



**Swansea University**  
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**Identifying Host and Bacterial Biomarkers to  
Predict Sepsis**

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## Summary

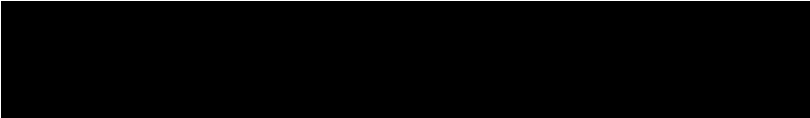
Sepsis is a serious concern for healthcare programmes worldwide and is associated with 11 million deaths annually. *E. coli* accounts for 20% of bloodstream infections worldwide and is responsible for 17% of sepsis related mortality. Early diagnosis and treatment are critical and delays in initiating antimicrobial therapy are linked to mortality. Identifying biomarkers to predict patients that might succumb to sepsis is vital to aid in early diagnosis. It was hypothesised that *E. coli* bacteraemia isolates and isolates from different sources of bacteraemia would elicit a distinctive host response and be genetically unique.

Blood culture positive isolates (n=165) were collected from the Hywel Dda University Health Board. Most of the isolates were assigned to the B2 and D phylogroups and belonged to either ST131 or ST73. Antimicrobial resistance in the collection was lower than national averages. Host models of infection were used to identify phenotypic responses of the bacterial collection. IL-8 and MIP3 $\alpha$  were increased following stimulation by bacteraemia isolates compared to non-pathogenic strains. Greater IL-8 in whole blood was associated with a urinary and abdominal bacteraemia. Isolates that were resistant to human plasma elicited a higher IL-6, IL-8 and resistin response in whole blood compared to plasma sensitive isolates. Blood culture positive bacteraemia isolates had significantly more virulence factors than control isolates. Bacteraemia isolates expressed more P fimbriae genes. The S fimbrial adhesin genes were found to be significantly different between urinary and abdominal isolates. Abdominal isolates had significantly more *sfaC* (32%) while urinary isolates had more *sfaX* (22%). GWAS analysis revealed 6 potential gene targets based on bacterial phenotypes. These were *ynbC*, *yhgE*, *ybjE*, *yejF*, *tufB* and *yohF*.

The results contained within this thesis describe new targets for predicting bacteraemia and sepsis and underline the importance of using host and pathogen as sources for biomarkers.

**Declarations**

This work has not previously been accepted in substance for any degree and is not being concurrently submitted in candidature for any degree.

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This thesis is the result of my own investigations, except where otherwise stated. Other sources are acknowledged by footnotes giving explicit references. A bibliography is appended.

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## Chapter 1. Introduction

### 1.1 Sepsis

#### 1.1.1 Definition

Sepsis or blood poisoning is a serious condition arising from a dysfunctional host response to an infection. This exaggerated host immune response is frequently associated with bacteraemia (the presence of bacteria in the blood), resulting in systemic inflammatory changes which can cause damage to tissues and ultimately lead to multiple organ failure and death. Historic definitions of sepsis syndrome have been divided into three main types of systemic inflammatory response syndrome (SIRS) based on the severity and associated mortality; these were sepsis, severe sepsis, and septic shock [1]. However, definitions remain complex, a patient was said to suffer from SIRS if they presented with two or more of the following: temperature greater than 38°C or less than 36°C, heart rate greater than 90 bpm, respiratory rate greater than 20 breaths / minute, and a white blood cell count exceeding  $12 \times 10^9 / L$  or below  $4 \times 10^9 / L$  [1]. This system led to confusion and a lack of clear definitions for each syndrome, ultimately leading to a revised definition of sepsis, agreed by the third international sepsis taskforce in 2016. Sepsis was redefined as *'life threatening organ dysfunction caused by a dysregulated host response to infection'* and septic shock was redefined as *'a subset of sepsis associated with greater mortality with profound circulatory, cellular and metabolic abnormalities.'* The terms SIRS and severe sepsis were removed from these definitions [2].

#### 1.1.2 Global impact

Sepsis represents a significant challenge to healthcare professionals worldwide and is recognised as a leading cause of mortality and morbidity but understanding its global scale is difficult. Low to middle income countries (LMIC) are often underrepresented in these estimates, primarily due to missing data on sepsis epidemiology. This discrepancy is highlighted in two reports. Firstly, a meta-analysis in 2016 assessing global incidence, using data from 27 studies across a range of high-income countries reports an estimated 19.4 million sepsis cases a year with an associated 5.3 million annual deaths [3]. In comparison a more recent report in the Lancet, using cause of death records to estimate the global

burden of sepsis including data from low-income countries, aimed to improve our understanding of global sepsis epidemiology. Using this method, the authors estimated 48.9 million cases of sepsis in 2017 linked to 11 million deaths (19.7% of all global deaths) [4]. This prediction is almost double previous estimates, confirming the previous bias towards studying high-income countries and underestimating the burden in low-income countries. It is clear that sepsis impacts public health on a global scale.

In the UK, there are an estimated 274,000 sepsis cases a year. Between 2001 and 2010 there were 226 547 deaths directly linked with sepsis (4.7% of all deaths), with an annual estimated cost to the NHS of £7.76 billion [5–7]. In the United States more than 750,000 people are diagnosed annually at an estimated cost of \$16 billion [8]. Despite improvements in the supportive care of critically ill patients and improvements in early diagnosis and treatments, mortality rates remain high at between 17-26% [3]. In addition, sepsis patients who do survive often develop long term sequelae. These long-term adverse effects can result in readmission rates of 26% within 40 days and 48% within 180 days, with many patients having multiple readmissions [9], increasing the burden of sepsis related healthcare costs. The increasing global burden of sepsis has resulted in the World Health Organisation (WHO) confirming sepsis as a global health priority. This resolution aims to reduce global burden through improved ‘prevention, diagnosis, and management of sepsis’ [10,11].

### **1.1.2 Sepsis diagnosis, treatment, and prevention**

#### **1.1.2.1 Diagnosis**

The diagnosis of an infection is guided by; i) clinical signs and symptoms (e.g., fever, white blood cell count, immune markers); ii) presence of signs of infection (e.g., dysuria, redness, swelling); and iii) microbiological confirmation (e.g., colony counts, PCR). Signs of infection combined with organ dysfunction are classified as sepsis [12]. Difficulties in this diagnostic method arise due to the heterogenous nature of sepsis infections. The signs of infection are not always present as they are often be masked by the treatment of pre-existing conditions. This is especially evident in nosocomial infections when patients may be treated for other diseases. In addition, the immunosuppressed, the elderly and critically ill patients (key risk factors) often lack these signs of infection. Furthermore, signs may also be masked in critically ill patients while receiving antimicrobial therapy resulting in negative blood culture

tests. The situation is also compacted by the fact that microorganisms may not need to pass into the blood to cause sepsis, due to the secretion of toxins and the local and systemic response to them, which would also result in a negative blood culture [13]. Multiple organisms can also be the cause of sepsis which can lead to complications assigning antimicrobial therapy [14,15]. Clinical scenarios like these provide physicians with poor evidence for specific diagnoses and unexplained organ dysfunction is often a sign of late-stage infections.

### 1.1.2.2 Treatment

Sepsis is further complicated by the limited treatment options available. Current treatment strategies revolve around eliminating the infection with antibiotics (if appropriate) and supportive therapy to maintain vital signs and organ function (Table 1.1).

**Table 1.1: Recommended treatment options. Adapted from Gotts and Matthay [1]**

| <b>Treatment</b>   | <b>Function</b>  |
|--|--|
| Antibiotics  | Treat bacterial infection (administration recommended within the first hour of diagnosis).                                 |
| Fluids (Crystalloid and colloid)                               | Counteract fluid deficit caused by vasodilation and increased membrane permeability. Prevent blood pressure from dropping. |
| Vasopressors<br>(Norepinephrine, epinephrine, and vasopressin) | Improve blood pressure, organ perfusion and arterial pressure.   |
| Insulin therapy  | Maintaining glucose levels during hypoglycaemia.   |
| Lung protective ventilation                                    | Reduce damage and inflammation in the lungs  |

While these treatments can save lives there are three clear limitations in their success:

Firstly, these treatment options do not contain strategies to target the systemic inflammatory responses closely linked to the sepsis syndrome. Over the last ten years,

numerous clinical trials have tested strategies that target some of the inflammatory pathways involved in sepsis (e.g LPS/TLR4, section 1.1.4), but have often resulted in poor efficacies compared to conventional treatments. More than 100 randomised clinical trials aimed at modulating the immune response to infection have been conducted and none have been successful. A classic example of a failed clinical immunomodulatory intervention trial in sepsis is highlighted by the recent failure of the TLR-4 antagonist Eritoran to reduce mortality in sepsis patients compared to controls [16]. Therefore, despite initial promise in animal models, there is poor translation to humans. Another example is the administration of activated protein C to patients, as although this was shown to reduce mortality in sepsis patients it was also associated with increased risk of serious bleeding [17].

Secondly, early diagnosis and treatment are critical for patient survival with multiple studies supporting earlier treatment associated with decreased mortality. The early administration of antibiotics can have a significant effect on disease outcome, with a 6-7% increase in mortality for each hour delay in administration [18,19]. Further evidence for the benefits of early treatment come from a 2014 retrospective study showing that patients receiving appropriate antibiotic treatment within the first hour of diagnosis had lower mortality than patients given antibiotics after 6 hours [20–22].

Thirdly, the increasing incidence of antimicrobial resistance is also a concern for the successful treatment of sepsis. Not only will antibiotic therapies be unsuccessful in treating sepsis caused by viral or fungal infection, but the incorrect use of antibiotics can have drastic consequences on patients. This is seen with the increase in beta-lactam producing *E. coli* in bacteraemia patients who received inappropriate antibiotics, as they have a higher mortality rate than those receiving an appropriate initial treatment [23–26].

Attempts have been made to identify distinctive clinical phenotypes of sepsis patients to guide therapies and clinical trial designs. A retrospective analysis study using data from nearly 64,000 patients identified 4 phenotypes associated with different demographics. Patterns of organ dysfunction in these phenotypes were found to correlate with biomarkers and mortality. The phenotypes identified were  $\alpha$ ,  $\beta$ ,  $\gamma$  and  $\delta$ . The  $\alpha$  phenotype was the most common and associated with lower vasopressor administration, the  $\beta$  phenotype comprised older patients with greater levels of chronic diseases, the  $\gamma$  phenotype was associated with greater levels of inflammation and pulmonary dysfunction and patients in the  $\delta$  group had

higher levels of liver dysfunction and septic shock [27]. In addition, patients in the  $\gamma$  and  $\delta$  had greater levels of inflammatory markers (including IL-6) compared to the other two phenotypes. In addition, the  $\delta$  phenotype also had higher levels of coagulation related biomarkers (such as thrombin-antithrombin complex), compared to the other phenotypes [27]. Studies like these are valuable as they provide data that will inform the design of clinical trials for sepsis therapies, improving the chances of success.

### **1.1.2.3 Prevention and the role of biomarkers**

Patients with sepsis can experience rapid deterioration due to factors such as low blood pressure and release of endotoxin from causative organism, leading to the sudden occurrence of life threatening organ dysfunction [28,29]. Developments in the use of biomarkers aimed at identifying causative organism during sepsis infection may lead to improvements in both treatment options and preventative measures for at risk patient groups. The recent pandemic caused by Sars-CoV-2 has been shown to cause sepsis in a number of patients, causing cytokine storm and widespread immune dysfunction with increased risk of mortality [30].

Due to the widespread response by academics and healthcare professionals on a global scale our understanding of COVID pathogenesis has developed rapidly and has resulted in a number of different treatment options that are showing success in the clinic. For example, the monoclonal antibody Tocilizumab, which targets the IL-6 receptor, thus reducing inflammatory pathways, has been shown to reduce pathology and associated mortality of COVID19 in a dose and time dependant manner for patients with severe infection[29]. Additionally, Reven's anti-sepsis drug RJX has recently completed a promising phase II trial with 9/12 patients with symptomatic high risk COVID, including three with hypoxemic respiratory failure showing rapid clinical recovery [31]. In addition to the different prophylactic treatment options now available for at risk Sars-Cov-2 patients, the vaccine programs across the globe have been shown to be a massive success, dramatically reducing the mortality rates and severe cases in hospitals, with an estimated 14.4 million deaths prevented in the first year of the vaccine programme alone [32].

Unfortunately, as sepsis can be caused by such a large range of pathogenic organisms [33–35], the antigenic differences between them makes vaccination against all causative agents

of sepsis unfeasible. Preventing sepsis currently revolves around increasing awareness, in both the public and healthcare professional communities, with the aim of reducing the time it takes to diagnose and treat. An example of a successful campaign is THINK SEPSIS from Health Education England which aimed to improve the diagnosis and management of sepsis within the NHS through education [36].

The identification of sepsis can also be improved using diagnostic tools. Scoring systems such as sequential organ failure assessment (SOFA) and the Acute Physiology and Chronic Health Evaluation II (APACHE II) system, can be useful to diagnose organ dysfunction in sepsis and advise treatment, although this is at a later stage of infection. The APACHE II system uses a variety of categories to evaluate patient condition including history of organ failure, age, heart rate, levels of sodium, potassium, and creatinine, as well as concentrations of white and red blood cells. The APACHE II scoring system was first introduced in 1981 and is still in use today [37]. More recently, the APACHE based systems have been updated including the APACHE III and APACHE IV, but they have not been fully tested and adopted to date [38].

The speed of onset and complexity of sepsis makes complete prevention difficult, therefore strategies to improve early detection methods remain viable options for improving outcomes. One method increasingly being used for many diseases, including cancer and sepsis, is the use of biological markers or biomarkers. Modern biomarkers are defined as ***a biological molecule found in blood, tissue or other bodily fluid which is indicative of either normal bodily processes or of a disease/medical condition***[39]. Biomarkers have been recently utilised by the science and healthcare industries as key tools to identify illnesses and help to guide treatments [40–42]. One of the most successful biomarkers identified is in the field of cancer research. PD-1/PD-1L is used as a biomarker to predict patients who are likely to respond to PD-1 blockage by the monoclonal antibody pembrolizumab [43].

To date there are several potential biomarkers to predict sepsis and associated infections and significant amounts of research are underway to improve the panel of biomarkers in use [44–48]. Given the multiple failures in finding an effective treatment, sepsis has proven itself an incredibly complicated disease to predict. A potential reason for this complexity is the sheer number of host cells and pathways that are affected during sepsis infections.



Increasing our understanding of the underlying molecular mechanisms of sepsis continually provides potential therapeutic targets and brings into light the complex heterogenous nature of sepsis. Current and potential biomarkers for sepsis will be discussed in detail later in the thesis (Chapter 4).

### 1.1.3 Risk factors for sepsis

There are several risk factors that can increase the risk of developing sepsis. The main risk factors and groups at risk according to the National Institute for Health and Care Excellence (NICE)[49], are shown below (Table 1.2).

**Table 1.2: Risk factors associated with the development of Sepsis [49,50]**

| <b>Risk Factor</b>       | <b>Description</b>  |
|--------------------------|---|
| Age                      | Age over 75 or under 1 year (neonates)  |
| Impaired immune system   | Chemotherapy, impaired immune function due to illness e.g., diabetes, sickle cell disease, cancer, long term steroid use e.g., Rheumatoid arthritis |
| Recent surgery           | Risk of infection at site of surgery, spreading to other parts of body/blood  |
| Breach of skin integrity | Cuts, burns   |
| Indwelling lines         | Catheters etc. Can lead to bacterial growth on indwelling surface leading to infection.   |
| IV drugs                 | Misuse of drugs leading to infection.   |
| Hospital admission       | Hospital admission within previous 28 days  |
| Neutropenia              | <500/ $\mu$ L at time of BSI  |
| Antimicrobial therapy    | Antimicrobial therapy within previous 28 days   |

### 1.1.4 Sepsis-associated pathogens

It is important to note that over the last 100 years there have been clear changing aetiologies in the groups of pathogenic bacteria causing sepsis, particularly in hospital

acquired sepsis (nosocomial). Before the advent of antibiotics Gram-positive bacteria were the most common cause of sepsis, particularly *Streptococcus pyogenes* and *Staphylococcus aureus* [51]. Since antibiotic use became widespread (late 1940s and beyond) the amount of sepsis cases caused by Gram-positive bacteria began to fall between 1950-1980 and Gram-negative bacteria where the cause of sepsis in more than 50% of cases [51]. In contrast, for the years 1997-2000 Gram-negative and Gram-positive bacteria accounted for 30.5% and 39.7%, of total blood stream infections respectively. Recent reports (between 2013-2016) have indicated that Gram-negative bacteria account for 43.4% of total cases, while Gram-positive bacteria account for 33.1% of the top ten pathogens causing blood stream infections worldwide. This has led to Gram-negative bacteria having a greater association with sepsis and the development of the endotoxin theory of sepsis [52]. Indeed, animal models used to study sepsis infections often involve the injection of Lipopolysaccharide (LPS), a component of Gram-negative bacterial cell walls. Mice deficient in the receptor which recognises LPS, TLR-4, are hyporesponsive to LPS [53], which is known to cause severe inflammatory responses in mammalian hosts through the activation of TLR4 and subsequent activation of  $\text{Nf-}\kappa\beta$  [52,54].

The microorganisms that can cause sepsis are diverse at domain level, including both Gram-positive and Gram-negative bacteria, viruses, and fungi [55]. Sepsis cases are predominantly ( $\approx 80\%$ ) caused by bacterial infections [56]. In patients presenting as blood culture positive, Gram-negative, and Gram-positive bacteria represent 62.2% and 46.8% of cases respectively [57]. These numbers overlap due to the occurrence of polymicrobial sepsis, where more than one underlying organism is positive on blood culture. Although there is now increasing incidences of Gram-negative sepsis, Gram-positive bacteria are still a major cause. Indeed, many Gram-positive bacteria make the 'Top 10' lists of most common causes of bacteraemia. Among the top 5 bacteria found in blood infections in Wales are the Gram-positive organisms *Staphylococcus aureus* and *Streptococcus pneumoniae* (Table 1.3). Similar species have also been found to be the leading causes across the world with American and Chinese studies indicating a similar top 10 leading organisms [58,59].

In addition, Gram-positive bacteria are the dominant pathogens in sepsis where the initial source was from a bone/soft tissue infection (55.7%) or a cardiovascular infection (52.5%),

whereas Gram-negative bacteria are the leading cause of sepsis from a urinary tract infection (72%) and abdominal infection (38.4%) [60].

Although Gram-positive bacteria lack LPS they do have inflammatory PAMPS that can elicit damaging responses from the host immune system. The cell wall of Gram-positive bacteria comprises a thick layer of peptidoglycan, a structure comprised of glycan crosslinked by short peptides[61]. Peptidoglycan is recognised by multiple host receptors including, NOD receptors (NOD1 and NOD2), peptidoglycan recognition proteins (PGRP1) and toll like receptors (TLR2)[61,62]. Additionally, bacterial cell walls also contain lipoteichoic acid which can act as a major virulence factor and cause inflammation through the activation of TLR2. Both peptidoglycan and lipoteichoic acid have been shown to induce cytokines associated with sepsis (TNF $\alpha$ , IL-1 $\beta$  and IL-6)[63].

These studies reiterate the fact that sepsis is not just a problem associated with an uncontrolled host immune response to LPS.

**Table 1.3: Top ten species causing bacteraemia in Wales for 2017. Data from Public Health Wales[64]**

| Organism                                 | Rate per 100,000 bed days |
|--|---------------------------|
| <i>Escherichia coli</i>                  | 81                        |
| <i>Staphylococcus aureus</i>             | 23                        |
| <i>Klebsiella</i> species                | 17                        |
| <i>Enterococcus</i> species              | 15                        |
| <i>Streptococcus pneumoniae</i>          | 14                        |
| Coagulase-negative <i>Staphylococcus</i> | 13                        |
| <i>Proteus</i> species                   | 7                         |
| <i>Streptococcus viridians</i> group     | 6                         |
| <i>Pseudomonas aeruginosa</i>            | 6                         |
| <i>Streptococcus</i> group               | 6                         |

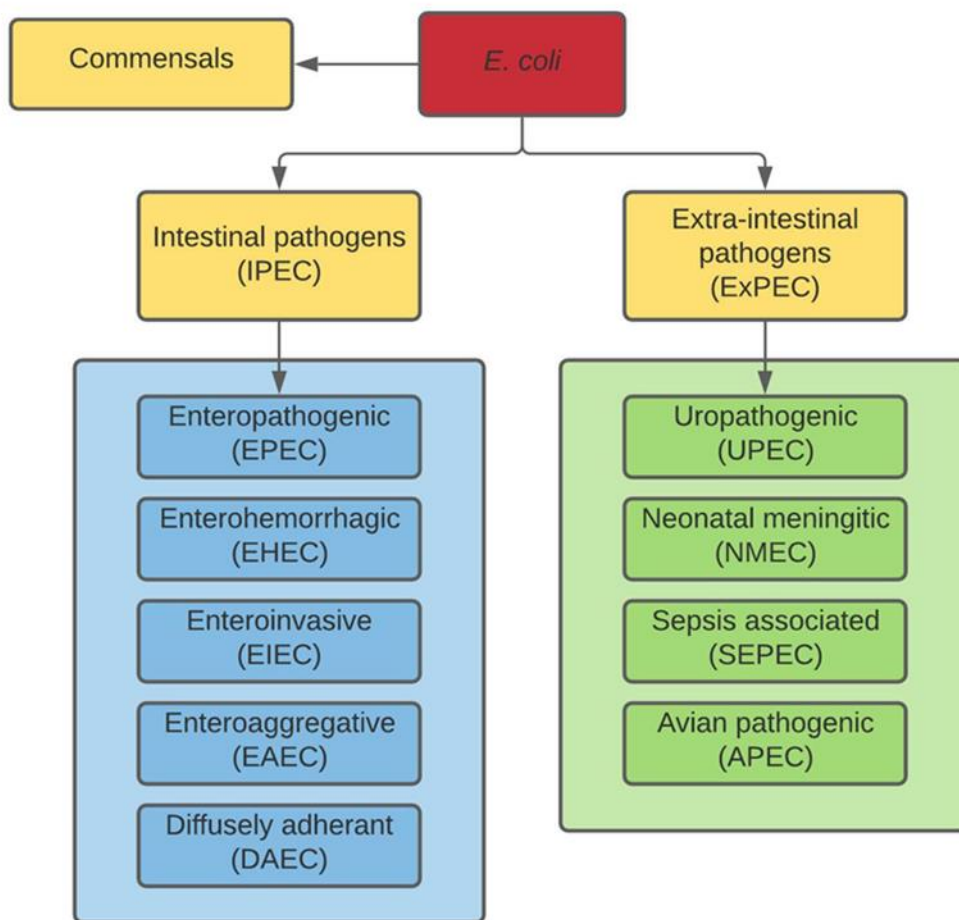
#### **1.1.4 Origins of infection**

Sepsis and bacteraemia are often secondary to an original site of infection. Sepsis can develop from many different sources of infection but the most common infections leading to sepsis are, respiratory infections (31.3%), abdominal infections (26.4%), urinary tract infections (18.4%), bone/soft tissue infections (13.3%) and cardiovascular infections (3.2%) [60]. Origin of infection is largely dependent on the causative organism and certain species are more likely to be associated with certain sources of infection. Species such as *S. pneumoniae* and Methicillin-sensitive *Staphylococcus aureus* (MSSA) are abundant in respiratory infections but are rarely found in the urinary tract, while *E. coli* is strongly associated with urinary tract and abdominal infections but is rarely found in respiratory infections [60].

### **1.2 *Escherichia coli***

#### **1.2.1 Background**

*Escherichia coli* (*E. coli*) is a highly versatile Gram-negative bacteria, capable of surviving a wide range of ecological niches [65]. *E. coli* is one of the first microorganisms to colonise the mammalian gut (within the first hours of life) where it establishes itself as the most abundant facultative anaerobe in a healthy microbiota [66]. As such, colonisation with *E. coli* does not usually cause adverse effects in the host, due to a symbiotic relationship with the host gut immune system; however, under circumstances which cause a breakdown in the healthy relationship between host and bacteria (e.g., in immunocompromised individuals), strains typically considered commensals can become opportunistic pathogens [67,68].



**Figure 1.1: Pathotypes of *E. coli*.** *E. coli* can be commensal or pathogenic, where pathogenic strains are defined by the site of infection as intestinal pathogenic *E. coli* (IPEC) and extraintestinal pathogenic *E. coli* (ExPEC). Adapted from [69]

*E. coli* strains can be considered commensal or pathogenic organisms (Figure 1.1). Human pathogenic strains of *E. coli* can be grouped based on the site of infection; these are defined as either intestinal pathogenic *E. coli* (IPEC) or extraintestinal pathogenic *E. coli* (ExPEC) [68]. The ExPEC strains are responsible for diseases such as sepsis and meningitis and can be further categorised into pathotypes based on the clinical features of disease, the most common of which are uropathogenic (UPEC), meningitis-associated *E. coli* (MNEC), septicaemia-associated *E. coli* (SEPEC) and avian pathogenic *E. coli* (APEC) (Figure 1.1) [68].

Genetics plays an essential role in the ability of *E. coli* to survive in a wide range of ecological niches. The genomes of *E. coli* contain a 'core genome' and an 'accessory genome'. The core genome contains genes involved in essential processes and ensure cellular survival (e.g., metabolic genes). The flexibility of the *E. coli* genome is evidenced by the fact that different *E. coli* isolates may only share 60-70% of their genes [70]. The accessory genome is a set of non-essential genes acquired by different bacterial strains through the processes of horizontal gene transfer and homologous recombination, that express virulence and survival factors often encoded on mobile genetic elements known as pathogenicity islands. The accessory genome of *E. coli* is known to contain a multitude of genes encoding virulence / survival factors and in resistance to antibiotics and evasion of the host immune system (Table 1.4). This genetic adaptability of *E. coli* also allows the acquisition of virulence and survival factors by commensal strains [71]. This is due to *E. coli* virulence genes often being located on transmissible genetic elements such as genomic islands and plasmids, which can be easily exchanged with other bacteria [72]. There is a plethora of *E. coli* virulence factors, which are often organised by their functional interaction with the host. These include Adhesins (cell binding), Protectins and Invasins (cell entry), Iron acquisition systems (resistance to iron), Toxins and 'Miscellaneous factors' (Table 1.4) [73].

The ECOR collection of *E. coli* was established in 1984 by Ochman and Selander to represent the genetic diversity of the species [74]. In this work they established 5 main phylogroups of *E. coli* namely, A, B1, B2, D and E. This remains true today, although, two additional phylogroups are now recognised (termed E and F) increasing the total number of phylogroups to 7 [75]. There is a broad relationship between the pathotype of *E. coli* and the phylogroup; most of the commensal strains of *E. coli* belong to the A phylogroup and the majority of the ExPEC strains belong to either B2 or D. In addition to organising *E. coli* isolates by pathotype they can also be distinguished based on the presence of certain antigens on their surface. These antigens are known as K, O and H antigens. *E. coli* has more than 80 K antigens which can combine in any way with the possible 187 O and 53 H antigens [76,77], highlighting the amount of variability present in the species.

**Table 1.4: Virulence factors expressed by ExPEC *E. coli* strains** ExPEC virulence factors can be grouped into categories according to their function. Adapted from [73]

| Virulence factor associated with ExPEC      | Gene(s)                 |
|---|-------------------------|
| <b>ADHESINS</b>                             |                         |
| Adhesion siderophore                        | <i>iha</i>              |
| Dr binding adhesins                         | <i>afa/draBC</i>        |
| <i>E. coli</i> common pilus                 | <i>ecpA</i>             |
| F1C fimbriae                                | <i>foc</i> gene cluster |
| Heat-resistant haemagglutinin               | <i>hra</i>              |
| M fimbriae                                  | <i>bmaE</i>             |
| N-acetyl d-glucosamine-specific fimbriae    | <i>gaf</i>              |
| P fimbriae                                  | <i>papACEFG</i>         |
| S fimbriae                                  | <i>sfa/sfaS</i>         |
| Temperature sensitive haemagglutinin        | <i>tsh</i>              |
| Type 1 fimbriae                             | <i>fimH</i>             |
| Adhesin and siderophore, bifunctional gene  | <i>iha</i>              |
| Invasion associated locus                   | <i>ial</i>              |
| <b>IRON ACQUISITION SYSTEMS</b>             |                         |
| Aerobactin receptor                         | <i>iutA</i>             |
| Peri-plasmic iron binding protein           | <i>sitA</i>             |
| Salmochelin receptor                        | <i>iroN</i>             |
| Siderophore receptor                        | <i>ireA</i>             |
| Yersiniabactin receptor                     | <i>fyuA</i>             |
| Yersiniabactin biosynthetic protein         | <i>irp2</i>             |
| Iron transporter                            | <i>feoB</i>             |
| <b>PROTECTINS AND INVASINS</b>              |                         |
| Colicin V                                   | <i>cva</i>              |
| Conjugal transfer surface exclusion protein | <i>traT</i>             |
| Group 3 capsule                             | <i>kpsMT II</i>         |
| Increased serum survival                    | <i>iss</i>              |
| Invasion of brain endothelium               | <i>ibeA</i>             |

|  |                       |
|--|-----------------------|
| K1/K2/K5 group 2 capsule variants                                | K1/K2/K5 genes        |
| kpsM II group 2 capsule  | <i>kpsM II</i>        |
| Outer membrane protease T  | <i>ompT</i>           |
|  |                       |
| <b>TOXINS</b>  |                       |
| $\alpha$ -haemolysin   | <i>hylD</i>           |
| Cytolethal distending toxin                                      | <i>cdtB</i>           |
| Cytotoxic necrotising factor                                     | <i>cnf1</i>           |
| Enteroaggregative <i>E. coli</i> toxin                           | <i>astA</i>           |
| Haemolysin A   | <i>hlyA</i>           |
| Secreted autotransporter toxin                                   | <i>sat</i>            |
| Serine protease  | <i>pic</i>            |
| Vacuolating toxin  | <i>vat</i>            |
| enteroaggregative <i>E. coli</i> heat-stable enterotoxin (EAST1) | <i>astA</i>           |
| Shiga toxins   | <i>stx</i>            |
|  |                       |
| <b>MISCELLANEOUS/OTHERS</b>                                      |                       |
| $\beta$ -glucuronidase   | <i>uidA</i>           |
| Colibactin synthesis   | <i>clb &amp; clbB</i> |
| Uropathogenic-specific protein                                   | <i>usp</i>            |
| Flagellin variant  | H7 <i>fliC</i>        |
| Maltose and glucose-specific PTS transporter subunit IICB        | <i>malX</i>           |
| Pathogenicity island marker                                      | <i>malX</i>           |
| d-serine deaminase   | <i>DsdA</i>           |
| capsule synthesis  | <i>kpsMTII/III</i>    |

### 1.2.2 *E. coli* virulence factors

*E. coli* utilise numerous mechanisms that aid the bacteria in subverting host responses. This can occur in a number of ways from avoidance of immune system through modification of PAMPs e.g. modification of LPS (thereby reducing recognition by PRRs), to secretion of proteases which can directly eliminate AMPs[78].



Additionally, virulence factors expressed by *E. coli* can also influence host responses by either promoting bacterial survival or in some cases manipulating host immune cells e.g. Toxin secretion. Common ExPEC virulence factor types are discussed below.

#### **1.2.2.1 Adhesins**

Adhesins are proteins found on the surface of pathogens which aid in surface attachment and colonisation. Surfaces can be either, biological (e.g., host cells), or non-biological (e.g., catheters). Adhesins facilitate the binding of pathogens to cellular surfaces during infection through specific interactions. Adhesins are located on fimbriae (pili), hair like appendages located on bacterial surfaces, or non-fimbrial adhesins. *E. coli* have numerous adhesins that aid in the attachment of bacteria to host surface structures, including the mucosal layer in the gut or other surfaces including the respiratory, intestinal, or urinary tract. Perhaps the most commonly identified adhesin is the Type 1 fimbrial adhesin encoded by the *fim* gene cluster. The type 1 fimbrial adhesin is expressed by 90% of uropathogenic *E. coli* isolates and research has shown it is crucial in establishing urinary tract infections in mice [79,80]. The type 1 fimbrial adhesin binds to mannose on host cells facilitating entry and attachment to epithelial cells [81].

#### **1.2.2.2 Protectins and invasins**

Protectins are proteins found on the surface of bacteria that aid in survival e.g., the K antigens on *E. coli*, which aid in the resistance to phagocytosis. The K antigens enable the bacteria to avoid the deposition of complement proteins C3b and C3d onto their cell surface and thus avoid recognition by phagocytic cells. Van Dijk et al 1979 found that heat inactivation of K antigens restored the ability of C3 proteins to bind to the bacterial cell surface [82].

Invasins are a class of proteins that are involved in the entry of pathogenic bacteria into host cells. Interestingly, the K antigen variant K1 has been shown to be essential for *E. coli* to cross the blood brain barrier and cause meningitis through the invasion of human brain microvascular endothelial cells (HBMECs). In addition, the adhesin protein *fimH* has been shown to facilitate invasion of ExPEC isolates across the intestinal epithelium of immunocompromised individuals [83].

### 1.2.2.3 Iron acquisition

Iron is essential for nearly all living organisms and iron dependent catabolism plays a role in a multitude of bacterial reactions including energy metabolism, respiration, lipid metabolism, amino acid synthesis and DNA metabolism [84]. Due to the essential nature of iron in bacterial metabolic reactions the withholding and/or removal of iron from the environment is an essential part of host nutritional immunity against pathogenic bacteria [85]. To combat the sequestration of iron by the host, bacteria have evolved a myriad of iron acquisition systems (Table 1.4). These include metallophores, siderophores, uptake pumps, and xenosiderophores [84]. Metallophores are secondary metabolites that enable bacteria to sequester and store metal ions from the extracellular environment, aiding in growth, survival and virulence [86]. An example is the use of the yersiniabactin receptor by UPEC *E. coli* isolates to bind copper and resist its toxic effects [87]. Siderophores are a subset of metallophores and the most widely studied. *E. coli* produce four types of siderophores; enterobactin, salmochelin, yersiniabactin and aerobactin, all of which have roles in sequestering iron away from host proteins ferritin, transferrin and lactoferrin [88].

### 1.2.2.4 Toxins

Bacterial toxins are potent molecules, usually secreted, that are significant factors in determining bacterial virulence. They have direct impact on host cells, which can often result in toxicity, and can manipulate the host response to infection, aiding the survival of the pathogen [89]. Common toxins that are important in ExPEC isolates include cytotoxic necrotizing factor (*cnf*), cytolethal distending toxin (*cdt*), vacuolating autotransporter toxin (*vat*) and secreted autotransporter toxin (*sat*). The toxin *cnf* is associated with ExPEC strains that cause meningitis as it contributes to the invasion of brain endothelial cells by *E. coli* isolates possessing the K1 capsule in neonatal meningitis models [90]. It also causes damage to the DNA of target cells. The toxin can bind to multiple cell types through cholesterol moieties and impair host defence in three main ways; i) inducing cell cycle arrest in epithelial cells, ii) induce the apoptosis of lymphocytes and iii) inducing a pro-inflammatory state in macrophages [91]. Vacuolating autotransporter toxin (*vat*) is a serine protease which has been identified in both APEC and UPEC *E. coli* strains. It induces the formation of vacuoles in target cells such as the bladder epithelial cells and causes cell death [92].

Secreted autotransporter toxin (*sat*) has been shown to induce cell death in kidney and bladder cells [93]. A more recent study has shown that *sat* is able to increase survival of ExPEC strains by cleaving three proteins of the complement cascade, thereby aiding in immune evasion [94].

Another toxin of relevance to ExPEC is the human genotoxin, colibactin which is encoded by the *pks* island. Colibactin is a hybrid polyketide-non-ribosomal peptide product encoded mainly on *E. coli* belonging to the B2 phylogroup, which has been linked to a DNA mutation in host cells leading to colorectal cancer [95]. Colibactin is strongly associated with bacteraemia causing isolates [96].

### 1.2.3 *E. coli* and antimicrobial resistance (AMR)

Antimicrobial resistance (AMR) is a serious concern for global health [97–99]. Bacteria are becoming increasingly resistant to antibiotic therapies. A recent report published in the Lancet has highlighted the intense burden AMR has on healthcare systems worldwide. The authors conducted a rigorous study estimating the burden of AMR globally for 2019. They found that AMR resistance was associated with 4.95 million deaths and attributed to 1.27 million deaths. Authors also suggested that burdens were disproportionately distributed, with lower income countries bearing the largest burden [100]. The organism identified as being associated with the most deaths related to AMR was *E. coli*, followed by *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Streptococcus pneumoniae*, *Acinetobacter baumannii* and *Pseudomonas aeruginosa* [100]. This is consistent with the leading causative agents of bacteraemia in South Wales (Table 1.1). Interestingly the pathogens causing the most AMR related deaths differed based on the income levels of the country. In the high-income countries group *E. coli* and *S. aureus* were the leading causative organisms with more than half of attributable deaths associated with these two pathogens. In contrast, in Sub-Saharan Africa the leading causative pathogens were *S. pneumoniae* and *K. pneumoniae* and had a smaller share of the burden combined [100].

