 232 Figure 6 Typical optical micrographs (160 \times), binary images and histograms of the number of CNF particles per mm² versus the
 233 area of the particles of each area class in (a) NaOH, (b) MC-Na, and (c) PC-Na solutions.



234
 235 Figure 7 The optical micrograph (40 \times) of the large aggregation formed in the PVP-Na group.

236 Table 4 lists the relevant parameters for the aggregation area calculated. The total aggregations in MC,

PC, and PVP groups were 1632, 1225, and 941, respectively. The MC group had the most significant particle proportion of 1.08% of the total image area, while the PC and PVP groups were 0.89% and 0.64%, respectively. In terms of the average size of the aggregations, the results were basically the same for the three groups. The number of aggregations $>1000 \mu\text{m}^2$ could be ignored, and the maximum particle size for all groups was around $2200 \mu\text{m}^2$, with only a $35 \mu\text{m}^2$ difference between the most significant MC and the minor PVP groups. Overall, all three surfactants effectively dispersed CNFs in aqueous, with PVP performing outstanding, followed by PC and MC.

For CNF-alkali solutions, Table 4 shows that the total number of aggregations was comparable, between 1710 and 1820, except for the Na group, with only 774. The proportion of aggregation area to the whole image in the MC-Na group reached 18.84%, exceeding 13.84% in the Na and 4.83% for PC-Na groups. Three groups displayed remarkably different average particle sizes, with $571 \mu\text{m}^2$ in the Na group and only $87 \mu\text{m}^2$ in PC-Na. The number of particles $>1000 \mu\text{m}^2$ in the MC-Na group was up to 113, while the PC-Na group had only 14. The largest aggregation size that could be detected exceeded $30,000 \mu\text{m}^2$ for both the Na and MC-Na groups and $7345 \mu\text{m}^2$ for PC-Na. Therefore, it was believed that the well-performed surfactants in aqueous would fail in a strong alkali solution, with notable aggregations of CNFs.

Table 4 CNF particle size analysis in aqueous and alkali solutions with different surfactants.

	MC	PC	PVP	Na	MC-Na	PC-Na
Total number of particles/mm ²	1632	1225	941	774	1819	1710
Total particle area proportion (%)	1.08	0.89	0.64	13.84	18.84	4.83
Average particle size (μm^2)	21	20	20	571	325	87
Number of particles $>1000 \mu\text{m}^2$	0	1	1	60	113	14
Maximum particle size (μm^2)	2230	2215	2195	32693	30598	7345

(2) Dispersion mechanisms

According to the Derjagin-Landau-Verwey-Overbeek (DLVO) theory, surfactant molecules adsorbed on CNF provide repulsive interaction that reduces the surface tension of the dispersion and prevents aggregations (Liescher et al., 2016; Motoyoshi et al., 2022; WANG et al., 2012). The adsorption effect can be characterized by zeta potential, and the larger the absolute value of zeta potential, the greater the repulsive interaction to overcome the van der Waals forces. Table 5 shows the zeta potential of CNF-aqueous and CNF-alkali solutions. PVP presented the highest zeta potential at -12.0 mV , followed by PC at -9.6 mV and MC at -1.8 mV . It can be inferred that although the surfactants used had different structures, they all can produce repulsive forces in

aqueous, but the effects were not stable enough since the zeta potentials were below 25 mV.

For CNF-alkali solutions, it can be found that the difference in zeta potential between the groups is noticeable. Na showed electronegativity at -14.9 mV, and MC-Na, PC-Na, and PVP-Na turned to low values for different degrees, at -5.0, -4.0, and -5.6 mV, respectively, proving the adsorption of surfactant molecules. PC-Na significantly changed zeta potential and outperformed MC-Na and PVP-Na, benefiting from the comb-like structure consisting of polymeric main chains and side chains. MC and PVP with simple structures overcome van der Waals forces mainly by forming molecular films on the CNFs surface, and PC can adjust the chain of adsorption depending on the environment. As shown in Figure 8, in alkali solutions, Na^+ from high alkalinity activators may replace the surfactant molecules and adsorbed directly onto the CNFs, resulting in partial stripping of the surfactants and a rapid decrease in the zeta potential of the slipping layer in the double layer repulsion. The van der Waals gravitational potential energy was not affected, thus severely shielding the interaction between charges or spatial site resistances, leading to re-aggregations of CNFs (Lu and Zhong, 2022; Lu et al., 2018). PC molecules with a comb-like structure were attached to the CNFs surface with side chains when $\text{pH} > 11$ to inhibit the proximity of some Na^+ and kept the slipping surface away, resulting in an abruptly positive change of zeta potential due to the adsorption of Na^+ on the main chain (Zhao et al., 2018). The adsorption effect was proposed to be related to the molecular weight of the side chains (Liebscher et al., 2016; Qin et al., 2021). Therefore, the repulsion between CNFs wrapped by PC molecules was superior to MC and PVP, and the variation of zeta potential was more significant.

Table 5 Zeta potential of CNF-aqueous and CNF-alkali solutions.

