The effects of sleep disturbance on dyspnoea and impaired lung function following 1

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- 2 COVID-19 hospitalisation in the UK: a prospective multi-centre cohort study
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81 What is Known:

82 We systematically searched PubMed databases for medium studies (>100 participants) reporting sleep disturbance 83 for patients discharged from hospital after contracting COVID-19, published between Jan 1, 2020, and Nov 25, 84 2022, without language restrictions. Search terms related to COVID-19 ("COVID-19", "COVID-2019", "SARS-CoV-2", "2019-nCoV", "2019-SARS-CoV-2"), hospitalisation ("hospital*"), sleep ("sleep") and long-term 85 follow-up ("survivor*", "recover*", "persistent", "follow up", "long term", "sequela*", "long Covid") were used. 86 87 Studies reported that sleep disturbance is a common symptom following COVID-19 hospitalisation. Reported 88 prevalence varied between 10-70% depending on which subjective method was used. One device-based study 89 suggested that sleep regularity and efficiency are altered but did not report sleep quality. Most studies only 90 reported prevalence of sleep disturbance, but two studies also identified an association between sleep disturbance 91 and anxiety. No other clinical associations have been reported, despite COVID-19 symptom studies suggesting 92 sleep disruption could be part of a cluster of symptoms.

93 What this study adds:

94 This UK multi-centre cohort study used multiple measures to assess sleep disturbance following COVID-19 95 hospitalisation. Study findings were consistent regardless of the measure used revealing a high prevalence of sleep 96 disturbance. Sleep disturbance was associated with dyspnoea, reduced lung function, anxiety, and muscle 97 weakness. Further analysis revealed that both anxiety and muscle weakness could partially mediate some of these 98 relationships.

99 Implications of this study:

100 Our findings suggest that sleep disturbance is a common problem after hospitalisation for COVID-19 and is 101 associated with dyspnoea. Future research should assess whether interventions targeting sleep disturbance can 102 improve dyspnoea through reducing anxiety and improving muscle strength.

104 Abstract

105 Background

Sleep disturbance is common following hospitalisation both for COVID-19 and other causes. The clinical associations are poorly understood despite sleep disturbance contributing to morbidity in other scenarios. Therefore, we investigated the prevalence and nature of sleep disturbance after COVID-19 hospitalisation and whether this was associated with dyspnoea.

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111 Methods

112 Sleep parameters were assessed in a prospective multi-centre cohort of patients (n=2,468) hospitalised for 113 COVID-19 in the United Kingdom using both subjective (n=638) and device-based (n=729) measures. Results

114 were compared to a matched UK Biobank cohort. Multivariable linear regression was used to define associations.

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116 Findings

117 The majority (62% (396/638)) of participants reported poor sleep quality. A comparable proportion (53% 118 (338/638)) felt their sleep quality had deteriorated for at least 1-year following hospitalisation. Compared to a 119 non-hospitalised matched cohort, both sleep regularity (44.5 vs 56.5) and sleep efficiency (85.4% vs 89.0%) were 120 lower as opposed to sleep period duration which was longer (8.25h vs 7.17h). Overall sleep quality (effect 121 estimate 3.9, 95% CI (2.8–5.1)), deterioration in sleep quality following hospitalisation (effect estimate 3.0, 95% CI (1.8-4.3)), and sleep regularity (effect estimate 4.4, 95% CI (2.1-6.7)) were associated with dyspnoea and 122 123 impaired lung function (FEV₁ and FVC). Depending on the sleep metric, anxiety mediated 18–39% of the effect 124 of sleep disturbance on dyspnoea and muscle weakness mediated 27-41% of this effect.

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126 Interpretation

Sleep disturbance is associated with dyspnoea, anxiety, and muscle weakness following COVID-19
hospitalisation. Targeting sleep disturbance may be beneficial in treating the post-COVID-19 condition.

- 130 Funding
- 131 UK Research and Innovation, National Institute for Health Research, and Engineering and Physical Sciences
- 132 Research Council.
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136 Introduction

It has been recognised that delayed recovery and persistent illness following hospitalisation for COVID-19
constitutes "post-COVID-19 syndrome"¹. Dyspnoea is a frequent symptom of this syndrome, with a recent study
suggesting that 48% of patients hospitalised with COVID-19 in the UK² experience it. Dyspnoea can arise from
conditions which affect the respiratory, neurological, cardiovascular, and mental health systems³. These systems
are also affected by sleep disturbance⁴, another symptom that has been frequently reported after COVID-19⁵⁻¹⁴.
The association between sleep disturbance and dyspnoea, however, has not been widely studied.

Sleep disturbance following hospitalization is common¹⁵ regardless of the original reason for admission. Despite its prevalence, the clinical implications of sleep disturbance during recovery from an acute illness are not well understood. In experimental settings, sleep disturbance is causally associated with two recognised causes of dyspnoea: anxiety¹⁶ and muscle weakness¹⁷. Furthermore, epidemiological studies have suggested that sleep disturbance is associated with respiratory disease¹⁸ which can cause dyspnoea. Whether these associations persist following acute sleep disturbance, for example after hospitalisation, has still to be established.