Prospective studies have also highlighted the increasing incidence of AMR and reports indicate that by 2030 over half of *E. coli* isolated could become resistant to third generation cephalosporins (the broad-spectrum antibiotics used to treat Gram-negative bacterial infections) [101]. Public Health England have also published concerning data on the

increase of AMR in *E. coli* in 2018, where they found increasing resistance to several antibiotics, termed multidrug resistance (MDR). This included resistance to multiple classes of antibiotics including ceftazidime, cefotaxime, ciprofloxacin and gentamicin [102]. Given the propensity of *E. coli* to cause UTI's, it is also of concern that resistance to the first line antibiotics Nitrofurantoin or Trimethoprim is high, as shown in a study in Scotland of 7,845 patients with *E. coli* bacteraemia. Resistance rates to nitrofurantoin were the second highest of tested antibiotics with a 44.9% of isolates being resistance. Resistance rates to trimethoprim were lower at 9.8%, however, mortality rates were reported as being higher in patients with trimethoprim resistant infections[103].

The increase in the *E. coli* bacteraemia isolates expressing MDR[104,105], is worrying, as treatment for *E. coli* sepsis relies on antimicrobial therapies. A better understanding of disease pathology and host responses to infection are crucial in helping to guide treatment options and identifying potential markers that can guide treatment.

#### **1.2.4 *E. coli* in sepsis**

*E. coli* is a leading cause of bloodstream, infections worldwide accounting for 20% of cases and accounting for 17% of all sepsis related deaths in the United States[106]. In England, *E. coli* bacteraemia is the leading cause of sepsis with a mortality rate of 18%[107].

In South Wales it is the also the leading cause of bacteraemia. In part, this is due to an increased sepsis awareness programme in the area [108]. The *E. coli* phylotype groups B2 and D are the ones most associated with extraintestinal infections and multiple studies have highlighted their prevalence during sepsis infections compared to the other phylogroups. [109–111]. However, it is important to note that due to the plasticity of the *E. coli* genome any phylogroup of *E. coli* can cause extraintestinal disease. Indeed, in a recent study by Zhuge et al, the authors showed that an avian pathogenic *E. coli* (APEC) had similar virulence gene compositions compared to extraintestinal pathogenic *E. coli* causing disease in humans and was able to cause disease in both a mouse and rat model of sepsis infection [112]. Furthermore, as sepsis infections are associated with the host response, infection of an immunocompromised host can lead to sepsis infections in response to commensal bacteria, as a form of opportunistic infection [113]. This heterogenous nature of *E. coli* infections

combined with increasing antibiotic resistance of *E. coli* isolates found throughout the literature and through government surveillance studies can make treatment difficult [114]. Bloodstream infections associated with sepsis often arise from the ability of *E. coli* to cause infection at local sites [60]. The most common infections caused by *E. coli* are urinary tract infections [114]. These infections are often recurrent, and with the increasing incidence of antimicrobial resistance within *E. coli* remain a risk for sepsis development. *E. coli* along with other *Enterobacteriaceae* are also associated with various acute intra-abdominal infections e.g., appendicitis, peritonitis, and cholecystitis [115,116]. Although fewer in number, compared to other pathogens, *E. coli* can also cause bone and soft tissue infections as well respiratory and cardiovascular infections, all of which can be the origin of a sepsis infection[60]. This diverse number of infection sites makes identifying common genes associated with sepsis difficult. Moreover, genes associated with a certain tissue site, may also affect virulence in another. For example, the gene *fimH* has been shown to be important in the attachment of *E. coli* UPEC isolates to urinary epithelial cells [117]. At the same time *fimH* has also been shown to play a role in translocation from the intestine to the kidneys, spleen, and lungs [118].

### **1.3 The immune system and the host response**

The immune system is organised into three lines of defence; i) passive innate immunity and barriers (section 1.3.1); ii) induced innate immunity (section 1.3.2); and iii) adaptive immunity (section 1.3.3). In addition, there are cellular products, (e.g., cytokines), processes (e.g., cell death) and protein cascades (e.g., complement and coagulation) that contribute to immune mechanisms and maintain physiological homeostasis.

#### **1.3.1. Passive innate immunity and barriers**

Barriers are the first line of defence and include chemical, microbiological, and physical barriers. Perhaps the first and most basic line of defence against invading pathogens are physical barriers to infection. These barriers prevent invasion of pathogens into bodily sites. The skin and the mucosal membranes that line the gastrointestinal tract as well as epithelial layers of the respiratory system and urinary system comprise these barriers to entry. The skin epidermal surface provides protection through keratinized cells as well as Langerhans cells (tissue resident macrophages) which can recognise and kill pathogens and present antigens to cells of the adaptive immune system. Sweat secretions from the skin also act as an antimicrobial agent with its low pH and a washing action. Mucosal barriers including the gastrointestinal tract have a low pH unsuitable for many potential pathogens as well as containing a plethora of antimicrobial agents such as antimicrobial peptides e.g. defensins. Epithelial cells of the gut detect pathogens and signal to immune cells as well as preventing the translocation of pathogens to other tissue sites. They elicit a carefully controlled immune response, which is heavily regulated and inductive towards tolerance, is employed to elicit immune responses to potential invading pathogens, whilst maintaining homeostasis with the gut resident microbes, which themselves can also act as a barrier by preventing pathogen colonisation [119,120].

#### **1.3.2 Induced innate immunity**

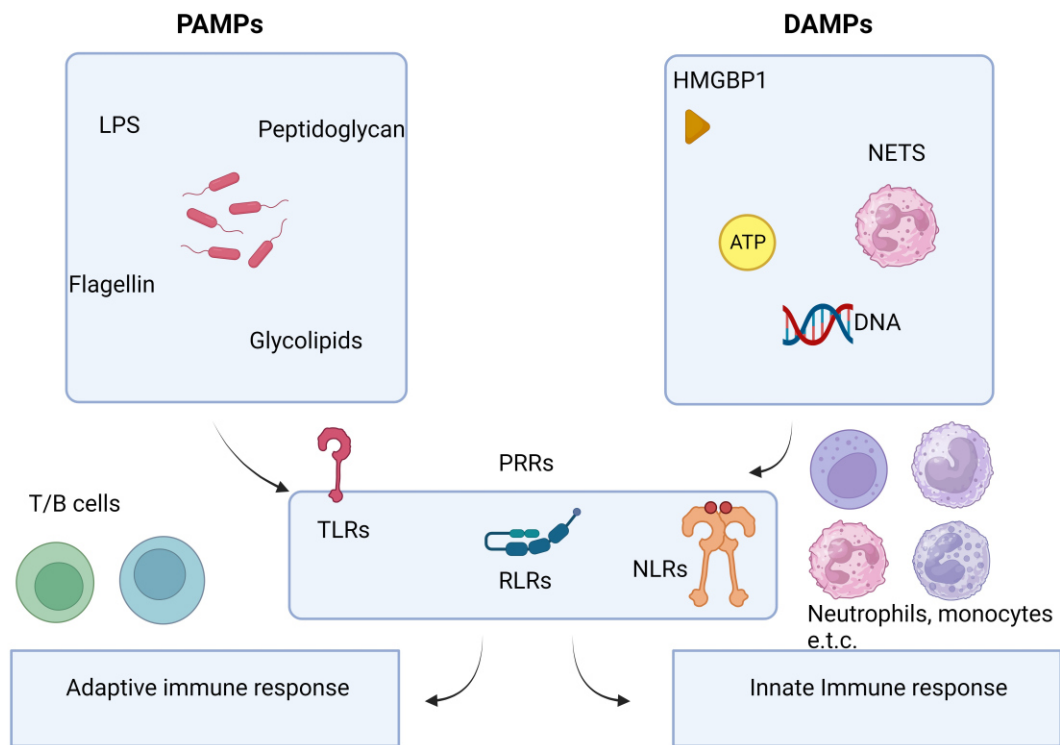
The innate immune system is often described as the second line of defence against invading pathogens. The role of the innate immune system is to recognise and respond to foreign material and prevent its spread throughout the body by segregating it away for destruction. To do this the innate immune system relies on pattern recognition of microbes and 'danger' or damage associated alarm molecules (Figure 1.2), together with the phagocytic action of

monocytes and neutrophils. Therefore, pattern recognition leads to activation of inflammatory genes, leading to removal of the pathogen by phagocytosis or direct cellular killing.

#### **1.3.2.1 Pathogen associated molecular patterns (PAMPS)**

Pathogens express molecules that are conserved between organisms (e.g., LPS on Gram-negative bacteria), called pathogen associated molecular patterns (PAMPS) which can be recognised by innate immune cells through specialised receptors. Innate recognition of pathogens by immune cells relies on a set of germline-encoded pattern recognition receptor (PRRs) which recognise conserved PAMPs. There are several classes of PRRs including Toll-like receptors (TLRs), Nod-like receptors (NLRs), C-type lectin receptors (CLRs) and intracellular DNA sensors (e.g., cGAS). TLRs were the first to be discovered and are the most widely studied and understood.

In humans there are 10 members of the TLR family, which recognise different PAMPs. TLR proteins span membranes, either the outer membrane of the cell or internal membranes (e.g., lysosomes, phagosomes). Those found on internal membranes recognise DNA and RNA and are important for the recognition of intracellular pathogens. Each TLR is composed of three domains; the ectodomain which mediates PAMP recognition, the transmembrane domain, and a cytoplasmic Toll/IL-1 receptor (TIR) domain which is involved in signalling. Upon pathogen recognition by TLR and co-receptors (e.g., CD14), adaptor proteins which contain a TIR domain are recruited and initiate downstream signalling pathways, which ultimately lead to the activation of transcription factors, NF- $\kappa$ B and IRFs which cause increased expression of inflammatory genes and type one interferons respectively[121]. (Figure 1.2).



**Figure 1.2: PAMPs and DAMPs.** Created with BioRender.com and adapted from [122]

### 1.3.2.2 Damage associated molecular patterns (DAMPs)

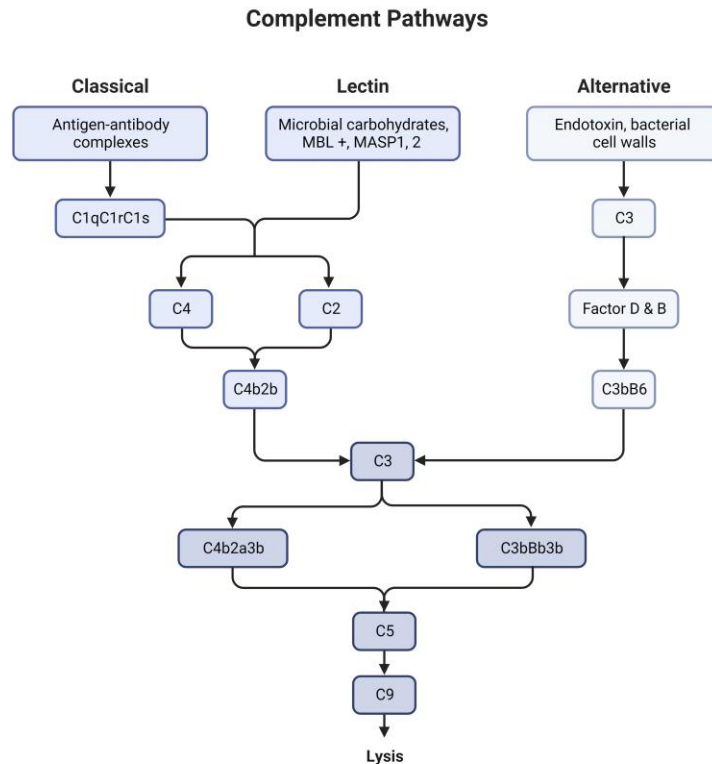
Damage associated molecular patterns or DAMPs are host derived molecules able to trigger an immune response in the absence of infection. DAMPs are intracellular molecules, such as cytosolic, nuclear, or mitochondrial proteins, and thus are usually shielded from immune recognition. After tissue injury (e. g., infection) DAMPs are released and can be recognised by cells of the immune system [123]. High mobility group box protein 1 (HMGB1) is a protein which is highly conserved and expressed in all mammalian cells. It can act as a DAMP when it is released through cytoplasmic vesicles or from dying cells. HMGB1 activates the immune system by recruiting neutrophils to the site of injury [124], as well as binding to receptors and triggering the activation of macrophages and epithelial cells to produce inflammatory cytokines.



### 1.3.2.3 Complement

Complement is a collection of more than 30 proteins present in blood, either soluble or bound to membranes [125]. Complement plays a major role in the early response to infection and participates in the direct killing of pathogenic organisms and, along with antibodies, participates in the opsonisation of pathogens for phagocytosis by phagocytic cells [126,127]. There are three pathways activated in different ways but come together terminally to form the membrane attack complex (MAC). The pathways of complement are the classical pathway, the alternative pathway, and the lectin pathway (Figure 1.3). The classical pathway is activated by C1q binding either directly to a pathogen surface or binding to IgM and IgG antibodies on pathogen cell surfaces. The alternative pathway is activated by the deposition of C3 directly onto pathogen surfaces. The lectin pathway, as the name suggests, is initiated by proteins that recognise lectins on pathogen cell surfaces [128]. All the pathways converge at the activation of the protein C3 and the subsequent production of the anaphylatoxins C3a and C5a, which are chemoattractant to phagocytes, induce the expression of adhesion molecules, and increase the permeability of blood vessels [128].

The general effects of the cascade results in the activation of three functional effector pathways which aid in defence against pathogens, namely, inflammation through chemotaxis, exclusion by phagocytosis (opsonisation), and killing through the membrane attack complex (MAC). All pathways terminate with the formation of a membrane attack complex (MAC), a collection of bound complement proteins which have direct lytic action on pathogenic cells through the formation of pores in the cell membrane (Figure 1.3).



**Figure 1.3: Complement activation**

The three pathways of complement activation the classical pathway, the lectin pathway and the alternative pathway. All pathways converge with the attachment of C3 on pathogen cell surface. Created with BioRender.

The complement system has evolved to combat many different pathogens due to their role as soluble pattern recognition receptors[129]. Knock out studies for specific proteins of the cascade do not cause susceptibility to all pathogens, for example, it is well documented that deficiencies in the terminal complement proteins (those that form the MAC) only increase susceptibility to infections by *Neisseria* species [130–132].

#### 1.3.2.4 Neutrophils

Neutrophils are the most abundant leukocytes in the body comprising 40-70% of all white blood cells [133]. They are granulocytic cells formed in the bone marrow from a myeloid precursor and circulate in the blood after exiting the bone marrow. Neutrophils are recruited to the site of injury/infection within minutes following chemotactic signals

released at the site of infection (e.g., IL-8 and C5a)[134]. Neutrophils migrate through blood vessels via interactions between cell surface selectins and integrins and enter the site of infection where they act to further increase the local immune response through the release of inflammatory cytokines as well as direct killing of microbes through; phagocytosis, degranulation, and the release of reactive oxygen species (ROS [135]). Neutrophils are usually short-lived cells which undergo constitutive apoptosis after the clearing of infection. Dying neutrophils are recognised by monocytes and macrophages, stimulating phagocytosis. This process has an anti-inflammatory effect on phagocytes, suppressing pro-inflammatory cytokines and releasing immunosuppressive cytokines such as IL-10. This clearing of dead cells is a critical part of the resolution of infection [136,137]. Neutrophils also have the capacity to undergo a specialised form of cell death called NETosis in which they release chromatin and DNA into the extracellular matrix. This chromatin and DNA is first condensed and then mixed with antimicrobial peptides before being released into the extracellular matrix. The formation of these web-like structures into the extracellular matrix can then trap and kill pathogens [138].

#### **1.3.2.5 Macrophages and monocytes**

Monocytes circulate in the blood and through the spleen, and are specially adapted to ingest foreign particles, dead cells and debris and initiate inflammatory responses via the release of cytokines such as tumour necrosis factor alpha (TNF $\alpha$ ). During infection monocytes are recruited to the infection site through CCL2/MCP-1 released from epithelial cells and neutrophils, here they differentiate into macrophages and undertake phagocytosis of foreign materials [139,140].

Tissue macrophages are acquired before birth and are long lived, self-renewing cells and their maintenance is not dependent on migration of monocytes from the bone marrow via haematopoiesis [141]. Tissue derived macrophages differentiate in tissues in response to cytokine stimuli from the surrounding environment, which generates a large tissue diversity in macrophage phenotypes. Broadly speaking macrophages can be categorised into three main types, M0, M1 and M2 macrophages. M0 macrophages are non-activated, while M1 macrophages are associated with inflammatory responses and the release of pro-inflammatory cytokines, M2 macrophages are characterised by their anti-inflammatory

nature [142]. The polarising conditions for M1 and M2 macrophages are IFN- $\gamma$ , LPS or TNF $\alpha$  and IL-4, IL-13 respectively [139].

Phagocytosis is a cellular process of ingesting particles larger than 0.5 $\mu$ m in diameter and there are multiple mechanisms by which this can occur either through direct pattern recognition on pathogen cell surfaces via non-opsonic receptors (e.g., C-type lectin receptors) [143], or via the binding of opsonin's attached to a pathogen cell surfaces (e.g. complement or antibody via complement and Fc receptors respectively) [143]. All pathways lead to formation of a phagosome and eventual fusion with a lysosome, leading to the degradation of the pathogen into biomolecules e.g., peptides, lipids, and nucleic acids.

#### **1.3.2.6 Cytokines and the acute phase response**

Cytokines are small, secreted intercellular signalling molecules that contribute to inflammation. After pattern recognition by host immune cells, receptor signalling leads to the activation of transcription factors such as NF- $\kappa$ B which stimulate the production of cytokines such as TNF $\alpha$ , IL-1 $\beta$ , IL-6 and IL-8. Cytokines can be released by a variety of different cell types including cells of the immune system e.g. T cells and macrophages as well as by other cell types such as epithelial cells, stromal cells and fibroblasts[144]. Cytokines are released from these cells and can act on cells of the immune system to stimulate or inhibit immunoactivity [145]. Cytokines can be divided into many categories including chemokines, interleukins, interferons, colony stimulating factors and transforming growth factors, which can have a wide range of effects. Cytokines can also be grouped according to function, those that act in a way to induce inflammation and those that suppress inflammation. Early acting pro-inflammatory cytokines such as TNF $\alpha$  and IL-1 $\beta$  increase early during infection, followed by later acting cytokines IL-6, IL-1Ra and IL-8. (Figure 1.3) and finally anti-inflammatory cytokines such as IL-10. Historically this concurrent release of pro-inflammatory cytokines was termed a cytokine storm [145] [137].

The acute phase response is part of the innate immune system's response to infection / trauma, which involves a systemic response of the body mediated by the cytokines TNF $\alpha$  and IL-6. Within a few hours of infection protein synthesis in the liver is upregulated in response to cytokine stimulation, due to production of proteins which are part of the acute phase response [146]. The acute phase comprises of approximately 200 proteins which are

mainly produced in the liver by hepatocytes, through the release of pro-inflammatory cytokines by monocytes, endothelial cells, and tissue resident macrophages, which are also able to produce some acute phase proteins [147].

Acute phase proteins can be either positive reactants or negative reactants, which increase and decrease in amount during the response respectively. Many acute phase proteins show a predictable increase during infection/injury and are measured as biomarkers of acute illness [148]. Examples of acute phase proteins include C-reactive protein, Serum amyloid A and complement proteins C3 and C4 [146].

### 1.3.2.7 Coagulation

Coagulation is a process that aims to stop bleeding through the formation of blood clots after tissue damage. It is comprised of three pathways, the intrinsic, extrinsic, and common pathways, that interact to form a stable blood clot. The intrinsic pathway involves the recognition of collagen, kallikrein and high molecular weight kininogen (HMWK) by Factor XII (Hageman Factor), which triggers downstream reactions and feeds into the common pathway through the activation of Factor IXa. The extrinsic pathway is initiated by damage to endothelial tissue, exposing tissue Factor (Factor III) to the blood. This then activates Factor X and feeds into the common pathway. The common pathway is activated by Factor X from either the intrinsic or extrinsic pathways and results in the cleavage of fibrinogen into fibrin, the activation of fibrin leads to crosslinks, which captures platelets and blood cells to form a clot. Coagulation has a role in the host immune response to infection through activating a variety of responses including direct bactericidal activity of blood clots through confining the bacteria inside the clot, restricting nutrient and water supply[149]. Blood clot retraction also cause pressure that can tear bacterial capsules[149]. In addition, compression of the blood clot can lead to the release of oxygen from erythrocytes, leading to killing of bacteria through oxidation[149].

Many pathogens have evolved mechanisms to modulate coagulation; the causative agent of plague, *Yersinia pestis* has mechanisms which disrupt clot formation and prevent trapping of the pathogen via fibrin/fibrinogen[150]. The bacteria possess a plasminogen activator which when absent from the bacteria leads to increased survival due to a more controlled

infection, through the greater infiltration of immune cells at site of infection and increased bacterial clearance preventing dissemination [150].

#### **1.3.2.8 Cell death and immunity**

Cellular death is a natural and critical process to maintaining homeostasis. Cell death was initially divided into three types: Type 1, (apoptosis), type II (autophagy) and type III (necrosis) [151]. There are now more than ten recognised mechanisms of cellular death: Autophagy, Entosis, methuosis, paraptosis, mitoptosis, parthanatos, ferroptosis, pyroptosis, NETosis, Necroptosis, Apoptosis, Anoikis and necrosis. These can be organised into three major categories; Programmed non-apoptotic cell death, programmed apoptotic cell death and non-programmed cell death [151]. These mechanisms function in different ways with programmed cell death initiated under the control of regulated signal transduction pathways, with apoptotic pathways involving the retention of membrane stability and caspase dependency and non-apoptotic pathways being associated with a rupture in cellular membranes and being caspase independent. Non-programmed cell death in contrast is stimulated by factors such as infection and injury [151]. Programmed cell death mechanisms contribute to a variety of immune processes that protect the body against infection. It is involved in immunity to viruses and other intracellular pathogens, inflammation, chemotaxis as well as roles in the adaptive immune response on the form of lymphocyte selection and immune tolerance mechanisms [152–154]. Critically, as individual pathogens are able to induce different cell death modalities it is clear that understanding death mechanisms may give insight into markers and therapies for disease [155].

#### **1.3.3 Adaptive immunity**

The third line of defence is the adaptive immune system and is comprised of three major cell types: T lymphocytes and B lymphocytes and dendritic cells. Thymus derived lymphocytes or T cells are critical components of the adaptive immune response. There are multiple types of T cells which all have distinctive roles in host immunity. These are, helper T cells (CD4), cytotoxic T cells (CD8), regulatory T cells (Tregs), Mucosal associated invariant T cells (MAIT), memory, Natural killer T cells (NKT cells), and gamma delta T cells ( $\gamma\delta$ T cells). B cells provide humoral immune responses as they are producers of specific antibodies

against pathogens and aid host defence through; blocking entry at mucosal surfaces (IgA) to facilitating phagocytosis (IgM/IgG) through opsonisation and subsequent recognition of the Fc region of the antibody by Fc receptors present on phagocytic cells or NK cells.

Linking the innate immune system to the adaptive immune system are dendritic cells (DCs). Dendritic cells are phagocytic cells which function as antigen presenting cells (APCs) and are mainly located in the mucosal tissue such as the intestine. In the intestine dendritic cells reside in specialised tissue called gut associated lymphoid tissue (GALT), here they sample the intestinal environment for microorganisms via PAMPs. DCs can then travel to the lymph node and present antigens to T and B cells to generate tailor made immune responses [141].

## **1.4 The immune system in sepsis**

### **1.4.1 Summary**

The underlying pathology of sepsis was thought to be caused by an initial severe inflammatory response to an infectious agent followed by a complementary immunosuppressive response, ultimately resulting in compromised immunity and an inability to control the infection with a self-mediated inflammatory damage to tissues and organs[156]. However, it is now clear that immunosuppression starts earlier than previously thought and the dysregulation between contrasting cytokines leads to sepsis patients having profoundly different cytokine responses compared to healthy controls, with defects in both pro and anti-inflammatory cytokine production [157]. A multitude of host response pathways have been implicated in the developments of sepsis including the complement cascade, the coagulation pathway and cellular metabolism pathways. Numerous immune cell types have been implicated in disease progression including cells of both the innate and adaptive immune response [158].

### **1.4.2 Cells and pathways affected by sepsis**

#### **1.4.2.1 LPS theory of sepsis**

Lipopolysaccharide (LPS), the major component of the outer membrane of Gram-negative bacterial cell walls has long been recognised as a major inducer of the immune system in mammals and has been historically associated with the classic cytokine storm seen in sepsis (Figure 1.4)[159]. Indeed, animal models of sepsis infection commonly use LPS to stimulate

the immune system into a sepsis like state [160]. LPS is comprised of 3 major components: the O antigen, Lipid A, and a core component of oligosaccharide. LPS binds strongly to the host immune system receptor TLR4 (Toll like receptor 4) in complex with CD14 and MD2 (myeloid differentiation factor 2), which is expressed on many innate immune cells such as monocytes and macrophages. The binding of this receptor complex to LPS induces a strong inflammatory response from the host cells through the signalling of the immune transcription factor NF- $\kappa$ B, triggering the transcription and release of pro-inflammatory cytokines such as TNF- $\alpha$  and IL-1.

Exposure to LPS has a profound effect on the host immune system as evidenced by the differential expression of 3714 genes in response to LPS[161]. However, Gram-positive bacteria lack LPS in their cell walls and instead have significantly greater amounts of peptidoglycan (a polymer of sugars and amino acids) in their cell walls. While still immunogenic, peptidoglycan does not produce as strong a response as LPS. Indeed, multiple studies have shown that gram negative bacteria elicit a greater immune response from the host compared to Gram-positive bacteria. Both IL-6 and C reactive protein were significantly greater with Gram-negative bacteria in a study of 515 culture positive blood samples from bacteraemia patients [162]. Similar studies have also found vastly increased cytokine profiles in Gram-negative bacteria compared with Gram-positive bacteria, with both pro and anti-inflammatory cytokines vastly increased in Gram-negative sepsis infections [163], which can influence disease as Gram-negative bacteria were found to be a major risk factor for sepsis prognosis [164].

#### **1.4.2.2 Barriers to infection in sepsis**

During sepsis infection breakdown and dysbiosis of the normal gut microbiome in response to pathogens is thought to drive multiple organ dysfunction. The normal functioning of the gut is perturbed in sepsis and drive systemic propagation of the disease[165]. Epithelial cell barriers become permeable during sepsis allowing the contents of the intestinal lumen (including pathogens and commensal organisms) to break away from their normal environment where they can cause injury either in the gut itself or in distal tissues[166]. Intestinal epithelial cell apoptosis is also greatly increased in sepsis further contributing to the breakdown of the intestinal barrier. Dysbiosis of the normal gut microbiome also occurs



in sepsis and shifts toward the uncontrolled proliferation of bacteria manifesting as a loss of diversity, dominance of pathogenic organisms—a shift referred to as the pathobiome [167,168].

#### **1.4.2.3 Complement in sepsis**

The complement cascade has been implicated in the destructive early host immune response during sepsis, particularly the anaphylatoxins C5a and C3a. C3a is increased in sepsis patients and correlates with a worse APACHE II score [169]. Another study investigating complement in critically ill patients found that both C5a and C3 was elevated in sepsis patients compared to trauma patients [170]. Complement proteins C5b-9 (MAC) have also been found to increase in patients with severe sepsis or septic shock [171]. Although essential for early host defence against pathogens, complement activation can have detrimental effects in the later stages of sepsis and is associated with enhanced organ dysfunction in a rat model of sepsis infection, with increased levels of complement proteins found in the heart, liver, and spleen [172]. Evidence for the use of complement inhibitors as therapeutics for sepsis pathways have shown promise in animal models, including primate models of sepsis as well as human blood infections. Antibodies against C5 in conjunction with antibodies against CD14 have been shown to reduce inflammatory responses and respiratory burst in human whole blood infected with *E. coli* [173,174]. The anti-C5a and anti-CD14 has also been shown to be effective in a porcine model of sepsis, attenuating the inflammatory response and increasing survival [175,176]. Mouse models also show the role of C5a driving inflammation in sepsis. Mice lacking the complement receptor C5aR1 have increased survival, with increased pathogen clearance and liver function compared to control mice [177]. In contrast mice with deficiencies in C3 and C5 alone have increased bacterial burdens and are unable to clear infection, highlighting the complex nature of sepsis and the delicate balance needed between too much or too little complement activation to control infections. [178].

#### **1.4.2.4 Neutrophils in sepsis**

Several apoptotic signalling pathways appear to be impaired in neutrophils from sepsis patients; sepsis patient neutrophils have increased levels of the anti-apoptotic proteins Mcl-

1 and Bcl-xL and decreased levels of caspase-8, resulting in the prolonged survival of neutrophils in sepsis patients, mediated by C5a and LPS[179]. In addition to delayed apoptosis, neutrophils from sepsis patients have impairments in migration, rolling, adhesion and transmigration as well as antimicrobial activity [180–182]. NETs have been shown to induce tissue damage during sepsis[183], and increased numbers of NETs has been associated with increased acute respiratory distress syndrome (ARDS) severity in patients with microbial pneumonia [184].

#### **1.4.2.5 Monocytes and Macrophages in sepsis**

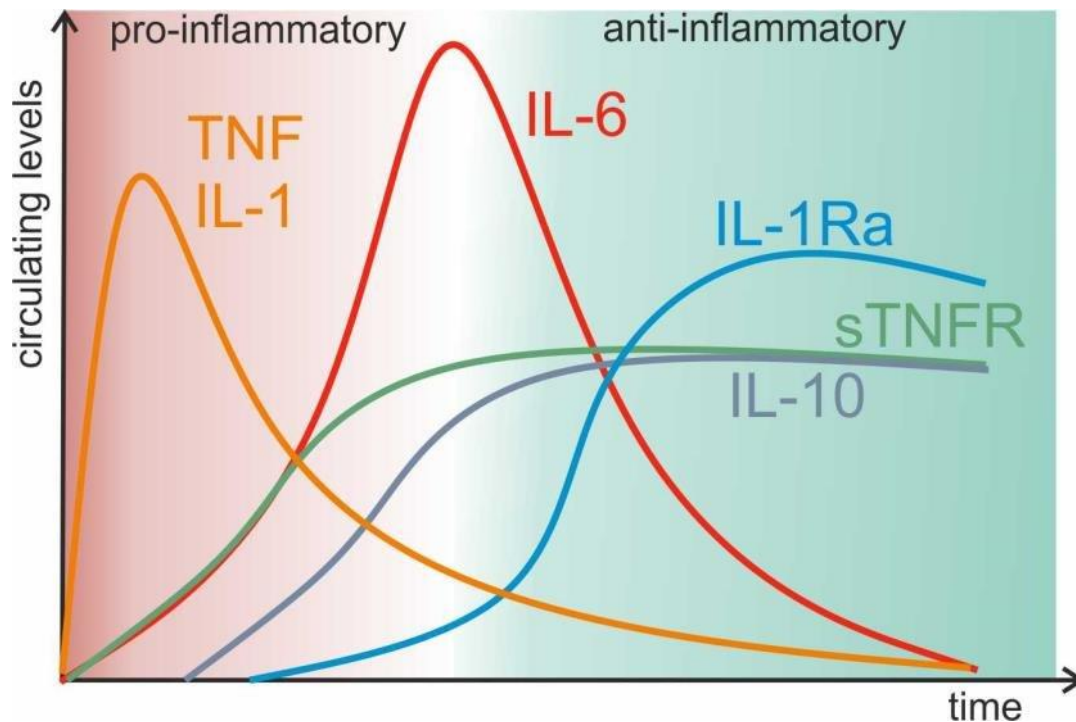
Monocytes can be classified according to their expression of CD14 a co-receptor which binds LPS in the presence of TLR4 [185] , and CD16 (FcγRIII) a Fc receptor which recognises IgG. Classical monocytes are CD14<sup>++</sup> and CD16<sup>-</sup>, while non classical monocytes are CD14<sup>+</sup> and CD16<sup>++</sup> and intermediate monocytes which are CD14<sup>+</sup> and CD16<sup>+</sup> [186]. Normally classical monocytes make up more than 90% and are by far the most common monocytes circulating throughout the body. CD16 expression is increased during sepsis infections which is indicative of increased phagocytosis. However, HLA-DR expression has been found to be lower in monocytes of sepsis patients indicating a decrease in the presentation of antigens on monocyte cell surfaces [187]. Other studies have noted similar results in the alteration of monocyte function during sepsis. Shalova et al 2015 noted that monocytes from sepsis patients showed a pro-inflammatory gene expression pattern during infection but that these cells also showed a reduction in inflammatory cytokine and chemokine production and impaired antigen presentation when challenged with LPS indicating functional reprogramming of the cells during infection [188]. The authors also show evidence to suggest that HIF1 $\alpha$  is a key mediator of this switch from an inflammatory to a suppressive state, through regulation of multiple different genes involved in inflammation, regulation, tissue remodelling and anti-microbial action[188].

Macrophages are heavily involved with the response to bacterial pathogens and are a major source of both pro-inflammatory and anti-inflammatory cytokines during sepsis [189]. Macrophages share a similar fate to monocytes during sepsis infections and studies in mice have shown a dysregulated function of both pro and anti-inflammatory actions of macrophages. Post-sepsis mice had impaired wound healing as well as a decrease in the

expression of inflammatory cytokines IL-1 $\beta$ , IL-12 and IL-23 due to epigenetic modifications in the bone marrow which are passed on to peripheral macrophages [190].

#### **1.4.2.6 Cytokines in sepsis**

The pattern of cytokine production during sepsis is time dependent (Figure 1.4). Early pro-inflammatory cytokine release leads to a cytokine storm resulting in damaging inflammation, this is then followed by an increase in anti-inflammatory cytokines, which suppress the immune system. The high levels of both pro and anti-inflammatory cytokines lead to a dysregulated immune response. Multiple studies have shown a dysregulated cytokine response in sepsis patients. Studies have shown increased levels of the anti-inflammatory cytokines IL-10 and lower levels of the pro-inflammatory cytokine TNF $\alpha$ , which correlate with mortality [191]. Other studies have highlighted the dysregulation associated with sepsis, even in surviving patients. Both whole blood and cells from sepsis patients have drastically reduced levels of TNF $\alpha$ , IL-6 and IL-1 $\beta$  in response to endotoxin. [192,193]. It is likely that these patients either have rapid production of both pro and anti-inflammatory cytokines, a predominance of anti-inflammatory cytokines, or a general depression in cytokine responses [157]. This mixed response of patients can make finding single biomarkers for sepsis incredibly difficult due to the heterogeneous nature of the disease. Indeed, patients have been shown to have varied cytokine responses (both pro-inflammatory and anti-inflammatory) when multiple cohort studies were compared. Patients which fit into either the  $\alpha$  or  $\beta$  sepsis phenotypes have lower levels of IL-6 and IL-10 when compared with patients who fit into the  $\gamma$  or  $\delta$  sepsis phenotypes (using data from four cohort studies) [27].



**Figure 1.4 Pattern and levels of cytokine release in sepsis over time.** Figure from [194], figure URL <https://www.mdpi.com/1422-0067/19/5/1442>

#### 1.4.2.7 DAMPS in sepsis

Sepsis severity has been shown to correlate with DAMPs. High mobility group box 1 (HMGB1), extracellular cold-increasing RNA-binding protein (eCIRP) and H3 have all been found to be at increased levels in sepsis, leading to increased tissue inflammation and injury [195–197].

During sepsis HMGB1 is elevated [198], and studies have shown that targeting and inhibiting HMGB1 improves outcomes in sepsis [199]. Other DAMPs such as eCIRP, Histones, cell free DNA and even ATP have also been linked with sepsis [200], contributing to the release of cytokines throughout sepsis infections and acting in concert with PAMPs to drive dysregulation of the immune system.

#### 1.4.2.7 Coagulation in sepsis

Abnormalities in the coagulation cascade are incredibly common in patients suffering from sepsis, although effects may range in severity. Reports indicate that 70% of sepsis patients will develop thrombocytopenia and haemostatic changes [201]. Thrombocytopenia is

defined as a deficiency of platelets in the blood and platelets are significantly reduced in several ways during sepsis. There is a markedly decreased production of platelets in septic patients along with increased destruction as well as increased consumption [202]. In addition, pathogenic bacteraemia causing *E. coli* induce apoptosis in platelets via the intrinsic apoptotic pathway and action of  $\alpha$ -hemolysin [203].

#### **1.4.2.8 Adaptive immunity during sepsis**

Sepsis infections have been associated with a significant reduction in the number of CD4 T cells, CD8 T cells and B cells [204]. This depletion occurs through apoptosis via both the intrinsic (mitochondrial dependent) and the extrinsic (FAS/FASL associated) pathways [205]. This rapid depletion of effector immune cells results in an inability to control infection. Effector T and B cells that remain in sepsis patients become exhausted, evidenced by T cells harvested from the spleen of patients who have died of sepsis showing a decreased capacity to produce pro-inflammatory cytokines such as IFN $\gamma$ , TNF $\alpha$  and IL-6 [206]. This depletion of effector immune cells can cause long term problems in patients who do recover from sepsis. This is evidenced by the fact that 60% of sepsis survivors are readmitted to a hospital after one year often due to an infection[207]. This is in conjunction with other morbidities brought about by sepsis including post sepsis syndrome (physical and psychological long-term effects with symptoms ranging from extreme fatigue to insomnia) and increased risk of cardiovascular disease[207].