	MC	PC	PVP	Na	MC-Na	PC-Na	PVP-Na
Zeta potential (mV)	-1.8	-9.6	-12.0	-14.9	-5.0	-4.0	-5.6

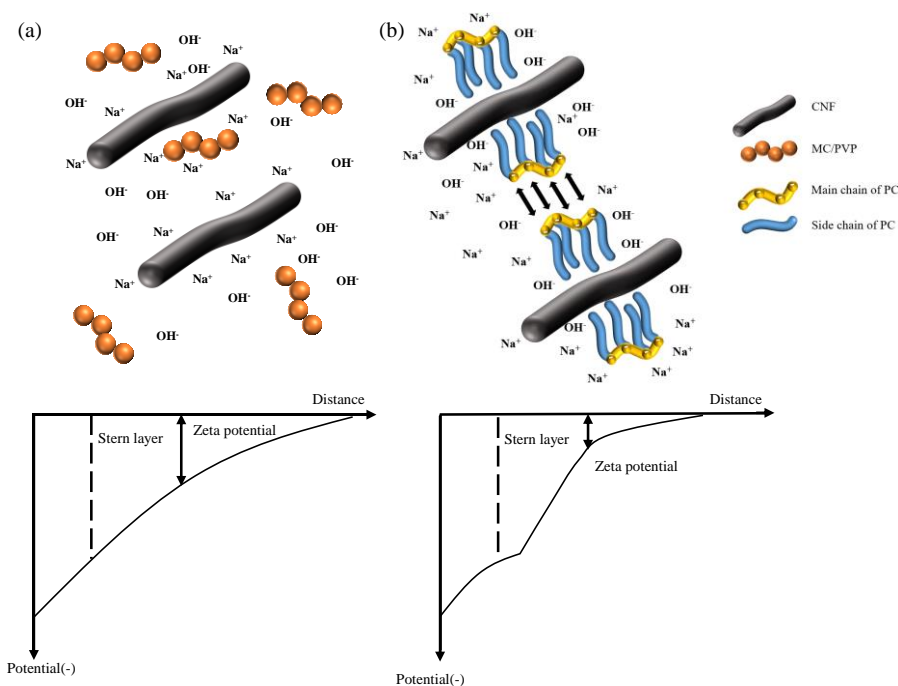


Figure 8 Schematic diagram of CNF dispersion mechanisms and double electric layers in (a)MC-Na/PVP-Na and (b)PC-Na.

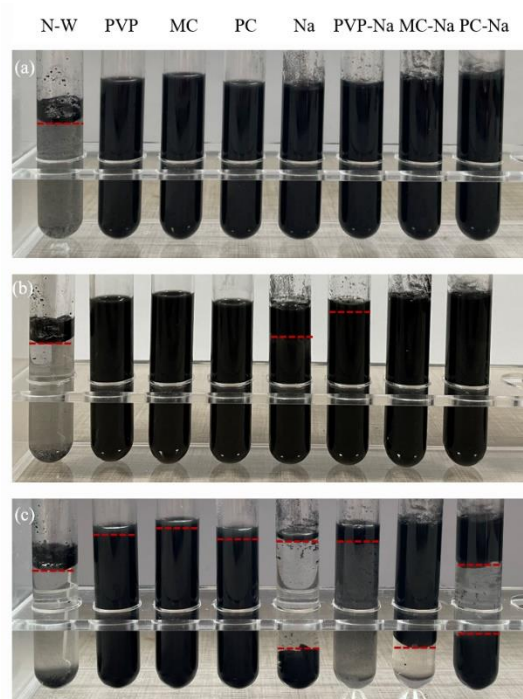
(3) State and stability

Figure 9 shows visual observations of the dispersion state and stability of the CNFs in aqueous and alkali solutions at 0 h, 12 h, and 72 h after ultrasonication. The CNFs were difficult to dissolve in aqueous in the N-W group without surfactant and floated on the surface, partially sucking on the wall of the tubes. Over time, the solution became clarified since the limited dissolved CNFs moved up. MC, PC, and PVP groups displayed homogeneous black solutions at 0 h and 12 h, with slight stratification at 72 h as the CNFs settled. Overall, the dispersion state of CNF-aqueous solutions remained satisfactory and stable for 72 h.

CNFs in alkali solutions behaved much less well than in aqueous. CNFs in the Na group without surfactant suspended in the solution as uneven. Stratification occurred at 12 h, with some CNFs aggregates upwards and some downwards, with the middle gradually becoming transparent, and by 72 h, the boundaries of the three layers were visible. Surfactants alleviated the phenomenon at 12 h. The MC-Na and PC-Na groups presented uniformly black, and the PVP-Na group was slightly stratified due to the production of large aggregations. However, all groups had clear boundaries at 72 h, indicating poor long-term stability. The difference was that the aggregations in MC-Na and PVP-Na were concentrated above the solution. The former contained a considerably larger volume of the CNF particles than the clear solution, while the latter behaved the opposite. The aggregations in PC-Na were truncated from the middle and divided into three layers, like Na. The probable reason for the change in dispersion state was that Na^+ adsorbed on the CNF surface and the

301 surfactant molecules gradually flaked off. Thus, the spatial site resistance or the electrostatic repulsion
302 decreased, resulting in a certain amount of aggregations. Moreover, once aggregations were formed, the
303 specific surface area of the exposed CNFs decreased dramatically, leading to the desorption of the surfactants,
304 further intensifying the agglomerations and creating a vicious cycle (Zhao et al., 2018). As a result,
305 maintaining the stability of the dispersions was challenging. The different layering behaviors may be related
306 to the plastic viscosity of the solution. The CNFs in PC-Na and Na lacked the force to converge in the same
307 direction since the relatively low plastic viscosity, thus splitting into three layers, while MC had.

308 The above analysis concluded that PC effectively dispersed CNFs in aqueous and was superior to MC
309 and PVP in alkali solutions, so PC was selected as the surfactant for the subsequent tests.