An accurate assessment of sleep disturbance is best carried out using a multi-modal approach. Subjective assessments provide an overall score of sleep quality but may be affected by recall (reporting) bias¹⁹, as well as questionnaire language. Subjective assessments also provide only limited insights into specific types of sleep disturbance. In contrast, device-based assessments of sleep quality e.g., actigraphy²⁰, measure sleep disturbance subtypes but they do not assess overall sleep quality²¹. Combining both subjective and device-based measures into a multi-modal approach²¹ can provide valuable insights into sleep disruption partially overcoming the limitation of individual approaches.

A limited number of studies⁵⁻¹⁴ have already reported altered sleep quality following COVID-19 hospitalisation. The majority of these have been single-centre, modest in size and only used subjective measures. Two studies to date have employed a multi-modal approach^{22,23}. In these studies, an association with anxiety was reported only with subjective but not device-based measures. Furthermore, no other clinical associations were reported. The studies also only used participants who had been admitted to critical care, limiting generalisation to the broader hospital cohort.

162 The aim of this study was, therefore, to characterise the prevalence, type, and impact of sleep disturbance in a163 broad cohort of patients that had been hospitalised for COVID-19 using a multi-modal approach. We hypothesised

- that sleep disturbance would be associated with dyspnoea and that this relationship would be mediated by anxiety
- and muscle weakness.

167 Methods

168 Participants

Subjects were recruited from the PHOSP-COVID study. All participants were ≥18 years of age, admitted to hospital with either PCR confirmed or clinically diagnosed COVID-19 and discharged between March 2020 – October 2021. The demographics and recruitment of participants into PHOSP-COVID have been described elsewhere² and are briefly described in the supplementary methods. COVID-19 severity during admission was assessed using the WHO clinical progression scale²⁴. Participants were excluded from the analysis based on preexisting conditions linked to sleep disturbance, medication, and nosocomial infections (Supplementary Methods).

176 Subjective assessment of sleep quality:

177 Two different methods assessed this a median of 5 months (IQR 4-6) post-hospitalisation.

178(i)**Pittsburgh Sleep Quality Index (PSQI) questionnaire**
25: This questionnaire assesses sleep quality179across seven components. A total score greater than 5 was defined as poor sleep quality and a score180 ≤ 5 was defined as good sleep quality
25. Unless specified elsewhere, participants with good sleep
were compared to participants with poor sleep.

182 (ii) Numerical rating scale (NRS) assessment of sleep quality: Patients were asked to rate their sleep
183 quality on a numerical rating scale (0-10; zero being the worst sleep quality, Supplementary
184 Methods). Unless specified elsewhere, participants whose sleep had deteriorated (i.e., sleep score
185 decreased by at least 1 compared to their pre-COVID-19 baseline) were compared to those whose
186 sleep was unaffected by COVID-19.

187 Device-based assessment of sleep quality

Participants were invited to wear a wrist-worn accelerometer (GENEActiv Original, ActivInsights, Kimbolton, UK) on their non-dominant wrist 24h/day for 14 days a median of 7 months (IQR 5-8) post-discharge. Details of the data cleaning, analysis, and variable definitions are given in the supplementary methods. The top and bottom quintiles were compared for sleep regularity, sleep efficiency, and sleep period duration in the main manuscript as has been reported elsewhere^{27,28}. Sleep regularity was also analysed as a continuous measure with the results being reported in the supplement.

194 UK Biobank cohorts

195 The UK Biobank²⁶ was used as a pre-pandemic comparator cohort. The UK Biobank recruited 502,540 196 participants aged 40 - 69 years who were invited to a baseline visit at one of 22 assessment centres between 2006 197 and 2010 during which their phenotypes were established using questionnaires, physical examination, and 198 collection of biological samples. From this data set, three sub-cohorts (non-hospitalised, hospitalised and 199 pneumonia) were created for analysis, and these are defined in the **Supplementary Methods**.

200 Symptom assessment

All symptoms were assessed at the first clinical visit a median of 5 months (IQR 4-6) post-hospitalisation. Details of each assessment are given in the supplementary methods.

203 Statistical analysis

204 Continuous values are presented as mean (95% CI) and ordinal values are presented as median (IQR). All 205 univariable and multivariable analyses of continuous data were analysed using ordinary least squares linear 206 regression or multinomial logistic regression. The multivariable analyses adjusted for a minimally sufficient set 207 of covariates: age, sex, body mass index (BMI), number of days into the pandemic, number of days since 208 discharge, pre-COVID comorbidities, COVID-19 severity, and length of stay; participants with missing values 209 for any variable were excluded. This set was identified based on a Directed Acyclic Graph (DAG; 210 www.dagitty.net, Supplementary Methods). Multinomial logistic regression was used for modelling anxiety. 211 The 95% confidence intervals for regression coefficients were calculated from a residual bootstrap approach with 212 1,999 resamples (**Supplementary Methods**). Chi-square tests compared the proportions of categorical variables. Mediation was evaluated using linear regression with the product of coefficients method²⁷ to estimate the direct 213 214 and indirect effects of the relationship, performed using the R package lavaan version 0.6-12 (Supplementary 215 Methods). All data were analysed using R (version $4 \cdot 2 \cdot 0$) within the Scottish National Safe Haven Trusted 216 Research Environment. A *p*-value < 0.05 was considered significant.