#### **1.5. Biomarkers in sepsis**

A possible alternative diagnostic tool for identifying early bacteraemia is by the use of biomarkers. A good broad definition of a biomarkers is *“any substance, structure or process that can be measured in the body or its products and influence or predict the outcome of disease”* [208,209]. However, a more specific definition from the perspective of this thesis would be *“a biological molecule found in blood, tissue or other bodily fluid which is indicative of either normal bodily processes or of a disease/medical condition”*[39].

The most investigated biomarkers in sepsis research involve components of early host immune pathways, as these are usually relatively easy and quick to measure. To date

however there is no clear consensus on a quintessential biomarker for sepsis infection[210]. The complexity and overarching changes to host cellular pathways in addition to the multitude of organisms capable of causing sepsis infections does not lead itself to a one size fits all marker of disease.

### **1.5.1 Current methods and limitations of pathogen detection**

Identifying sepsis before the onset of severe organ damage is extremely beneficial to patients. To cause widespread disease, bacteria must be able to cross into the bloodstream and infect other organs. Identification of bacteria in the blood is the main way in which bacteraemia is diagnosed. This is done by directly culturing the pathogenic organism/s from a patient blood sample. Blood culture is a good way of being able to identify the pathogenic organism down to the species level but there are several drawbacks to this method of diagnosis. The main limitation is the time it takes to culture the microorganisms, with blood cultures taking at least a day, if not longer for slower growing organisms and more time still to confirm AMR profiles. Other issues include the relatively low number of circulating organisms 1-10CFU/ml and the fact that some organisms are difficult to culture, as well as false negative results [55,211].

While CFU counts from blood cultures can be low this does not reflect the true immunological burden of bloodstream infections, as blood is an incredibly hostile environment for bacteria there will inevitably be a lot of dead bacteria causing immunological responses from the host as well as bacteria from cells clumped together and from inside circulating phagocytic cells [55]. An alternative measure which considers dead, clumped and bacteria in phagocytes is genome copies (GC). Genome copies can be identified using PCR based approaches to blood stream infections. Using this measure, it has been estimated that the average genome copies during BSI were between  $1 \times 10^3$  and  $1 \times 10^4$  [211].

Although PCR methods have gained popularity, due to their rapidity, there are still problems with their implementation and as such are currently used alongside traditional blood culture methods. Problems facing direct bacterial diagnosis from blood samples centre mostly around disruption of the PCR reaction by factors in the blood, including DNA from Leucocytes, DNA binding IgG, iron, and Heparin[212].

Infection models aimed at identifying biomarkers derived from host response to infection can be helpful tools for researchers aiming to identify markers of infection. Basic infection models involve the use of immortalised cell lines such as THP-1 cells. Immortalised cell lines allow for the study of cellular mechanisms and function. The THP-1 cell line is a monocytic cell line extensively used to study the functions of monocytes/macrophages. Using this model allows for the study of potential biomarkers of interest during infection [213].

Other models such as whole blood models of infection can provide additional information, due to the larger variety of cell types present in blood e.g. neutrophils and monocytes.

Recent research from our lab has shown that whole blood infection models can be used to bacterial infections at the strain level [214].

### **1.5.2 Current sepsis biomarkers**

The diagnosis of sepsis remains challenging, but some conventional biomarkers remain useful. Over 100 biomarkers have been put forward as potentially useful in sepsis infections but to date few are routinely used. The most common biomarkers in use are procalcitonin and C reactive protein however, there are some promising biomarkers that could prove useful in the future (Table 1.5). Serum amyloid A (SAA) and acute phase protein which has shown promise as a biomarker for neonatal sepsis [215]. IL-6 and IL-8 in conjunction with PCT have been recognised as promising sepsis biomarker candidates [216,217]. CD64 (FcγR1) an Fc receptor whose expression upregulates in response to cytokines also shows promise as a sepsis biomarker for disease prognosis as well as diagnosis [218,219]. Finally, over production of lactate has long been associated with increase inflammatory states and there is renewed interest in investigating its potential as a sepsis biomarker [220,221].

#### **1.5.2.1 Procalcitonin (PCT)**

PCT is the peptide precursor of the hormone calcitonin which is involved with calcium homeostasis. Levels of PCT in healthy people are below the limit of detection of most assays, but increase dramatically during infections, particularly infections of bacterial origin. PCT increases significantly in response to many bacterial infections including abdominal infections, meningitis, pneumonia, urinary tract infections and sepsis and is a promising marker to help guide antimicrobial therapy [222]. Levels of PCT increase substantially within 6-12 hours post infection and remain high until infection is controlled by antibiotics or the

host immune system. Blood levels reduce by half daily, making it a valuable resource in guiding antimicrobial treatment [222]. This trait of PCT as a marker of antibiotic effectiveness is a valuable tool in aiding the unnecessary prescribing of antibiotics, which is known to be associated with increases in antibiotic resistance. However, early PCT levels are often influenced by the initial cause of sepsis and its severity and not necessarily the severity of sepsis itself. In addition, there have been reported problems with assay sensitivity when detecting PCT with minor changes as well as elevated PCT levels in non-infectious diseases such as chronic kidney disease (CKD)[223,224].

#### **1.5.2.2 C reactive protein**

CRP is a protein involved in the acute phase response. It is synthesised in the liver in response to IL-6 released during infection. CRP can directly bind to pathogen surfaces where it activates the complement cascade and can lead to direct killing of the pathogen or opsonise them for direct uptake by phagocytes, along with several other pro-inflammatory effects. CRP can increase up to 1000-fold at sites of infection [225,226]. It can be used to discriminate between different pathologies and is elevated to different levels in different diseases. Levels of 10mg/dL indicate marked elevation and point to either acute bacterial infections, viral infections or trauma and levels over 50mg/dL indicate acute bacterial infections in 90% of cases[225].

#### **1.5.2.3 Biomarker panels**

It has become increasingly clear that a single biomarker for the prediction and diagnosis of sepsis with high degrees of sensitivity and specificity will not be found and so many researchers have turned their attention to a combination approach. Some panels of biomarkers show promise to help with the diagnosis of sepsis. A combination of C-reactive protein, leucine-rich alpha glycoprotein-1 and serum amyloid A in urine has been shown to be elevated in sepsis patients compared to patients with SIRS and healthy controls. As this study identified the biomarkers in urine this may be a potentially beneficial non-invasive method to diagnose sepsis [227]. Another study has found that a combination panel of biomarkers identified through machine learning is more effective at predicting 30-day



mortality than the gold standard procalcitonin and C- reactive protein [228]. This newly emerging research area shows promise for the future of sepsis biomarker development. Combined with advances in technologies such as microfluidics, electrochemistry, and artificial intelligence, panels of biomarkers are showing promise as a point of care detection method for sepsis infection [229].

**Table 1.5 Current and promising sepsis biomarkers for detection of sepsis. Table from [229]**

| Sepsis biomarker | Category                          | Source   | Normal concentration for healthy individuals | Severe sepsis concentrations | Peak onset time after stimulus | Sample type                                |
|------------------|-----------------------------------|--|--|------------------------------|--------------------------------|--|
| CRP              | Acute-phase protein               | Liver  | <3 µg/mL                                     | >50 µg/mL                    | 4–6 h                          | Whole blood, serum and urine               |
| PCT              | Acute-phase protein               | Thyroid gland                                    | <0.05 ng/mL                                  | >2 ng/mL                     | 12–24 h                        | Whole blood and serum                      |
| SAA              | Acute-phase protein               | Liver  | <10 µg/mL                                    | >1 mg/mL                     | 8–24 h                         | Whole blood and serum                      |
| IL-6             | Pro or anti-inflammatory cytokine | Monocytes, endothelial cells, and adipose tissue | <25 pg/mL                                    | >1000 pg/mL                  | 6 h                            | Whole blood, serum and cerebrospinal fluid |
| IL-8             | Pro or anti-inflammatory cytokine | Macrophages                                      | <10 pg/mL                                    | >234 pg/mL                   | 1–3 h                          | Whole blood, serum and cerebrospinal fluid |
| CD64             | Cell marker                       | Monocytes  | <8 mCL                                       | >800 mCL                     | 24 h                           | Whole blood and serum                      |
| Lactate          | Other                             | Myocyte tissue                                   | <2 nmol/L                                    | >3.9 mmol/L                  | 24 h                           | Whole blood, serum and urine               |

### 1.6 Current issues and gaps in the literature

Although there are sepsis biomarkers that are used in the clinic setting there is a desperate need for improved specificity as conflicting reports in the literature can lead to confusion in their accuracy. Single molecule biomarker studies have repeatedly shown inconsistency to successfully diagnose and predict the outcome of sepsis infections. There are currently no sepsis biomarkers that can correctly diagnose and predict sepsis outcome with 100% accuracy[210]. Furthermore, while there has been work done *in vivo* animal models to study differential host response to bacterial pathogens, animal genetic backgrounds (e.g., mice) can produce vastly different host responses. To date, there have been no studies investigating differential host response to collections of *E. coli* strains from bloodstream infections in human models of infection. Moreover, although studies have associated the presence of certain genetic traits of *E. coli* to aid sepsis diagnosis there have been no studies that have investigated the effect of these genetic traits on the host immune response. Indeed, genetic studies to identify at risk patients have mostly focused on host genetic traits during sepsis infections (e.g., mutations in host immune factors, such as TLRs). There has been little research on the potential of using bacterial virulence factors as biomarkers to aid in the prediction / diagnosis of sepsis.

### 1.7 Aims and objectives

This thesis aims to take a combined approach to identifying potential *E. coli* sepsis biomarkers by considering both *E. coli* and host response genes following infection. It is predicted that *E. coli* isolates from bacteraemia infection will not only be genetically distinct but also elicit a unique host immune response compared to control isolates. It is also predicted that *E. coli* from different clinically defined sources of infection will be genetically distinct and elicit a unique, identifiable host immune response. This study will:

#### **Chapter 3 Establishing a collection of *E. coli* and linked patient and phenotypic data and basic genomic data**

- Acquire *E. coli* collection from bacteraemia patients from the Hywel Dda University Health Board.
- Acquire patient demographic data from the blood culture positive isolates
  - Sepsis/non sepsis
  - Origin of infection
  - Coinfections etc
- Identify AMR resistance of the *E. coli* collection from the patient data.
- Perform basic genetic organisation of the *E. coli* bacteraemia isolates
  - Phylogroup identification
  - Sequence type identification

#### **Chapter 4 Generating phenotypes: In vitro and ex vivo responses induced by *E. coli* collection**

- Investigate panel of potential cytokine biomarkers using *ex vivo* whole blood infection models of bacteraemia. This panel will be used to characterise the host response to *E. coli* isolates from varying origins of infection.
- Investigate host response to *E. coli* collection using THP-1 model of infection.
- Characterise *E. coli* collection survival and growth in human plasma
- Assess serum bactericidal capacity of human serum on select *E. coli* isolates.

## **Chapter 5 Descriptive and comparative genomics of VFs and AMR genes associated with the *E. coli* collection**

*E. coli* isolates will be whole genome sequences and then analysed for:

- Generating a pangenome
- Constructing a whole genome phylogenetic tree
- Establishing the core/accessory genome
- Identifying and counting the presence and absence of virulence factors
- Identifying and counting the presence and absence of AMR genes
- Comparison of established virulence factors in bacteraemia whole genomes grouped by:
  - Origin of infection (Urinary vs Abdominal)
  - Bacteraemia vs ECOR
  - B2 phylogroup of urinary vs abdominal isolates

## **Chapter 6: Identification of genetic targets associated with bacteraemia and infection and functional confirmation.**

- Comparison of whole genomes (GWAS method) grouped by phenotypic data collected from other chapters:
  - Association with bacteraemia
  - Association with Origin of infection
  - Association with urinary vs other bacteraemia
  - Association with sepsis / non sepsis (Chapter 3)
  - Association with mortality (Chapter 3)
  - Association with in vitro/ex vivo phenotypes (Chapter 4)
- Top gene targets identified through GWAS will be investigated further using knockout *E. coli* K12 isolates in
  - infection models and
  - survival/growth in plasma/serum

## **Chapter 2: Materials and methods**

### **2.1 Media and stock solutions**

#### **2.1.1 Media**

Media was prepared using 200-1000mL of distilled water (H<sub>2</sub>O) and sterilised by autoclaving.

##### **2.1.1.1 LB Broth**

Luria Bertani Broth (LB) tablets were purchased from Sigma Aldrich (Gillingham UK), 1 tablet was dissolved per 50mL of H<sub>2</sub>O. LB was made up in 200mL or 1000mL distilled water.

10g/L Tryptone

5g/L Yeast extract

5g/L NaCl

2.2g/L inert binding agents

For studies involving mutant *E. coli* K12 isolates, LB was supplemented with 25µg/mL of Kanamycin sulphate (Thermo Fisher, Loughborough UK).

##### **2.1.1 Columbia blood agar plates**

Columbia blood agar plates were purchased from Oxoid (Basingstoke UK).

##### **2.1.2 THP-1 Cell growth media**

500mL RPMI 1640 (Gibco, Montana USA ) was supplemented with 50mL of heat inactivated FBS (Thermo Fisher Scientific, Loughborough UK) and 5mL of Penicillin and Streptomycin (Pen/Strep), (Thermo Fisher Scientific Loughborough UK) along with 5mL of L-glutamine (Thermo Fisher Scientific Loughborough UK). Was used for THP-1 cell line maintenance.

##### **2.1.3 Experimental THP-1 cell media**

Experimental THP-1 media used for bacterial infections made using 500mL of 1640 RPMI (Gibco, Montana USA), 50mL of Human serum, plasma derived (TSC Biosciences, Buckingham UK) and 5mL of L-Glutamine (Thermo Fisher Scientific, Loughborough UK). Plasma derived human serum was not tested for inflammatory responses prior to use however an uninfected control was used for each experiment. The same batch was used for all experiments.

#### **2.1.4 Phosphate buffered saline**

Phosphate buffered saline was purchased in both tablet and powder form from VWR life sciences (Leicestershire UK) and 1 tablet was dissolved in 100ml dH<sub>2</sub>O. A 1L stock solution was prepared for microbiological and ELISA work. For powdered solutions 10x PBS was made in 100mL dH<sub>2</sub>O and this was then added to 900mL dH<sub>2</sub>O as required.

Per tablet (powder) when dissolved:

137nM Sodium Chloride

2.7 nM Potassium chloride

10 nM phosphate buffer

#### **2.1.5 ELISA wash buffer**

Tween 20 0.05% (Sigma Aldrich, Gillingham UK) was added to 1000mL PBS. Pipette tips were ejected into the PBS and mixed thoroughly due to viscosity of Tween.

#### **2.1.6 ELISA reagent diluent**

Bovine serum albumin (BSA) Protease free powder (Thermo Fisher, Loughborough UK) was diluted 1% w/v in 50mL dH<sub>2</sub>O per ELISA plate.

#### **2.1.7 ELISA stop solution**

Hydrochloric acid (HCL) 37% (Sigma Aldrich, Gillingham UK) was diluted to a 1 M solution by adding 41.3mL HCl to 458.7 mL dH<sub>2</sub>O.

#### **2.1.8 HEPES buffer**

HEPES buffer was prepared as previously described previously [230] 0.01 M HEPES, 0.15 M NaCl, 135 nM CaCl<sub>2</sub>, 1 mM MgCl<sub>2</sub>, at final pH 7.4.

### **2.2 Reagents**

#### **2.2.1 ELISA colour solution**

KPL SureBlue TMB Microwell Peroxidase substrate (Sera Care, Massachusetts USA) and used as the ELISA colour solution.

### 2.2.2 DNA extraction kit

DNA mini kit Qiagen (Manchester UK) used for DNA extractions for sequencing used as per manufacturer's instructions.

### 2.2.3 Citrated human plasma

Citrated human plasma for use in growth assays was purchased from TCS Biosciences (Buckingham UK).

### 2.2.4 Healthy volunteer serum collection

Whole blood was collected from healthy volunteers (Ethics:13/WA/0190) in gold top blood collection tubes. Blood was then centrifuged at 2000g and serum collected and stored at -20°C. Some serum samples for use in bactericidal assay were obtained as a gift from Paul Morgan and Wioleta Zelek (Cardiff University).

## 2.3 Microbiology

### 2.3.1 *E. coli* strains from Hywel Dda University Health Board used in this study

List of *E. coli* strains obtain from the HDUHB can be found in Appendix 2.1

#### 2.3.1.2 K12 knockout mutants

The *E. coli* strain K12 BW25113 and single gene knockout mutants were purchased from Horizon™

**Table 2.1 Single gene knockout mutants used in this study** purchased from Horizon™

| Single gene knockout mutants |
|------------------------------|
| ybjE                         |
| yhgE                         |
| tufB                         |
| yohF                         |
| ynbC                         |
| yejF                         |



### 2.3.1.3 Additional *E. coli* strains used in this study.

**Table 2.2 List of additional *E. coli* strains used in this study**

| Strain     | Comments                       | Source            |
|------------|--------------------------------|-------------------|
| ATCC 35218 | Canine isolate, USA            | ATCC              |
| ATCC 25922 | Control strain for AMR testing | ATCC              |
| NCTC 1093  | Isolated from human faeces     | NCTC              |
| NCTC 9001  | Isolated from human urine      | NCTC              |
| K12        | Laboratory control strain      | Laboratory stocks |

### 2.3.2 Culture of *E. coli*

*E. coli* isolates were received from the Hywel Dda University Health Board on agar slopes. Single colonies were picked and used to inoculate 5mL of LB broth. Frozen stocks were made with Microbank beads. For routine culture of *E. coli*, isolates from bead stocks were streaked onto Columbia blood agar plates and incubated overnight at 37°C. Single colonies were picked from agar plates and used to inoculate 5mL of LB broth. For mutant studies, media was supplemented with 50µg/mL Kanamycin (Thermo Fisher, Loughborough UK).

## 2.4 Cell biology and cell culture

### 2.4.1 Whole blood model of infection

Whole blood was obtained from healthy volunteers (Ethics:13/WA/0190) in green top heparin blood collection tubes and 1/1.5ml of blood was added to Eppendorfs. Bacterial solutions (100µL or 150µL), or RPMI for control was added to the whole blood before samples were incubated on a rotator at 10rpm in a 37°C incubator for 2-6 hours. Ultrapure LPS InvivoGen (Loughborough UK), was also included in the whole blood solutions at a concentration of 1µg/mL. LPS was sonicated in water bath for 5 minutes before use in assays.

Overnight cultures of each isolate were set up by inoculating 5ml of LB with bacteria (from frozen glycerol stocks and cultured overnight at 37°C. OD readings were taken for each isolate and corrected to an OD 600nm of 0.1 in LB. The appropriate volume of broth sample

was added to LB to make up 1ml and centrifuged for 5 minutes at 16000g so that a pellet formed at the bottom of the tube. Media was then removed from the Eppendorfs, without disturbing the pellet and the pellet was resuspended in 1ml RPMI.

For frozen sample preparation, blood samples for each of the isolates were centrifuged at 7000rpm-9000rpm for 5 minutes. The resulting supernatant was then removed without disturbing the red blood cells blood and placed into fresh Eppendorfs. Tubes were then frozen at -20°C and defrosted before use in future experiments.

#### **2.4.2 THP-1 cell model of infection**

THP-1 cells were obtained from laboratory stocks and grown in RPMI (Gibco, Montana USA) supplemented with 10% FCS and 1% pen strep solution in T-75 flasks. For infection model, *E. coli* from an overnight culture were corrected to an OD 600nm of 0.1 in RPMI. THP-1 cells were seeded at 500,000 cells per mL in 24 well plates in antibiotic free RPMI with 10% plasma derived serum (TSC biosciences, Buckingham UK). Then, 100µL of bacteria (~8x10<sup>6</sup> CFU per mL) was added to cells and plate was incubated for 4 hours at 37°C. After incubation, media was transferred from each well to a 1.5mL Eppendorf tube and centrifuged for 5 minutes at 9050g for 5 minutes. Supernatant was removed and transferred to a new 1.5mL Eppendorf and stored at -20°C.

### **2.5 Assays**

#### **2.5.1 Complement depletion**

Complement was depleted in the human plasma by heating at 56°C for 30 minutes in a heat block before the addition of *E. coli* isolates.

#### **2.5.2 Human cytokine ELISAs**

To measure cytokine levels in whole blood infected with bacterial isolates, Human DuoSet® Human ELISAs (R&D Systems, Minnesota USA) were performed as per manufactures instructions for IL-6, IL-8, MIP1α, MIP3α, Resistin and TNFα. Briefly 96- well half area plates (Greiner Bio, Kremsmünster Austria) were coated with the Capture Antibody, diluted to working concentration. Plates were then incubated overnight at room temperature. Wells were washed with Tween 0.05% in PBS and blocked with Reagent Diluent (1% BSA in PBS) for a minimum of 1 hour. Plates were washed again 3 times, and

50µl of blood supernatant samples or standards were added to the wells, plates were then sealed with an adhesive strip and incubated for 1.5-2hours. After washing an additional 3 times with 0.05% Tween 20 in PBS, 50µl of capture antibody (diluted to working concentration) was added to each well before an additional 1.5-2 hour incubation. Plates were washed 3 times then Streptavidin-HRP was added to each well and incubated for 20 mins, avoiding direct sunlight. Plates were then washed 3 times again, and the substrate solution was added to each well, incubated for 20 mins again avoiding direct sunlight and then the stop solution (HCL) was added to each well. OD was then measured at 450nm using a BMG plate reader. Standard curves were calculated using Mars software (BMG, London UK) and 4-parameter fit based on blank corrected data was used to ascertain the concentration of cytokine present in each sample.

### **2.5.3 Plasma resistance of *E. coli***

*E. coli* isolates from glycerol stocks were placed in 5ml of LB and incubated overnight at 37°C 200RPM. Bacteria were corrected to and OD600nm of 0.1 and diluted 1/10 in citrated human plasma (TSC biosciences, Buckingham UK) plasma and bacterial solutions were then transferred into a 96 well plate and incubated overnight at 37°C. OD600nm readings were taken every hour for 24 hours. Controls of both the LB and Plasma were included, and plates were blanked to the 0hrs time point. For confirmation of serum resistance patterns, a subset of isolates were grown in serum derived from gold top blood collection tubes. For collection of serum, blood was incubated at room temperature in gold top tubes for 30 minutes before being centrifuged at 2000g. Serum was then extracted and stored at -20°C.

### **2.5.4 Serum bactericidal assay**

To determine serum bactericidal activity in selected blood isolates a modified version of the serum bactericidal assay was used, combining a classical serum bactericidal assay with a luminescence bacterial cell viability assay Bac-Titre Glo™(Promega, Wisconsin USA). A modified HEPES buffer with supplemented cations was also used to keep complement enzymes active. Briefly OD readings of *E. coli* overnight cultures were measured at 600nm and corrected to 0.2 OD Bacteria was then centrifuged at 16000g for 5 minutes, supernatant was removed, and pellet was resuspended in HEPES buffer. Then, 20µl of this was combined

with 20µl human serum (gifted by Paul Morgan and Wioleta Zelek, Cardiff University) and supplemented with Hepes buffer up to 100ul. Bacterial and serum suspensions were then incubated for 3 hours at 37°C. 50µl of bacterial and serum cultures was transferred to a white 96 well plate (Grienier, Kremsmünster Austria) and 50µl of Bac-Titre Glo™ was added to each well. Luminescence was then measured on a BMG plate reader. For colony counting, 20µl of each bacterium and serum well was transferred to a new 96 well plate and serially diluted in PBS before being plated on Horse blood agar plates and counted after 24 hours incubation at 37°C.

## 2.6 Microbial genetics, sequencing and analysis

**Table 2.3 List of software/programmes used in this study**

| Programme           | Manufacturer   |
|---------------------|--|
| Prokka              | <a href="#">GitHub - tseemann/prokka: :zap: Rapid prokaryotic genome annotation</a>  |
| Roary               | <a href="#">Roary: the pan genome pipeline (sanger-pathogens.github.io)</a>  |
| Abricate            | <a href="#">GitHub - tseemann/abricate: :mag_right: Mass screening of contigs for antimicrobial and virulence genes</a>                                    |
| MLST                | <a href="#">GitHub - tseemann/mlst: :id: Scan contig files against PubMLST typing schemes</a>  |
| Sickle              | <a href="#">GitHub - najoshi/sickle: Windowed Adaptive Trimming for fastq files using quality</a>  |
| Sythe               | <a href="#">GitHub - vsbuffalo/scythe: A 3'-end adapter contaminant trimmer</a>  |
| QUAST               | <a href="#">GitHub - ablab/quast: Genome assembly evaluation tool</a>  |
| seqtk               | <a href="#">GitHub - lh3/seqtk: Toolkit for processing sequences in FASTA/Q formats</a>  |
| Clermont Phylotyper | <a href="#">ClermonTyping - Index (iame-research.center)</a>   |
| Morpheus            | <a href="#">Morpheus (broadinstitute.org)</a>  |
| iTOL                | <a href="#">iTOL: Interactive Tree Of Life (embl.de)</a>   |
| Gubbins             | <a href="#">GitHub - nickjcroucher/gubbins: Rapid phylogenetic analysis of large samples of recombinant bacterial whole genome sequences using Gubbins</a> |
| Prism               | GraphPad Software Inc (San Diego, USA)   |
| Lucid Chart         | <a href="https://www.lucidchart.com/">https://www.lucidchart.com/</a>  |
| Biorender           | <a href="#">Scientific Image and Illustration Software   BioRender</a>   |
| Growthcurver        | <a href="#">growthcurver package - RDocumentation</a>  |

### 2.6.1 DNA isolation and extraction

All DNA extractions were carried out using the QIAamp DNA mini kit (Qiagen, Manchester UK) according to manufacturer's instructions. An overnight culture of the

strains selected for sequencing was grown in 5 ml LB broth as described in Section 2.3 and then 1 ml of culture was pipetted into a 1.5 ml microcentrifuge tube and centrifuged for 5 minutes at 6300g in an Eppendorf Centrifuge 5415 R. The supernatant was discarded, and a further 1 ml of culture added and centrifuged as described previously. The DNA extraction protocol was then followed as per the manufacturer's instructions and quantified (ng/ml) using a Nanodrop spectrophotometer MD1000 (Labtech, East Sussex, UK). Samples were frozen at -20°C before being sequenced at the Swansea Genome Centre.

### **2.6.2 Genome assembly**

All genetic analysis was conducted on the Swansea University Linux virtual machine unless otherwise stated. Raw read fastq files were trimmed using sickle [231], and sythe [232], before genome assembly with spades [233]. Resulting assemblies were quality controlled with QUAST[234], before assemblies with low contig number were removed. Contigs <1000bp were removed from all isolates using seqtk [235], before annotation.

### **2.6.3 Genome annotation, pangenome generation and GWAS**

*E. coli* whole genome sequence assemblies from spades were annotated using the prokaryotic genome annotation tool Prokka[236]. A pangenome was created using the stand alone pan genome pipeline roary[237]. ECOR genomes were included in the pangenome creation, genomes downloaded from [238]. After running Roary the gene presence/absence file was combined with a binary trait file and submitted to Scoary[239], for identification of genes associated with traits e.g., source of infection.

### **2.6.4 MLST**

To identify sequence types in the *E. coli* collection isolates were run through the software MLST (Seeman T, unpublished)[240]. Whole genome sequences uploaded onto linux virtual machine were ran against PubMLST typing schemes for ST identification [241].

### 2.6.5 Phylogroup Identification

*E. coli* phylotypes were identified using the online *in silico* Clermont Phlyotyper [75]. Whole genome sequences from bacteraemia, water and asymptomatic bacteriuria sources and the ECOR collection, were uploaded and phlyotype was determined and recorded.

### 2.6.6 Virulence factor and AMR gene identification

*E. coli* virulence factors and AMR genes were identified using Abricate software [242], using the databases: Card [243], Resfinder [244], and VFDB [245].

### 2.6.7 Virulence and antimicrobial resistance heat maps

Abricate output was uploaded onto online heatmap generator Morpheus [246].

## 2.7 *E. coli* associated patient data

Ethics applications were approved to collect patient demographic data associated with the *E. coli* collection from the blood culture positive patients from HDUHB. The study details were i) title-Host and bacterial biomarkers to predict sepsis; ii) REC reference-20/WA/0127; iii) Protocol number-RIO-024-19; iv) Research ethics committee-Wales REC 7; and v) an IRAS project ID-262334. The extracted parameters included age, sex, sepsis development, nosocomial or community infection, source/origin of infection, co-morbidities, and mortality (Table 3.3). In addition, laboratory tests related to antimicrobial sensitivity were also included. All relevant paperwork is attached as separate files (Appendix 2.2, 2.3, 2.4 and 2.5) for reference please see (Appendix table 2.1).

## 2.8 Data analysis and statistics

### Bacterial growth in plasma

The R package Growth curver was used to calculate AUC for bacterial growth in plasma.

### ELISA

ELISA data was analysed with either one-way ANOVA with Kruskal Wallis test or for comparisons between two phenotypes with Mann Whitney U test, in Graphpad Prism. Standard deviations for grouped cytokine data were calculated in Microsoft Excel. Graphs show mean +/- SEM. \*=p<0.05, \*\*=p<0.01, \*\*\*=p<0.001.

### Genetic data

Common *E. coli* virulence factor data was analysed with Chi Squared tests using Excel. In built statistics from scoary were used for GWAS studies.

## **Chapter 3: *E. coli* bacteraemia collection: their phenotypic and genetic traits and patient demographic data**

### **3.1 Bacterial sepsis in Wales**

Bacteraemia and the subsequent development of sepsis is a serious healthcare concern on a global scale, which also places a large burden on local healthcare systems. Despite the decreasing incidence and mortality (age standardised) of sepsis, it remains a major healthcare issue representing nearly 20% of global deaths [4]. This equates to more deaths than bowel, breast and prostate cancer combined [247].

In the UK, sepsis accounts for between 5% and 7% of all deaths [248]. It is particularly concerning in the intensive care unit, as 27% of all ICU admissions in England and Wales were for severe sepsis with a mortality rate of almost 50% [248]. In Wales the estimated number of deaths is 1800 annually, associated with a cost of £125 million [249,250].

However, this is expected to be higher due to underestimates in non-critical care reporting in Wales, where studies have found sepsis to be prevalent in 2% of patients on the general ward [251].

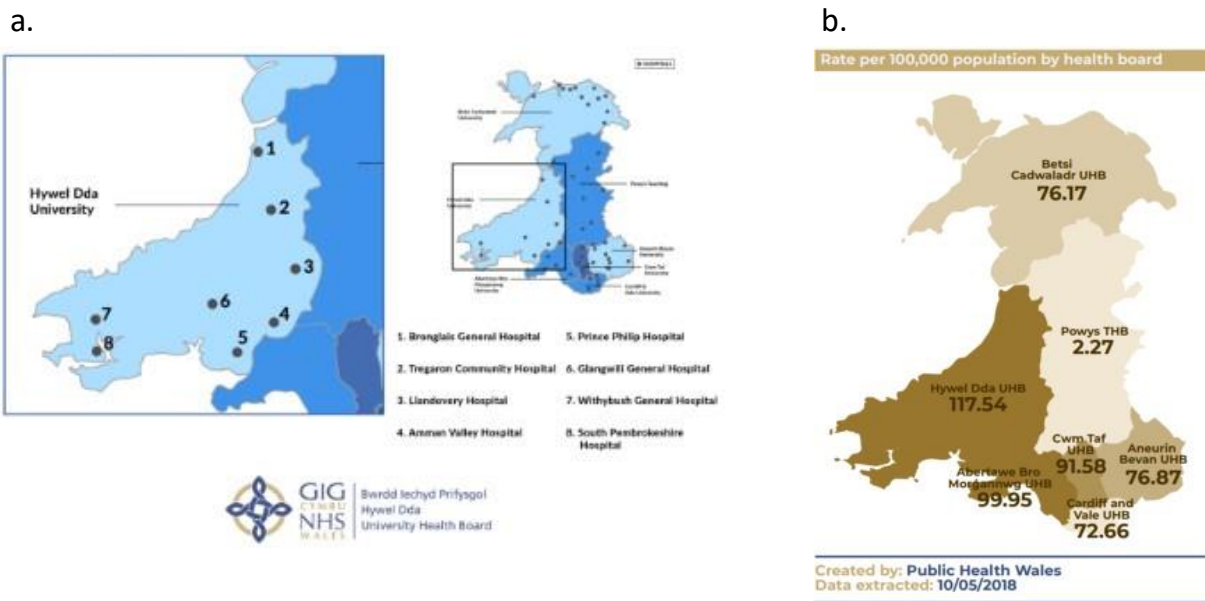
Bacteraemia and sepsis are much more likely to occur in older patients. Incidence rates in 2018 in England, Wales, and Northern Ireland for patients over the ages of 75 were between 400 (females) and 500 (males) per 100,000, which is more than double the incidence rate of the next youngest age group 65-74 (around 100-150 per 100,000) [102]. In addition, the annual financial cost to the NHS for *E. coli* bacteraemia was estimated at £14,346,400 in part due to increasing AMR resistant isolates being found in the clinic driving up the costs of treatment [252].

### **3.2 Hywel Dda University Health Board**

The Hywel Dda University Health Board (HDUHB) is the NHS health board for the west of Wales which covers the areas of Carmarthenshire, Ceredigion and Pembrokeshire (Figure 3.1A). The board provides healthcare services in this area for a population of around 390,000 people. There are four main hospitals managed by the board: Bronglais General in Aberystwyth, Glangwili General in Carmarthen, Prince Philip in Llanelli and Withybush General in Haverfordwest along with five community hospitals and 48 general practices [253]. HDUHB has an aging population with 3.2% over the age of 85, and the number of people aged over 65 is expected to increase to 45% by 2033 [253]. HDUHB has the highest



incidence of bacteraemia in Wales with a rate of 117.54 per 100,000 in 2018 (Figure 3.1B). Unfortunately, more recent reports have not included updated numbers from the Health Board most likely because of the focus on COVID. Incidents of *E. coli* bacteraemia were seen to rise in Wales during 2002-2016. This has however been attributed to improved sepsis surveillance as well as successful use of sepsis bundles for rapid diagnosis and management. This is evidenced by the fact that although positivity results increased throughout the period, this increase was attributable to an increase in the number of blood cultures taken[254].



**Figure 3.1: The Hywel Dda University Health Board area**

a. Map of Hywel Dda University Health Board showing hospital locations), b. Bacteraemia rates in Wales.

Figure adapted from HDUHB site and HDUHB annual report 2018 [255]

### **3.3 Properties of *E. coli* bacteraemia strains**

#### **3.3.1 Source of *E. coli* bacteraemia**

*E. coli* bacteraemia can develop from a variety of different anatomical sources. Therefore, bacteraemia can occur through the spread of the bacteria from a primary source of infection into the blood stream either naturally during infection or through interventions such as surgery.

*E. coli* is a highly versatile and adaptable pathogenic organism and as such can cause disease in a multitude of host sites[68]. The capacity to translocate from the intestine to other sites is a characteristic of ExPEC infections. Extraintestinal infectious foci, lead to the development of bacteraemia. In *E. coli*, bacteraemia primarily occurs due to an infection at another site with the most common infection site being the urinary tract [256].

#### **3.3.2 UTI**

Most *E. coli* blood infections have a urinary tract origin (50% of cases) [257]. In addition, UTIs are also the most frequent bacterial infection in elderly patients who themselves are the most effected demographic by sepsis. Urinary tract infection can present from benign and asymptomatic to septic shock [258]. Bacteraemia commonly results from urinary tract infections and severity of initial local infections appears to correlate with systemic infection severity. Approximately 20-30% of patients admitted with more serious forms of urinary tract infection (e.g., complicated UTI, kidney inflammation, fever [259–261]), have bacterial positive blood cultures with *E. coli* accounting for 70% of them [262,263]. However, elderly patients who have UTI and bacteraemia can also present with asymptomatic urinary tract infection [264,265]. This vastly increases the difficulty of diagnosis and emphasises the need for biomarkers of local early infection as guiding early treatment is critical in reducing mortality in these patients [264].

#### **3.3.3 GI and biliary tract**

Infections originating in the abdomen can be variable and complex and include infections of the GI and biliary tract.

The GI tract can also be a source of *E. coli* bacteraemia and the abdomen is often reported as the source of bacteraemia in patients who have undergone surgery [266,267]. The

abdomen is the second most common source of bacteraemia and over 66% of surgical patients with sepsis have an intra-abdominal source of infection[268].

*E. coli* bacteraemia from an abdominal origin has also been associated with increased risk of disease severity [269], potentially due to the comorbidities associated with patients undergoing surgery, as these patients are generally unwell, they are more likely to have increased risk of developing sepsis and increased risk of mortality if sepsis does occur due to the inability to establish an effective response to infection.

*E. coli* bacteraemia infections which originate from the biliary tract tend to occur in patients with underlying structural abnormality such as choledocholithiasis [266,267]. Indeed, structural abnormalities of pre-existing conditions and newly diagnosed have been reported in 69% of cases of biliary bacteraemia[270]. Interestingly, time to antibiotic administration in these biliary infections did not significantly reduce mortality (as has been reported for sepsis/bacteraemia in general) [271].

### **3.4 Antimicrobial resistance**

The increasing trend of AMR is a true threat to healthcare systems worldwide. For instance, in 2014 it was estimated that by 2050, 10 million people a year will die due to AMR related infections or treatment complications [272]. Although, this report has been reported as sensationalist, and likely over-estimates natural resistant and sensitive phenotypes and their ability to develop resistance [273]. Nevertheless, AMR rates are predicted to rise, causing unprecedented problems for healthcare systems. For instance, a recent comprehensive report in the Lancet highlights the global AMR resistance of 23 human pathogens across 204 countries and territories. In 2019 there were a predicted 4.95 million deaths associated with bacterial antimicrobial resistance and *E. coli* was the leading cause of death [100].