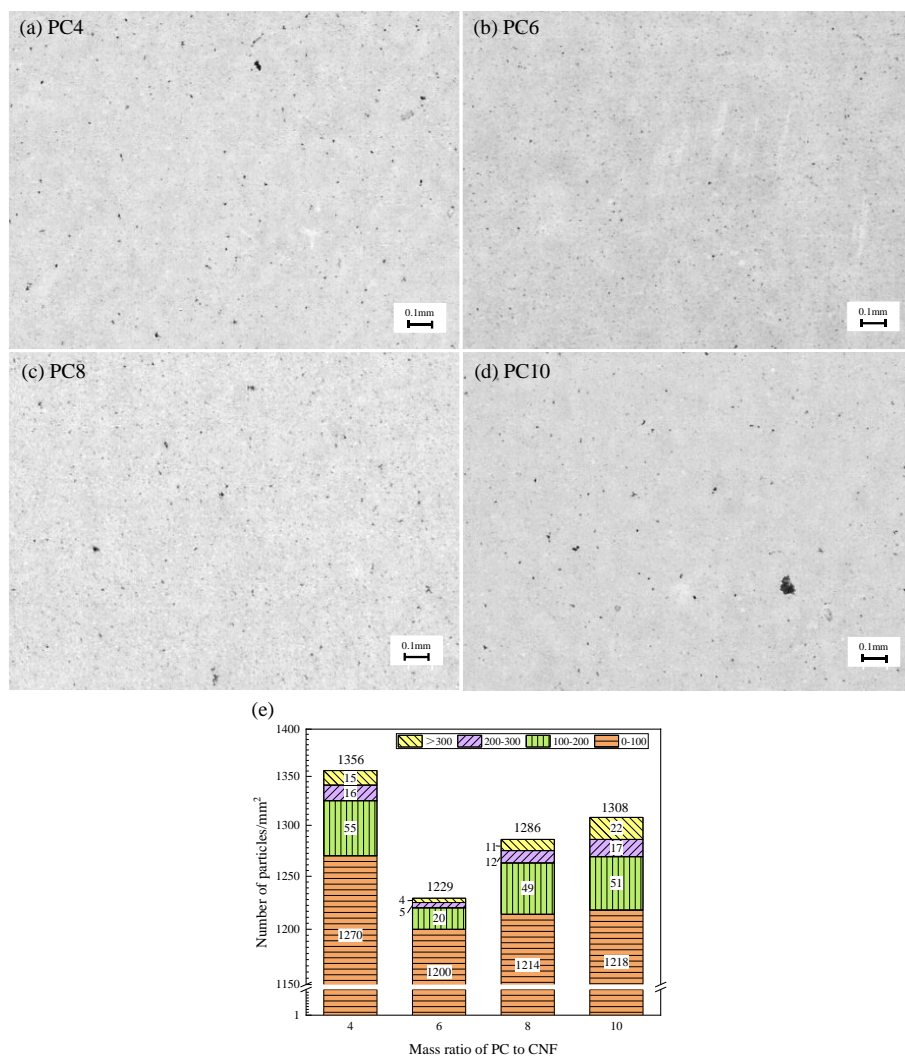


310
311 Figure 9 Dispersion state and stability of CNFs in aqueous and alkali solutions at (a) 0h, (b) 12h, and (c) 72h after ultrasonication.

312 3.1.2 Effect of surfactant dosage

313 Figure 10 shows typical optical micrographs and distribution of aggregations in CNF-aqueous solutions
314 prepared with PC to CNFs mass ratios of 4:1, 6:1, 8:1, and 10:1. It can be seen from Figure 10 (a)-(d) that the
315 optimal dosage was PC6 in CNF-aqueous with no noticeable particles and uniform distribution. Figure 10 (e)
316 presented that all groups' total aggregations were between 1200 and 1400. 98% of the particles in PC6 were
317 in the range of 0-100 μm^2 , while PC4, PC8, and PC10 had 94%, 94%, and 93% of this zone. It can be explained
318 that PC4 had insufficient molecules to adsorb on CNFs, resulting in small particles. In PC8 and PC10, the
319 adsorption was saturated, and the excess molecules may increase the plastic viscosity, affecting the

320 consequence. Given that the aggregation size of all groups was more than 90% concentrated in 0-100 μm^2 , it
 321 was considered that the dispersion was successful in all cases.



322
 323 Figure 10 Typical optical micrographs (160 \times) of (a) PC4, (B) PC6, (c) PC8, and (d) PC10 solutions, and (e) distribution of CNF
 324 particles per mm^2 in each group.

325 Typical optical micrographs and distribution of aggregations in CNF-alkali solutions are shown in Figure
 326 11. It can be found that aggregates formed in the NaOH solutions regardless of the PC dosage. The size of the
 327 particles in PC4-Na, PC6-Na, and PC8-Na was similar in size and uniformly dispersed, while PC10-Na
 328 appeared as relatively larger particles. Figure 11 (e) revealed that the total aggregations increased compared
 329 to the aqueous solution between 1500 and 1800. 82%, 86%, 86%, and 80% of PC4-Na, PC6-Na, PC8-Na, and
 330 PC10-Na particles were in the 0-100 μm^2 size range, and the number of particles >500 μm^2 increased
 331 compared to aqueous, accounting for 5%, 2%, 3% and 4% of the total, respectively. It was clear that PC6-Na
 332 and PC8-Na were well-dispersed.