217 Ethics

218 The study was ethically approved (Ref: 20/YH/0225)

219 Role of the funding source

The funder of the study had no role in study design, data collection, data analysis, data interpretation, or writingof the report.

223 Results

224 A total of 2,468 participants were enrolled in the PHOSP-COVID study, of which 2,320 attended an early time 225 point research visit a median of 5 months (IQR 4-6) following discharge across 83 hospitals in the United 226 Kingdom. Subjective sleep quality was measured using both the Pittsburgh sleep quality index questionnaire and 227 a numerical rating scale. Only 51% (1,179/2,320) of participants attended an early follow-up at a centre offering 228 the Pittsburgh sleep quality index questionnaire (Figure 1). Of these, 61% (714/1,179) completed the Pittsburgh 229 sleep quality index questionnaire and numerical rating scale at the early time point. A further 11% (76/714) were 230 excluded due to suspected nosocomial infection or pre-COVID sleep problems. At the late time point, 39% 231 (248/638) of participants also completed the numerical rating scale (Figure 1), a median of 12 months (IOR 11-232 13) after discharge. Device-based sleep quality was assessed using actigraphy in 38% (829/2,157) of eligible 233 participants a median of 7 months (IQR 5-8) after discharge. Nosocomial infection or suspected pre-existing sleep 234 disorder excluded a further 12% (100/829) of the cohort (Figure 1).

Overall, 45% (285/638) of participants completed both subjective and device-based assessments of sleep quality. When both subjective and device-based groups were compared to each other, and to the broader cohort of participants who consented to research, small differences were observed for when their admission occurred during the pandemic, COVID-19 severity, age, BMI, ethnicity, Townsend deprivation index, days since discharge and pre-morbid depression/anxiety (**Supplementary Table 1**).

Participants with poor sleep quality (Pittsburgh sleep quality index) tended to be female, younger, have a higher BMI, have previous depression/anxiety, have previous dyspnoea, have previous poor sleep, and have lower alcohol consumption (**Table 1**). Similar demographic differences were reported for those who experienced a deterioration in sleep quality (numerical rating scale) except these participants tended to have good quality sleep rather than poor quality sleep before COVID-19 (**Supplementary Table 2**). Participants with the greatest sleep irregularity following COVID-19 hospitalisation tended to have a lower Townsend deprivation index, smoke, and have premorbid depression/ anxiety, diabetes, hypertension, and kidney disease (**Supplementary Table 3**).

247

248 Prevalence of sleep disturbance following hospitalisation

Subjective assessment of sleep quality revealed that 62% (396/638) of participants reported poor sleep quality
(Pittsburgh sleep quality index). Analysis of temporal changes in sleep quality by the numerical rating scale

revealed that sleep quality deteriorated following hospital admission for COVID-19 in 53% (338/638) of participants. At the early time point, sleep quality fell by a median of 3 points and at the late time point sleep quality fell by a median of 2 points (**Figure 2A**) compared to their pre-COVID-19 scores.

254 The actigraphy traces of this cohort were then compared to two UK Biobank cohorts (non-hospitalised vs recently 255 hospitalised), dependent on whether participants had been admitted into hospital for at least one night 256 (Supplementary Methods). Cohorts were matched for age, sex, BMI, and, if applicable, time from hospital 257 discharge (Supplementary Table 4). Participants hospitalised for COVID-19, slept on average 69 minutes longer 258 (Figure 2B), had a lower (-19%) sleep regularity index (Figure 2C) and a lower (3.6 percentage points) sleep 259 efficiency (Figure 2D) compared to UK Biobank participants who had not been hospitalised. Compared to UK 260 Biobank participants that had been recently hospitalised, participants hospitalised with COVID-19 slept on 261 average 66 minutes longer (Figure 2B), had a lower (-18%) sleep regularity index (Figure 2C) and a lower (3.6 262 percentage points) sleep efficiency (Figure 2D).

Actigraphy traces of participants in the UK Biobank who had been recently (2-11 months before actigraphy, n=91, **Supplementary Methods**) hospitalised with pneumonia were also compared to both UK Biobank cohorts defined above. No significant differences were observed for sleep duration or efficiency compared to either the nonhospitalised or recently hospitalised UK Biobank cohorts (**Supplementary Figure 1A, B**). Participants recently hospitalised with pneumonia did have a lower sleep regularity index (-7%) compared to the non-hospitalised UK Biobank cohort (**Supplementary Figure 1C**). The small size of this cohort precluded matching to patients hospitalised for COVID-19.