AMR of *E. coli* bacteraemia isolates has been reported to be associated with in-hospital death, as well as being strongly associated with increased hospital stay (in third generation cephalosporin and piperacillin/tazobactam resistance, 1.58 days and 1.23 days increased respectively [274]. AMR resistance in ExPEC is strongly linked to phylogroup and sequence type and these isolates can often resist  $\beta$ -lactam antibiotics through the production of  $\beta$ -lactamases [275]. EXPEC belonging to the sequence type 131 have become strongly

associated with the production of  $\beta$ -lactamases and thus resistance to  $\beta$ -lactam antibiotics such as the Penicillins, Cephalosporins, Carbapenems, Monobactams and  $\beta$ -lactamase inhibitors [276]. *E. coli* bloodstream infections caused by  $\beta$ -lactamase producing *E. coli* strains have 10% higher mortality rate compared to those that do not [277].

### 3.5 Aims and objectives

The high incidence of bacteraemia in HDUHB suggested to us that its local hospitals would be a good source of ExPEC bacteria for the study of biomarker identification and virulence mechanisms.

This chapter aims to

- Acquire an *E. coli* collection from bacteraemia patients from the HDUHB.
- Acquire linked patient demographic data from the blood culture positive *E. coli* isolates
  - Sepsis/non sepsis
  - Origin of infection
  - Coinfections etc
- Identify and characterise the AMR resistance profiles of the *E. coli* collection.
- Perform basic genetic organisation of the *E. coli* bacteraemia isolates
  - Phylogroup identification
  - Sequence type identification

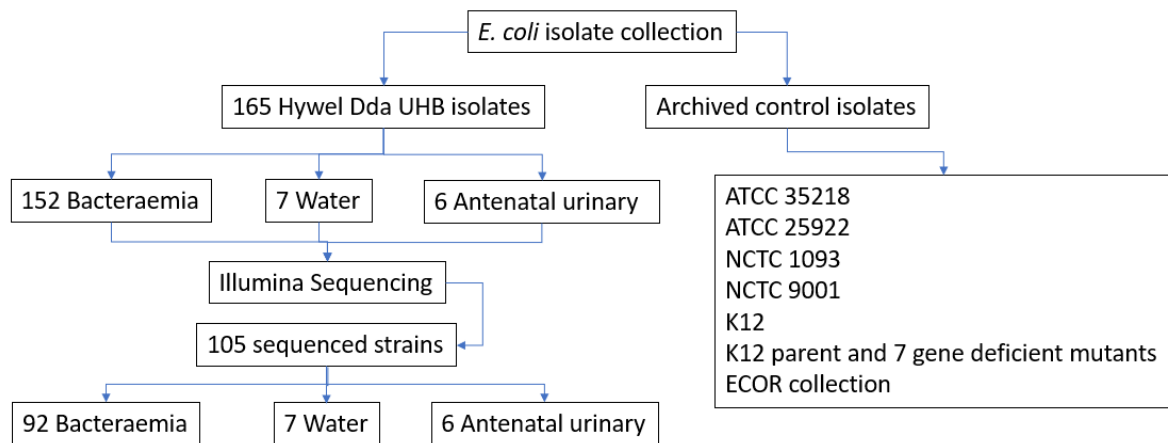
### 3.6 Materials and methods

#### 3.6.1 *E. coli* bacteraemia collection

In total 179 *E. coli* isolates were received from Public Health Wales on agar slopes. All isolates were obtained from the HDUHB between 2018 and 2020 [254]. A loop was touched gently on the bacterial smear of each agar slopes and used to inoculate blood agar plates, which were incubated at 37°C for 24 hours. Of the isolates received, 14 were non-culturable, 7 of the isolates were from an environmental water source and 6 were from asymptomatic bacteriuria patients while the remaining isolates were from blood culture positive bacteraemia (n=152), (Figure 3.2).

### 3.6.2 Hospital acquired patient and isolate data

Ethics applications were approved to collect patient demographic data associated with the *E. coli* collection from the blood culture positive patients from HDUHB. The study details were i) title-Host and bacterial biomarkers to predict sepsis; ii) REC reference-20/WA/0127; iii) Protocol number-RIO-024-19; iv) Research ethics committee-Wales REC 7; and v) an IRAS project ID-262334. The extracted parameters included age, sex, sepsis development, nosocomial or community infection, source/origin of infection, co-morbidities, and mortality (Table 3.3). In addition, laboratory tests related to antimicrobial sensitivity were also included.



**Figure 3.2 Summary of *E. coli* isolates from HDUHB** Two major sources of isolates included i) isolates from the Hywel Dda University Health Board (HDdUHB); ii) Archived isolates from the Microbiology and Infectious Disease group. New isolates from the HDdUHB were submitted for sequencing, annotated and subjected to gene-by-gene analysis

### 3.6.3 DNA extraction and genome processing

In a subset of 105 isolates (Figure 3.1) DNA was extracted using Qiagen kits according to manufacturer's instructions (section 2.4.4) ng/uL was recorded using a nanodrop. All DNA sequencing was performed by Dr Matthew Hitchings at the Swansea genome centre (section 2. 4.4).

Raw read files were processed as per section 2.6.1 for genome assembly and annotated with Prokka (section 2.6.2) using default parameters The ECOR collection were included in

the pangenome creation[238]. Pan genome assembly was conducted with Roary [237] using K12MG1655 as a reference genome (Genbank U00096.3).

### 3.6.4 Phylotyping and sequence typing

*E. coli* phlotypes were identified using the online *in silico* Clermont Phlotyper[278]. Whole genome sequences from bacteraemia, water and asymptomatic bacteriuria sources, were uploaded and phlotype was determined and recorded. For sequence type confirmation, the software MLST was used[279,280]. Whole genome sequences uploaded onto linux virtual machine were ran against PubMLST typing schemes for ST identification[281].

## 3.7 Results

Having collected blood culture positive *E. coli* isolates from hospitals within HDUHB, experiments focused on characterising their properties and extracting data associated with the patients who suffered the infections. Therefore, three sets of interdependent data will be presented in this chapter and include i) antimicrobial resistance traits of the *E. coli* collection (3.8.1); ii) basic genetic traits of the *E. coli* collection (3.8.2); and patient demographic data associated with the *E. coli* collection (3.8.3).

### 3.7.1.1 Antimicrobial resistance

Antimicrobial sensitivity was determined for each *E. coli* isolate by in-hospital testing and recorded along with patient demographic data. Of the antibiotics tested the isolates showed most resistance against ampicillin and amoxicillin with 64% and 62% of isolates showing resistance respectively (Table 3.1). High resistance rates (>30%) were also observed against cotrimoxazole (31%), and moderate resistance rates (>10%) were observed against co-amoxiclav/augmentin (19%), piperacillin/tazobactam (15.1%), ceftazidime (13.2%), and cefotaxime (11.8%). No resistance was observed against imipenem or meropenem (Table 3.1).

**Table 3.1: Antimicrobial resistance of *E. coli* collection (first panel antibiotics).**

| Antibiotic              | Abbreviation | Total (percentage) |
|-------------------------|--------------|--------------------|
| Ampicillin              | AMP          | 97 (64)            |
| Ceftazidime             | CAZ          | 20 (13.2)          |
| Ciprofloxacin           | CIP          | 15 (9.9)           |
| Cefotaxime              | CTX          | 18 (11.8)          |
| Ertapenem               | ERT          | 1 (0.7)            |
| Gentamicin              | GENT         | 13 (8.6)           |
| Imipenem                | IMP          | 0                  |
| Meropenem               | MEM          | 0                  |
| Piperacillin/Tazobactam | PIPT         | 23 (15.1)          |
| Amikacin                | AMI          | 4 (2.6)            |
| Amoxicillin             | AMX          | 94 (62)            |
| Co-amoxiclav/Augmentin  | AUG          | 29 (19)            |
| Cotrimoxazole           | SXT          | 47 (31)            |

### 3.7.2 Genetic traits of *E. coli* collection

*E. coli* isolates were sequenced in house at Swansea University. A total of 92 *E. coli* bacteraemia isolates 7 environmental water isolates and 6 isolates from asymptomatic bacteriuria were sequenced (Figure 3.1). Multiple online tools (section 2.6) were used to determine phylogroup, sequence type and serotype.

#### 3.7.2.1 Phylogroup assignment

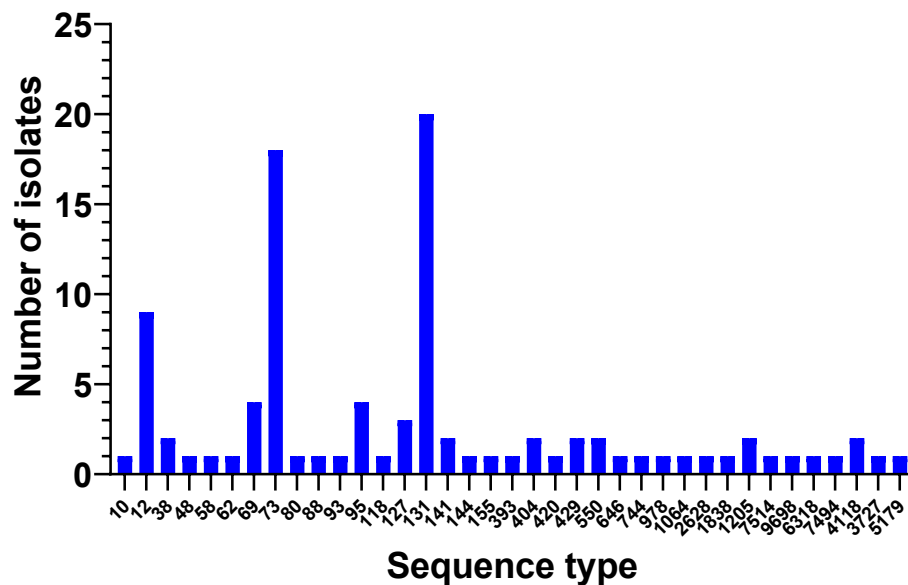
To determine the phylogroup that each of the isolates belonged to, whole genomes were uploaded onto the online ez Clermont phylotyping tool (section 2.6.4) and phylogroups were recorded. The majority of the bacteraemia isolates (>70%) belonged to the B2 phylogroup (Table 3.2). Similarly, five of the six asymptomatic bacteriuria isolates were also B2 phylogroup (the remaining isolate being D). In contrast, the environmental water isolates were more diverse; x3 cryptic, x2 B1, x1 A and x1 D group.

**Table 3.2: Phylogenetic grouping of *E. coli* bacteraemia isolates using Clermont online phylotyping.**

| Phylogroup | Total (percentage) |
|------------|--------------------|
| A          | 6 (6.5)            |
| B1         | 3 (3.3)            |
| B2         | 71 (77)            |
| C          | 1 (1)              |
| D          | 3 (3.3)            |
| E          | 1 (1)              |
| F          | 1 (1)              |
| U/Cryptic  | 6 (6.5)            |

### 3.7.2.2 Sequence typing

*E. coli* contigs were run through mlst (Version 2, June 1991)[282], on a Linux virtual machine to identify sequence types. A total of 37 different sequence types were identified by mlst (Figure 3.3). Three sequence types were associated with over half of the isolates. The most common identified sequence types were ST131 (22%), ST73 (20%) and ST12 (10%). Most of the remaining 34 sequence types were only represented by one or two isolates indicating the diversity of *E. coli* isolates able to cause bacteraemia.



**Figure 3.3: Sequence type of *E. coli* bacteraemia isolates.**

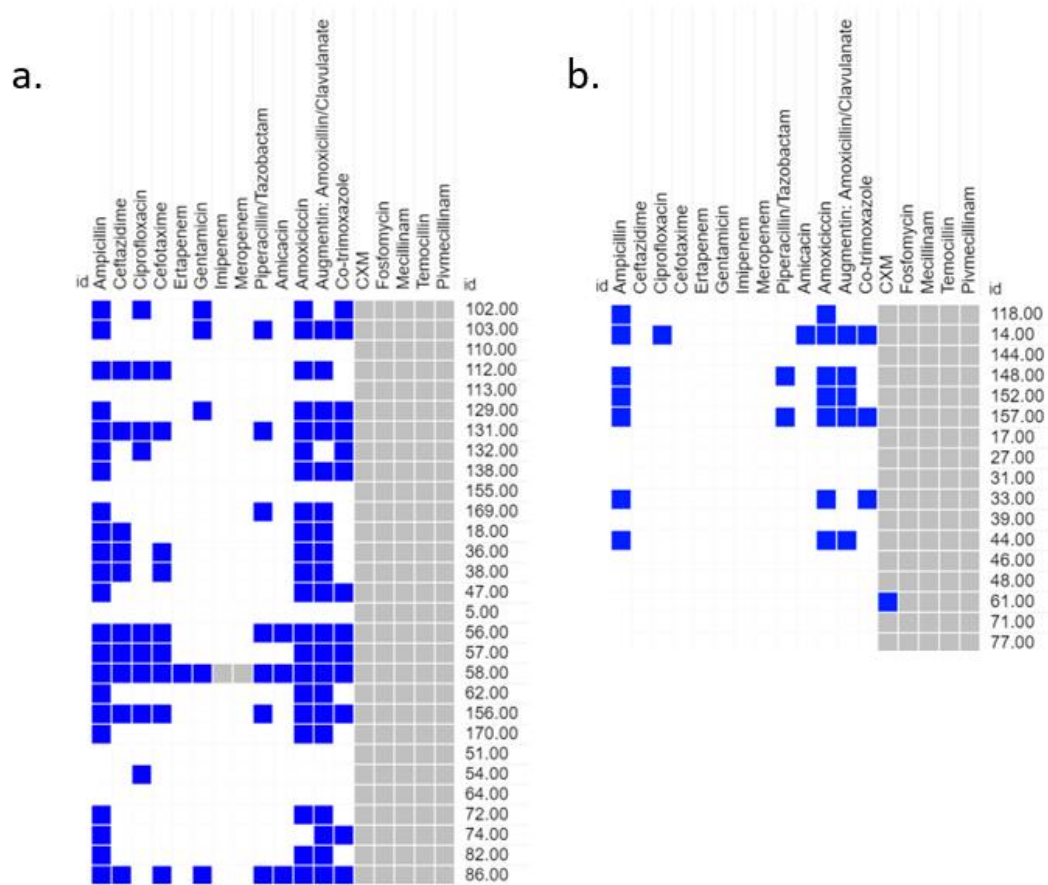
Whole genome sequence contigs were analysed by software mlst, on a Linux virtual machine using the *E. coli* PubMLST database



### 3.7.2.3 AMR genes of ST131 and ST73 isolates

Given that *E. coli* ST131 and ST73 were the major sequence types identified in our collection and that the same sequence types are also of major global importance, AMR to therapeutic agents was assessed (Figure 3.4 a and b).

ST131 isolates showed increased resistance to antibiotics and resistance to more antibiotic classes than the ST73 isolates, 22/29 ST131 isolates were resistant to Ampicillin whilst 7/17 ST73 isolates were resistant. 5/29 ST131 isolates were resistant to Gentamicin, compared to 0 from ST73, 21/29 of the ST131 isolates were resistant to Amoxicillin compared to 7/17 of the ST73 isolates and, 20/29 of the ST131 isolates were resistant to Augmentin (Amoxicillin/Clavulanate) compared to 5/17 of the ST73 isolates (Figure 3.4). All of the isolates from ST131 and ST73 were sensitive to Imipenem and Meropenem (Figure 3.4).



**Figure 3.4: Presence and absence of antimicrobial genes in ST131 and ST73 bacteraemia isolates**

Heatmaps showing antimicrobial resistance profiles of two most common sequence types 131(a.), and 73 (b.).

Heat maps produced using Morpheus online software. Blue indicates presence of resistance and white indicates no resistance. Grey indicates antibiotics not tested.

### 3.7.3 Patient demographics and definitions

#### 3.7.3.1 Patient demographics

Blood culture positive *E. coli* isolated between 2016-2019 were collected by the Hywel Dda University Health Board and transferred to Swansea University. After correcting for duplicates and isolates that did not culture, a total of 152 bacteraemia isolates (Figure 3.2) with associated patient data were collected. Patient data that was collected included, age,

data admitted to hospital, co-morbidities, source of infection, antimicrobial resistance profiles (Table 3.3). In addition, 7 *E. coli* from environmental water sources were also received from the hospital and 6 *E. coli* isolates from asymptomatic bacteriuria during pregnancy.

The mean and median age of the patient population was 73.84 and 75 respectively (Table 3.3), although there was also a large range in the age of the patients, from a neonate, 118 days to a patient 103 years old. In this collection of patients males and females were represented equally with 49% male and 51% female patients (Table 3.3). The majority of the isolates collected in this study came from a urinary source of infection (48%) with other/unknown being the second most common (24.3%), whilst an abdominal source of infection accounted for 21 % (Table 3.3).

there was a high incidence of co-morbidity associated with the patients. The most common co-morbidity was Urinary tract infection (15%), followed by cancer (11.8%), co-infection (8.6%) and diabetes (6.6%) (Table 3.3). Nearly half of patients went onto develop sepsis (46.75%) and 44% of bacteraemia cases were hospital acquired. Overall mortality among the bacteraemia patients was 13.2% (Table 3.3)

**Table 3.3: Patient demographic data.**

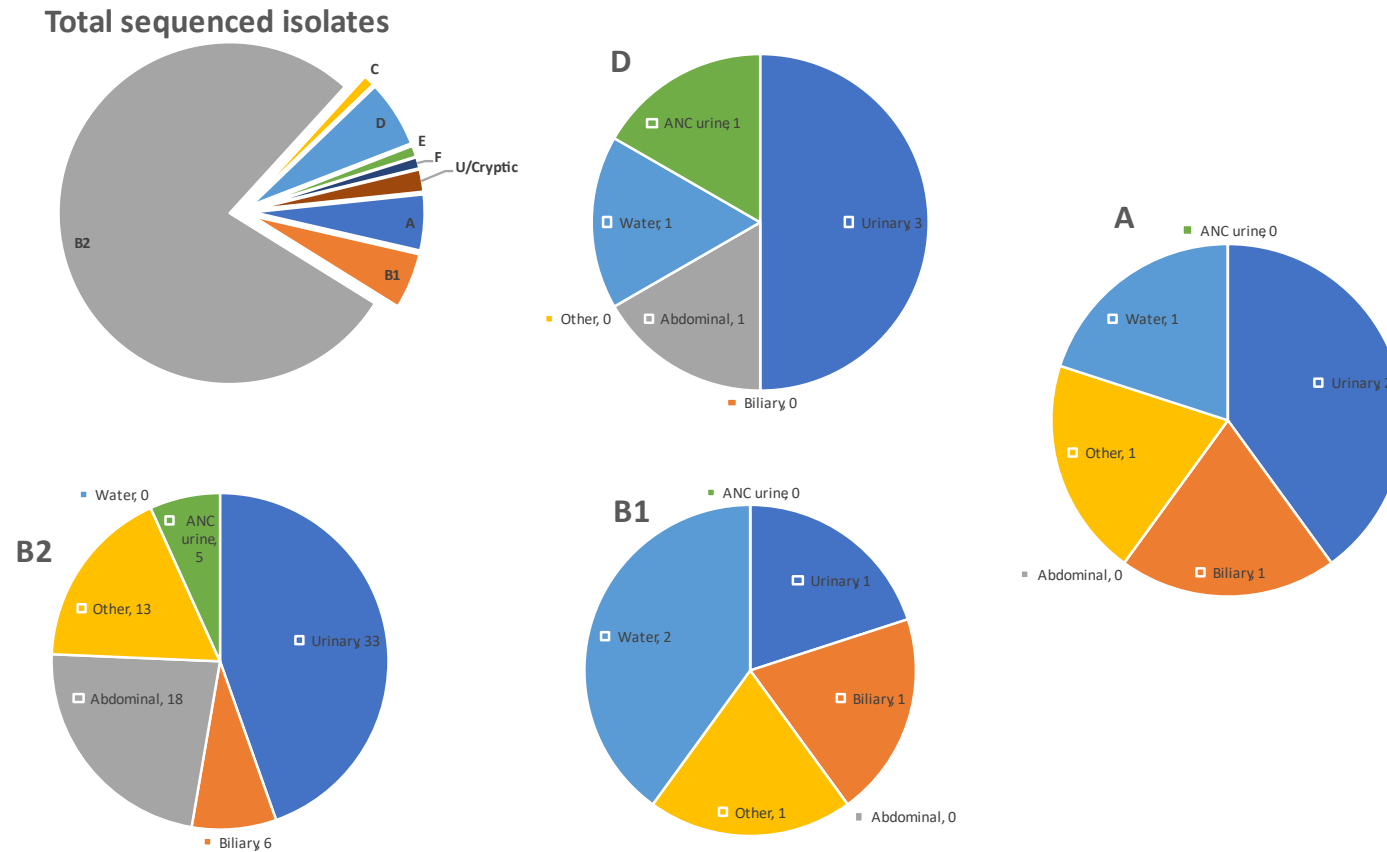
*E. coli* bacteraemia cases from 152 patients from the years 2016-2019 were collected along with patient demographic data. (n=152) Intra-abdominal sepsis isolates and abdominal isolates were grouped together as per hospital recommendation.

| <b>Patient Characteristics</b> | <b>Total (percentage) n=152</b> |
|--------------------------------|---------------------------------|
| <b>Age (years)</b>             |                                 |
| Mean                           | 73.84                           |
| Median                         | 75                              |
| Range                          | 118 (days)- 103 years           |
| <b>Gender</b>                  | M 77 (49), F 80 (51)            |
| <b>Source of infection</b>     |                                 |
| Urinary                        | 73 (48)                         |
| Abdominal                      | 32 (21)                         |
| Biliary                        | 10 (6.6)                        |
| Other/Unknown                  | 37 (24.3)                       |
| <b>Sepsis</b>                  | 71 (46.7)                       |
| <b>Nosocomial</b>              | 67 (44)                         |
| <b>Mortality</b>               | 20 (13.2)                       |
| <b>Comorbidities</b>           |                                 |
| Cancer                         | 18 (11.8)                       |
| Diabetes                       | 10 (6.6)                        |
| Urinary tract infection        | 23 (15)                         |
| Catheter                       | 11 (7.2)                        |
| Cardiovascular disease         | 5 (3.3)                         |
| Kidney disease                 | 6 (4)                           |
| Cholecystitis                  | 4 (2.6)                         |
| Pancreatitis                   | 2 (1.3)                         |
| Co-infection                   | 13 (8.6)                        |
| COPD                           | 4 (2.6)                         |
| Dementia                       | 5 (3.2)                         |
| Hospital acquired pneumonia    | 2 (1.3)                         |

### **3.7.4. Inter-relationships between data**

#### **3.7.4.1. Source and phylogroup**

Investigations into the source of infection from the 4 most common phylogroups (Figure 3.5) confirmed the most common phylogroup was B2, with 71 isolates. Of these, 33 of the isolates were from a urinary source of infection, while the second most common were from another/unknown source (Figure 3.5 B2). Phylogroups A, B1 and D had very few isolates so a relationship to origin of infection was not possible to assess (Figure 3.5 A,B1,D). The ANC urine isolates were predominantly from the B2 phylogroup (Figure 3.5 B2) while the water isolates were spread between the phylogroups D, A and B1 or the unknown/cryptic group (Figure 3.5 A, B1 D).



**Figure 3.5 Inter-relationship between four common *E. coli* phylogroups and source of infection.**

The figure shows five pie charts. Top left= total isolates phylogroups, top middle. phylogroup D, middle bottom. phylogroup B1, bottom left phylogroup B2, right phylogroup A. *E. coli* isolates included were sequenced bacteraemia isolates, environmental water isolates and Asymptomatic bacteriuria isolates from antenatal checkups (ANC urine). Three bacteraemia isolates were excluded from analysis due to unclear infection source.

### 3.8 Discussion

This chapter aimed to acquire a collection of bacteraemia isolates from the HDUHB and characterise phenotypic and basic genetic traits. In addition, this chapter also investigated the patient records associated with these bacteraemia infections to confirm interdependencies [28,29].

AMR sensitivity data was acquired from the hospital along with patient demographic data. Due to this the specific minimum inhibitory concentrations of antibiotics needed for those isolates that were sensitive are not known, which is the case for standard EUCAST broth methods of AMR sensitivity testing[283]. However, resistance levels in these *E. coli* isolates appear to be below the average reported in England. For instance, Ciprofloxacin resistance levels were lower in this study than they are in the English AMR surveillance report. Average levels of ciprofloxacin in England were 17%, whereas in this study a lower rate of 9.9% was observed (Table 3.1). Furthermore, there were also significantly lower levels of resistance to Co-amoxiclav. In this study 19% of isolate were resistant however, general resistance levels in England were more than twice as high at 41.2% [284]. This general trend may be explained by the time difference between the collection date of the isolates in the current study and when the most recent report was published.

In terms of the characteristics of the *E. coli* found in this study there was again similarities between previously reported BSI and sepsis-associated infections. By far the most common phylogroup associated with ExPEC BSIs is the B2 phylogroup as has been reported in multiple studies [285,286]. The same is true in this study with phylogroup B2 making up 74% of *E. coli* bacteraemia cases (Table 3.2). The second most common phylogroup, group D, which is also consistent with other studies, although this phylogroup often has a higher incidence than found in this study [285].

Interestingly, the majority of the isolates from asymptomatic bacteriuria group were also from the B2 phylogroup and the remainder of these isolates were from the D phylogroup. As these are the two most common phylogroups in bacteraemia and sepsis it is logical to conclude that this may be a potential source of *E. coli* neonatal infections. *E. coli* is a major neonatal pathogen, and a leading cause of early onset sepsis and *E. coli* is passed from the mother during birth which in some cases can lead to the development of neonatal sepsis and meningitis[287,288]. The most prevalent phylogroups in *E. coli* neonatal sepsis are the

B2 and D phylogroups [289]. Further investigation into this potential source of *E. coli* associated neonatal sepsis/meningitis is warranted.

The most prevalent sequence types identified in this study were ST131 and ST73 (Figure 3.3), this is in line with previous reports in the literature [290,291]. This study identified that *E. coli* from sequence type 131 have higher levels of AMR compared to isolates from the phylogroup 73 (Figure 3.3). This conforms with literature reports of isolates from sequence type 131 being a major global health concern regarding antimicrobial resistance [292–296]

Underlying source of infection was identified at the hospital and provided along with patient demographic data. In this study the majority of the isolates (48 %) came from a urinary source of infection (Table 3.2). Given that 15% of patients were suffering from UTIs (Table 3.2) and that *E. coli* is the leading cause of urinary tract infections this is not surprising. Indeed, other studies have also indicated a predominant urinary source of bacteraemia [269,297,298]. This study had a large percentage of isolates (24.35%) that came from an unknown source of infection. As this data was acquired from the hospital it is unknown the reason why source of infection could not be identified. It is possible that an infection source in this case was hard to determine due to the nature of sepsis spreading throughout the body and infecting other organ sites, making it difficult to determine the origin. This is particularly true during later stage infections where inflammation is systemic.

The incidence of each source of infection in this study is consistent with those found in the literature. A report published in the Journal of Hospital Infection found similar levels of all sources of infection. In this study authors compared the rates of different sources of infection from the Imperial College Healthcare Trust to national data. A urinary source of infection was the most common in both the Imperial College and national data (~35% and ~55% respectively) [299]. The rate of urinary source of infection in this study was 48% which more closely matches national data.

It also appears that source of infection can vary based by geographic region. While nationally, gastrointestinal sources were rare (<10%), data from the Imperial College Healthcare Trust showed a significantly more prevalent amount with 20% of bacteraemia's from the gastrointestinal tract. This study had very similar amounts from an abdominal source of infection with 21% (Table 3.2), indicating a closer match to the Imperial College Trust local infections compared to national levels for gastrointestinal sources [299].



Respiratory infections were rare in this study with only one isolates identified from a respiratory source. While in general ICU respiratory infections are responsible for a high percentage of infections, those attributable to *E. coli* are particularly rare. Indeed, literature reports suggest that respiratory *E. coli* infections account for only 0.7% of cases which matches what was found with this collection of isolates [300].

Bloodstream infections are extremely common in older populations and are often fatal. Studies have shown that septic shock can occur in up to 39% of patients and older patients have a higher risk of developing septic shock compared to their younger counterparts [301]. Additionally, the most common source of bloodstream infections in older populations appears to be the urinary tract [301]. This is in line with the data presented in this study as the mean age of the population in this study was 73.84 and the majority of the isolates came from a urinary source of infection (Table 3.3).

One striking difference between this study and others is the fact that urinary catheters are commonly associated with BSIs from a urinary source but only a small minority of patients in this study had indwelling urinary catheters (7.2%) (Table 3.3). This is in stark contrast to a previous study investigating community acquired BSIs which showed that nearly 44% of patients over 65 had an indwelling catheter and that these patients had significantly higher risk of a urinary source of infection [302]. The differences observed between these two studies could be due to missing data from this study. Full patient records were not given as only brief notes on each of the patients was provided by the hospital.

Other common comorbidities found in this study also closely mirror reported risks for BSIs. Chronic comorbidities are present in 54-65% of all sepsis patients [303,304], and certain comorbidities have been associated with an increased risk of sepsis infection, such as cancer, diabetes, HIV and chronic liver disease [304]. This study found that UTI was the most common source of co-morbidity which correlates with previous literature reports [264,305]. The number of nosocomial infections identified in this study were higher than average, collated studies on literature have reported hospital associated infections to be between 9-33% of total infections caused by *E. coli* [306], in this study nosocomial infections accounted for 44% of total infections. Mortality was found to be lower than the mortality rate for England at 13.2% compared to 18.2% [307]. However, it is within the expected range of mortality rates found in other studies [308,309].

There were some limitations associated with the *E. coli* collection described in his chapter. Due to available funding only a subset of the *E. coli* could be sequenced. In total, 92 bacteraemia isolates out of 152 were sequenced and included in the genetic analysis. This represented the population used in this study with a spread of sources of infection with the most isolates being from a urinary source. This may have removed some of the power in the genetic analysis section of future results chapters (Chapter 6).

Patient data was not collected by the research team and relied on the expertise of our clinical colleagues to access numerous databases. In some cases, diagnosis was not final, and this made producing a final table difficult. Indeed, determination of co-morbidities was especially difficult in this regard. Future studies include more time for data extraction from clinical records.

However, this collection appears to be a relatively standard collection of *E. coli* bacteraemia isolates with strong correlations with other reported *E. coli* bacteraemia collections. The patient population in this study are generally older which matches the typical bacteraemia patient profile. The antimicrobial resistance rates are lower than average, and isolates belonging to the sequence type 131 are more resistance to antibiotics than the second most common sequence type identified in this study 73. The source of infection was consistent with the high incidence of urinary origin of infection in other National studies.

## **Chapter 4: Host biomarkers induced with clinical *E. coli* strains from bacteraemia patients**

### **4.1 Host biomarkers of infection**

Biomarkers using proteins involved with the host innate immune response have great potential to aid clinicians in the early diagnosis of many diseases. Although a wide variety of sepsis biomarkers have been studied, many have failed due to lack of specificity and sensitivity [310,311]. A review in 2020 identified 258 biomarkers that had previously been investigated in relation to bacterial sepsis, highlighting the complex nature of the disease and the many different immune pathways involved in the host's immune response to the disease [310,311]. Despite this, there are biomarkers that are currently in use in the clinic, however, there is no biomarker that can successfully; diagnose, predict, and track the treatment of sepsis [312].

Three main biomarkers are used for bacterial sepsis and are considered the current gold standard: C-reactive protein (CRP), procalcitonin (PCT), and Interleukin 6 (IL-6)[313–315]. Indeed, PCT and CRP often define the benchmark for comparing new potential sepsis biomarkers due to their relatively good predictive ability [310]. However, there are limitations on the usefulness of these biomarkers largely due to their inducibility in non-infectious states [316]. New biomarkers that can differentiate between infections and non-infectious inflammatory states are crucial for guiding or even removal of appropriate antimicrobial therapies, in bacterial sepsis. Furthermore, the rise in antimicrobial resistance makes it more important than ever to use antibiotics both appropriately and sparingly [317–320].

### **4.2 Early mediators of the immune response**

Early mediators of immunity have great potential to predict the presence of bacterial infections and cytokines show promise as biomarkers of infection due to extensive studies done on their relationships and role with disease [321].

#### **4.2.1. IL-6**

IL-6 is an acute phase pleiotropic cytokine produced early during the host response to infection. It is produced by macrophages, endothelial cells and epithelial cells after

recognition of PAMPs (e.g LPS) and has roles in the cytokine networks driving inflammation and in the resolution of inflammation, together with effects on T cell differentiation (e.g., Th17 cells). IL-6 is released locally at the site of infection and in endothelial cells where it is translocated via the bloodstream, to the liver where it acts on hepatocytes to induce the production of acute phase proteins such as CRP, serum amyloid A (SAA), haptoglobin (Hp) fibrinogen and albumin [322]. These proteins have prominent systemic effects on the host and lead to several physiological changes such as fever, anorexia, and catabolism of muscle cells [323]. IL-6 has several other pro-inflammatory effects including the production of neutrophils in the bone marrow, Th17 cell differentiation, downregulation of Treg cells and increased antibody production in B cells [322].

IL-6 is an important cytokine required for controlling *E. coli* infections, including the induction of fever and recruitment of immune cells to local tissues[324,325]. IL-6 has been shown to drive inflammation in systemic infection models, contributing to tissue and organ damage. Indeed, IL-6 deficient mice have reduced survival in intraperitoneal *E. coli* infections compared to wild type mice, corresponding with lower CFU in the liver and higher numbers of neutrophils during infection[326]. The importance of IL-6 mediated neutrophil recruitment has also been shown in a pneumoniae model of infection whereby IL-6 deficient mice had increased lung CFU counts and decreased neutrophil infiltration to the lungs during infection[327].

During *E. coli* infection, IL-6 has been shown to control the sequestering of iron by promoting the production of siderophore binding molecules on the surface of macrophages, thus restricting iron sources [328].

It appears that IL-6 induced phosphorylation of the signal transducer and activator of transcription (STAT1 and STAT3) is the contributing factor to enhancing neutrophil recruitment and decreasing bacterial burdens in both the lungs and the urinary tract [327,329].

Research has shown that Gram-negative and Gram-positive bacterial infections can be identified based on levels of IL-6 and IL-8 enabling discrimination between Gram-negative and Gram positive infections. In a study on bloodstream infections in Iran, patients with *E. coli* or *Klebsiella pneumoniae* infections had significantly higher levels of IL-6 and IL-10 when compared with Gram-positive bacterial infections [330]. The authors also show promising

data on the ability of IL-6 to discriminate between species patients with *Klebsiella pneumoniae* infections eliciting a significantly higher IL-6 response compared to patients with *E. coli* infections. Although limited by group size, more research is warranted in species level discrimination of bacterial BSIs.

Thus, IL-6 is a potentially important cytokine in discriminating between different species in bacterial infections, which may help to aid physicians in the rapid diagnosis and appropriate treatment of bacterial sepsis.

#### 4.2.2 IL-8

IL-8 or CXCL8 is a chemokine that is produced by many different cell types including macrophages, monocytes, neutrophils, and epithelial cells. It is a chemoattractant to neutrophils, basophils, and T- cells and is involved in the activation of neutrophils during inflammation. IL-8 appears to be an important chemokine in driving sepsis pathology. Functional polymorphisms in the promoter regions of the IL-8 gene (IL-8 -251 A/T) have been shown to be associated with decreased risk of sepsis in a Chinese population[331]. Despite conflicting evidence of associations between risk of sepsis and gene polymorphisms, IL-8 plays a vital role in both protecting against and exacerbating infections. Increased IL-8 levels in patients with sepsis has been associated with septic shock and increased mortality[332]. More recent studies have corroborated this association, as well as showing IL-8 levels are significantly increased in patients with sepsis induced acute renal failure (ARF) and acute respiratory distress syndrome [333]. IL-8 has been shown to be a promising biomarker in neonatal sepsis,[334] as well as in predicting sepsis development in burns patients (a group of patients particularly at risk of sepsis due to the loss of the protective barrier of the skin).

#### 4.2.3 MIP1 $\alpha$

MIP1 $\alpha$  or CCL3 is a CC chemokine family member derived from a multitude of immune cells including monocytes, neutrophils, and lymphocytes. MIP1 $\alpha$  has a primary role in chemotaxis, whereby immune cells are recruited to the site of infection, resulting in increased local inflammation. MIP1 $\alpha$  is chemotactic to monocytes, macrophages, T cells,

neutrophils, eosinophils, basophils, DCs, NK cells and coronary endothelial cells [335]. Other effects of MIP1 $\alpha$  include actin polymerisation, trans-endothelial migration, histamine release and granzyme release [335]. Gene knockout studies in mice have revealed the importance of MIP1 $\alpha$  in the response to viral infections, with knockout mice having delayed clearance of Influenza virus[336]. In addition, MIP1 $\alpha$  has been shown to be a potent M-tropic-HIV-1 replication inhibitor, through binding CCR5 (a viral entry point for HIV), and higher levels of MIP1 $\alpha$  and MIP1 $\beta$  are associated with asymptomatic HIV-1 infection [337,338]. In a mouse caecal ligation and puncture (CLP) septic model, mice showed increased levels of MIP1 $\alpha$  8 hours after challenge [339]. In a cohort of 38 human patients 45% of patients showed increased levels of MIP1 $\alpha$  but this did not correlate with disease progression and outcome [340]. In agreement with this, in humans, Knapp et al also showed that increased levels of MIP1 $\alpha$  and MIP1 $\beta$  were found in sepsis patients with both Gram-negative and Gram-positive infections and there was no association with MIP1 $\alpha$  and disease outcome [341].