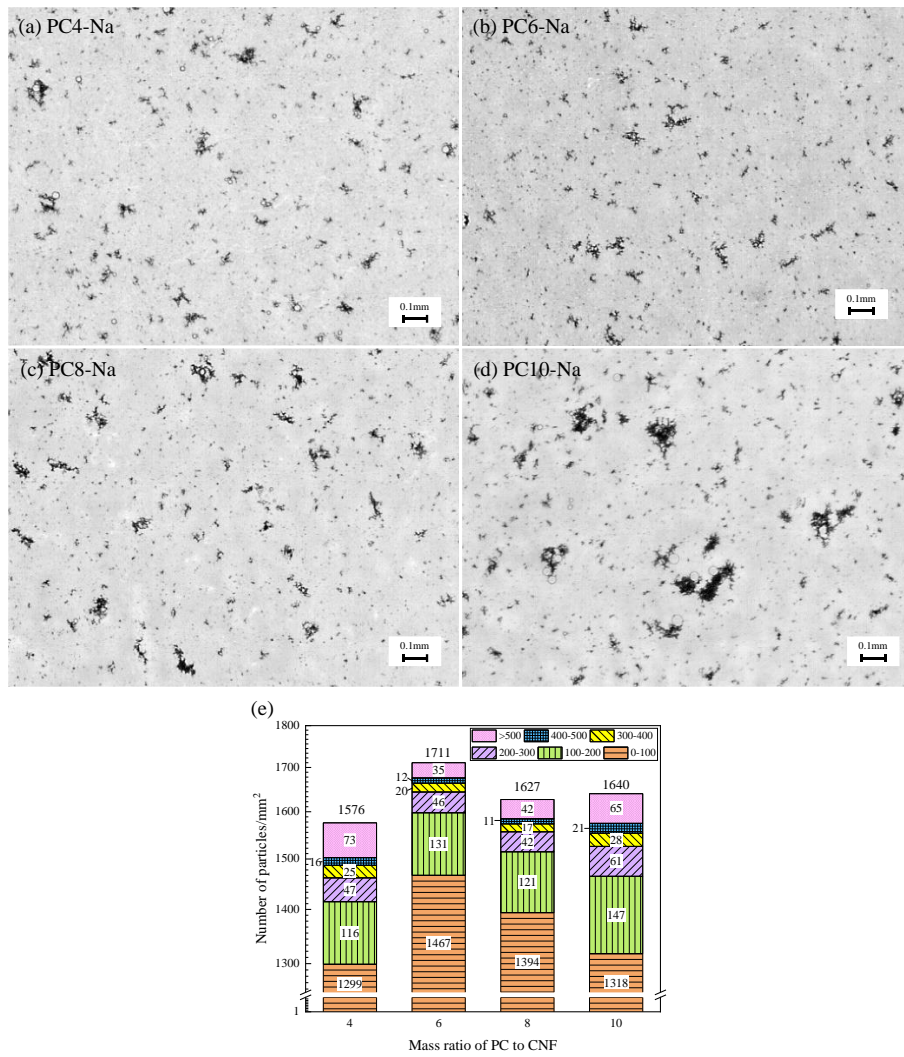


Figure 11 Typical optical micrographs (160 \times) of (a) PC4-Na, (b) PC6-Na, (c) PC8-Na, and (d) PC10-Na solutions, and (e) distribution of CNF particles per mm² in each group.

Table 6 lists the relevant parameters for the aggregation area calculated. The PC10 group showed the most significant proportion of aggregations at 1.71%, PC4 and PC8 were comparable at 1.38% and 1.18%, and PC6 was at 0.89%. The average size of aggregations and the maximum size of the four groups showed the same pattern, PC10>PC4>PC8>PC6. For aggregations >1000 μm^2 , the numbers were below 5 in all groups, and the maximum particle size was about 2300 except for PC10 exceeding 3000. Table 6 also shows that in the CNF-alkali solutions, the proportion of aggregations increased by 4-5 times compared to that in the aqueous solution. The minor proportion was 4.83% for PC6-Na, and the largest was 6.59% for PC4-Na. The average size of the particles ranged from 87 μm^2 to 130 μm^2 , and the PC6-Na and PC8-Na groups still performed better. Aggregates >1000 μm^2 reached more than 30 in the PC4-Na and PC10-Na groups, while neither the PC6-Na nor PC8-Na groups had more than 20. The maximum size appeared in PC10-Na with

13,438 μm^2 , and PC6-Na was about half of it with 7,345 μm^2 . The conclusion could be drawn from the comparison that the dosage of PC improved the dispersion of CNFs in the alkali solution slightly. Still, the effect was superior at 6:1, so this dosage of PC was adopted for subsequent tests.

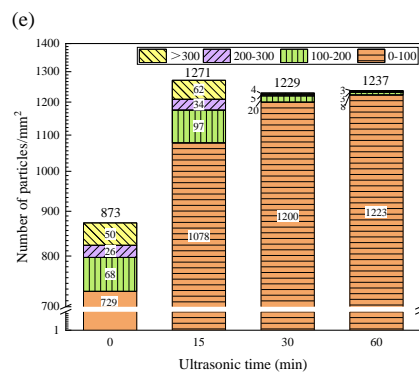
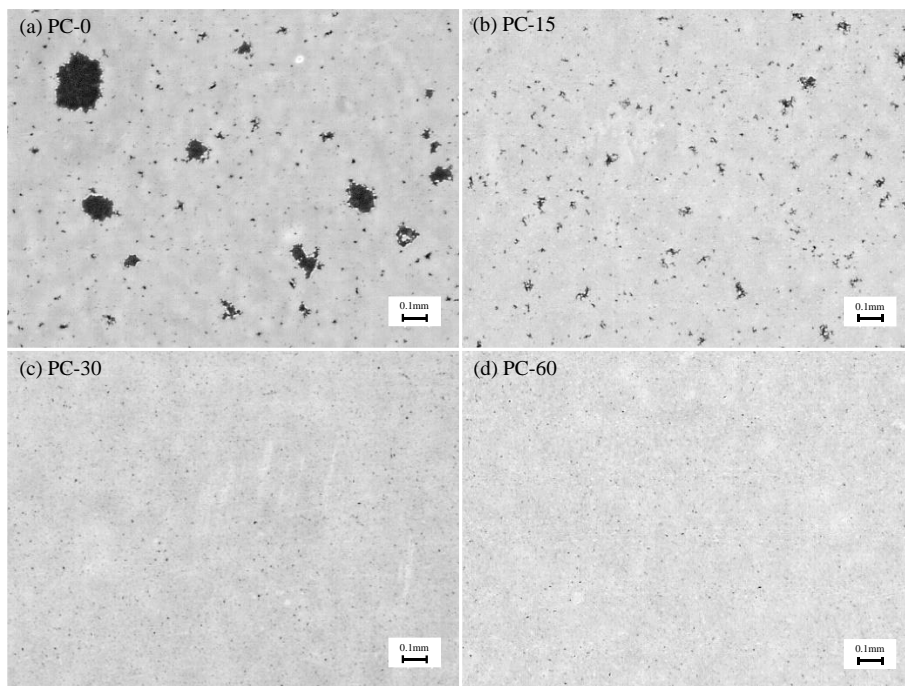
Table 6 CNF particle size analysis in aqueous and alkali solutions with different PC dosages.