270

271 Relationship of sleep disturbance with dyspnoea

Participants with poor sleep quality (Pittsburgh sleep quality index), scored 3·9 (95%CI 2·8 to 5·1) points higher
on the dyspnoea-12 questionnaire compared to those with good sleep quality (Figure 3A). Sleep deterioration
(numerical rating scale) was also associated with dyspnoea. Those reporting a deterioration in their sleep quality
scored 3·0 (95%CI 1·8 to 4·3) points higher on the dyspnoea-12 questionnaire compared to those who did not
experience a deterioration (Figure 3A). Associations were consistent following adjustments for a minimum set
of covariates (age, sex, body mass index (BMI), period into the pandemic, time since discharge, comorbidities,
COVID-19 severity, and length of stay).

279 Device-based measurements of sleep were then assessed; participants with the lowest sleep regularity scored 4.4

280 (95% CI 2.1 to 6.7) points higher on the dyspnoea-12 score compared to participants with the best sleep regularity

281 (Figure 3A, Supplementary Table 5). This association was unaffected following adjustment for a minimum set

of covariates. No association was observed between dyspnoea and either sleep efficiency or sleep period duration

- in both unadjusted and adjusted models (Figure 3A). Therefore, these measures were not investigated further.
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285 Relationship of sleep disturbance with lower lung function (FEV₁ and FVC)

286 Individuals with poor quality sleep (Pittsburgh sleep quality index) had a lower predicted forced expiratory 287 volume in one second (FEV₁) of -7.1% (95% CI -13.4 to -2.2%, Supplementary Figure 2A) and a lower predicted 288 forced vital capacity (FVC) of -9.0% (95% CI -15.3 to -4.3%, Figure 3B) compared to those who reported good 289 quality sleep. The associations were consistent following adjustment for a minimum set of covariates for both 290 FEV₁ (Supplementary Figure 2A, Supplementary Table 5), and FVC (Figure 3B, Supplementary Table 5). 291 Participants who experienced a deterioration in their sleep quality (numerical rating scale) following COVID-19 hospitalisation had a lower percent predicted FEV1 (-8.8%, 95%CI -14.9% to -3.8%) and a lower percent 292 293 predicted FVC (-8.3%, 95%CI -14.4% to -3.6%) compared to participants whose sleep quality had remained the 294 same or improved. Associations were consistent following adjustments for the minimal set of covariates (Figure 295 3B, Supplementary Figure 2A, Supplementary Table 5).

Sleep regularity was then assessed. Participants with the lowest sleep regularity had a lower percent predicted
FEV₁ (-13·6%; 95%CI -24·7% to -4·8% Supplementary Figure 1A) and a lower percent predicted FVC percent
predicted (-14·2%; 95%CI -24·2% to -4·3%; Figure 3B) compared to participants with the highest sleep
regularity. This association was also consistent following adjustment for a minimal set of covariates (Figure 3B,
Supplementary Figure 2A, Supplementary Table 5).

- Participants' diffusion capacity was also evaluated. No associations were observed between these measures (KCO,
 DLCO) and either of the three-sleep metrics for both unadjusted and adjusted models (Supplementary Figure
 2B, C, Supplementary Table 5).
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305 Relationship of sleep disturbance with respiratory pressures

306 Participants with the lowest sleep regularity had a lower MEP ($-31.6 \text{ cmH}_2\text{O}$, 95%CI -58.5 to -3.3; 307 **Supplementary Figure 3A**) compared to those participants with the highest sleep regularity. No similar 308 association was observed with MIP. The small sample size (n=55) of this cohort precluded adjustment for a 309 minimal set of covariates.

310 No associations were observed for either MIP or MEP and the subjective measures of sleep quality following

311 COVID-19 hospitalisation (Supplementary Figure 3A, B, Supplementary Table 5).

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313 Relationship of sleep disturbance with muscle function

314 Participants with poor sleep quality (Pittsburgh sleep quality index) had a higher score on the SARC-F 315 questionnaire (1.0, 95% CI 0.7 to 1.3; Figure 3C) compared to participants with good quality sleep. Those who 316 reported sleep deterioration (numerical rating scale) following COVID-19 hospitalisation also reported higher 317 scores on the SARC-F questionnaire (0.5, 95% CI 0.2 to 0.9 Figure 3C) compared to those participants whose 318 sleep had not deteriorated. Associations were consistent following adjustments for a minimal set of covariates 319 (Figure 3C, Supplementary Table 5). This association was also observed for sleep irregularity. Participants with 320 the most irregular sleep had a higher SARC-F (1.3, 95% CI 0.7 to 1.8 Figure 3C, Supplementary Table 5) score 321 compared to participants with the best sleep regularity with similar results following adjustment.