#### 4.2.4 MIP3 $\alpha$

Macrophage inflammatory protein 3 alpha (MIP3 $\alpha$ ), also known as CCL20 is a chemokine belonging to the CC family and is a strong chemoattractant of lymphocytes. The primary source of MIP3 $\alpha$  secretion appears to be epithelial cells and it has been linked with the formation of mucosal-associated lymphoid tissue (MALT) via recruitment of lymphocytes, neutrophils, and dendritic cells (DCs) to skin and gut barriers. For instance, MIP3 $\alpha$  promotes the recruitment of Langerhans cells (skin tissue resident macrophages) in the skin. Like MIP1 $\alpha$ , MIP3 $\alpha$  has been implicated in the inhibition of HIV infection in the female reproductive tract [342]. In addition, there is some evidence to suggest that MIP3 $\alpha$  has direct antibacterial effects on a range of bacterial organisms including *E. coli* [343]. MIP1 $\alpha$  and MIP3 $\alpha$  both showed potential to distinguish between bacterial infections of different bacterial species in a previous study in our lab [344].

#### 4.2.5 Resistin

Resistin is a peptide hormone belonging to the secretory protein family resistin-like molecules (RELMs), which was first discovered in rodents and found to induce insulin

resistance[345]. There are four members of the RELMs family in mice (RELM $\alpha$ , RELM $\beta$ , RELM $\gamma$  and resistin) and two in humans (RELM $\beta$  and resistin)[345]. In mice resistin is expressed almost solely in adipose tissue, whereas in humans, expression in adipose tissue is much lower and it is expressed in other tissues including the bone marrow and the lung [346]. In contrast to mice, human resistin is predominantly expressed by monocytes and macrophages indicating a role for resistin in inflammation. Studies have shown that pro-inflammatory cytokines such as TNF $\alpha$  and IL-6 as well as LPS profoundly increase expression of resistin in PBMCs[347], and that resistin can induce the expression of TNF $\alpha$  and IL-12 in PBMCs, an effect that is mediated by NF- $\kappa$ B [348,349]. Resistin levels correlate with disease severity and shock in sepsis infections [350]. Increased levels of resistin have also been found in intra-abdominal sepsis [351], indicating the potential for resistin to be used as a biomarker, to discriminate between source of infection and disease severity.

#### **4.2.6 TNF $\alpha$**

Tumour necrosis factor alpha (TNF $\alpha$ ) is an inflammatory cytokine produced by macrophages and monocytes early in the inflammatory process. It was discovered in the 1970s and was called tumour necrosis factor due to its cytotoxic activity against cancer cells. [352]. TNF $\alpha$  belongs to the TNF superfamily, a family of type two transmembrane proteins which are released from the membrane via proteolytic cleavage. The active cytokine form can then bind to TNF receptors (TNF-R family). There are also decoy receptors which act in sequestering TNF $\alpha$ , to control cellular toxicity [353]. TNF $\alpha$  has a role in various diseases, from arthritis to tuberculosis, but has shown promise as a potential biomarker for early onset neonatal sepsis and late onset neonatal sepsis with sensitivity and specificity for early onset of 0.66, 0.76 and late onset of 0.68 and 0.87 respectively [354].

### **4.3 Host and bacterial factors affecting outcomes in bacteraemia**

#### **4.3.1 Host factors affecting outcomes in bacteraemia**

A multitude of factors are at play in the response to *E. coli* bacteraemia and sepsis including both host and bacterial factors[13,311]. With respect to the host, Burdet et al, found that 'bacteria from a non-urinary source' and 'age under 3 months' were the primary risk factors of severity in children with bacteraemia [355]. Similarly, Lefort et al found that age,

immunocompromised status, portal of entry and previous hospitalisation before bacteraemia were the major predictors of mortality [356]. While Matrinez et al found that an immunocompromised condition, pneumonia and >7 hours to bacterial detection were the best predictors of mortality [357]. This is consistent with the risk factors of sepsis highlighted in the introduction (Table 1.2). Given the large number of conditions affecting the immune system which are considered as risk factors for sepsis it is not surprising that immune status is consistently found to be a main determinant of bacteraemia outcome.

#### **4.3.2 Bacterial factors affecting outcomes in bacteraemia-the importance of ExPEC strain in inducing divergent responses**

ExPEC can cause disease in a wide range of body sites, distal to the original infectious focus, which can lead to differential responses in host immune response. ExPEC isolates are a genetically diverse group of pathogens, each bacterial typically possess ~5000 genes but these genes can vary by 20-30% between individual strains [358]. These genes can impact on bacterial survival, fitness and virulence and animal studies have noted the importance of genes involved in; adhesion, iron exploitation, metabolism, transport, toxins, LPS and capsule proteins are of importance influence in extra intestinal infections [358–360][361].

With respect to the bacteria factors influencing bacteraemia, Gram-negative bacteria elicit greater CRP and IL-6 responses from the host compared to Gram-positive bacteria [362]. In addition, ExPEC isolates induce higher inflammation and are more likely to result in mortality compared to Gram-positive bacteria and other Gram-negative bacteria [363]. Additionally, studies have also linked specific genes to disease outcomes. For instance, patients infected with isolates carrying the *cnf* and *bla<sub>TEM</sub>* genes had increased risk of severe illness by 6.75 and 2.59 times respectively [364].

Barber et al used zebrafish to assess the divergent host responses to two similarly lethal ExPEC strains, CFT073 and F11, which both cause death in zebrafish embryos by 24 hours. The isolates encode the K2 capsular antigen and O6 surface antigen but possess different flagellar serotypes. The F11 infections were associated with the development of more pronounced pathology including pericardial edema, ulceration, and erosion of the tail fin, compared to the CFT073 strain where these phenotypes were less common. F11 infection was also associated with tissue perfusions in 50% of the fish but none were observed in fish



infected with CFT073. Transcriptional analysis showed that infection with F11 affected the expression of a third more genes than CFT073 including some transcripts that were suppressed in CFT073 infected fish [363]. The authors show that the two different isolates can elicit divergent immune responses from the host; for instance, INF- $\gamma$ 2 is induced by F11 but not CFT073. In contrast, higher levels of IL-10 and IL-8 are induced in response to CFT073 than F11 [363]. These results indicate a large degree of variability in the host response to bacteria dependent on the background of the bacteria itself.

ExPEC strains also produce differential responses in adaptive immunity. O'Brian et al 2018 have shown that two urosepsis strains which are common model organisms for UTI infections in mice have drastically different kinetics of bacterial clearance as well as susceptibility to reinfection. Mice infected with the strain UTI189 could be infected indefinitely, being unable to clear infection. However, mice infected with CFT073 cleared the infection within 8 weeks. In addition, reinfection of mice treated with antibiotics with strain UTI189 resulted in recolonisation of the urinary tract whereas, mice were protected against UTI re-infection with CFT073. Interestingly T cells were found to be the determining factor in mouse immunity to recurrent infections. Depletion of CD4+ and CD8+ T cells from mice abolished the protection against re-infection with CFT073. Such data confirms different responses of the adaptive immune system to individual *E. coli* strains [365].

Finally, it is becoming increasingly clear that even commensal *E. coli* can promote inflammation that may compromise gut integrity and enhance translocation. In a mouse model of *E. coli* infection Kittana et al found that commensal *E. coli* of different sequence types elicited distinctive immune responses after inflammatory stimulus[365]. Isolates belonging to the sequence type ST129 and ST374 were associated with the production of inflammatory cytokines GM-CSF, IL-6 and IFN- $\gamma$ . in contrast, isolates belonging to the sequence types ST150 and ST468 were associated with mild inflammation and low levels of pro-inflammatory cytokines [356]. The authors illustrated that IL-6 was the main driver of disease severity.

#### 4.4 Aims and objectives

The significant evidence for differential responses observed to *E. coli* and in particular ExPEC strains in animal models raises the question about the diversity of responses that might be detected in response to the bacteraemia collection described in Chapter 3 in human models. The aims of this chapter are to investigate the cytokine responses generated by the collection of *E. coli* isolates identified in Chapter 3 and whether these responses could be used to discriminate certain bacterial phenotypes. Specific objectives are to:

- Investigate panel of potential cytokine biomarkers using *ex vivo* whole blood infection models of bacteraemia. This panel will be used to characterise the host response to *E. coli* isolates from varying origins of infection.
- Investigate host response to *E. coli* collection using THP-1 model of infection.
- Characterise *E. coli* collection survival and growth in human plasma
- Assess serum bactericidal capacity of human serum on select *E. coli* isolates.

#### 4.5 Methods

##### 4.5.1 *Ex-vivo* whole blood model of infection.

Whole blood from healthy volunteers was infected with *E. coli* isolates for four hours before supernatants were removed and stored for cytokine analysis (Chapter 2.4.1).

##### 4.5.2 THP-1 infection model

THP-1 cells in an undifferentiated state were used for infection studies and 500000 cells per well were infected with *E. coli* as per methods (Chapter 2.4.2). Cytokine responses of IL-8 and TNF $\alpha$  were assessed using ELISAs (R&D Systems) as per manufacturer's instructions (Chapter 2.4.3).

##### 4.5.3 Plasma resistance in *E. coli*

Overnight *E. coli* cultures were corrected to an OD<sub>600nm</sub> of 0.1 in LB. Bacteria were then diluted 1/10 in citrated human plasma (TCS biosciences) in a 96 well plate. OD 600 was determined every hour for 24 hours (Chapter 2.4.6). For appendix growth in serum analysis

the same protocol was followed but citrated human plasma was replaced with serum from gold top blood collection tubes.

#### **4.5.4 Serum bactericidal assay**

The importance of complement in immunity to *E. coli* was tested in serum bactericidal assays in which complement C5a was specifically depleted. C5a was depleted with 10B6 antibody (a gift from Prof Paul Morgan, Cardiff University) and compared to C5a depleted serum (also a gift from Paul Morgan, Cardiff University).

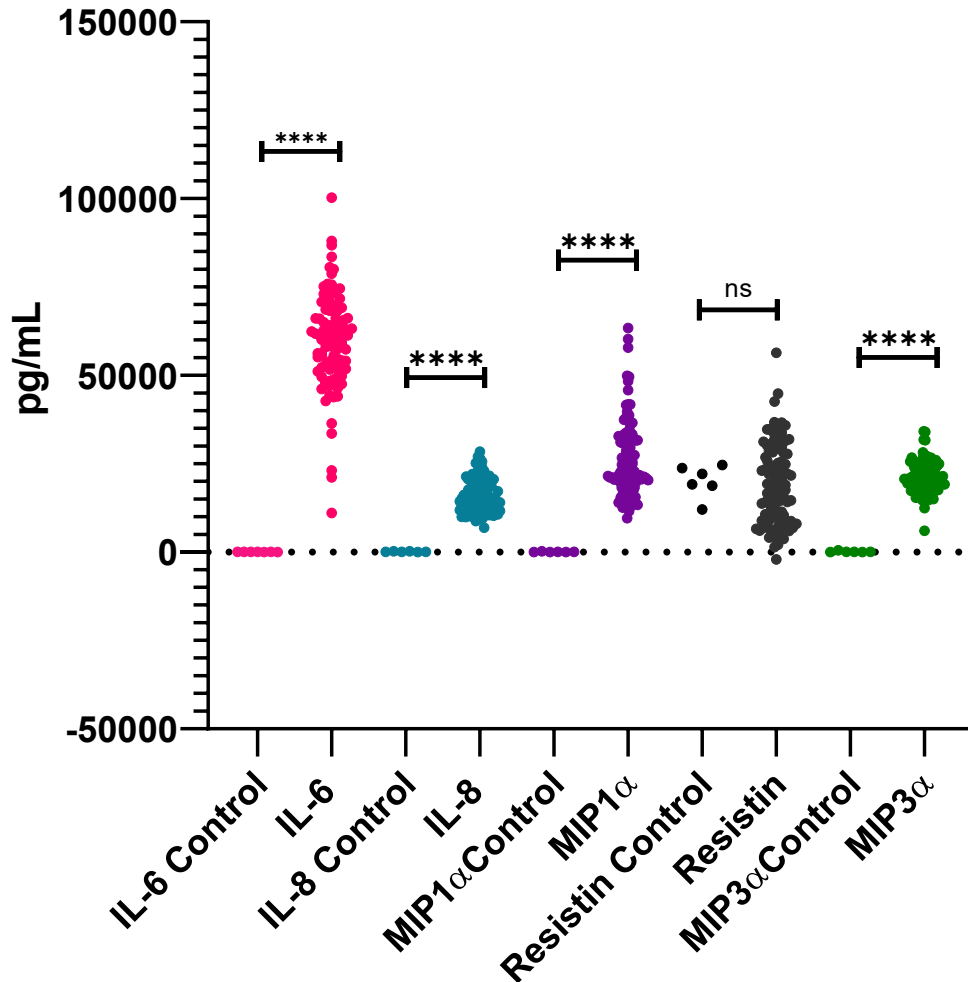
### **4.6 Results**

Host responses of the *E. coli* bacteraemia strains and controls were determined and organised as; i) whole blood responses to *E. coli* (4.6.2-4.6.6), ii) THP-1 responses to *E. coli* (4.6.7 – 4.6.8) and iii) plasma resistance, killing responses to *E. coli* (4.6.1 and 4.6.8, 4.6.9)

#### **4.6.1 Whole blood response to *E. coli* isolates**

The *ex vivo* whole blood infection model was used to investigate the host response to *E. coli*. A panel of cytokines identified in a previous PhD were determined; IL-6, IL-8, MIP-1 $\alpha$ , MIP-3 $\alpha$  and resistin (Figure 4.1)[344]. These cytokines were measured 4 hours post infection with *E. coli* isolates from bacteraemia (n=84), water sources(n=7), references isolates (n=4) and K12 isolate controls (n=2). Most isolates elicited a significantly increased response ( $p < 0.0001$ ) in all the cytokines tested 4 hours post infection with the exception of Resistin, compared to control untreated blood (Figure 4.1). All cytokines except for resistin had levels approaching limit of detection for ELISA assays (IL-8, MIP1 $\alpha$ , resistin 31.2pg/mL, MIP3 $\alpha$  7.2 pg/mL and IL-6 3.1 pg/mL) in pre-infected (T=0) and control (RPMI) treated blood. When cytokine responses were grouped from all the tested isolates (Figure 4.1), the IL-6 responses had the highest concentration (50-100,000pg/ml). Each biomarker had a distinctive distribution with IL-6, MIP-1 and resistin having wide ranges (as confirmed by standard deviations of 13682.89, 10996.32 and 11332.28 pg/mL respectively). In contrast, IL-8 and

MIP-3 had more discrete ranges (as confirmed by standard deviations of 4781.87 and 4556.73pg/mL respectively) (Figure 4.1).



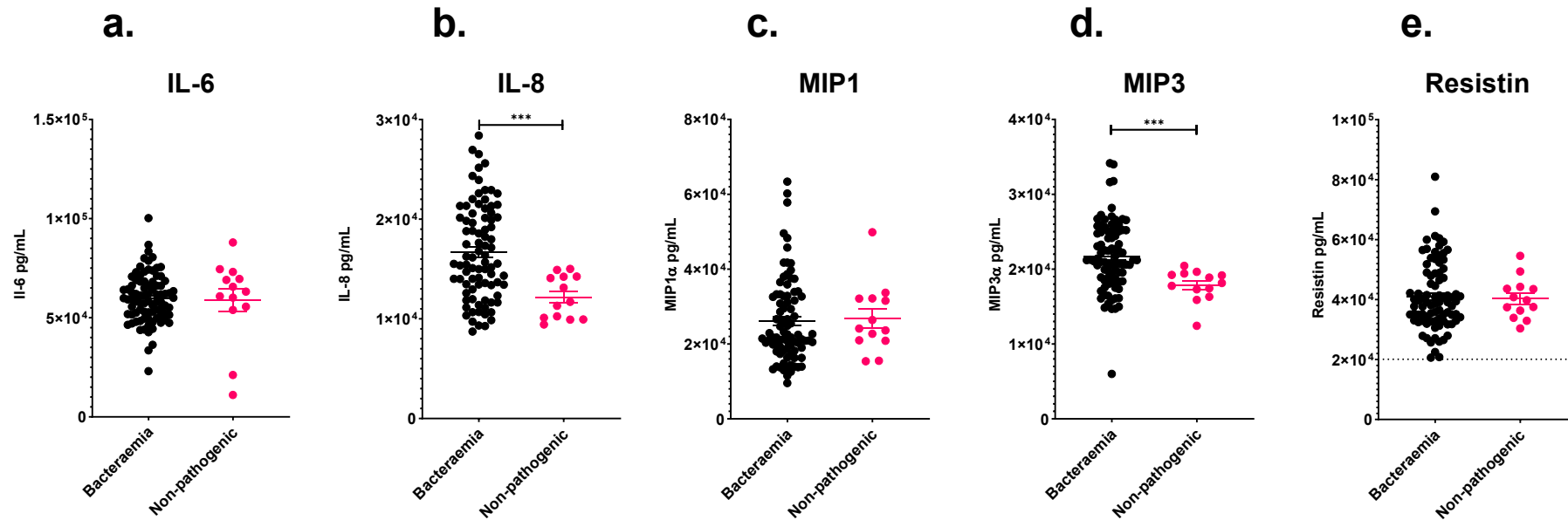
**Figure 4.1: Cytokine responses to *E. coli* isolates in an *ex vivo* whole blood infection model.**

Healthy volunteer whole blood was infected with *E. coli* isolates for 4 hours before the platelet poor plasma was isolated for ELISA determination. Isolates included in the blood infection model were 85 clinical bacteraemia isolates, 7 water isolates, lab K12, Keio parent K12 and four reference isolates. Dots represent average of at least 2 separate donors for each isolate. *E. coli* induced cytokine responses compared to control untreated cytokine levels with Mann-Whitney test \*\*\*\* $p \leq 0.0001$ .

#### 4.6.2 Whole blood response to bacteraemia/non-pathogenic *E. coli*

*E. coli* isolates were grouped as bacteraemia and non-pathogenic, where non-pathogenic included the laboratory strain K12 as well as *E. coli* from environmental water sources and reference isolates; ATCC 35218, ATCC 25922, NCTC 1093 and NCTC 9001. Then, cytokine

responses in whole blood were reassessed (Figure 4.2a-e). Host IL-6, MIP-1 $\alpha$  and Resistin responses in whole blood were not significantly different between *E. coli* from patients with bacteraemia or non-pathogenic isolates (Figures 4.2a, 4.2c and 4.2e respectively). In contrast, significant differences were observed between bacteraemia and non-pathogenic groups in the amount of both IL-8 and MIP3 $\alpha$  produced (Figures 4.2b and 4.2d respectively).



**Figure 4.2 Host cytokine response in an *ex vivo* whole blood infection-effect of pathogenicity.**

Whole blood from healthy volunteers was infected with *E. coli* isolates from either bacteraemia or non-pathogenic source for 4 hours and the platelet poor plasma isolated prior to host cytokine determination by ELISA. (\*\*\*)  $p \leq 0.0005$ , Mann-Whitney test) a. IL-6, b. IL-6, c. MIP1 $\alpha$ , d. MIP3 $\alpha$ , and e. Resistin. Each point on the graph represents the average of at least two different blood donors. Error bars show mean  $\pm$  SEM. Resistin background control levels indicated as line on graph.

#### **4.6.3 Whole blood cytokine response to sepsis and non-sepsis *E. coli* isolates**

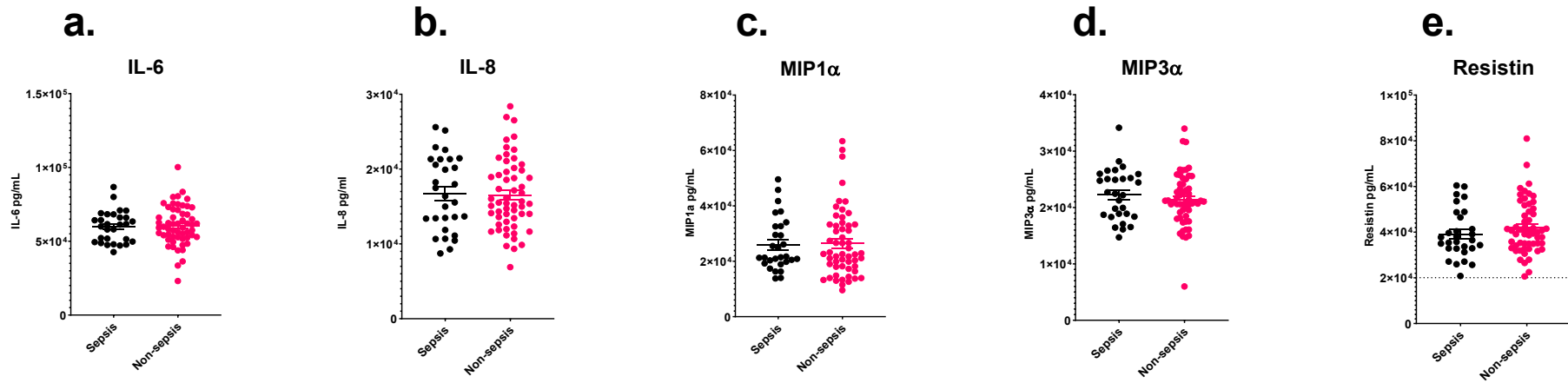
Using patient hospital data from Chapter 3, cytokine data was reassessed, and isolates were grouped by the diagnosis of sepsis or non-sepsis (Figure 4.3). No significant differences were observed in any of the cytokine responses when grouped in this manner (Figure 4.3).

#### **4.6.4 Host response to source of infection**

In order to assess the ability of the cytokine panel to discriminate between *E. coli* from different sources of infection the patient hospital data was again used to group data by clinically defined source of infection (Figure 4.4a-e). No differences could be detected in IL-6, MIP-1 $\alpha$  and resistin responses when grouped by source of infection and *E. coli* controls (Figure 4.4a, c and d). IL-8 responses grouped by source of infection and *E. coli* controls showed a significant increase between the urinary or abdominal isolate responses and those from water isolates (Figure 4.4b). Consistent with this result MIP-3 $\alpha$  responses from abdominal isolates were significantly increased compared to responses from water isolates (Figure 4.4e).

#### **4.6.5 Host response to mortality**

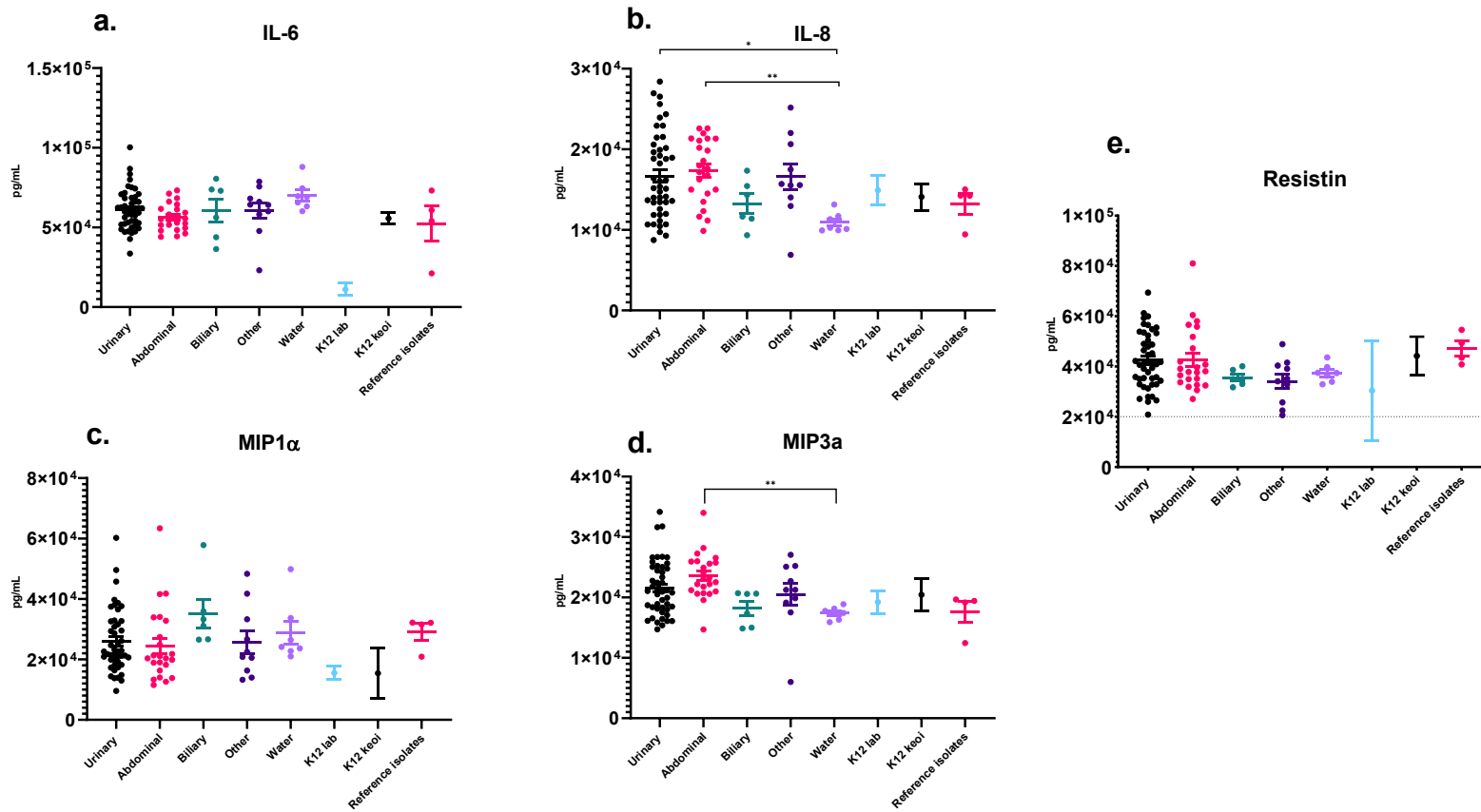
No significant differences were observed for any of the cytokines tested when isolates were grouped by mortality (Appendix 4.1).



**Figure 4.3: Host cytokine response in an *ex vivo* whole blood model-role of sepsis.**

Whole blood from healthy volunteers was infected with *E. coli* isolates from a bacteraemia source for 4 hours and the platelet poor plasma isolated prior to host cytokine determination by ELISA. Data was then grouped using patient data from chapter 3 as 'sepsis' or 'non-sepsis' and reanalysed. a. IL-6, b. IL-8, c. MIP1 $\alpha$ , d. Resistin, and e MIP3 $\alpha$ . Each point on the graph represents the average of three independent measurements. Data shows the mean  $\pm$  SEM. No significant difference was detected between groups Mann Whitney test.



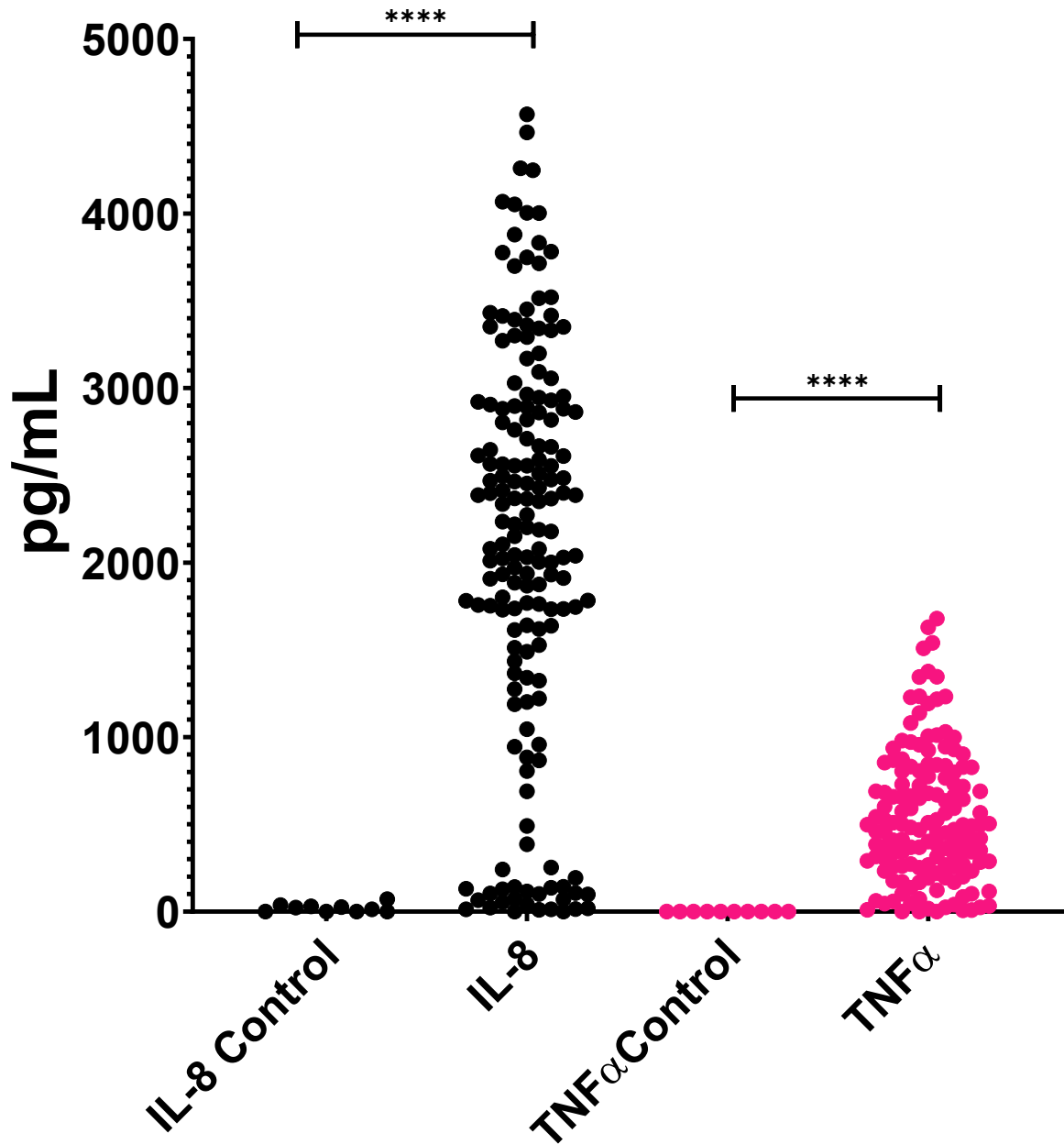


**Figure 4.4: Host cytokine response to an *ex vivo* whole blood model- role of source of infection.**

Whole blood from healthy volunteers was infected with *E. coli* isolates from either bacteraemia or non-pathogenic sources for 4 hours and the platelet poor plasma isolated prior to host cytokine determination by ELISA. Data was then grouped using patient data from chapter 3 by source of infection and by *E. coli* control isolates and reanalysed. a. IL-6, b. IL-8, c. MIP1 $\alpha$ , d. MIP3 $\alpha$ , and e. Resistin. Each point on the graph represents the average of three independent measurements. Data shows the mean  $\pm$  SEM (One-way ANOVA with Kruskal-Wallis test\*  $p \leq 0.05$ , \*\* $p \leq 0.01$ ). Average background levels of Resistin indicated on graph by dotted line (20060pg/mL).

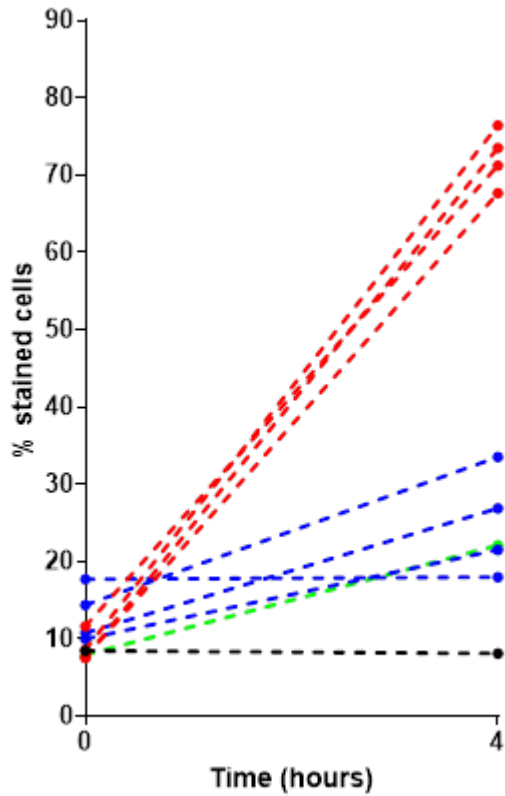
#### 4.6.6 Cytokine responses to *E. coli* isolates in THP-1 cells

The Covid pandemic restricted the availability of healthy volunteer blood for the *ex vivo* infection model. Therefore an *in vitro* corollary was developed by infecting THP-1 monocytes with *E. coli* and the early cytokines IL-8 and TNF $\alpha$  determined. On average, *E. coli* treatment elicited significantly higher IL-8 and TNF $\alpha$  compared to untreated controls (Figure 4.5). IL-8 and TNF $\alpha$  showed unique response distributions, (Figure 4.5). IL-8 had a significantly greater range than TNF $\alpha$  as confirmed by their standard deviations (1168 vs 328). In addition, there was a group of isolates identified which elicited low levels of IL-8 (<500pg/mL), and TNF $\alpha$  (approaching limit of detection), in the THP-1 cells (Figure 4.5). To investigate the reason for this low IL-8 production, Trypan Blue cell viability assays were carried out on a subgroup of high and low cytokine producers (Figure 4.6). This analysis confirmed that isolates eliciting a low cytokine response in THP-1 cells were the most cytotoxic to the cells, indicating that the cells were dying before an appropriate immune response could occur. In contrast isolates that elicited a high cytokine response had lower amounts of cytotoxicity (Figure 4.6). This was also the case for the K12 positive control that elicited a cytokine response but was not cytotoxic (Figure 4.6). No significant differences were detected in IL-8 and TNF $\alpha$  production when isolates were grouped by source of infection (Figure 4.7) despite a wide range of responses being observed for IL-8 production (Figure 4.7a.)



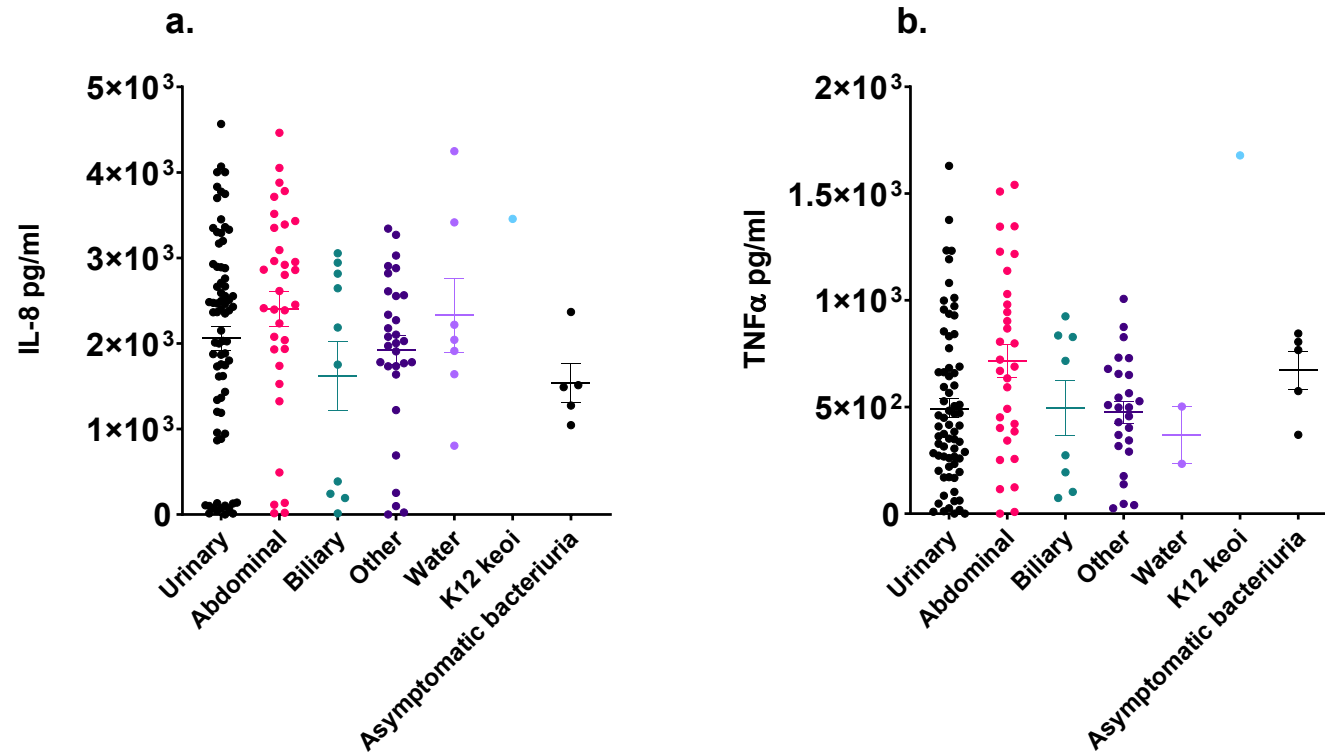
**Figure 4.5 Distribution of *E. coli* induced IL-8 and TNF responses in THP-1 cells**

THP-1 cells were infected with *E. coli* isolates for 4 hours before supernatant was removed for ELISA determination. Isolates included in the THP-1 infection model were 165 bacteraemia isolates, 7 water isolates, Keio parent K12 and four reference isolates and untreated controls. Dots represent average of three independent experiments. Control untreated cytokine responses compared to *E. coli* treated responses with Mann-Whitney test \*\*\*\* $p \leq 0.0001$ .