	PC4	PC6	PC8	PC10	PC4-Na	PC6-Na	PC8-Na	PC10-Na
Total particle area proportion (%)	1.38	0.89	1.18	1.71	6.59	4.83	5.70	6.21
Average particle size (μm^2)	31	22	28	40	128	87	90	130
Number of particles $>1000\mu\text{m}^2$	2	1	2	5	35	14	20	30
Max particle size (μm^2)	2454	2300	2349	3145	10721	7345	13278	13438

3.1.3 Effect of ultrasonic time

Typical optical micrographs and distribution of aggregations in CNF-aqueous solutions with 0 min, 15 min, 30 min, and 60 min ultrasonication are presented in Figure 12. Comparing Figure 12 (a)-(d), it was evident that ultrasonication was highly beneficial to the dispersion of CNFs in aqueous. Aggregations in various scales co-existed in the PC-0 group, some of the large ones were broken up after 15 min ultrasonication of PC-15, and there were no apparent agglomerates in PC-30 and PC-60. It can be seen in Figure 12 (e) that the number of particles in PC-0 was small, but only 83% of particles were in the range of 0-100 μm^2 and 6% of $>300 \mu\text{m}^2$. After ultrasonication, the total number was between 1200 and 1300. The 0-100 μm^2 proportion increased with the ultrasonic time, showing 85%, 97%, and 99% for PC-15, PC-30, and PC-60, respectively, while the proportion of $>300 \mu\text{m}^2$ gradually decreased.

Figure 13 depicts typical optical micrographs and the distribution of aggregations in CNF-alkali solutions. The aggregations were more pronounced in the alkaline solution than in the aqueous solution and tended to decrease in size with increasing sonication time. As shown in Figure 13 (e), the total number of aggregations increased, and at the same time, the proportion of large agglomerates became less with ultrasonic time. The proportion of particles of 0-100 μm^2 was 83%, 83%, 86%, and 86% for PC-0, PC-15, PC-30, and PC-60 groups, respectively, while the proportion of $>500 \mu\text{m}^2$ was 3%, 3%, 2%, and 1%, respectively. It can be revealed that the ultrasonic time slightly improved the dispersion of CNF in the alkaline solution, unlike in the aqueous.



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Figure 12 Typical optical micrographs (160×) of CNF aqueous solutions with (a) 0 min, (b) 15 min, (c) 30 min, and (d) 60 min

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ultrasonic processing, and (e) distribution of CNF particles per mm² in each group.

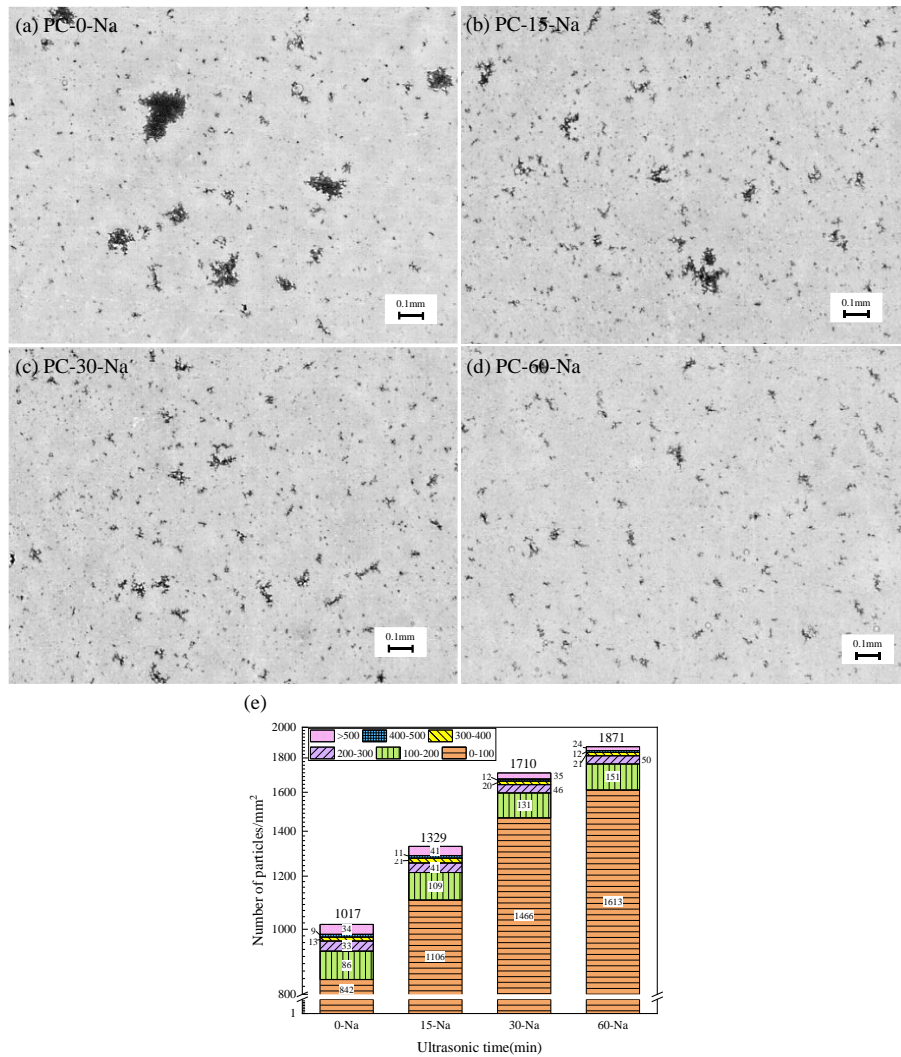


Figure 13 Typical optical micrographs (160×) of CNF alkali solutions with (a) 0 min, (b) 15 min, (c) 30 min, and (d) 60 min ultrasonic processing, and (e) distribution of CNF particles per mm² in each group.