322

323 Relationship of sleep disturbance with anxiety

324 Participants with poor sleep quality (Pittsburgh sleep quality index) were more likely to have *mild* (Relative Risk

325 (RR) 2.5, 95% CI 1.6 to 3.9), moderate (RR 7.9, 95% CI 3.5 to 17.7) or severe (RR 19.9, 95% CI 4.7 to 84.5)

anxiety compared to participants who reported good quality sleep (Figure 4A-C, Supplementary Table 5).

A similar association was also observed between anxiety and participants who experienced sleep deterioration (numerical rating scale) after COVID-19. Participants who experienced sleep deterioration had a higher relative risk of *mild* (RR 3·0, 95%CI 1·9 to 4·6), *moderate* (RR 2·2, 95%CI 1·3 to 3·9) and *severe* (RR 3·7, 95%CI 1·8 to 7·7) anxiety (**Figure 4A-C, Supplementary Table 5**) compared to participants who did not experience deterioration in their sleep quality. Following adjustment for the minimal sufficient set of covariates the association was attenuated for *severe* anxiety, but the other associations remained unchanged. Participants with the lowest sleep regularity were more likely to report *moderate* anxiety (RR 3·3, 1·4 to 8·0
95%CI)) compared to participants with the highest sleep regularity (Figure 4B, Supplementary Table 5). In
contrast, there was no association with *mild* (RR 1·0, 95%CI 0·5 to 2·0) or *severe* (RR 2.5; 95%CI 0.8 to 7.1)
anxiety. Adjustment for the minimal sufficient set of covariates attenuated the effect with *moderate* anxiety.

337

338 Mediation analysis for the relationship between sleep disturbance and dyspnoea

339 Anxiety and altered muscle function are recognised causes of dyspnoea. Mediation analysis was performed 340 (Supplementary Figure 4) to investigate their contribution to mediating the effect between sleep and dyspnoea. 341 Anxiety following COVID-19 mediated the effect of poor sleep quality on dyspnoea by 38.7% (95%CI 22.7 to 342 57.2%) and reduced muscle function had a similar mediation effect (36.2% (95%CI 21.2 to 55.7%) Figure 5A, 343 Supplementary Table 6). 344 For the relationship between sleep quality deterioration and dyspnoea, anxiety mediated the effect by 35.6% 345 (95% CI 16·1 to 59·3%) and reduced muscle function mediated the effect by 26·8% (95% CI 3·9 to 52·3%, Figure 346 5B, Supplementary Table 7). The relationship between sleep irregularity and dyspnoea was also mediated by

both anxiety 17.7% (95%CI 1.4 to 42.3%) and reduced muscle function 40.6% (95%CI 15.1 to 72.3%; Figure
5C, Supplementary Table 8).

350 Discussion

Using multi-modal sleep evaluation conducted in a nationwide UK cohort, we have demonstrated that sleep disturbance is prevalent following hospitalisation for COVID-19. This is likely to persist for at least 12 months as subjective sleep quality did not change between early (5 months) and late (12 months) follow-up visits. Multimodal assessment of sleep disturbance revealed that three factors (sleep quality, degradation of sleep quality compared to baseline, and sleep regularity) were associated with dyspnoea and lower lung function. Mediation analysis identified that reduced muscle function and anxiety, both recognised causes of dyspnoea³, could partially mediate the association between sleep disturbance and dyspnoea.

358 Three different complementary methods (Pittsburgh sleep quality index, numerical rating scale and device-359 based)²¹ were used to define sleep disturbance in our study. The Pittsburgh sleep quality index is a well-validated 360 assessment tool²⁸ that evaluates sleep quality at the time of administration. Additional evaluation of sleep quality 361 using the numerical rating scale confirmed these associations occurred due to a deterioration of sleep quality as a 362 result of COVID-19 hospitalisation, complementing the Pittsburgh sleep quality index evaluation. Device-based 363 metrics were then used to investigate specific aspects of sleep quality revealing clinical associations with sleep 364 irregularity. The gold standard device-based metric is polysomnography. However, this can be technically 365 challenging and samples sleep quality over shorter timeframes. Instead, actigraphy was used which accurately identifies many of the sleep traits captured by polysomnography²¹. Analysis of the actigraphy traces revealed an 366 367 association between dyspnoea and sleep regularity index. Although this association has not previously been 368 widely reported, sleep regularity index has been associated with morbidity in other studies²⁹⁻³¹.