**Figure 4.6 THP-1 viability in high and low cytokine producing bacteraemia strains**

THP-1 cells (blue). THP-1 cells were subject to infection model as per methods (section 2.4.2 ) Four isolates with low cytokine responses (red), four isolates with high cytokine responses (blue), a K12 control (green) and untreated control (black) were included. Cells were stained with Trypan blue after 4 hours infection and data presented as % stained (dead) cells.

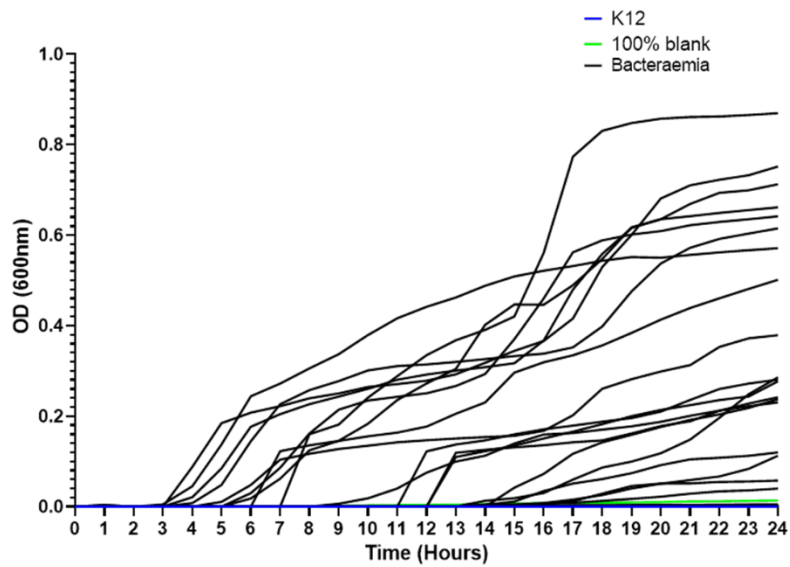


**Figure 4.7: *E. coli* induced cytokine responses from THP-1 cells organised by source of infection**

THP-1 cells were infected with *E. coli* for 4 hours and the supernatant was removed for cytokine determination by ELISA. Data was then grouped using patient data from chapter 3 by source of infection and by *E. coli* control isolates and reanalysed. a. IL-8 and b. TNF $\alpha$ . Each point represents the average three independent experiments. Data shows the mean  $\pm$  SEM and are corrected after subtracting values from control untreated wells. No significant difference detected  $p > 0.05$  (One-way ANOVA with Kruskal-Wallis test).

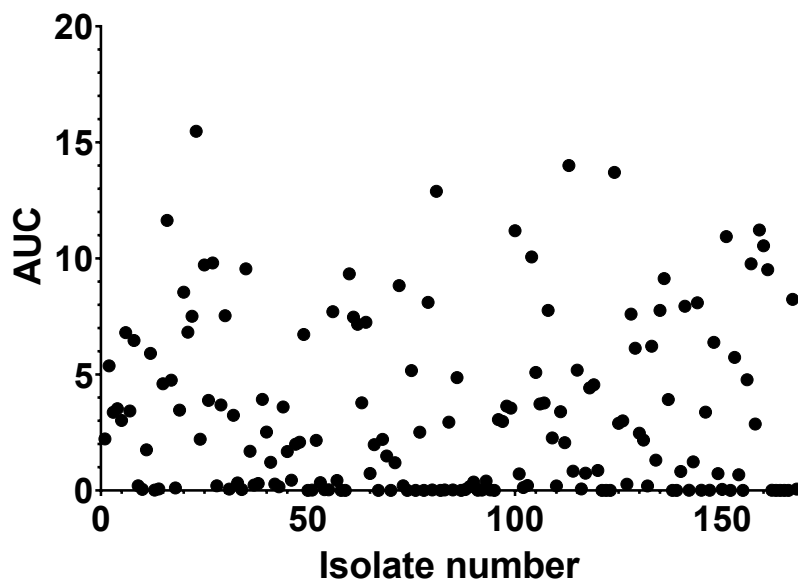
#### 4.6.7 Plasma resistance of the *E. coli* collection.

Serum resistance is a defining trait in ExPEC bacteraemia and therefore resistance to human plasma was investigated (plasma was used as a proxy for serum due to commercial availability at the time) in the collection. In total 179 *E. coli* isolates were grown in human plasma (made using sodium citrate), but one K12 isolate, and three asymptomatic bacteriuria isolates were not included in this analysis. *E. coli* isolates were grown in 90% plasma for up to 24 hours and OD 600nm readings were taken every hour (example curves, Figure 4.8). There was a large spread in the distribution among the isolates growing in plasma with some isolates being able to grow early (within 2 hours) while others only grew after a prolonged lag phase (Figure 4.8) or were unable to grow in plasma (Figure 4.8). To account for this variability growth curves were analysed using the Analysis of area under curve (AUC) by assigning *E. coli* isolates and arbitrary number 1-168 and using the slope function in excel on each of the OD readings during the 24-hour time points. AUC analysis (Figure 4.9) confirmed the variability amongst isolates. Growth of the isolates in plasma ranged from those unable to grow and hence low/no gradients (thus lower AUC scores) and those that can grow with higher gradients and those in-between. This pattern was consistent with experiments where *E. coli* isolates were grown in human serum (gold top blood tubes) (Appendix 4.2). Using the growth in plasma data isolates were grouped as resistant (n=112), and sensitive (n=57). Isolates were determined resistant if any increase in OD was observed in 2 out of 3 replicates in growth curve experiment



**Figure 4.8: Selection of *E. coli* growth curves**

Representative selection of *E. coli* isolates grown in 90% citrated human plasma for 24 hours. 100% blank indicates 100% plasma, untreated with *E. coli*. Each line represents the mean of three independent experiment (n=30)



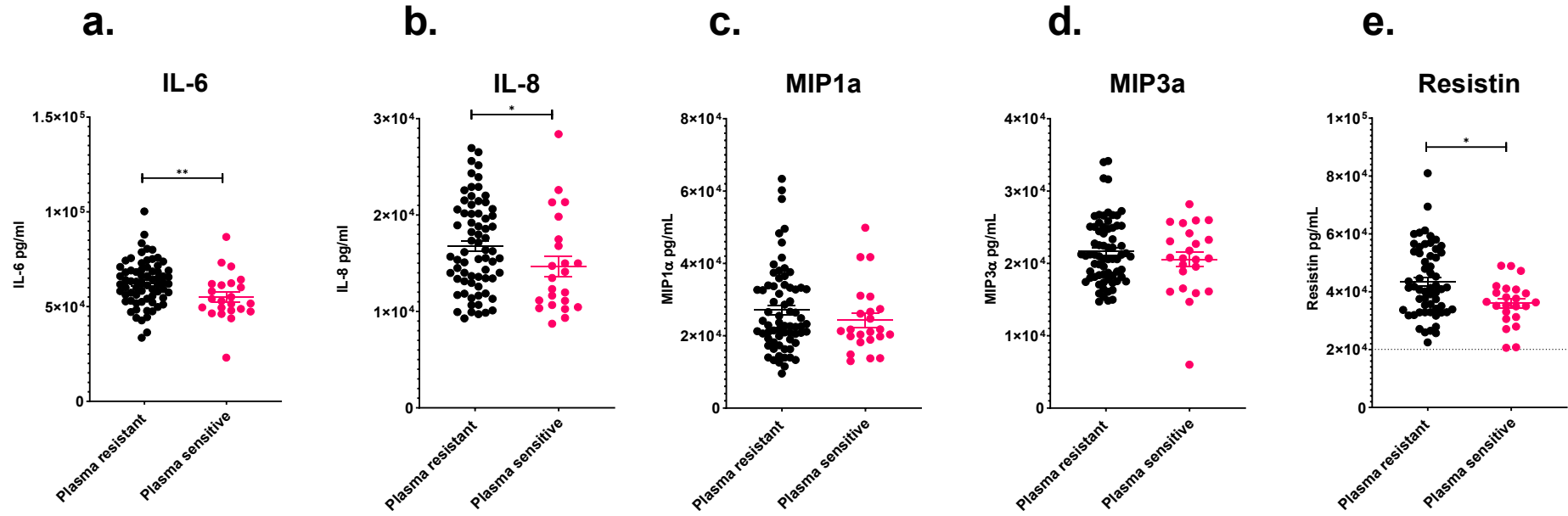
**Figure 4.9: Logistic Area under curve (AUC) analysis of *E. coli* growth curves.**

Logistic AUC calculated using Growth curver R package. Isolates were assigned arbitrary number 1-168 for X axis.

#### **4.6.8. Interrelationship between whole blood responses and plasma sensitivity**

The cytokine data was now reassessed in isolates grouped by their capacity to grow in citrated human plasma (Figure 4.10). Significantly higher levels of IL-6, IL-8 and resistin were found in response to isolates that were serum resistant, compared to serum sensitive isolates (Figures 4.10a, b, and e respectively). No significant differences were observed in the levels of MIP1 $\alpha$  and MIP3 $\alpha$  in response to the serum resistant isolates, however there was a trend towards increasing levels of these cytokines in response to infection with these isolates.





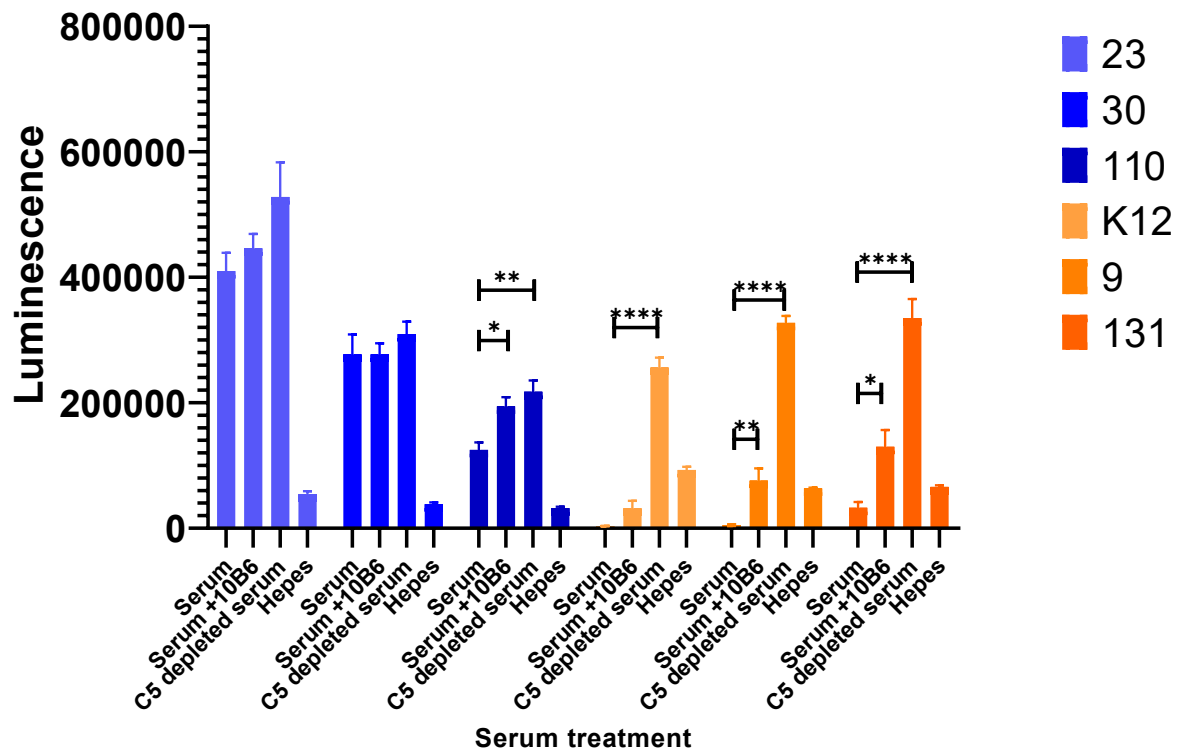
**Figure 4.10: Host cytokine response in *ex vivo* whole blood infection model to plasma resistant/sensitive *E. coli* isolates.**

Whole blood from healthy volunteers was infected with *E. coli* isolates from either bacteraemia or non-pathogenic sources for 4 hours and the platelet poor plasma isolated prior to host cytokine determination by ELISA. Data was then grouped using phenotype data from chapter 4 as plasma sensitive and plasma resistant and reanalysed. a. IL-6, b. IL-8, c. MIP1 $\alpha$ , d. MIP3 $\alpha$ , and e. resistin. Each point on the graph represents the average of three independent measurements. Data shows the mean  $\pm$  SEM. (Mann-Whitney test, \*\*\* $p \leq 0.0005$ ). Resistin background levels are indicated on graph with line.

#### 4.6.9 Serum bactericidal assay (SBA) assay

The previous experiments determining serum sensitivity and resistance of the *E. coli* collection stimulated two new research questions which were beyond the scope of the thesis but were initiated towards the end of the research work. They were. 1) Is there a high throughput bactericidal assay for serum killing? 2) What is the role of complement in serum killing of the *E. coli* collection? Here preliminary data based on a selection of isolates, is presented on a new serum bactericidal assay and the use of complement specific reagents to investigate mechanism.

Three serum resistant (23, 30 and 110, blue) and sensitive isolates (K12, 9 and 131, orange) were used in this investigation (Figure 4.11). *E. coli* isolates from the serum resistant group were able to survive in untreated human serum and were unaffected by either C5 specific antibody or being grown in C5 depleted serum (except for strain 110 which had slightly improved survival). In contrast the sensitive isolates tested were unable to survive to significant levels in the untreated serum. Their growth was rescued by culture with a C5 antibody or with C5 deficient serum. All *E. coli* grew poorly in the assay buffer HEPES.



**Figure 4.11: Serum bactericidal assay.**

Three serum resistant isolate (23, 30, 110) and three serum sensitive isolates (K12,9,131) were corrected to an OD of 0.2 before being resuspended in HEPES buffer. Isolates were then exposed to serum with various treatments (1:1 with HEPES), untreated, 10B6 aB treated and C5 depleted or HEPES alone for 2 hours before being mixed with BacTitre-Glo. Data represent the average luminescence of three independent experiments  $\pm$  SEM (one-way ANOVA with Tukey test, \* $p \leq 0.05$ , \*\* $p \leq 0.01$ , \*\*\*\* $p \leq 0.0001$ ).

#### 4.7 Discussion

Sepsis represents a major challenge to healthcare professionals worldwide due to the complex nature of disease pathology. The interplay between each *E. coli* strain and each unique host results in complex infection dynamics. This chapter aimed to determine; i) the cytokine responses to the *E. coli* collection in whole blood; ii) cytokine responses to the *E. coli* collection in THP-1 cells and iii) the plasma resistance / sensitivity profile of the *E. coli* collection. A subset of the isolates was included in the *ex vivo* whole blood infection before an *in vitro* THP-1 model was developed due to COVID restrictions.

Host associated biomarkers to help distinguish between *E. coli* from different sources of infection would be greatly beneficial in guiding correct antibiotic therapies. In addition,

many patients suffering from *E. coli* sepsis will already be hospitalised or have previous medical histories associated with local *E. coli* infections (e.g., UTI). Indeed, UTI infections and subsequent development of sepsis account for approximately 25% of all cases [366]. Accurately identifying links between, the cytokine response, the type of *E. coli*, and the different sources of infections would be highly advantageous in guiding antibiotic therapies. To that end this chapter aimed to determine the cytokine profile induced by the *E. coli* bacteraemia isolates from patients in the Hywell Dda University Health Board.

All cytokines tested in the whole blood model were significantly increased compared to untreated controls (excluding resistin), indicating that they are good markers of *E. coli* infection in this model. This was expected due to the inherent inflammatory nature of adding bacteria to human blood. Isolates that caused bacteraemia elicited a higher IL-8 and MIP3 $\alpha$  cytokine response in healthy volunteer blood, compared to non-pathogenic control organisms (Figure 4.4 b. and d.). Higher IL-8 responses have been observed in isolates that are able to traverse epithelial barriers and cause infection in other sites, with increased IL-8 production in two epithelial cell lines Caco-2 and HT-29 cells as well as THP-1 monocytic cells [367]. Since crossing intestinal barriers (the natural environment for *E. coli*) is essential for the development of sepsis this could be a useful marker for differentiating between strains of *E. coli*.

IL-8 may have uses in syndromes associated with sepsis. Indeed, many sepsis patients have pre-existing conditions that can result in the so-called leaky gut syndrome[368]. This is where gut barrier integrity is compromised, allowing normal commensal bacteria to spread into the bloodstream and to other organs and tissues. It is interesting to speculate that commensal *E. coli* escaping in this manner may elicit a lower IL-8 response in the blood. IL-8 could therefore be a useful marker in distinguishing from pathogenic and usually non-pathogenic *E. coli* isolates. Indeed, combining information from the host (low IL-8) with information about the bacteria may also prove fruitful. For instance, non-pathogenic isolates tend to belong to the phylogroups A and B1 and isolates that go on to cause sepsis in immuno-compromised individuals, usually have a higher number of antimicrobial resistance genes (e.g., increased resistance to ciprofloxacin, and higher  $\beta$ -lactamase production) [369]. This could be a potential avenue of guiding antimicrobial therapies to counteract these resistant isolates in immunocompromised individuals. However, other

studies have indicated high degrees of antimicrobial resistance in isolates belonging to the B2 and D phylogroups [370], so care should be taken, especially with the increasing incidence of antimicrobial resistance amongst all *E. coli* strains. It is also not necessarily the case that all isolates from immunocompromised patients are less virulent than those found in patients with lower comorbidities. For instance, there were no significant differences in cytokine production when isolates belonging to phylogroups B2 and D were compared to B1 and A. (Appendix 4.3). This is potentially due to the low number of isolates that came from these phylogroups from the isolates used in this study. These results confirm the complex nature of the sepsis syndrome.

Given the predominant amount of urinary source isolates within the *E. coli* collection tested, this indicated that isolates from the urinary tract may elicit more IL-8 and MIP3 $\alpha$  compared to control isolates. This was true for IL-8 (Figure 4.4), which was found to be significantly increased in response to the isolates from a urinary source of infection. However, the isolates with the greatest significant differences in IL-8 production compared to controls were the abdominal isolates. In addition, MIP3 $\alpha$  levels for abdominal isolates were significantly higher than the environmental water isolates but this difference was not identified for the urinary source. This could potentially be due to the limited number of isolates from both an abdominal source and water isolates and hence, less variation in the whole blood model. Further investigation into the mechanisms of immunity against *E. coli* from different sources would allow a greater understanding of this observed effect.

Although IL-8 has been investigated substantially as a biomarker for sepsis, less research has been done on MIP3 $\alpha$ . Although levels were shown recently to be elevated in non-surviving sepsis patients compared to survivors [371]. Interestingly, there was no relationship between disease outcome and cytokine responses to *E. coli* isolates found in our study (Appendix 4.1). However, there are also some indications that MIP3 $\alpha$  may be useful in other disease states such as Biliary Atresia, a disease of the liver and bile ducts that occurs in infants [372]. More research into MIP3 $\alpha$  and IL-8 as potential biomarkers to discriminate between *E. coli* isolates from different sources of infection is warranted.

No significant differences were found between isolates in the THP-1 model of infection (Figure 4.8). COVID and laboratory access restricted research to two cytokines being tested in this model. This data did not corroborate the significantly different IL-8 response seen in

the blood infection model (Figure 4.6). This may be due to the increased complexity and number of cell types and other inflammatory factors involved in the immune response in blood. For example, neutrophils are known to produce IL-8 early on in infection, although studies have shown that monocytes secrete more IL-8 (per cell) than neutrophils [373]. However, despite differences in cell output there is a higher abundance of neutrophils in the blood than monocytes-the relative contribution of each cell is difficult to assess.

Isolates that were resistant to human plasma showed higher cytokine responses than isolates that were sensitive to plasma. Significantly higher levels of IL-6, IL-8 and Resistin responses were found against isolates that were resistant to plasma (Figure 4.4). This is interesting as it suggests a greater immune response is mounted to resistant isolates in comparison to sensitive isolates. This may be due to the resistant isolates surviving longer in the blood and therefore having a higher immunological burden than isolates that are sensitive that get lysed by complement or phagocytosed before a large immune response can occur. Indeed, cytokine levels in the kidneys have been found to correlate directly with *E. coli* CFU count in acute pyelonephritis[374].

Whole blood models have significant advantages and limitations. Whole blood models of infection allow the measurement of human cytokine responses to live bacterial infections to be elucidated without requiring the use of animal models. The models require very little processing, for molecular analysis by ELISA and cellular analysis by flow cytometry of surface markers. Therefore, whole blood models of sepsis could help reduce the use of animal models of sepsis. There is not a truly clinically relevant animal model of sepsis infection and hundreds of different models have been tested [375–377]. Mice are the most used models of sepsis, and the genetic similarity of current mice models allows for homogenous research, and available genetically modified lines allow for testing the contributions of individual genes to disease. They are also relatively inexpensive and easy to source. However, mice are significantly less sensitive to LPS than humans. The common dosage used in mouse LPS models of sepsis is between 1-25mg/Kg [378,379]. The LD50 dose in mice is 1000-10000 times greater than the dose required to induce severe illness in humans [380]. The clinical relevance of whole blood models may therefore be more useful than animal models in determining biomarkers in sepsis. With the addition of immunomodulatory agents (e.g., cytokines or TLR agonists etc), researchers can also mimic the

immunosuppressive state present in many patients before the onset of sepsis. Using an *ex vivo* whole blood model also removes the need for human endotoxemia models of sepsis infections, which can be beneficial for studying the early stages of sepsis [381,382], do not model sepsis to the full extent and have limitations such as the maximum dose of LPS that can be used due to toxicity concerns[383].

However, there are some potential disadvantages of using *ex-vivo* whole blood models in biomarker research, the most problematic being the use of anticoagulants. These are necessary to prevent clotting however, they can interfere with signalling processes, particularly those that involve the complement system. Heparin, a common anticoagulant inhibits membrane fixation of complement component C3 at high concentrations and may even enhance complement activity at lower concentrations [384]. This can affect cytokine responses as well as interfere with the coagulation cascade which is important in sepsis infections. The use of anticoagulants is, however, unavoidable due to the short shelf life of blood outside the body. There are, however, potential different options for using anticoagulants that are more specific and thus have lesser effects on the complement and coagulation cascades, e.g., hirudin is a specific inhibitor of thrombin. Hirudin is more expensive than traditional anticoagulants such as sodium citrate and heparin and is often not available in large quantities making it difficult to use in large scale studies [385].

Whole blood models also require healthy volunteers for blood donations which can result in biomarker variation. For this study there were no significant differences in the control samples between donors for most of the markers tested. However, for resistin there was some variability in baseline levels and so the average baseline was shown on the graph. Another disadvantage of using whole blood is that although it is a good model for systemic infection it does not offer any information on local inflammatory events that precede sepsis. Given timing difficulties faced because of COVID-19 it was not possible to look at levels of inflammation in cell types relevant to the origin of infection (e.g gut and urinary epithelial cells). This may be an encouraging direction for a future PhD studentship.

The importance of complement proteins in the host response to *E. coli* was investigated using a serum bactericidal assay. This assay tested the growth of plasma sensitive/resistance bacteria in complement complete and two forms of complement inactive serum (C5 depleted and mAb treated) (Figure 4.9). All sensitive strains had increased numbers of

bacteria in the serum depleted treatments with both the 10B6 mAb treated and the C5 depleted serum having more bacteria than the active complement treated wells (Figure 4.9). This highlights the importance of complement in confining bacterial growth in blood during early infection stages. Given that this restriction may not occur in whole blood models of infection that use anticoagulants (which interfere with complement), it may be that serum sensitive isolates have cytokine levels similar to resistance isolates solely based on bacterial dose

Data from this chapter indicated that cytokines have the potential to discriminate between *E. coli* from different sources of infection and based on bacterial traits such as resistance to serum/plasma. Using cytokine data to identify such information during disease has significant time advantages over traditional methods of acquiring this information, such as those relying on bacterial culture. Advances in cytokine detection technology such as AlphaLISA allows detection of cytokines within 15-20 minutes[386].. A marked improvement in the hours/days needed for culture based methods and a critical consideration in time sensitive diseases such as sepsis.

This chapter investigated host responses and potential biomarkers from the host in response to *E. coli* bacteraemia, the next chapter will focus on genome associated biomarkers and virulence factors from *E. coli*.



## Chapter 5 Descriptive and comparative genomics of virulence factors and AMR genes in *E. coli* bacteraemia in Southwest Wales

### 5.1 Introduction

#### 5.1.1 *E. coli* as a commensal and pathogen

*E. coli* is a highly diverse Gram-negative bacteria belonging to the family *Enterobacteriaceae* and the genus *Escherichia*. The *Escherichia* family consists of three closely related members *E. albertii*, *E. fergusonii* and *E. coli*. In addition, five clades are recognised, which are phenotypically undistinguishable from *E. coli* named Clade I-V [387]. *E. coli* can be grouped into seven main phylogroups namely A, B1, B2, C, D, E and F as well as the cryptic clade 1 which appear phenotypically indistinguishable [388]. Commensal *E. coli* are often associated with clade A and B1. Intestinal pathogenic *E. coli* (IPEC) often associated with clades A, B1 or D, whereas extraintestinal pathogenic *E. coli* (ExPEC) are often associated with clades B2 and D. To date, *E. coli* is still the most studied bacteria in microbiology and infectious disease [389], and a large amount of information is available about its genetic make-up. Indeed, over 13000 genes have been identified across thousands of strains of *E. coli* and has stimulated research into new concepts in comparing and analysing genomes.

The concept of a pangenome was introduced in 2005 and comprises all known genes from all strains within a clade, thereby representing the potential whole gene repertoire of the bacteria under study [390]. The pangenome can be divided into genes that are shared between each strain and are usually required for cellular survival (the core genome) and genes that vary between each individual strain either being present or absent (accessory or variable genome) [391]. The *E. coli* pangenome is highly diverse, in any given strain the number of genes shared with all other *E. coli* strains is approximately 1000, while non-shared/unique genes number in the tens of thousands [392]. Interestingly, with the number of *E. coli* genomes ever increasing, the number of core genes appear to be decreasing with time, whilst the number of accessory genes is increasing, a trend expected to continue as more and more genomes are sequenced [392–396]. The large *E. coli* pangenome reservoir (increasing accessory genome) allows for the large range of diversity seen in the species and highlights the implications this diversity has on the pathogenesis of the species [397–399].

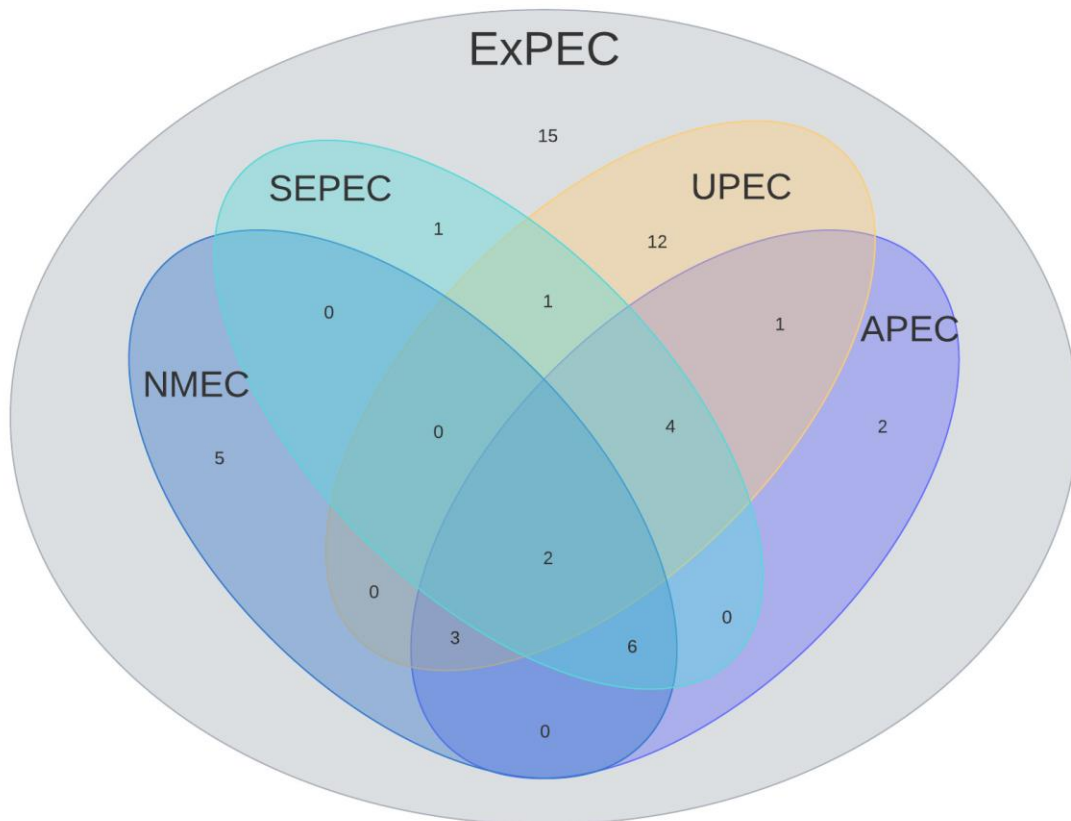
## 5.1.2 Genetic traits of ExPEC isolates

### 5.1.2.1 Virulence factors

*E. coli* is a highly adaptable organism which can cause disease in a wide range of bodily sites. Critical to this is the ability to evade and subvert a diverse host immune response at various sites. This is achieved through the expression of multiple genes which play a role in the virulence of the bacteria (virulence factors).

ExPEC isolates express a wide range of virulence factors which increase their ability to survive and evade the immune system and cause disease. The most common functions of ExPEC virulence factors are adhesins, protectins and invasins, toxins, iron acquisition systems, and the capsule. Critically, these virulence factors are often shared between different pathotypes of *E. coli* (Figure 5.1) and may aid in discrimination and identification.

Multiple studies have been conducted investigating the genetic traits of *E. coli* bacteraemia infections. Adhesins such as the P-fimbriae (*pap*), have been found to be more prevalent in bacteraemia strains compared to commensal control strains [400]. Protectins such as *traT*, *kps*, and *iss*, invasins such as *ibe*, toxins such as *cnf* and *cdt*, and iron acquisition systems such as *iroN* and *chuA* have also been found to be associated with isolates that can cause sepsis[73]. Some of these virulence factors also play a role in the translocation of *E. coli* from the gastrointestinal tract into the blood with the genes *papC*, *sfaD/E*, *cnf1*, *hlyA* and *iutA* being predicted as genes enabling translocation in patients with haematological malignancies [401]. The capsule of *E. coli* has also been implicated in sepsis, particularly the K1 capsule which has strong links with the development of meningitis and sepsis in the neonate[402–404].



**Figure 5.1 Venn diagram of shared virulence factors in ExPEC**

The table of common virulence factors (Appendix 5.1) were submitted to a literature search for associations with different ExPEC pathotypes. The shared virulence factors are indicated by overlapping ovals. ExPEC- extra-intestinal, UPEC- uropathogenic, APEC- avian pathogenic, NMEC-Neonatal meningitic, SEPEC-sepsis associated. Created using Lucid chart.

### 5.1.2.2 Mechanisms of antimicrobial resistance in ExPEC

ExPEC have several genes providing functional resistance to antibiotic therapies, and they do this by four mechanisms; i) limiting drug uptake; ii) modification of antimicrobial drug target; iii) inactivation of the drug itself and iv) active efflux of the drug from the intracellular space [405]. The most common mechanism of limiting drug uptake employed by bacteria is the formation of biofilms. Bacterial biofilms are a complex community of bacteria which attach to and colonise surfaces (including tissues) [406]. *E. coli* biofilms are associated with urinary tract infections as well as indwelling medical device infections (e.g.,

catheters). There is evidence that traditional antimicrobial therapies are less effective against *E. coli* biofilms [407].

ExPEC can increase resistance through the modification of the antibiotic target, RNA polymerase, which has been shown to lower the efficacy of rifampicin. This is manifested by mutations in the RNA polymerase gene, *rpoB*, where mutations to its  $\beta$  subunit reduce binding affinity and decrease antibiotic efficacy [408].

Direct antibiotic modification by *E. coli* is achieved through  $\beta$ -lactamases (*bla* genes), which hydrolyse the  $\beta$ -lactam ring of penicillin antibiotics rendering them inactive [409] [410]. *E. coli* also express extended spectrum  $\beta$ -lactamases (ESBLs) encoded by *tem-1* and *shv-1* which are becoming an increasing concern for public health. Between 2013 and 2014 ESBL producing *E. coli* were found in 11% of all isolates from human faeces and caused more than 5000 bacteraemia cases annually in the UK [411]. Of particular importance is the emergence of *E. coli* expressing the  $\beta$ -lactam CTX-M-15 which has become globally disseminated through isolates of the sequence type 131. The acquisition of the CTX-M-15  $\beta$ -lactam has firmly cemented this sequence type as a human pathogenic strain over the course of two decades [412].

Removal of antibiotics from the intracellular space in *E. coli* is performed by efflux pumps. These membrane transporters actively remove active antibiotics from the cytoplasm. Common *E. coli* efflux pumps include AcrB-TolC and EmrAB-TolC which are associated with resistance to multiple antibiotics [413],[414,415].

## 5.2 Aims and objectives.

This chapter aims to create a *E. coli* pangenome using whole genome sequencing as well as publicly available whole genome sequences and identify virulence factors and AMR genes present in the bacteraemia collection described in Chapter 3. Virulence factors and AMR genes will be compared to the historical standard ECOR collection and within sources of infection. Specifically:

*E. coli* isolates will be whole genome sequenced and then analysed and characterised by:

- Generating a pangenome

- Constructing a whole genome phylogenetic tree
- Establishing the core/accessory genome
- Identifying and counting the presence and absence of virulence factors
- Identifying and counting the presence and absence of AMR genes
- Comparison of established virulence factors in bacteraemia whole genomes grouped by:
  - Origin of infection (Urinary vs Abdominal)
  - Bacteraemia vs ECOR
  - B2 phylogroup of urinary vs abdominal isolates

## 5.2 Methods

### 5.2.1 Assembly

Raw Illumina genome reads were uploaded onto a Linux virtual machine (Swansea Genome Centre) and trimmed using sickle and scythe[232]. before assembly using Spades [233], Genome assemblies were quality controlled with Quast before assemblies with low contig (Contigs <1000bp) were removed from all isolates before annotation using seqtk[235].

### 5.2.2 Phylogenetic tree

The newick file generated from creating the roary pangenome was uploaded onto the interactive tree of life website (iTOL version 6.8) and phylogenetic tree was generated rooting to *E. coli* K12 strain MG1655.

### 5.2.3 Annotation

*E. coli* isolates were annotated as per section 2.6.2 using prokka [236].

### 5.2.4 Abricate

To identify virulence factors and antimicrobial resistance genes present in the *E. coli* bacteraemia isolates abricate was used as a mass screening tool to detect virulence and

antimicrobial resistance genes. For virulence factors the database used was VFDB[245], and for AMR genes the database CARD[243], was used.

### **5.2.5 Comparing presence and absence of genes**

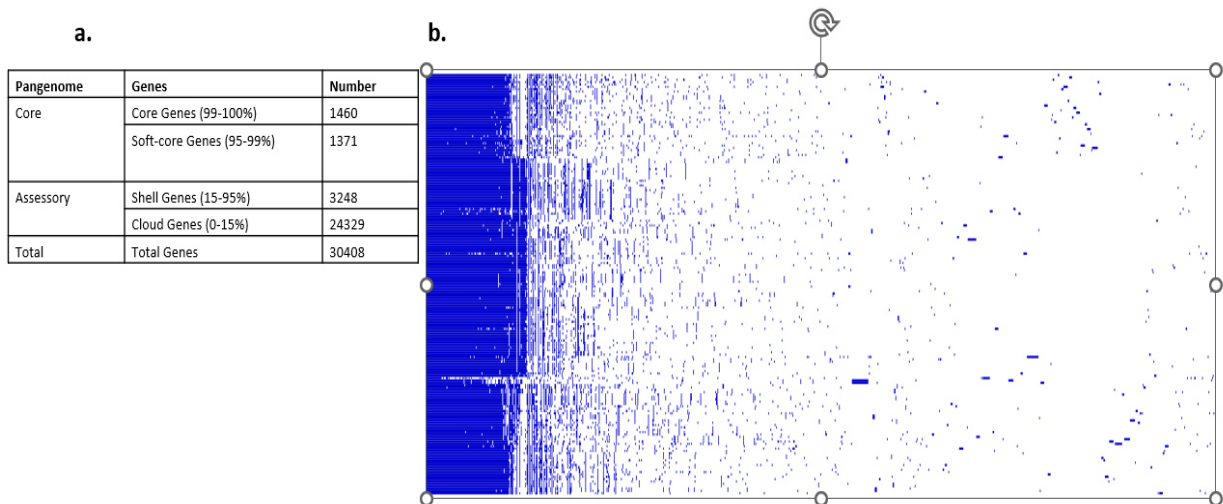
For common ExPEC virulence factors abricate outputs were manually searched for genes of interest. Tables were constructed and analysed with the Chi-squared test using Excel. Heatmaps were generated using the online heatmap making tool Morpheus [416].