The relevant parameters for the aggregation area calculated are listed in Table 7. As the ultrasonic time increased from 0 to 60 min, the proportion of aggregation area in the aqueous solutions decreased from 5.32% to 0.83%, and the average size decreased from 181 μm² to 20 μm². The number of aggregations >1000 μm² in PC-0, PC-15, PC-30, and PC-60 was 20, 14, 1, and 1, respectively, and the maximum size was also reduced from 40,368 μm² to 2,228 μm². It should be noted that the results obtained for PC-30 and PC-60 were almost identical, proving that the ultrasound energy for 30 min was sufficient to break up the agglomerated CNFs, and a further increase in time had no longer significant benefit. Also, it has been suggested that long ultrasonic time reduces the aspect ratio of CNFs, thus reducing their enhancement effect (Metaxa et al., 2013). For alkali solutions, the proportion of aggregation area decreased from 6.42% to less than 5% with ultrasonic time. At the same time, the average particle size was reduced by 53%, the aggregations >1000 μm² were cut from 21

to 10, and the maximum size was with 88% reduction. In summary, ultrasonic time somewhat influenced the dispersion of CNFs in the alkali solution. 30 min ultrasonication was comparable to 60 min, so the subsequent experiments would adopt 30 min ultrasonication.

Table 7 CNF particle size analysis in aqueous and alkali solutions with different ultrasonic time.

	PC-0	PC-15	PC-30	PC-60	PC-0-Na	PC-15-Na	PC-30-Na	PC-60-Na
Total particle area proportion (%)	5.32	3.46	0.89	0.83	6.42	5.71	4.83	4.68
Average particle size (μm^2)	181	88	22	20	190	103	87	64
Number of particles $>1000\mu\text{m}^2$	20	14	1	1	21	17	14	10
Max particle size (μm^2)	40368	4112	2300	2228	45352	14909	7345	5141

3.2 Mechanical properties of geopolymer nanocomposites

The results of the geopolymer nanocomposites' flexural and compressive strength tested at 3, 7, and 28 days are shown in Figure 14. It can be seen from Figure 14 (a) that the flexural strength of F10 reached 3.2 MPa at 28 days. The strength was increased by about 30%, 24%, and 23% at 3, 7, and 28 days compared to F0, respectively. The improvement indicated that the well-dispersed CNFs could act as a bridge between microcracks, thus transferring the load with high efficiency to prevent the further development of microcracks and improving flexural strength (Danoglidis et al., 2016; Li et al., 2020).

The compressive strength of the geopolymer nanocomposites is shown in Figure 14 (b). F10 also exhibited higher strength than F0 at all ages, and the reinforcement was approximately 21%, 19%, and 16% at 3, 7, and 28 days, respectively. It can be found that the improvement of compressive strength was large at the early stage, which the influence of CNFs on the development of compressive strength can explain. The strength of F0 was 17.0 and 22.0 MPa at 3 and 7 days, respectively, reaching 63% and 81% of that at 28 days. For F10, the strength was 20.5 and 26.1 MPa at 3 and 7 days, respectively, reaching 65% and 83% of 28-d strength. CNFs accelerated the formation of gel products by providing extra nucleation sites at the early stage so that the reinforcement was more considerable (Xu et al., 2021; Zhang et al., 2022). Also, CNFs can act as fillers to refine the cavities, contributing to forming dense microstructures and increasing compressive strength (Wang, Q. et al., 2021).

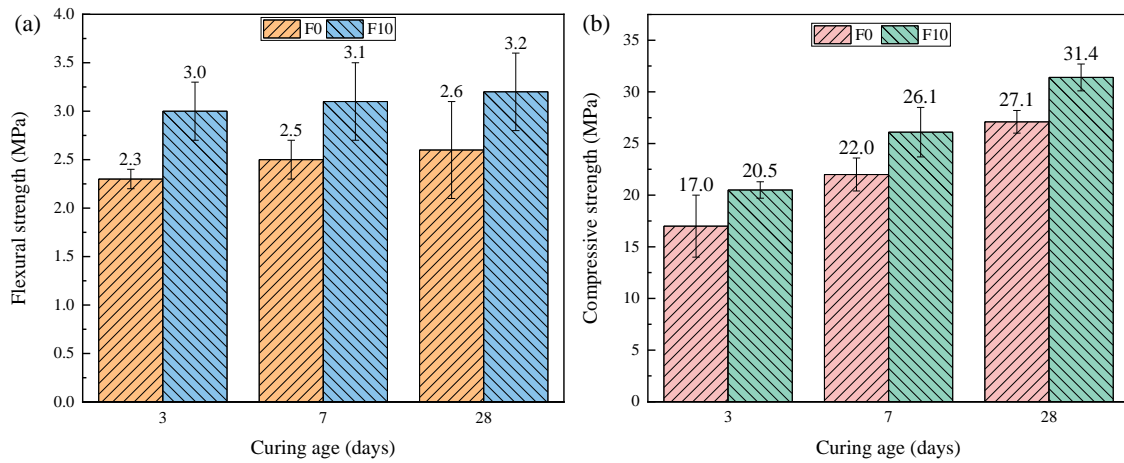


Figure 14 Test results of (a) flexural strength and (b) compressive strength of F0 and F10 geopolymer nanocomposites at 3, 7 and 28 days.