369 Device-based sleep metrics following hospitalisation for COVID-19 have predominantly been measured in 370 participants who had been admitted to critical care^{22,23}. Our cohort extends these findings, revealing altered sleep-371 based metrics in all participants who had been hospitalised regardless of critical care admission. Comparison with 372 UK Biobank participants hospitalised for other causes suggested this could be partially due to COVID-19, due to 373 the modest effects seen with hospitalisation for other causes. Both previous device-based studies in the setting of 374 COVID-19 revealed clinical associations between anxiety and subjective but not device-based assessments of 375 sleep quality. These limited clinical effects are an apparent contradiction both with experimental models where sleep disturbance has broad effects³² and clinical studies outside the context of hospitalisation³³. In this study, we 376 377 found broadly consistent clinical associations between device-based and subjective measures of sleep disturbance. 378 These multiple associations suggest sleep disturbance could have broad clinical effects. This is exemplified by

investigating the association between sleep disturbance and dyspnoea where mediation analysis revealed it could only be partially explained by the effect of sleep disturbance on anxiety and muscle function. Therefore, other unidentified clinical or behavioural effects are likely to be involved or, alternatively, sleep disturbance directly affects dyspnoea³⁴. Further studies will be needed to define this since the association between sleep disturbance and dyspnoea is likely to be relevant to other respiratory diseases.

Strengths of our study include its size, multi-centre nature, and the use of different complementary assessment 384 385 measures to evaluate sleep disturbance. Consistent clinical associations were also observed across each evaluation 386 method. This study does have some limitations which should be considered when interpreting the results. Firstly, 387 the hypothesised directionality of effects in the DAG cannot be confirmed in this study. Whilst other studies do 388 support these directions^{35,36}, bidirectionality of effects has been reported in other settings¹⁶. Numerical rating scale 389 quantification of sleep deterioration relied upon participant recall and therefore could be affected by recall bias, 390 also known as reporting bias¹⁹. Selection bias could also affect the results. However, we have minimised this by 391 using bootstrapping combined with cohort matching.

This study provides insight into the prevalence and wider consequences of sleep disturbance following hospitalisation for COVID-19. The associations described in this study between sleep disturbance and reduced muscle function, anxiety and dyspnoea suggest that sleep disturbance could be an important driver of the post-COVID-19 condition. If this is the case, then interventions targeting poor sleep quality³⁷ might be used to manage multi-morbidity and convalescence following COVID-19 hospitalisation potentially improving patient outcomes.

399 Author Contributions: The manuscript was initially drafted by CJ, IS, MKR, and JFB, and further developed by 400 the writing committee. CJ, IS, NC, MKR, and JFB made substantial contributions to the conception and design of 401 the work. CJ, IS, RE, JCP, AART, ALH, PLM, RAE, and TP made substantial contributions to the acquisition of 402 data. CJ, IS, TP, PC, ALH, BA-S, RA, CEB, TC, JDC, NC, ABD, GD, CLE, OE, NJG, NAH, VCH, EMH, L-403 PH, LH-W, LSH, CJJ, MGJ, OCL, KEL, NIL, MM, HJCMc, MAMc, BP, KP-H, KP, BR, MR, PR-O, SR-J, AVR, 404 RMS, JTS, MS, AS, ASh, ASi, SCS, MT, DGW, TY, RGJ, SSi, WD-CM, CEBr, LCW, JCP, AART, AH, PLM, 405 RAE, SEJ, MKR, and JFB made contributions to the analysis or interpretation of data for the work. CJ, IS, RE 406 and TP verified the underlying data. All authors contributed to data interpretation and critical review and revision 407 of the manuscript. All authors had full access to all the data in the study and had final responsibility for the decision 408 to submit for publication.

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410 Data sharing: The protocol, consent form, definition and derivation of clinical characteristics and outcomes, 411 training materials, regulatory documents, information about requests for data access, and other relevant study 412 materials are available online (<u>https://www.phosp.org/</u>). UK Biobank information can be released once necessary 413 approvals have been obtained. Other data (e.g., R code/protocol) will be made available on reasonable request.

415 Funding:

CJ is funded by an EPSRC DTP (EP/W523884/1). LPH is supported in part by the Oxford NIHR Biomedical 416 Research Centre. PLM is supported by an Action for Pulmonary Fibrosis Mike Bray Fellowship. KPH receives 417 418 funding from Innovate UK (TS/T013028/1) and MRC (MR/W006111/1). JCP receives funding by the NIHR University College London Hospitals Biomedical research centre. BR is funded by the British Heart Foundation 419 420 Oxford Centre of Research Excellence (RE/18/3/34214). AART is supported by a British Heart Foundation 421 intermediate clinical fellowship (FS/18/13/33281). LVW is supported by GlaxoSmithKline/ Asthma + Lung UK 422 Chair in Respiratory Research (C17-1). DGW is funded by an NIHR Advanced Fellowship (NIHR300669). RGJ 423 is supported by a NIHR Research Professorship (RP-2017-08-ST2-014). JFB and PC are supported by an MRC 424 transition support fellowship (MR/T032529/1). JFB, AH and MKR are also partially supported by the National 425 Institute of Health Research (NIHR) Manchester Biomedical Research Centre. The work was also supported by 426 an Asthma+Lung UK Malcolm Walleans Grant. The study was also funded by UK Research and Innovation and 427 National Institute of Health Research (grant references: MR/V027859/1, MR/W006111/1 and COV0319). 428