## **5.4 Results**

### **5.4.1 *E. coli* pangenome creation**

A pangenome was created for all sequenced *E. coli* isolates using the software roary [237]. The ECOR collection (71 isolates) of *E. coli*, the Keio collection parent K12 strain, two reference *E. coli* isolates (ATCC 35218, ATCC 25922), 92 bacteraemia isolates, 7 water isolates and 6 ANC urine isolates (179 total) The K12 isolate MG1655 was used as a reference.

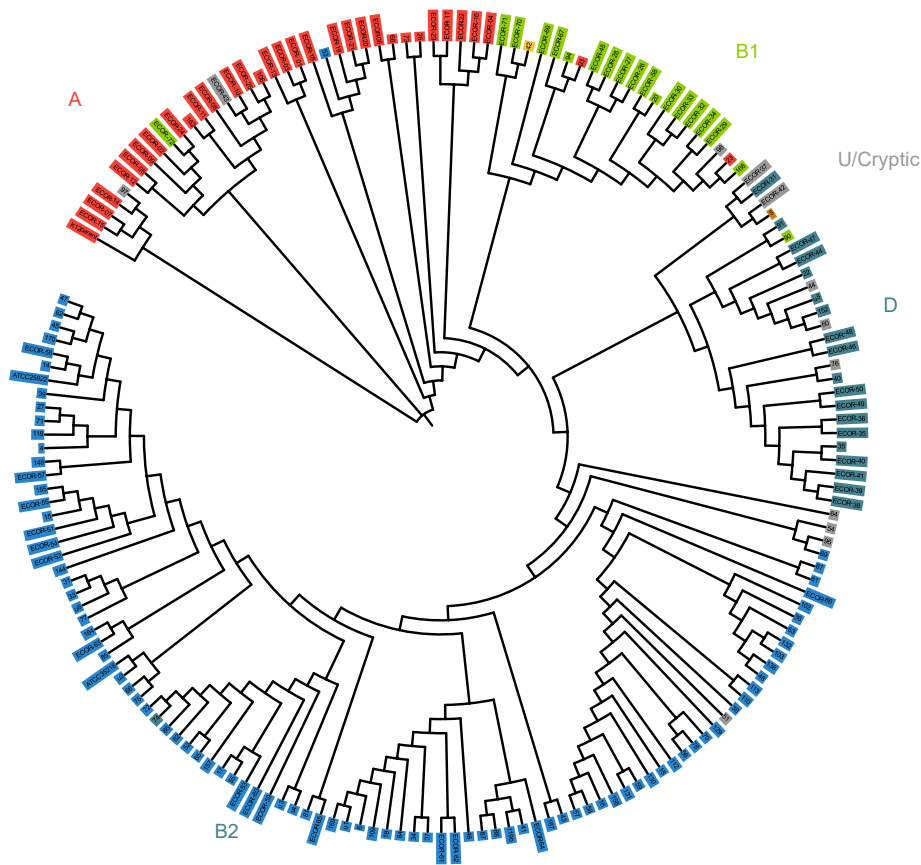
In total 30408 genes were identified in the collection. Of these 1460 were core genes (present in >99% of isolates), 1371 were soft core genes (found in 95-99% of isolates). The majority of genes identified were accessory genes with 3248 shell genes (present in 15-95% of isolates) and 24329 cloud genes identified under 15% of isolates (Figure 5.2).



**Figure 5.2 *E. coli* pangenome** a. core and accessory genes of the *E. coli* collection b. roary gene presence/absence matrix. Each row in the matrix represents a genome while each individual blue/white dot represent the presence (blue) or absence (white) of a gene.

## 5.2 Phylogenetic relationships of the *E. coli* collection

To confirm the phylogenetic relationships between the isolates, the roary output newick file was uploaded onto iTOL and coloured according to the phylogroup identified using the Clermont method (Section 2.6.4). Isolates clustered well into the phylogroups identified using the Clermont method although some inaccuracies were observed (Figure 5.2), which is to be expected based on 95% accuracy of the Clermont method[417].



**Figure 5.2 Phylogenetic tree of *E. coli* bacteriaemia isolates**

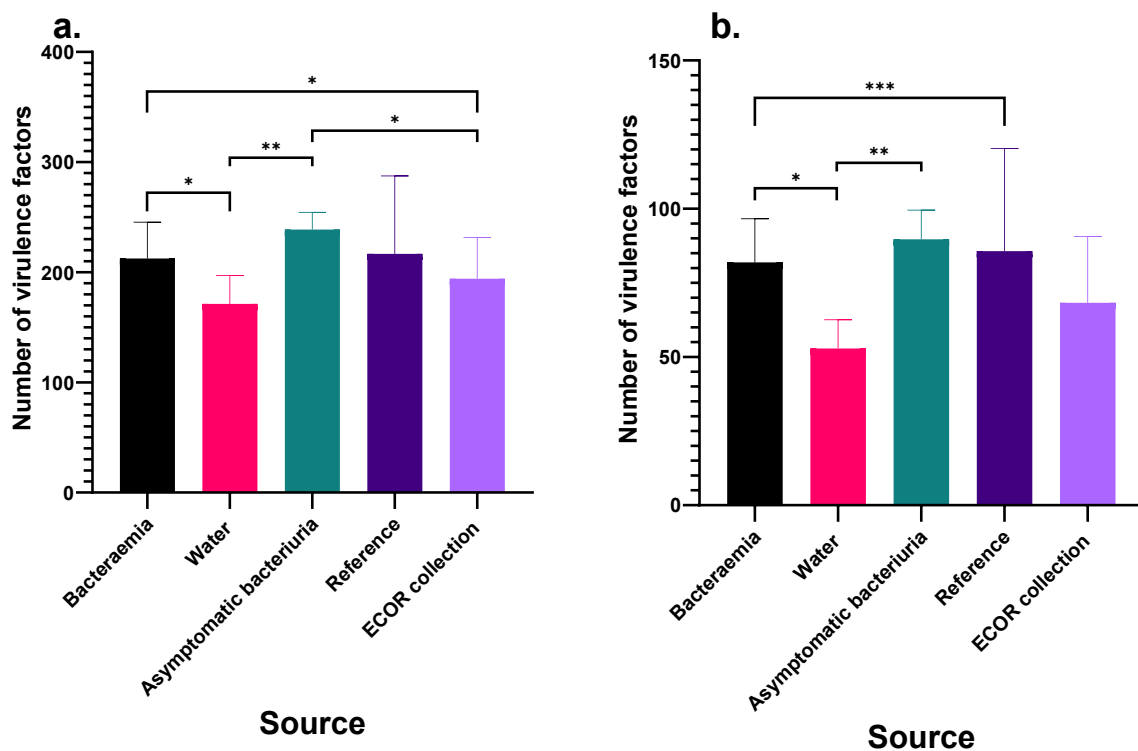
Ninety-two bacteriaemia isolates, 7 water isolates, 2 reference isolates and 71 isolates from the ECOR collection were included in the genetic analysis. Isolates were coloured according to their phylogroup as identified in section 2.6.4. Tree was rooted to K12 reference organism MG1655.

#### 5.4.3 Total number of virulence factors and AMR genes

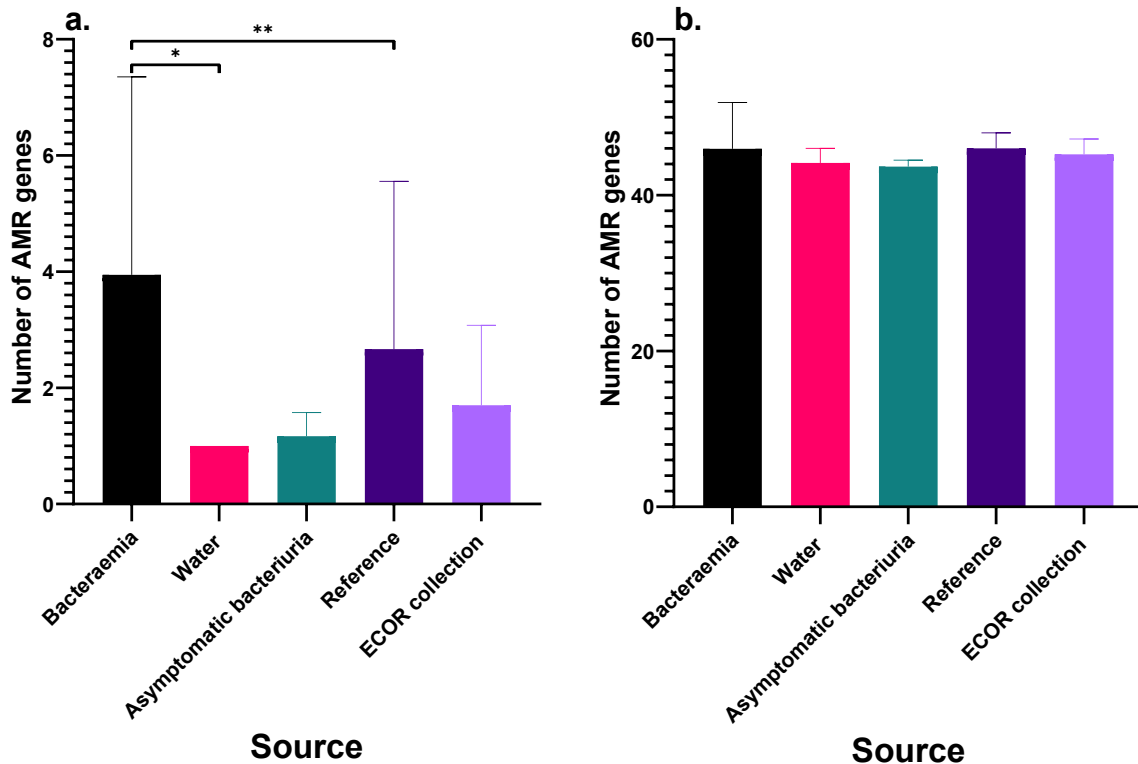
To identify the number of virulence factors and AMR genes in the *E. coli* collection the genomes of all sequences isolates were analysed using the abricate software which incorporates multiple databases. Blood culture positive bacteriaemia isolates had significantly more virulence factors than both the water isolates and the ECOR isolates in both the *E. coli* VF database and vfdb (Figure 5.3a and b respectively). Surprisingly the isolates from asymptomatic bacteriuria had significantly more virulence factors than the bacteriaemia isolates in both databases (Figure 5.3a, b).



Similar amounts of AMR genes were identified in bacteraemia, water, asymptomatic bacteriuria, reference and ECOR isolates using the CARD database (Figure 5.4b). Using the Resfinder database, much lower overall AMR genes were identified but significantly more were found in the bacteraemia isolates compared to the water and ECOR isolates (Figure 5.4a).



**Figure 5.3: Number of virulence factors present in *E. coli* isolates and ECOR collection.** Abricate was used on the isolate programs screening for virulence factors and antimicrobial resistance genes from various databases a. E.colivf database, b. virulence factor database (vfdb). Data represents mean number of genes per isolate  $\pm$  SEM. Differences between groups were calculated by Kruskal-Wallis test with P \* =  $\leq 0.05$ , \*\* =  $\leq 0.001$ . \*\*\* = 0.0001



**Figure 5.4: Number of AMR genes present in *E. coli* isolates used and isolates ECOR collection.**

Abriicate was used on the isolate programs screening for virulence factors and antimicrobial resistance genes from various databases a. card, b. resfinder. Data represents mean number of genes per isolate  $\pm$  SEM. Differences between groups were calculated by Kruskal-Wallis test with  $P^* = \leq 0.05$ ,  $** = \leq 0.001$ .  $*** \leq 0.0001$

#### 5.4.4 Top virulence factor and AMR genes

The top 50 virulence factors identified from vfdb include several key *E. coli* virulence factors (Appendix 5.2). The genes *csgF*, *entD*, *fepD*, *fepG* and *ompA* were identified in all 178 of the *E. coli* genomes. The *csgF* gene is a curli assembly component, *entD* is involved in the synthesis of the iron transport molecule enterobactin. The *fep* genes are involved in that transport of ferric enterobactin. Other top genes identified amongst the sequences tested include the fimbrial adhesin encoding genes *fim* and the siderophore synthesis genes *ybt* (Appendix 5.2). In the bacteraemia isolates (n=92) the most common virulence factors were also *csgF*, *entD*, *fepD*, *fepG* and *ompA*, which were identified in all the bacteraemia isolates (Appendix 5.3).

Abricate software was also used to identify the AMR genes present in the collection. Using the card database, the top identified AMR genes were identified (Appendix 5.4). Ten genes were found in all 178 isolated namely, *acrB*, *acrD*, *bacA*, *baeS*, CRP, *emrA*, *eptA*, *ampH*, H-NS, *mdtA* and *tolC*. Among the most commonly identified AMR genes were efflux pumps and other transporters associated with antimicrobial resistance.

The presence and absence of virulence factors and AMR genes was analysed and presented visually as heat maps for abricate (Appendix 5.5), vfdb (Appendix 5.6) and CARD (Appendix 5.7). The data in these heat maps was used to compare groups of *E. coli* isolates.

#### 5.4.5 Incidence of Virulence factors and AMR genes in bacteraemia compared to ECOR isolates.

The ECOR collection is a collection of known genetic sequences from *E. coli* isolates that represent the genetic diversity of the species. Bacteraemia isolates expressed more of the P fimbriae genes (*pap*) *papC* and *papG* (Table 5.1) than the ECOR isolates. In addition, many of the genes encoding the S fimbriae (*sfa*) were also greater in the bacteraemia isolates (Table 5.1). Other genes that are more frequent in bacteraemia isolates include; the group 2 capsule synthesis gene *kspM*, the hemin receptor molecule gene *chuA*, the ferric aerobactin receptor *iutA*, intracellular pathogen resistance genes *irp1* and *irp2*, the aerobactin synthesis genes *iucABCD*, the yersinabactin uptake receptor *fyuA*, the salmochelin genes *iroBCDEF*, the hemolysin A gene *hlyA*, the streptothricin acetyltransferase gene *sat*, the vacuolating autotransporter gene *vat*, the cytotoxic necrotizing factor gene *cfn1*, and the brain microvascular endothelial cell invasion gene *ibeA* (Table 5.1). In contrast, relatively similar levels of the F1C fimbriae genes (*foc*) and the type 1 pili genes *fimA* and *fimH* were detected between the ECOR isolates and the bacteraemia isolates (Table 5.1).

Abricate was unable to locate several genes commonly identified in *E. coli* isolates. These genes were screened for but could not be identified namely: *focB*, *focl*, *focl-2*, *focx*, *focy*, *afaB*, *afaC*, *matA*, *matC*, *matF*, *csgA*, *tsh*, *tia*, *traT*, *neuC*, *cvaA*, *lss2*, *sitA*, *sitB*, *sitC*, *sitD*, *ireA*, *ompT*, *cvaC*, *aatA*, and *usp*.

**Table 5.1 Differences in *E. coli* virulence factors between bacteraemia isolates and the ECOR collection.** Data was collected using abricate, Genes not found were removed from the table (section 5.4.3). Statistical analysis was performed using excel Chi-squared function, \*=p-value <0.05

| Gene name | Bacteraemia n (%) | ECOR n (%) | P value (Chi <sup>2</sup> ) |
|-----------|-------------------|------------|-----------------------------|
| papA      | 7 (7)             | 5 (7)      | 0.8949                      |
| papC      | 48 (52)           | 18 (25)    | 0.0076*                     |
| papG      | 34 (37)           | 10 (14)    | 0.0053*                     |
| sfaA      | 0 (0)             | 1 (1)      | 0.2550                      |
| sfaB      | 21 (23)           | 11 (15)    | 0.2948                      |
| sfaC      | 26 (28)           | 9 (13)     | 0.0332*                     |
| sfaD      | 24 (26)           | 11 (15)    | 0.1478                      |
| sfaE      | 11 (12)           | 5 (7)      | 0.3207                      |
| sfaF      | 11 (12)           | 5 (7)      | 0.3207                      |
| sfaG      | 14 (15)           | 4 (6)      | 0.0679                      |
| sfaH      | 3 (3)             | 2 (3)      | 0.8725                      |
| sfaS      | 1 (1)             | 1 (1)      | 0.8542                      |
| sfaX      | 19 (21)           | 10 (14)    | 0.3243                      |
| focA      | 13 (14)           | 8 (11)     | 0.6136                      |
| focC      | 13 (14)           | 6 (8)      | 0.2923                      |
| focD      | 14 (15)           | 6 (8)      | 0.2214                      |
| focF      | 12 (13)           | 7 (10)     | 0.5549                      |
| focG      | 16 (17)           | 9 (13)     | 0.4460                      |
| focH      | 21 (23)           | 8 (11)     | 0.0828                      |
| afaA      | 5 (5)             | 3 (4)      | 0.7297                      |
| afaC-I    | 5 (5)             | 2 (3)      | 0.4239                      |
| afaD      | 5 (5)             | 2 (3)      | 0.4239                      |
| draA      | 2 (2)             | 0          | 0.2141                      |
| draD      | 3 (3)             | 1 (1)      | 0.4541                      |
| draP      | 7 (8)             | 3 (4)      | 0.3872                      |
| fimA      | 86 (93)           | 65 (92)    | 0.8990                      |
| fimH      | 90 (98)           | 67 (94)    | 0.8234                      |
| kpsM      | 75 (82)           | 27 (38)    | 0.0005*                     |
| kpsT      | 14 (15)           | 10 (14)    | 0.8517                      |
| chuA      | 70 (76)           | 17 (24)    | 0.00001*                    |
| iutA      | 53 (58)           | 21 (30)    | 0.0084                      |
| iucA      | 54 (59)           | 21 (30)    | 0.0066                      |
| iucB      | 53 (58)           | 21 (30)    | 0.0084                      |
| iucC      | 50 (54)           | 21 (30)    | 0.0175*                     |
| iucD      | 53 (58)           | 21 (30)    | 0.0084*                     |
| iroB      | 36 (39)           | 14 (20)    | 0.0265*                     |

|                       |           |           |         |
|-----------------------|-----------|-----------|---------|
| iroC                  | 34 (37)   | 14 (20)   | 0.0443* |
| iroD                  | 36 (39)   | 16 (23)   | 0.0629  |
| iroE                  | 34 (37)   | 16 (23)   | 0.0993  |
| iroN                  | 33 (36)   | 14 (20)   | 0.0569  |
| irp1                  | 76 (83)   | 33 (46)   | 0.0052  |
| irp2                  | 78 (85)   | 37 (52)   | 0.0138* |
| fyuA                  | 82 (89)   | 37 (52)   | 0.0061* |
| ompA                  | 92 (100)  | 71 (100)  | 1.0000  |
| hlyA                  | 31 (34)   | 6 (10)    | 0.0008* |
| pic                   | 20 (22)   | 13 (18)   | 0.6295  |
| sat                   | 37 (40)   | 13 (18)   | 0.0123  |
| vat                   | 47 (51)   | 14 (20)   | 0.0012* |
| cnf1                  | 32 (35)   | 6 (8)     | 0.0006* |
| cdtA                  | 0 (0)     | 0 (0)     |         |
| cdtB                  | 0 (0)     | 0 (0)     |         |
| astA                  | 9 (10)    | 10 (14)   | 0.4251  |
| ibeA                  | 12 (13)   | 2 (3)     | 0.0272  |
| <b>Total isolates</b> | <b>92</b> | <b>71</b> |         |

#### 5.4.6 Incidence of established *E. coli* virulence factors in urinary and abdominal isolates

Isolates from a urinary or abdominal source were the origins of infection with the highest incidence in this study (Chapter 3). To investigate differences between these two sources of infection the heat maps of virulence factors and AMR genes were used (Appendix 5.5-5.7).

Isolates from a urinary source had a higher incidence of genes encoding P fimbriae *papA* (9% vs 0%), *papC* (64% vs 36%) and *papG* (47% vs 23%) than those from a urinary source (Table 5.2).

Urinary isolates also had more of the aerobactin biosynthesis genes *iut* compared to abdominal isolates (29% vs 9%) as well as the secreted autotransporter toxin, *sat* (42% vs 18%) (Table 5.2). Genes associated with iron regulation (*iro*) were found in similar percentage in both the urinary and abdominal group (Table 5.2).

The S fimbrial adhesin genes *sfaC* and *sfaX* S were also found to be significantly more different between urinary and abdominal isolates. Abdominal isolates had significantly more *sfaC* (32%) compared to urinary, while urinary isolates had more *sfaX* (22%) compared to abdominal isolates.

**Table 5.2: Differences in *E. coli* virulence factors between bacteraemia isolates from urinary and abdominal origins of infection.** Presence of commonly identified Virulence factors in *E. coli* isolates from the two major sources of infection. Genes not found were removed from the table (section 5.4.3). Statistical analysis was performed using excel Chi-squared function, \*=p-value <0.05

| Gene   | Urinary n (%) | Abdominal n (%) | P value (Chi squared) |
|--------|---------------|-----------------|-----------------------|
| papA   | 4 (9)         | 0 (0)           | 0.1620                |
| papC   | 29 (64)       | 8 (36)          | 0.1464                |
| papG   | 21 (47)       | 5 (23)          | 0.1396                |
| sfaA   | 0 (0)         | 0 (0)           | 0                     |
| sfaB   | 12 (27)       | 4 (18)          | 0.5045                |
| sfaC   | 0 (0)         | 7 (32)          | 0.0002*               |
| sfaD   | 13 (29)       | 5 (23)          | 0.6477                |
| sfaE   | 7 (16)        | 2 (9)           | 0.4978                |
| sfaF   | 7 (16)        | 2 (9)           | 0.4978                |
| sfaG   | 8 (18)        | 4 (18)          | 0.9707                |
| sfaH   | 1 (2)         | 2 (9)           | 0.2121                |
| sfaS   | 1 (2)         | 0 (0)           | 0.4844                |
| sfaX   | 10 (22)       | 0 (0)           | 0.0270*               |
| focA   | 6 (13)        | 3 (14)          | 0.9746                |
| focC   | 6 (13)        | 3 (14)          | 0.9746                |
| focD   | 7 (16)        | 3 (14)          | 0.8486                |
| focF   | 6 (13)        | 2 (9)           | 0.6370                |
| focG   | 8 (18)        | 3 (14)          | 0.6944                |
| focH   | 12 (27)       | 4 (18)          | 0.5045                |
| afaA   | 3 (7)         | 0 (0)           | 0.2259                |
| afaC-I | 3 (7)         | 0 (0)           | 0.2259                |
| afaD   | 2 (4)         | 1 (4)           | 0.9854                |
| draA   | 1 (2)         | 1 (4)           | 0.6052                |
| draD   | 3 (7)         | 0 (0)           | 0.2259                |
| draP   | 4 (9)         | 1 (4)           | 0.5411                |
| fimA   | 42 (93)       | 22 (100)        | 0.7932                |
| fimH   | 43 (96)       | 22 (100)        | 0.8623                |
| kpsM   | 39 (87)       | 18 (82)         | 0.8399                |
| kpsT   | 6 (13)        | 6 (27)          | 0.2055                |
| chuA   | 33 (73)       | 17 (77)         | 0.8609                |
| iutA   | 29 (64)       | 9 (41)          | 0.2296                |
| iucA   | 28 (62)       | 8 (36)          | 0.1751                |
| iucB   | 28 (62)       | 10 (45)         | 0.3921                |
| iucC   | 27 (60)       | 7 (32)          | 0.1283                |
| iucD   | 28 (62)       | 10 (45)         | 0.3921                |

|              |           |           |        |
|--------------|-----------|-----------|--------|
| iroB         | 19 (42)   | 9 (41)    | 0.9378 |
| iroC         | 18 (40)   | 8 (36)    | 0.8225 |
| iroD         | 20 (44)   | 9 (41)    | 0.8364 |
| iroE         | 18 (40)   | 8 (36)    | 0.8225 |
| iroN         | 18 (40)   | 8 (36)    | 0.8225 |
| irp1         | 37 (82)   | 18 (82)   | 0.9863 |
| irp2         | 38 (84)   | 18 (82)   | 0.9121 |
| fyuA         | 40 (89)   | 20 (91)   | 0.9346 |
| ompA         | 45 (100)  | 22 (100)  | 1.0000 |
| hlyA         | 16 (36)   | 6 (27)    | 0.5785 |
| pic          | 9 (20)    | 6 (27)    | 0.5546 |
| sat          | 19 (42)   | 4 (18)    | 0.1147 |
| vat          | 25 (56)   | 12 (55)   | 0.9583 |
| cnf1         | 16 (36)   | 7 (32)    | 0.8063 |
| cdtA         | 0 (0)     | 0 (0)     | 0      |
| cdtB         | 0 (0)     | 0 (0)     | 0      |
| astA         | 7 (16)    | 0 (0)     | 0.0643 |
| ibeA         | 5 (11)    | 6 (27)    | 0.1252 |
| <b>Total</b> | <b>45</b> | <b>22</b> |        |

#### 5.4.7 Incidence of established *E. coli* virulence factors in urinary and abdominal isolates in B2 phylogroup

Isolates from an B2 phylogroup were the most commonly identified in this study (Chapter 3). To establish differences between a urinary source and an abdominal source for the B2 phylogroup the isolates were screened for common virulence factors using the output from abricate (Appendix 5.5-5.7) and the list of common virulence factors (Table 1.4).

Thirty-three B2 isolates from a urinary source were compared to 18 isolates from an abdominal source of infection. Two genes were identified as being significantly different (Chi squared test). The genes *kpsT* (ATP binding cassette) and *ibeA* (invasin) were found to be more prevalent in the abdominal group (despite the lower total number of isolates)  $p=0.0193$  and  $0.0082$  respectively (Table 5.3) compared to the urinary group.

The genes *fimA*, *fimH* and *ompA* were found in all of the urinary isolates and all of the abdominal isolates (Table 5.3).

**Table 5.3 Differences in *E. coli* virulence factors between B2 phylogroup bacteraemia isolates from a urinary and abdominal source.** Presence of commonly identified Virulence factors in B2 *E. coli* isolates from a urinary and abdominal source of infection. Genes not found were removed from the table (section 5.4.3). Statistical analysis was performed using excel Chi squared function, \*=p-value <0.05

| Gene   | Urinary n (%) | Abdominal n (%) | P value (Chi Squared ) |
|--------|---------------|-----------------|------------------------|
| papA   | 4 (12)        | 0 (0)           | 0.1944                 |
| papC   | 23 (70)       | 8 (44)          | 0.4579                 |
| papG   | 16 (48)       | 5 (28)          | 0.5087                 |
| sfaA   | 0 (0)         | 0 (0)           | 0                      |
| sfaB   | 12 (36)       | 4 (22)          | 0.5872                 |
| sfaC   | 12 (36)       | 7 (39)          | 0.2005                 |
| sfaD   | 13 (39)       | 5 (28)          | 0.5544                 |
| sfaE   | 7 (21)        | 2 (11)          | 0.6248                 |
| sfaF   | 7 (21)        | 2 (11)          | 0.6248                 |
| sfaG   | 8 (24)        | 4 (22)          | 0.4440                 |
| sfaH   | 1 (3)         | 2 (11)          | 0.0843                 |
| sfaS   | 1 (3)         | 0 (0)           | 0.5165                 |
| sfaX   | 9 (27)        | 5 (28)          | 0.3133                 |
| focA   | 6 (18)        | 3 (17)          | 0.5074                 |
| focC   | 6 (18)        | 3 (17)          | 0.5074                 |
| focD   | 7 (21)        | 3 (17)          | 0.6047                 |
| focF   | 6 (18)        | 2 (11)          | 0.7010                 |
| focG   | 8 (24)        | 3 (17)          | 0.6514                 |
| focH   | 12 (36)       | 4 (22)          | 0.5871                 |
| afaA   | 3 (9)         | 0 (0)           | 0.2611                 |
| afaC-I | 3 (9)         | 0 (0)           | 0.2611                 |
| afaD   | 1 (3)         | 0 (0)           | 0.5165                 |
| draA   | 0 (0)         | 0 (0)           | 0                      |
| draD   | 2 (6)         | 0 (0)           | 0.3589                 |
| draP   | 3 (9)         | 0 (0)           | 0.2611                 |
| fimA   | 33 (100)      | 18 (100)        | 0.0627                 |
| fimH   | 33 (100)      | 18 (100)        | 0.0627                 |
| kpsM   | 30 (91)       | 16 (89)         | 0.0899                 |
| kpsT   | 5 (15)        | 6 (33)          | 0.0193*                |
| chuA   | 31 (94)       | 17 (94)         | 0.0682                 |
| iutA   | 19 (58)       | 8 (44)          | 0.4098                 |
| iucA   | 19 (58)       | 7 (39)          | 0.4922                 |
| iucB   | 19 (58)       | 8 (44)          | 0.4098                 |
| iucC   | 18 (55)       | 6 (33)          | 0.5060                 |
| iucD   | 18 (55)       | 8 (44)          | 0.3715                 |
| iroB   | 14 (42)       | 8 (44)          | 0.1838                 |
| iroC   | 14 (42)       | 8 (44)          | 0.1838                 |
| iroD   | 15 (45)       | 8 (44)          | 0.2305                 |



|              |           |           |         |
|--------------|-----------|-----------|---------|
| iroE         | 14 (42)   | 7 (39)    | 0.3112  |
| iroN         | 13 (39)   | 8 (44)    | 0.1411  |
| irp1         | 28 (85)   | 15 (83)   | 0.0983  |
| irp2         | 29 (88)   | 15 (83)   | 0.1175  |
| fyuA         | 31 (94)   | 17 (94)   | 0.0682  |
| ompA         | 33 (100)  | 18 (100)  | 0.0627  |
| hlyA         | 15 (45)   | 6 (33)    | 0.5028  |
| pic          | 9 (27)    | 6 (33)    | 0.1588  |
| sat          | 15 (45)   | 4 (22)    | 0.4303  |
| vat          | 23 (70)   | 12 (67)   | 0.1558  |
| cnf1         | 15 (45)   | 7 (39)    | 0.3657  |
| cdtA         | 0 (0)     | 0 (0)     | 0       |
| cdtB         | 0 (0)     | 0 (0)     | 0       |
| astA         | 5 (15)    | 0 (0)     | 0.1469  |
| ibeA         | 4 (12)    | 6 (33)    | 0.0082* |
| <b>Total</b> | <b>33</b> | <b>18</b> |         |

## 5.5 Discussion

This chapter aimed to characterise the virulence factors and AMR genes of the *E. coli* bacteraemia collection and compare to the standard reference ECOR collection.

Several virulence factors were identified in all the isolates included in the genetic analysis (including the ECOR collection). Genes identified in all the *E. coli* isolates include several genes involved in iron metabolism (Table 5.2). More specifically, genes involved in the enterobactin synthesis (*ent*), and transport system were present in most isolates. These genes encode for enterobactin which is a siderophore involved in the uptake of bacterial iron but may also have additional roles. Enterobactin has also been shown to be involved in bacterial survival in toxic copper environments [418]. It has also been noted that commensal bacteria also carry *ent* genes and that in the intestinal environment these genes act on host ATP synthases to promote mitochondrial iron uptake in a ‘tug of war’ over iron availability between bacteria and host cells [419]. It is perhaps not surprising then that members of the ECOR collection possess these genes as they were all originally isolates from mammalian hosts[74].

Antimicrobial resistance genes were also present in all but one of the isolates used in the analysis. The genes involved in the inner membrane transporter *acrB* and *acrD* were found in all but one of the isolates sequenced (Table 5.3). These genes, along with *tolC* (an outer membrane channel), were also found in 178 isolates and form efflux pumps. Such, efflux pumps are a common bacterial defensive system which allow bacteria to regulate their intercellular environment by removing toxic substances, including antibiotics. Interestingly, both *acr* genes (through the action of *ToIC*) and enterobactin genes may play roles in the protection of *E. coli* against antimicrobial peptides. For example, the peptide WRWYCR, a potent antimicrobial, had increased effectiveness against *E. coli* Keio collection mutants lacking genes from the enterobactin synthesis pathway and *ToIC* [420]. The importance of these genes in this defence system warrants further research and may explain why both are present in nearly all isolates used for genetic analysis in this study.

AcrAB-TolC is the major antibiotic efflux pump of *E. coli* [421]. Overactivity of this efflux pump is attributed with resistance to fluoroquinolones and tetracycline [421–423]. In addition to actively pumping out antibiotics from inside the bacterial cells the efflux pump AcrAB-TolC also has a role in resistance to other host defence mechanisms as *acrAB* mutants

are hypersensitive to bile salts and fatty acids [424] The AcrAD-TolC has been shown to participate in the efflux of aminoglycosides as deletion of the *acrD* gene reduced resistance to amikacin, gentamicin, neomycin, kanamycin and tobramycin [425].

Interestingly there were no significant differences in the number of virulence factors and AMR genes in the ECOR collection compared to the asymptomatic bacteriuria, the water, or the reference isolates tested (Figure 5.3 and 5.4). The similarity between bacteraemia and asymptomatic bacteriuria isolates appears surprising. However, the majority of the asymptomatic bacteriuria isolates are from the B2 phylogroup, which has been shown to possess more virulence factors than the other phylogroups, which could explain why more were not seen in the bacteraemia group[416]. In addition, there were only 6 of the asymptomatic bacteriuria isolates and if more were included in the study there may have been more further observable differences between them and the bacteraemia isolates.

This chapter also aimed to compare the numbers of common *E. coli* virulence factors associated with bacteraemia between bacteraemia isolates and the ECOR collection and the two most common sources of infection (urinary and abdominal). In total, 20 out of 53 common ExPEC virulence factors were significantly higher in bacteraemia isolates compared to ECOR isolates (Table 5.2). Of these, *chuA*, *kpsM*, *cnf1*, and *hlyA* were the most statistically significant (Table 5.2).

The gene *chuA* codes for an outer membrane hemin receptor which had been associated with colonisation with the urinary tract as well as ExPEC isolates [426]. Interestingly, in this paper, the authors link the isolates carrying the genes *chuA*, *vat*, *fyuA* and *yfcV* with effective colonisation of the urinary tract in a mouse model of urinary tract infection and growth in human urine [426]. In the current thesis, three of these genes were identified as being associated with bacteraemia isolates compared to the ECOR collection. These genes may be worthwhile investigating and may prove useful in future for predicting urinary tract infections more likely to progress to bacteraemia.

Another interesting link between this research and previously published data is the association of the genes *fyuA* and *irp2* with bacteraemia (Table 5.2). The gene *fyuA* is also involved in iron uptake and encodes the yersinabactin receptor and is required for biofilm formation in urinary tract infections[427], *irp2* is an iron regulated siderophore under the control of the transcriptional regulator *Fur*[428]. Previously these genes have been shown to

be present on the same pathogenicity island and are associated with pathogenicity in APEC isolates as gene knockouts had impaired capacity to bind to chicken fibroblasts [429]. Not only does this indicate a potential reservoir for pathogenic genes present in *E. coli* populations outside human ExPEC isolates but also highlights the plasticity of *E. coli* in general. Furthermore, the genes *iucA* and *iucD*, which are involved in aerobactin synthesis have been shown to be important contributing factors to pathogenicity in chickens as mutants show reduced colonisation and persistence [430]. Utilising genetic targets like *irp2*, *fyuA*, *iucA* and *iucD* as markers of potential *E. coli* pathogenicity may be a promising source of investigation. Particularly with concerns growing about potential food producing animal reservoirs of *E. coli* infections [431].

In addition to comparing bacteraemia isolates to the ECOR collection the isolates from a urinary source of infection were also compared to those from an abdominal source of infection (Table 5.3). In total, two genes were identified as being significantly different. Interestingly, one of each of the genes were found to be related to each source of infection. The genes identified were *sfaC* (abdominal) and *sfaX* (urinary). The gene *sfaA* encodes for a S-fimbriae major subunit while *sfaX* encodes a regulatory protein. The gene *sfaX* is associated with newborn meningitis and has a role in motility of these isolates through the regulation of motility related genes [432]. Interestingly, the infection of neonates with *E. coli* occurs through the genital tract during birth. Given that this gene has been associated with urinary isolates in this study it may prove a worthwhile gene target predictor for isolates which may cause neonatal sepsis infections.

The B2 phylogroup contained the most *E. coli* isolates in this study (Chapter 3). Therefore, B2 isolates from a urinary source of infection were compared to isolates from an abdominal source (Table 5.3). Two genes were identified as being significantly different between the groups. The gene *kpsT* encodes an ATP binding cassette, and in conjunction with the gene *kpsM* encodes the information required for transport of the capsular polysaccharide K1 (the most common K type for neonatal meningitis) to the cell surface[433]. K capsular antigens have been identified more frequently in bacteraemia strains compared to faecal isolates[434], indicating another potential source of neonatal sepsis infections. The second gene that was more prevalent in abdominal isolates was the gene *ibeA* which encodes a 50-kDa invasin. Interestingly, this gene has linked neonatal sepsis strains to invasion of the

blood brain barrier by *E. coli* K1 strains in neonatal meningitis [435]. Additionally, this gene has also been linked to the development of colorectal cancer and is being investigated as a potential biomarker for this disease [436]. Further investigation of these genes in abdominal isolates is warranted, particularly concerning neonatal sepsis.