3.3 Microstructural Analysis

3.3.1 Scanning Electron Microscopy coupled with Energy Dispersive Spectroscopy

Tests of element C distribution were carried out using SEM-EDS to confirm the dispersion of CNFs in the geopolymer nanocomposites (Wang and Zhang, 2014). The results for the F10 group are shown in Figure 15. As seen in Figure 15 (a) and (b), CNFs were presented as individuals and embedded in the geopolymer nanocomposites without aggregations. Also, the CNFs played a prominent bridging role. Figure 15 (c) and (d) shows that element C can be detected and was uniformly distributed. The dark areas mainly originated from the cavities in nanocomposites, and the highlighted parts were CNFs. The results further demonstrated that the dispersion scheme proposed was effective.

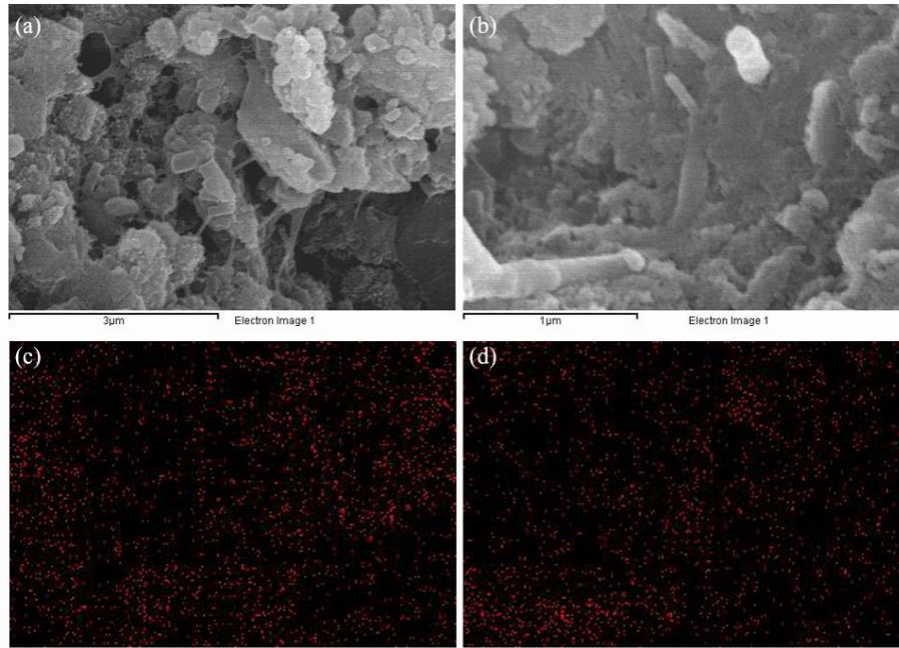


Figure 15 Typical SEM images of (a) and (b) F10, and EDS mapping of C element in (c) and (d) F10.

In addition, typical SEM images of F0 and F10 geopolymer nanocomposites are shown in Figure 16. As shown in Figure 16 (a), the gels generated by the geopolymerization reaction without CNFs were blocky structures of various shapes, accompanied by cavities and microcracks. It can be observed from Figure 16 (b) and (c) that the CNFs were compatible with the geopolymer, bonded effectively by embedding in the gels, filled the cavities, and bridged the products. Also, the nucleation effect was proved to exist since the coated CNFs were found, contributing to the improved microstructure of the nanocomposites (Khater and Abd el Gawaad, 2016), in accordance with the results of strength improvement. Moreover, the holes left by the CNFs pullout were observed in Figure 16 (c), marked with a yellow circle, indicating that CNF increased the energy consumption required for the failure of the geopolymer and modified the mechanical properties.

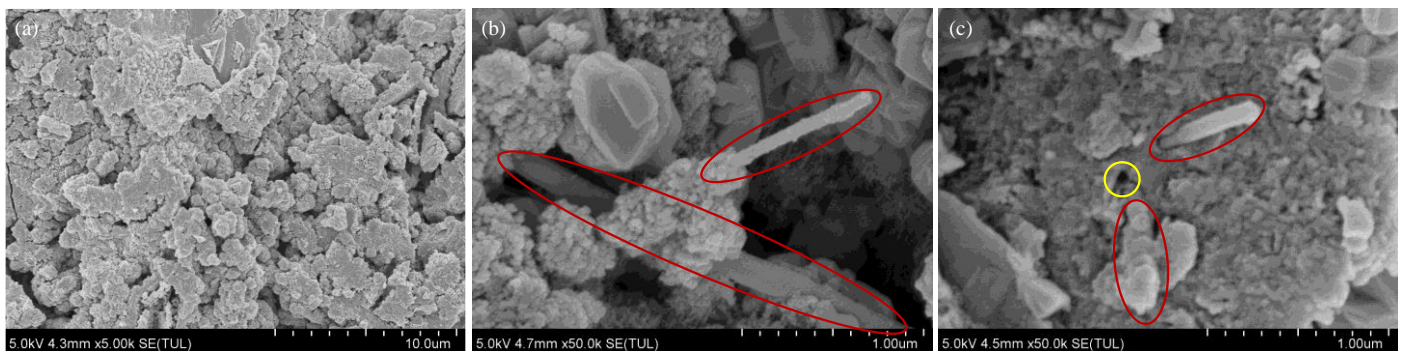


Figure 16 Typical SEM images: (a) geopolymer without CNF, (b) bonding, filling, and bridging effect, and (c) nucleation and debonding effect in geopolymers with CNF.