429 Acknowledgements: This study would not be possible without all the participants who have given their time and 430 support. We thank all the participants and their families. We thank the many research administrators, health-care 431 and social-care professionals who contributed to setting up and delivering the study at all of the 65 NHS 432 trusts/Health boards and 25 research institutions across the UK, as well as all the supporting staff at the NIHR 433 Clinical Research Network, Health Research Authority, Research Ethics Committee, Department of Health and 434 Social Care, Public Health Scotland, and Public Health England, and support from the ISARIC Coronavirus 435 Clinical Characterisation Consortium. We thank Kate Holmes at the NIHR Office for Clinical Research 436 Infrastructure (NOCRI) for her support in coordinating the charities group. The PHOSP-COVID industry 437 framework was formed to provide advice and support in commercial discussions, and we thank the Association 438 of the British Pharmaceutical Industry as well NOCRI for coordinating this. We are very grateful to all the charities 439 that have provided insight to the study: Action Pulmonary Fibrosis, Alzheimer's Research UK, Asthma + Lung UK, British Heart Foundation, Diabetes UK, Cystic Fibrosis Trust, Kidney Research UK, MQ Mental Health, 440 441 Muscular Dystrophy UK, Stroke Association Blood Cancer UK, McPin Foundations, and Versus Arthritis. We 442 thank the NIHR Leicester Biomedical Research Centre patient and public involvement group and Long Covid 443 Support. We also wish to thank the reviewers whose thoughtful comments improved the quality of the manuscript.

445 Figure Legends:

Figure 1: Consort diagram revealing the number of participants used in the analysis: Participants were
recruited from the PHOSP-COVID study who were evaluated at the early time point and gave their consent for
research. Sleep disturbance was evaluated using two types of measures (subjective and device-based).
PSQI=Pittsburgh Sleep Quality Index. NRS=Numerical Rating Scale. FEV₁=Forced Expiratory Volume in one
second. FVC=Forced Vital Capacity. TLCO=gas transfer capacity. KCO=carbon monoxide transfer coefficient.
MIP=Maximum Inspiratory Pressure. MEP=Maximum Expiratory Pressure. GAD7=Generalised Anxiety
Disorder 7-item scale.

- 453 Figure 2: Sleep disturbance after COVID-19 hospitalisation: (A) Participants were asked to rate their sleep 454 quality using a numerical rating scale (NRS) either at an early follow-up (median 5 months post COVID-19 455 admission for both before COVID and at this time point) as well as at late follow-up (median 12 months post 456 COVID-19 admission). The red line indicates median change, the black lines show individual subjects. **= p<0.0001 Dunn's post-hoc test, Benjamini-Hochberg corrected *p*-value. Sleep was also quantified using a device-457 458 based approach. This was used to quantify (B) sleep period duration, (C) sleep regularity index, and (D) sleep 459 efficiency. The post-COVID cohort (blue, lower) was matched (age, sex, BMI and, if applicable, time from 460 discharge) to non-hospitalised UK Biobank participants (green, upper) or recently hospitalised UK Biobank 461 participants (red, middle). Mean±95% confidence intervals are shown underneath the graphs (**=p<0.0001, t-test 462 Benjamini-Hochberg corrected *p*-value).
- 463 Figure 3: Clinical associations with sleep disturbance: The associations between changes in sleep parameters 464 Sleep quality (Pittsburgh Sleep Quality Index, black); Sleep deterioration (Numerical Rating Scale, Pink); Sleep 465 regularity (Teal); Sleep efficiency (Purple, dyspnoea only); Sleep Period Duration (Lilac, dyspnoea only) were 466 investigated for various clinical characteristics. (A) Shows the association with Dyspnoea-12 score. (B) Shows 467 the association with predicted forced vital capacity (FVC) (C) Shows the association with SARC-F score. Both 468 unadjusted (circles) or multivariable (squares) effect estimates are shown alongside 95% confidence intervals. In 469 multivariable linear regression, the association was adjusted for age, sex, BMI, comorbidities, COVID-19 470 severity, length of stay, number of days into the pandemic and number of days since discharge. Light grey 471 background indicates a subjective evaluation of sleep quality, and a dark-grey background indicates a device-472 based measurement of sleep. BMI=Body Mass Index. FVC=Forced Vital Capacity.
- 473 Figure 4: Subjective sleep disturbance is associated with anxiety The associations between changes in sleep 474 parameters Sleep quality (Pittsburgh Sleep Quality Index, black); Sleep deterioration (Numerical Rating Scale, 475 Pink); Sleep regularity (Teal) were investigated with symptoms of anxiety (GAD-7 scale). (A) Shows relative risk 476 with *mild* anxiety (B) shows relative risk with *moderate* anxiety (C) shows relative risk with *severe* anxiety. Both 477 unadjusted (circles) or multivariable (squares) multinomial logistic regression relative risks are shown alongside 478 95% confidence interval. In multivariable multinomial logistic regression, the association was adjusted for age, 479 sex, BMI, comorbidities, COVID-19 severity, length of stay, number of days into the pandemic and number of days since discharge. Light grey background indicates a subjective evaluation of sleep quality, and a dark-grey 480 481 background indicates a device-based measurement of sleep. Note the log2 scale on the horizontal axis. BMI=Body 482 Mass Index.
- Figure 5: The effect of anxiety or muscle weakness in mediating the effect of sleep on dyspnoea: Mediation
 models were used to investigate the effects of muscle weakness or anxiety, recognised causes of dyspnoea, in
 mediating the association between sleep disruption and dyspnoea. Exposures: (A) poor sleep quality (B) sleep
 deterioration or (C) sleep regularity are shown in orange.
- 487
- 488