3.3.2 Mercury Intrusion Porosimetry

The results of the MIP tests are presented in Figure 17 and Table 8. The curves for F10 can be seen in Figure 17 (a) shifted to the left and tended toward smaller pores. The probable diameter of F0 and F10, represented by the peaks of the curves, were 349.7 and 227.0 nm, respectively. The cumulative intrusion in Figure 17 (b) reflects the porosity. The curve of F10 was almost below that of F0, meaning a decrease in the porosity. Table 8 shows that the total intrusion volume of F0 and F10 was 0.1297 and 0.1074 mL/g, and the average pore diameter of F10 decreased by 33% to 38.73 nm. According to the International Union of Pure and Applied Chemistry (IUPAC), pores <2 nm in diameter are micropores, 2-50 nm are mesopores, and >50 nm are macroporous. The addition of CNFs resulted in an increase in mesopores and a decrease in macropores. The total porosity of F10 was reduced by about 15% relative to F0. It can be concluded that CNFs refined the pore size and modified the pore structure by filling effect to reduce the risk of damage due to defects, reinforcing the compressive strength.

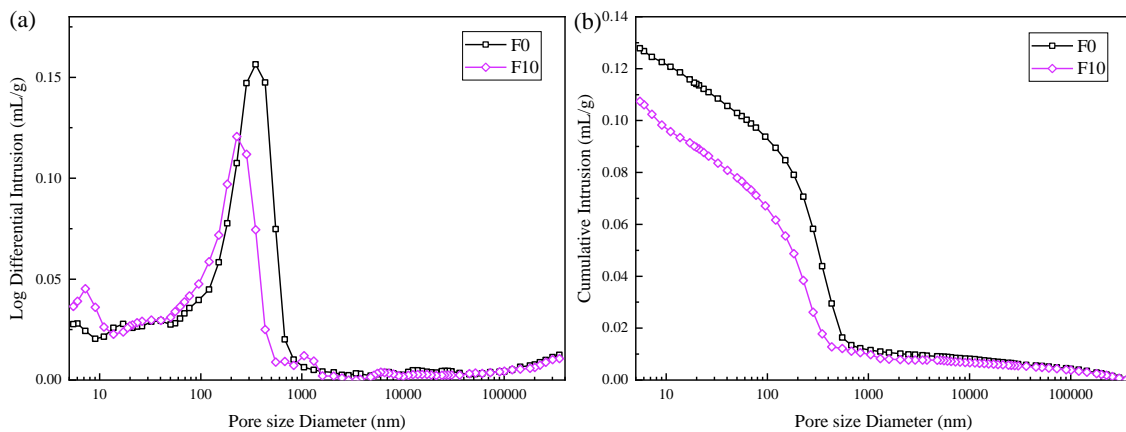


Figure 17 MIP test results for (a) pore size distribution curves and (b) cumulative intrusion curves of F0 and F10.

Table 8 MIP test results of pore size distribution.

Test groups	Total intrusion volume (mL/g)	Average pore diameter (nm)	Mesopores (%)	Macroporous (%)	Porosity (%)
F0	0.1297	57.91	4.21	17.34	21.56
F10	0.1074	38.73	5.01	13.24	18.25

4. Conclusion

In this paper, the CNFs dispersion scheme was preferentially selected by quantitative analysis method of microscopic image processing and zeta potential tests. Then volcanic ash-based geopolymer nanocomposites were prepared. The effect of CNFs on the mechanical properties and microstructure of the nanocomposites

455 was investigated to promote the massive utilization of volcanic ash and provide an experimental basis for
456 applying CNFs in geopolymers. The following conclusions were obtained:

457 1. Alkali activators with strong alkalinity and high ionic concentrations lead to severe CNF aggregations
458 and poor dispersion. MC, PC, and PVP performed well in aqueous to disperse CNFs but failed to different
459 degrees in alkali activators. PC was the superior surfactant type to disperse CNFs in alkali solutions. The PC
460 to CNF ratio of 6:1 and 30 min of ultrasonication was the preferred dispersion scheme, with a proportion of
461 aggregation area of 4.83% and an average aggregation area of $87 \mu\text{m}^2$.

462 2. Surfactants failed in alkaline solutions because the high pH affected the adsorption of surfactant
463 molecules on the CNFs' surface, with Na^+ taking its place, weakening the mutual repulsion. The comb-like
464 structure of PC can hinder the approach of some Na^+ since the side chains of PC can attach to the CNFs,
465 resulting in an evident change at zeta potential.

466 3. 0.1 wt% CNFs could improve the flexural strength by about 30%, 24%, and 23%, and the compressive
467 strength by 21%, 19%, and 16% at 3, 7, and 28 days of the volcanic ash-based geopolymer nanocomposites,
468 proving the reinforcement effect of well-dispersed CNFs.

469 4. The microstructure of nanocomposites of CNF and geopolymer presented refined pore size and
470 modified pore structures. The average pore size was decreased by 33%, and the porosity was reduced by 15%
471 since CNFs acted as extra nucleation sites, bridged the microcracks, and filled the cavities in geopolymer
472 nanocomposites.

473 Although volcanic ash-based geopolymer nanocomposites are promising cleaner materials due to the
474 reduction of pollution through the massive use of volcanic ash, this paper still has limitations. The dispersion
475 effect of CNFs was investigated only in alkali activator solutions, but the dispersion in hardened geopolymers
476 was not evaluated since it is difficult to distinguish CNFs from geopolymers directly. Therefore, the
477 discrimination can be improved by introducing new parameters or staining the geopolymer products in the
478 future. In addition, the reinforcement effect was still not as significant as in cement, so surface modification
479 of CNFs would be considered to enhance the compatibility with alkali solutions.

481 **Declaration of Competing Interest**

482 The authors declare that they have no known competing financial interests or personal relationships that
483 could have appeared to influence the work reported in this paper.

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