489 Figure 1:















		Ν	Good sleep quality, N = 242	Poor sleep quality, N = 396
PSQI score		638	3.4 (1.4)	10.1 (3.4)
Age (years)		629	59.6 (13.9)	57.7 (12.4)
Sex (% male)		583	70% (154/221)	54% (196/232)
BMI (kg/m ²)		565	30.6% (6.7)	32.5 (6.6)
Ethnicity		619		
	White		68% (159/234)	73% (280/385)
	South Asian		20% (46/234)	15% (59/385)
	Black		6.4% (15/234)	6.2% (24/385)
	Mixed		3.0% (7/234)	2.3% (9/385)
	Other		3.0% (7/234)	3.4% (13/385)
Townsend IMD quintile		629		
	1 - most deprived		18% (44/239)	21% (83/390)
	2		19% (45/239)	19% (73/390)
	3		15% (37/239)	18% (71/390)
	4		22% (52/239)	22% (84/390)
	5 - least deprived		26% (61/239)	20% (79/390)
Smoking Status		631		
	Never		61% (146/239)	58% (22/392)
	Ex-smoker		38% (91/239)	41% (160/392)
	Current smoker		0.8% (2/239)	1.3% (5/392)
Average units of alcohol (per week)		605	5.8 (7.5)	4.3 (7.4)
Days admission was into pandemic		638	170 (119)	176 (118)
Days since discharge		638	161 (38)	162 (41)
Comorbidities				
Hypertension		576	33% (73/221)	40% (142/355)
Diabetes		571	19% (42/220)	23% (81/351)
Liver disease		571	3.2% (7/220)	2.3% (8/351)
Asthma		574	14% (31/220)	16% (57/354)
COPD		573	4.1% (9/220)	4.2% (15/353)
Chronic kidney disease		572	2.7% (6/221)	4.3% (15/351)
High cholesterol		572	24% (54/221)	22% (78/351)
Depression or anxiety		572	5.4% (12/221)	15% (51/351)
COVID-19 severity				
WHO clinical progression		626		

	WHO – class 3-4		19% (46/239)	22% (84/387)
	WHO – class 5		46% (110/239)	42% (163/387)
	WHO – class 6		17% (41/239)	16% (63/387)
	WHO – class 7-9		18% (42/239)	20% (77/387)
Length of stay (days)		635	13.5 (16.5)	14.2 (21.0)
ITU admission (% admitted)		631	32% (77/241)	32% (125/390)
Pre-COVID-19 symptoms				
Subjective sleep quality (10=best)		638	9.1 (1.8)	7.5 (2.7)
Subjective dyspnoea (0=best)		638	0.8 (1.8)	1.3 (2.1)
Post-COVID-19 symptoms				
Subjective sleep quality (10=best)		638	8.1 (2.5)	5.2 (2.8)
Subjective dyspnoea (0=best)		638	3.3 (2.8)	4.5 (2.7)
PHQ9 level		622		
	None		80% (189/236)	36% (140/386)
	Mild		15% (35/236)	25% (98/386)
	Moderate		3.8% (9/236)	20% (79/386)
	Moderately Severe		0.4% (1/236)	9.6% (37/386)
	Severe		0.8% (2/236)	8.3% (32/386)
GAD7 level		620		
	Minimal		79% (187/236)	49% (190/384)
	Mild		17% (40/236)	24% (93/384)
	Moderate		3.0% (7/236)	16% (60/384)
	Severe		0.8% (2/236)	11% (41/384)
Subjective sleep period duration (hours)		603	7.4 (1.7)	6.1 (2.0)

Table 1 Cohort demographics for Pittsburgh Sleep Quality Index participants: Participants were categorised
 by the Pittsburg Sleep Quality Index. Continuous values are presented as mean (SD) and were compared using a
 Wilcoxon rank-sum test. Categorical data are presented as % (n/N) and were compared using a Pearson Chi squared test. PSQI=Pittsburgh sleep quality index. BMI=body mass index. IMD=Index of multiple deprivation.
 COPD=Chronic obstructive pulmonary disease. WHO=World health organisation. PHQ9=Patient Health
 Questionnaire. GAD7=Generalised Anxiety Disorder 7-item scale.

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