This chapter has used a simple approach to compare the presence and absence of ExPEC virulence factors in groups of isolates and identified significant differences in pathogenic genes. The next chapter (Chapter 6) will use more advanced strategies to compare groups of isolates making use of bacterial GWAS methods to screen the pangenome for associations to phenotypic traits.

## **Chapter 6: Identification and functional confirmation of gene targets associated with clinical, and laboratory associated bacteraemia phenotypes.**

### **6.1 Introduction**

#### **6.1.1 Bacterial whole genome wide association studies**

Genome-wide association studies (GWAS) interrogate tens, hundreds or even thousands of genomes for variations in genetic content when grouped by a certain trait or phenotype. GWAS confirms statistical associations between variants and a trait or phenotype[437,438]. Studies involving human GWAS have progressed faster than bacterial GWAS due to the limited inherent nature of variation in the human genome[439]. Genomic data from human and bacterial GWAS studies come from different sources. Human genomic data comes from SNP genotyping chips while bacterial genetic information usually comes from genetic sequencing[439]. There are powerful examples of successful human GWAS studies demonstrating associations between FTO and obesity, PTPN22 and autoimmune disease and the IL-12/23 pathway in crohn's disease[440–443].

The primary source of human genomic variation is bi-allelic SNP variation [444]. In contrast, variation in the bacterial genome occurs through three major mechanisms:

- 1) Single nucleotide polymorphisms- point mutations, small insertions or deletions which occur during transcription [445]
- 2) Gene presence/absence- loss or gain of entire genes via several mechanisms including, horizontal and lateral gene transfers of mobile genetic elements, phage infections and plasmid acquisition [445]
- 3) Copy number variations and sequence inversions- acquisition of additional gene copies from mobile genetic elements or large-scale deletions/duplications [445]

Considering these mechanisms of variation, deciphering genetic associations in bacterial populations is more complicated and requires different tools to that of human GWAS studies [439].

To date there have been several bacterial GWAS studies investigating genetic traits and their association with disease. For example, genetic analysis of the pangenome of *Staphylococcus epidermidis* has shown correlation between genetic elements and pathogenic traits of the bacteria such as biofilm formation and IL-8 production[446]. Additionally, GWAS analysis of *Helicobacter pylori* has shown a link between multiple genes

and the development of gastric cancer including the presence of the gene *babA*, which encodes for an adhesin[447]. GWAS analysis can also be utilised to investigate and identify bacterial genotypes with resistance to therapy, such as with *Mycobacterium tuberculosis* where resistance was associated with 13 non-canonical loci which did not contain genes encoding for known resistance mechanisms[448]. GWAS studies can also be utilised to investigate associations with diseases affecting livestock, a potential reservoir for future pandemics. Studies investigating *E. coli* APEC (a pathotype which shares many features to ExPEC), infections in chickens have identified 143 genes with diverse functions associated with colibacillosis [449]. In addition, the presence of *E. coli* carrying the *pks* pathogenicity island which synthesises colibactin, has been associated with the development of mutations linked to colorectal cancer[450].

With the advent of genomic technologies and the lowering cost of sequencing, bacterial GWASs are a developing field of research aiming to understand how variations in microbial genomes affect host and pathogen phenotypes e.g., drug resistance and disease prognosis. Combined with classical gene knockout studies they can be a powerful tool which give greater understanding to disease progression and may serve as potential markers of infection in addition, identifying genes and mechanisms associated with infection may lead to a greater diversity of therapeutic options for many infectious diseases[451]. Several different tools have become available for identifying genetic associations between bacterial traits all of which have advantages and disadvantages[439,452].

### **6.1.2 Scoary**

Scoary is an anagram of 'scoring' and 'roary' (the pangenome pipeline), which is a new tool used in linking genetic associations to phenotypic traits [239]. Scoary attributes a score to the pangenome of a bacterial population to find associations between genes and phenotypic traits. There are several advantages to using the Scoary software for running GWAS studies, firstly it is rapid, allowing users to screen genome data in a few minutes rather than the weeks needed for standard SNP analysis [239]. Secondly, it is simple to use, for users with limited bioinformatic knowledge and makes minimal assumptions about evolutionary processes allowing users to skip the need for ill-informed mutation rate parameters [239]. Scoary assigns 'genetic association' to binary categorical phenotypic data meaning that the user must organise traits into these binary categories. This is not without

flaw, especially when working with phenotypes that are ‘continuous variables’ rather than binary in nature. In addition, it has been reported that while some fine scale genetic differences and phylogenetic clustering may be detected there can be a tendency to discard large volumes of useful data [445,453].

## **6.1.2 Genetic engineering and the *E. coli* Keio collection**

### **6.1.2.1 Methods of genetic engineering**

Genetic engineering of microorganisms has been carried out for centuries with the selection of specific traits for beneficial process (such as producing fermented foods) in a process known as “forward genetics” i.e., selecting for traits of interest[454,455]. In recent years there has been an advent on the opposite of this approach “reverse genetics”. This involves modifying the genomes of microorganisms, either through mutating genes of interest, inserting genes of interest or, knocking out genes of interest, the impact of which can be assessed with functional analysis[454,456].

These genetic engineering methods have enabled association of many *E. coli* genes with specific phenotypes of interest such as serum resistance. For instance, the K2 capsule has been found to be important in both serum survival and for colonisation of the urinary tract by using mutant K2 strains of the urosepsis isolate CFT073 [457]. Other genes implicated in serum resistance in *E. coli*, through mutant studies include, the outer membrane protein *OmpA*, as well as cold shock proteins *CspC* and *CspE* [458–460].

There are several methods that are used to knock out genes of interest; early methods involved integrating a selectable plasmid into the target gene locus via homologous recombination [461]. The plasmid contained the cloned gene fragment with a temperature-sensitive replication origin. At low temperatures there was replication but at higher temperatures replication was reduced. After transformation, it is then possible to select for the integration of the plasmids at high temp 44°C, with a second growth step at 30°C resulting in a second recombination event. Depending on where the second recombination event occurred the bacterial chromosome would have either undergone gene replacement or retained the original copy of the gene of interest [461]. A modernised version of this is now used; the λ-red system. This system is also dependent on recombination but uses the λ-Red recombinase which is transformed into bacterial cells along with linear DNAs containing



homologous DNA sequences, two flippase recognition target (FRT) sequences and an antibiotic selection marker, which can be removed after recombination with a helper plasmid expressing the FRT recombinase [462]. In addition to directly altering or removing a gene of interest alternative approaches using antisense RNAs may also be utilised to repress RNA generated from translation, thereby silencing the gene [454].

#### 6.1.2.1 *E. coli* Keio collection

The  $\lambda$ - Red system of gene knockout has been utilised to generate in-frame single gene knockouts of the widely studied *E. coli* strain K12 (BW25113) [463] In this study, authors generated single gene knockouts for all non-essential genes for the *E. coli* K12 strain used, totalling 3985 gene knockouts [463]. As these single gene mutants are commercially available, this is a valuable tool for conducting functional genomic studies. The Keio collection has been utilised by researchers in several different areas, screening the collection for gene functionality. Antimicrobial sensitivity profiles have been determined with the collection aiming to generate a 'barcode' and aid in the design of new antimicrobials [464]. In addition, researchers have also screened the collection for genes that may influence harmful algal blooms[465], as well as metabolic flux analysis[466]. The wide-ranging applications of this collection make this a useful functional genomic analysis tool.

## 6.2 Aims and objectives

This chapter aims to compare *E. coli* whole genomes (GWAS) using the pangenome created by roary in chapter 3, with the laboratory phenotypes generated in chapter 4 and the clinical phenotypes generated in chapter 5.

Therefore, Scoary will be utilised to identify:

- *E. coli* genes associated with bacteraemia
- *E. coli* genes associated with *E. coli* origin of infection
- *E. coli* genes associated with urinary vs other bacteraemia isolates
- *E. coli* genes associated with and non/sepsis causing isolates(Chapter 3)
- *E. coli* genes associated with mortality (Chapter 3)

- *E. coli* genes associated with *in vitro/ex vivo* phenotypes (Chapter 4)

Furthermore, the top gene targets identified:

- Will be screened for their presence within the Keio collection
- Will have inducible cytokine responses to wild type and mutant strains compared in
  - Whole blood infection model
  - THP-1 infection model

## 6.3 Methods

### 6.3.1 Bacterial GWAS

The *E. coli* pangenome generated in chapter 5 was used as the input for scoary for GWAS analysis (section 2.6.3). Trait files were generated from either patient data (Chapter 3) or laboratory phenotype data (Chapters 3 and 4).

### 6.3.2 Whole blood infection model

The *ex vivo* whole blood infection model (section 2.4.1) was used to assess cytokine responses to *E. coli* single gene knock out mutants (Table 2.1). Cytokine responses were measured using ELISA following manufacturer's instructions (section 2.5.2).

### 6.3.3 THP-1 model of infection

*E. coli* mutants (Table 2.1) were also used in the THP-1 infection model (section 2.4.2). Cytokine responses were measured using ELISA following manufacturer's instructions (section 2.5.2).

## 6.4 Results

### 6.4.1 Genes associated with bacteraemia

To identify genes associated with blood culture positive *E. coli* bacteraemia isolates, GWAS was performed using the software scoary[239]. For this analysis 92 strains from the bacteraemia collection were compared to 87 non-bacteraemia strains from the ECOR collection and reference isolates (including K12, water isolates and asymptomatic bacteriuria). The results of the scoary GWAS (Table 6.1) confirmed the genes most associated with bacteraemia were the  $\beta$ -lactamase gene *bla*, the 34kDa membrane antigen *tpd*, the ferric aerobactin receptor *iutA*, elongation factor Tu *tufB*, *php\_1* a putative hydrolase and *ybjE* a putative transporter (Table 6.1). The elongation factor Tu gene *tufB* had the best combined sensitivity and specificity with 91.3 and 65.6 respectively (Table 6.1).

**Table 6.1: Genes identified by Scoary analysis as being associated with bacteraemia isolates.**

Hypothetical proteins were filtered out and genes were selected based on sensitivity and specificity >40%. Top genes targets highlighted in yellow to take forward for functional analysis see table 6.6)

| Gene         | Annotation                 | Sensitivity | Specificity | Naive_p value | Bonferroni_p value |
|--------------|----------------------------|-------------|-------------|---------------|--------------------|
| <i>bla</i>   | Beta-lactamase TEM         | 42.3913     | 94.2529     | 6.97E-09      | 0.0002             |
| <i>tpd</i>   | 34 kDa membrane antigen    | 42.3913     | 90.8046     | 4.20E-07      | 0.0125             |
| <i>iutA</i>  | Ferric aerobactin receptor | 47.8260     | 86.2069     | 8.88E-07      | 0.0265             |
| <i>tufB</i>  | elongation factor Tu       | 91.3043     | 65.517      | 4.63E-16      | 1.38E-11           |
| <i>php_1</i> | putative hydrolase         | 82.6087     | 50.5747     | 3.26E-06      | 0.0972             |
| <i>ybjE</i>  | putative transporter       | 95.6522     | 49.4253     | 1.03E-12      | 3.09E-08           |

#### 6.4.2 Associations with origin of infection

To investigate genes associated with source of infection, bacteraemia isolates from a urinary (n=45) or abdominal source (n=22) were compared to all other isolate genomes (including the ECOR collection, (n=71). Two genes were identified as significantly associated with an abdominal source of infection, *yfaA* a putative protein and *glcA* a glycolate/lactate H<sup>+</sup> symporter (Table 6.2). Genes associated with the urinary tract included, *tufB* which encodes elongation factor Tu, *rusA\_1* a Holliday junction resolvase, *fhuC\_2* an iron hydroxamate ABC transporter subunit, *znuB\_2* a zinc transporter subunit, *ybjE* a putative transporter, *yohF* a putative oxidoreductase, *ydeP* an acid resistance protein and *ydeQ* a putative fimbrial like adhesin protein.

**Table 6.2 Genes identified by Scoary analysis associated with source of infection.**

Isolates from either a urinary or abdominal source of infection were compared to all other genomes in the data set. Highest sensitivity/specificity combinations were selected. Top genes highlighted in yellow to take forward for functional analysis see table 6.6 and the full list shown in appendix 6.2 and 6.3.

| Gene   | Association | Annotation   | Sensitivity | Specificity | Naive_p  | Bonferroni_p |
|--------|-------------|--|-------------|-------------|----------|--------------|
| yfaA   | Abdominal   | putative protein   | 100         | 18.3007     | 0.02701  | 1            |
| glcA   | Abdominal   | glycolate / lactate:H+ symporter   | 90.90       | 30.0654     | 0.04213  | 1            |
| tufB   | Urinary     | elongation factor Tu   | 91.11       | 47.2868     | 1.81E-06 | 0.0538       |
| rusA_1 | Urinary     | endodeoxyribonuclease RUS (Holliday junction resolvase)                  | 75.56       | 46.5116     | 0.0132   | 1            |
| fhuC_2 | Urinary     | iron (III) hydroxamate ABC transporter - ATP binding subunit             | 88.88       | 39.5349     | 0.0004   | 1            |
| znuB_2 | Urinary     | Zn <sup>2+</sup> ABC transporter - membrane subunit                      | 93.33       | 37.9845     | 4.57E-05 | 1            |
| ybjE   | Urinary     | putative transporter   | 95.55       | 34.8837     | 2.57E-05 | 0.763        |
| yohF   | Urinary     | putative oxidoreductase with NAD(P)-binding Rossmann-fold domain protein | 86.67       | 34.1085     | 0.0076   | 1            |
| ydeP   | Urinary     | acid resistance protein  | 88.89       | 30.2326     | 0.01007  | 1            |
| ydeQ   | Urinary     | putative fimbrial-like adhesin protein                                   | 88.88       | 26.3566     | 0.0385   | 1            |

### 6.4.3 Genes associated with Urinary bacteraemia isolates

To investigate associations between bacteraemia isolates from a urinary source and bacteraemia isolates from all other sources 45 strains from a urinary source and 42 strains from other bacteraemia sources were used. Genes associated with urinary origin of bacteraemia included, *iutA* the ferric aerobactin receptor, *agaC\_1* a galactosamine PTS permease, *yqiG\_2* a putative membrane protein and *xapB* a xanthosine H<sup>+</sup> symporter. Full list of genes found associated with urinary bacteraemia isolates can be found in Appendix 6.3.

**Table 6.3 Genes associated with a urinary source of bacteraemia.**

Isolates from a urinary source of bacteraemia were compared to bacteraemia isolates from all other sources. Genes with highest sensitivity and specificity were selected as potential targets. Full list is available (Appendix 6.3).

| Gene          | Annotation                               | Sensitivity | Specificity | Naive_p  | Bonferroni_p |
|---------------|--|-------------|-------------|----------|--------------|
| <i>iutA</i>   | Ferric aerobactin receptor               | 22.22222    | 100         | 0.001165 | 1            |
| <i>agaC_1</i> | galactosamine PTS permease - cryptic     | 15.55556    | 100         | 0.012383 | 1            |
| <i>yqiG_2</i> | putative membrane protein                | 55.55556    | 71.42857    | 0.016643 | 1            |
| <i>xapB</i>   | xanthosine:H <sup>+</sup> symporter XapB | 75.55556    | 7.142857    | 0.040197 | 1            |

### 6.4.4 Genes associate with sepsis isolates

Associations between bacteraemia isolates that did or did not cause sepsis were investigated by comparing 42 isolates where the keyword 'sepsis' was listed among patient data notes to 49 isolates missing the keyword. The genes *yjjQ*, a putative DNA-binding transcriptional regulator, *yehY* an ABC transporter and *yicI* an alpha-xylodisase were found to be associated with isolates that caused sepsis (Table 6.4). Although, entries with non-unique gene names for *yjjQ* and *yicI* were found (Appendix 6.10), indicating either multiple copies of the gene or an error with the annotation software as both were found to be identical with a BLAST search.

**Table 6.4 Genes associated with sepsis/non sepsis** bacteraemia isolates which went to cause sepsis as identified from patient data (Chapter 3). Genes with highest sensitivity and specificity were selected. Full list (Appendix 6.10).

| Gene | Annotation                                     | Sensitivity | Specificity | Naive_p  | Bonferroni_p |
|------|--|-------------|-------------|----------|--------------|
| yjjQ | putative DNA-binding transcriptional regulator | 90.69767    | 32.65306    | 0.01028  | 1            |
| yehY | YehW/YehX/YehY/YehZ ABC transporter            | 100         | 12.2449     | 0.02816  | 1            |
| yicl | alpha-xylosidase                               | 44.18605    | 77.55102    | 0.043964 | 1            |

#### 6.4.5 Genes associated with patient and phenotypic data

Genes associated with both patient data parameters such as nosocomial infections and mortality were tested with scoary. In this analysis, for nosocomial infections 23 isolates with a nosocomial source were compared to 57 isolates from a community acquired source. Isolates were excluded if data was missing from patient data. For mortality associations, the 17 isolates resulting in patient death, were compared to 72 isolates, where the patient was discharged from hospital.

Genes associated with mortality included *yhgE* a putative transport protein, *arfB* a peptidyl-tRNA hydrolase, *gmm* a GDP-mannosyl hydrolase and *cpsB* a mannose guanylyltransferase (Table 6.5). Although sensitivity was high for all these genes the sensitivity ranged from between 29 and 39 (Table 6.5). Genes associated with nosocomial infections were *yhdJ\_2* and *allD*. For full lists of genes found associated with patient phenotypes please see Appendix 6.5 and 6.6.

#### 6.4.6 Associations with *in vitro* laboratory phenotypes

To determine genetic associations with *in vitro* phenotypes, isolates were compared according to their ability to; i) survive in human plasma; ii) induce cytokine responses in whole blood and iii) induce cytokines in THP-1 cells. For plasma/serum resistance 58 resistant isolates (from bacteraemia, water, asymptomatic bacteriuria, and reference isolates) were compared to 43 sensitive isolates. Survival in human plasma was associated with the genes *yejF*, *ampG\_2* and *msbA* which encode for an ABC transported ATP binding

subunit, a muropeptide H<sup>+</sup> symporter and a putative multidrug export ATP binding cassette, respectively (Table 6.5).

No significant associations were confirmed with cytokine levels in whole blood. For cytokine levels in THP-1 cells, IL-8 responses of 'less than 1000pg/mL' and 'less than 500 pg/mL' were tested. For less than '500pg/mL' 17 isolates with low cytokine levels were compared to 84 isolates with responses greater than 500pg/ml. For less than 1000pg/mL 25 isolates with low cytokine levels were compared to 76 isolates with responses greater than 1000pg/mL. Genes that were associated with 'less than 1000pg/mL' observed in THP-1 cells (TNF $\alpha$  and IL-8) were *flgI* and *eutJ* a flagellar ring protein and a putative chaperonin respectively (Table 6.5). The gene *ynbC* a putative hydrolase, was associated with low IL-8 levels in THP-1 cells (less than 500pg/mL) (Table 6.5). Full list of genes found to be associated with low cytokine levels in THP-1 cells can be found in Appendix 6.8 and 6.9.



**Table 6.5 Genes associated with patient data and with laboratory phenotypes.**

Isolates associated with patient data and plasma resistance were compared to other bacteraemia isolates that were not associated with the trait. Genes with highest sensitivity and specificity are shown. Top genes highlighted in yellow to take forward for functional analysis see table 6.6 and full gene lists can be found in Appendix 6.5-6.9)

| Gene              | Annotation   | Sensitivity | Specificity | Naïve p     | Bonferroni_p | Association                        |
|-------------------|--|-------------|-------------|-------------|--------------|------------------------------------|
| yhgE              | putative transport protein                             | 100         | 38.89       | 0.000975    | 1            | Mortality                          |
| arfB              | peptidyl-tRNA hydrolase, ribosome rescue factor        | 100         | 34.72       | 0.002318    | 1            | Mortality                          |
| gmm               | GDP-mannose mannosyl hydrolase                         | 94.11       | 31.94       | 0.03379756  | 1            | Mortality                          |
| cpsB              | mannose-1-phosphate guanylyltransferase                | 100         | 29.16       | 0.009304    | 1            | Mortality                          |
| yhdJ_2            | DNA adenine methyltransferase                          | 13.04       | 59.65       | 0.019549098 | 1            | Nosocomial                         |
| allD              | ureidoglycolate dehydrogenase                          | 100         | 19.30       | 0.028352288 | 1            | Nosocomial                         |
| yejF              | peptide ABC transporter - ATP binding subunit          | 97.92       | 19.51       | 0.010405    | 1            | Plasma resistance                  |
| group_7879 (yejF) | peptide ABC transporter - ATP binding subunit          | 2.08        | 80.49       | 0.010405    | 1            | Plasma resistance                  |
| ampG_2            | muropeptide:H+ symporter                               | 97.92       | 14.63       | 0.045272    | 1            | Plasma resistance                  |
| group_9771 (msbA) | Putative multidrug export ATP-binding/permease protein | 97.92       | 14.63       | 0.045272    | 1            | Plasma resistance                  |
| flgI              | flagellar P-ring protein FlgI                          | 100         | 26.83       | 0.001643    | 1            | Low cytokine THP-1<br>(<1000pg/mL) |
| group_8081(flgl)  | flagellar P-ring protein FlgI                          | 0           | 73.17       | 0.001643    | 1            | Low cytokine THP-1<br>(<1000pg/mL) |
| eutJ              | putative chaperonin, ethanolamine utilization protein  | 96          | 21.95       | 0.040671    | 1            | Low cytokine THP-1<br>(<1000pg/mL) |
| ynbC              | Putative hydrolase                                     | 100         | 55.95       | 6.24E-06    | 0.139319184  | Low cytokine THP-1<br>(<500pg/mL)  |

### 6.5.7 Top gene targets identified through GWAS

The functional role of the gene targets in whole blood and THP-1 infection models was investigated. Firstly, a list of the top gene targets with the highest sensitivities and specificities was compiled so that mutant strains could be acquired using the *E. coli* Keio collection (Table 6.6 and yellow annotation in previous tables). A total of 6 mutants and one wild-type strain were tested and included *tufB*, *ybjE*, *yhgE*, *yohF*, *yejF* and *ynbC*.

**Table 6.6 Selected *E. coli* gene targets.**

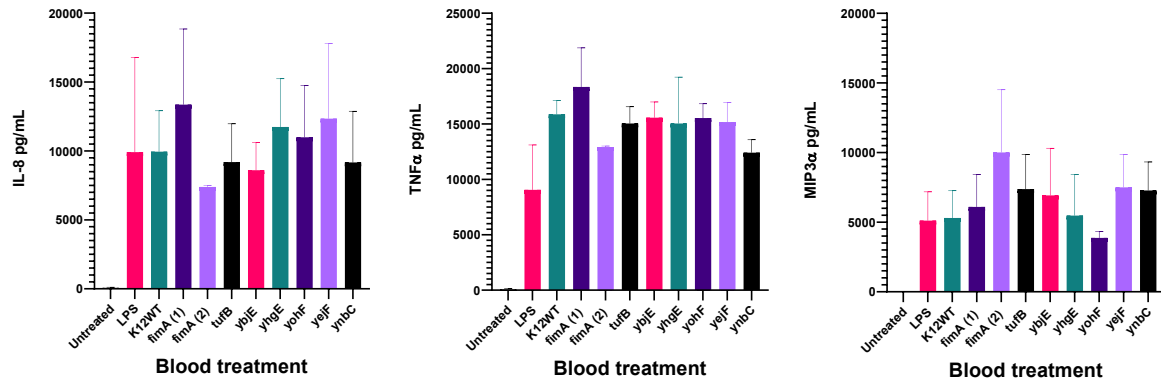
Five important phenotype-gene associations were selected from a Scoary analysis. The Scoary microbial pan-GWAS was used to compare and analyse gene association using gene presence / absence file generated in Roary, and a traits file detailing the presence/absence of selected traits.

| Gene name   | Annotation                                  | Association          | Naïve p value | Sensitivity | Specificity | K12 knock out |
|-------------|---|----------------------|---------------|-------------|-------------|---------------|
| <i>ynbC</i> | Putative hydrolase                          | Low IL-8 (<500pg/mL) | 6.24E-06      | 100         | 55.85       | Yes           |
| <i>yhgE</i> | Putative transport protein                  | Mortality            | 0.001         | 100         | 38.89       | Yes           |
| <i>ybjE</i> | Putative transporter                        | Bacteraemia          | 1.03E-12      | 95.65       | 49.43       | Yes           |
| <i>yejF</i> | Peptide ABC transporter-ATP binding subunit | Plasma resistance    | 0.0105        | 97.92       | 19.51       | Yes           |
| <i>tufB</i> | Elongation factor Tu                        | Urinary/bacteraemia  | 1.81E-06      | 91.11       | 47.29       | Yes           |
| <i>yohF</i> | Putative oxidoreductase                     | Urinary              | 0.008         | 86.67       | 34.11       | Yes           |

### 6.4.8 *Ex vivo* whole blood and THP-1 cell cytokine response to *E. coli* K12 mutants

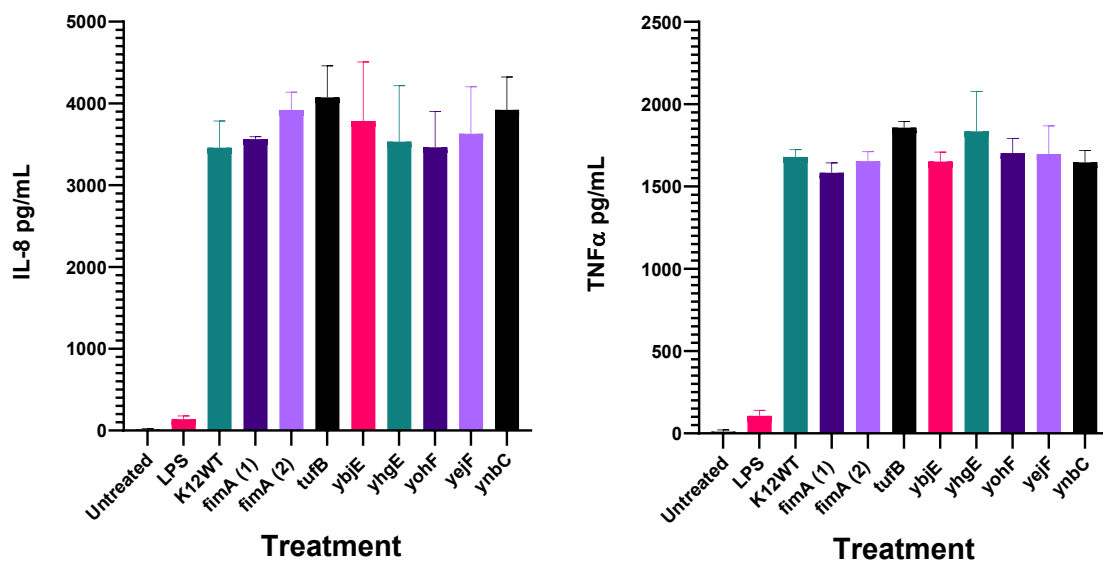
The functional effect of removing genes of interest (Table 6.6) was investigated using *ex vivo* whole blood and THP-1 cell infection models. In addition, the mutant *fimA* was included in mutant studies due to its role during the initial stages of urinary tract infection as well as in the abdominal tract and neonatal sepsis [467–469]. In whole blood infections, the cytokines IL-8, MIP3 $\alpha$  and TNF $\alpha$  were investigated. Greater levels of cytokine responses were induced by LPS in whole blood than in the THP-1 cells (Figure 6.1 versus 6.2). While there was more variation in the blood model than the THP-1 model, there were no significant differences between the wild-type strain and the mutants for any of the cytokines tested (Figure 6.1).

In the THP-1 model, the cytokines IL-8 and TNF $\alpha$  were tested. All the bacteria tested induced significantly more IL-8 and TNF $\alpha$  than LPS controls (Figure 6.2), although there were no significant differences between any of the mutant strains and the wild type (Figure 6.2).



**Figure 6.1 Whole blood cytokine response to *E. coli* keio collection mutants and wild type strains.**

*Ex vivo* whole blood was infected with *E. coli* K12 mutants or wild type strain or LPS. After 4 hours platelet poor plasma was collected for cytokine quantification by ELISA. No statistically significance found. Kruskal-Wallis test.

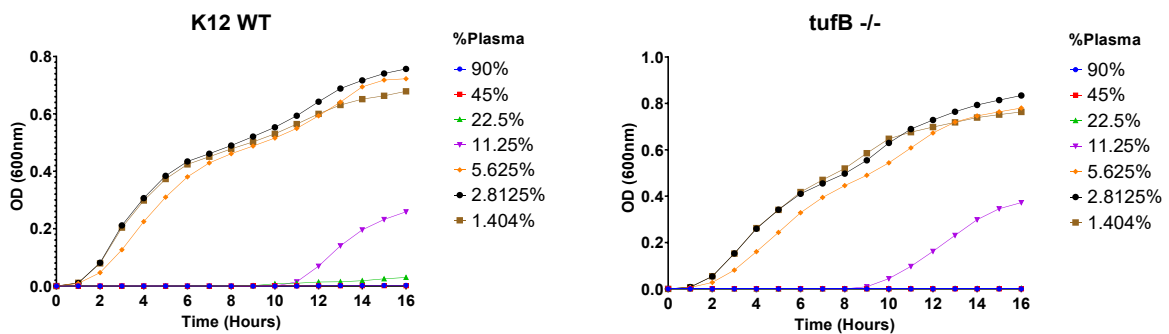


**Figure 6.2 THP-1 cytokine response to *E. coli* mutants.**

THP-1 cells were infected with *E. coli* Keio mutants of wild type strain for 4 hours. Supernatants were collected for cytokine quantification by ELISA. No statistically significant differences found. Kruskal-Wallis test.

### 6.4.9 *E. coli* K12 mutant growth in human plasma

The previous analysis showed that *tufB* was one of our lead targets for a role in bacteraemia (tables 6.1, 6. 2 and 6. 6). Therefore, to determine whether *tufB* affected growth in plasma, wild type K12 and a *tufB* mutant were grown in human plasma for 16 hours (Figure 6.3). No significant differences were observed between the strains. The mutant strain did show slightly earlier log growth (9 versus 11 hours) in 11.25% plasma compared to the wild type although this was not significant (Figure 6.3).



**Figure 6.3: *E. coli* keoi collection wild type and *tufB* knockout strain growth in human plasma.**

*E. coli* mutant *tufB*<sup>-/-</sup> and wild type was grown in varying concentrations of human plasma (0-90%) for 16 hours. OD 600nm readings were taken every hour.

## 6.5 Discussion

This chapter aimed to use the scoary GWAS analysis pipeline on genome sequences from the *E. coli* bacteraemia collection to identify associated genes of interest with bacterial phenotypes generated earlier in the thesis from clinical or laboratory data. Furthermore, potential gene targets were tested with *E. coli* gene deficient mutants. Scoary resulted in significant numbers of gene targets (Tables 6.1-6.5). One advantage of the Scoary method is the generation of an output incorporating specificity and sensitivity, which allowed the potential for ranking gene targets by their strength of association. Another advantage of using Scoary is the ability to use relatively few isolates in comparisons with as few as 20 samples in some cases being sufficient to infer a causal link[239].

## Gene targets

The gene targets chosen for mutant studies were chosen based on several qualifying factors including, having the highest significance in each of the phenotype associations made and importantly the availability of a K12 mutant (Table 6.5).

Three genes identified in the final gene list were protein transporters. The gene *ybjE* was found to be associated with bacteraemia isolates. This gene encodes a lysine exporter which controls export of lysine from the cell as well as mediating resistance to the toxic lysine antimetabolite L-thialysine [470,471]. Mortality was found to be associated with the *yhgE* gene, which is the only member of the putative transporter *yhgE* family and its function remains unknown[472]. Under anaerobiosis, its expression is activated by *arcA*, and given this link the gene may play a role in anaerobic metabolism[473,474]. The third protein transporter in the final gene list was the ABC transporter *yejF*. This gene has been found to be important for resistance to antimicrobial peptides in both *Brucella melitensis* and *Salmonella enterica* [475,476]. Apart from direct resistance to host defence (*yejF*) it is unclear how these genes contribute to pathogenicity and virulence in *E. coli* isolates, and further investigations into the role these genes play is required.

The final two genes included in the final table were the transcription factors *yohF* and *tufB*. There is scant literature on the *yohF* gene, and it is predicted to be an oxidoreductase. In stark contrast there is a vast amount of literature on *tufB* which encodes Elongation factor Tu (EF-Tu). EF-Tu is a G protein catalysing the binding of aminoacyl-tRNA to the ribosome in living cells [477]. It is one of the most abundant proteins found in bacteria accounting for 6% of the total protein expressed in *E. coli* [477]. Interestingly, EF-Tu is the target of the class of antibiotics known as elfamycins. Due to their poor pharmacokinetics, they are not approved for human use, however as the commercial antimicrobial pipeline slows on the global background of increasing AMR, they are a consideration for the future [478,479] In addition, *tufB* may be a promising vaccine candidate as mice immunised with EF-Tu were significantly protected against lethal challenge with *Streptococcus pneumoniae* [480]. EF-Tu has also been shown to have a diverse number of roles in multiple species of bacteria, including the ability to moonlight on the bacterial cell surface where it may have several functions[481–483]. It has also been shown to be present in outer membrane vesicles (OMVs) secreted by Shiga toxin producing *E. coli* [484]. Given the association with *E. coli* bacteraemia strains in

this study, *tufB* could be a potential avenue for biomarker investigation or even a potential therapeutic vaccine target. Indeed, recent research has linked EF-Tu with ExPEC isolates and the ability to bind and acquire iron from holo-transferrin[485].

Ef-Tu can also be recognised by cells of the immune system. EF-Tu is recognised by plant cells in the *Aribidopsis* species where an elongation factor receptor (EFR), binds Ef-Tu and stimulates a defence responses against the plant pathogenic bacteria *Agrobacterium*[486]. In colon epithelial cells HT29, recombinant Ef-Tu is recognised (in the presence of sCD14) and stimulates an inflammatory IL-8 response[487]. In addition, the stomach pathogen *Helicobacter pylori* may utilise secreted Ef-Tu to bind to THP-1 cells which may play a role in pathogenesis[488].

Intriguingly, EF-Tu has been linked with serum and complement resistance in multiple species of bacteria through binding to Factor H of the alternative complement pathway and has also been shown to inhibit C3b deposition by binding plasminogen[481]. To investigate whether *tufB* had a serum/plasma resistance effect in the current isolates *E. coli* wild type and mutants were grown in varying concentrations of human plasma. No significant differences were found between the two, indicating that *tufB* is not associated with serum resistance in *E. coli* (Figure 6.3). However, this study used citrated human plasma as a substitute for human serum, (limited during COVID). It has been shown that complement proteins are inhibited by a lack of calcium (the mechanisms of anti-coagulation for sodium citrate) [489–491]. This could explain why there was no significant difference between the mutant and the wild type, more investigation is needed into the role of EF-Tu in serum killing.

Of note, the genes not included in the mutant studies, but which demonstrated strong significant associations were *bla*, *tpd*, *iutA*, and *php\_1* (Appendix 6.1) did not have an available K12 mutant. The gene *iutA* which encodes the ferric aerobactin receptor was also found to be associated with bacteraemia using the Chi-squared test (Chapter 5, Table 5.2). This highlights the importance that iron metabolism plays during infection[84,492–494].

It was of concern that GWAS analysis also identified resistance genes associated with bacteraemia;  $\beta$ -lactamase gene *bla*. As discussed previously  $\beta$ -lactams are a serious concern for healthcare systems worldwide as they confer resistance to commonly prescribed antibiotics. As incorrect antimicrobial therapies are associated with increased mortality and

length of time in hospital[495] it is a concern that  $\beta$ -lactamase genes are becoming associated with bacteraemia[496–498]. Screening antimicrobial resistance genes panels could be adapted to add further  $\beta$ -lactamase variants to stratify patients at risk of developing sepsis. This may help alleviate mortality based on incorrect antimicrobial therapy use in nosocomial acquired infections as these patients are more likely to be administered incorrect antibiotics [495], but would not be helpful for community acquired infections.

Another gene associated with the urinary source isolates in this study was *fhuC* (Appendix 6.2). This forms part of the Fhu system which is comprised of the genes *fhuABCDE* [494]. This system can transport a variety of ferric complexes, including aerobactin and has previously been associated with UPEC infections as well as being upregulated in a murine UTI model[494,499,500]. Given the association with urinary bacteraemia isolates in this study it is worth investigating what effect this system has on bacteraemic potential of urinary *E. coli* isolates.

Urinary isolates were also compared to other bacteraemia isolates in this study, and one gene of interest was the aerobactin gene *iucA*. This was also found to be associated with bacteraemia in Chapter 5 indicating that this may be due to the skew towards a urinary source in this study. Although, as previously mentioned this skew is not unusual as *E. coli* is the leading cause of UTIs worldwide [501].

### **Limitations**

This study had a few limitations; firstly, phylogeny was not controlled for in this study which may impact the strength of association in the gene targets found. It may prove necessary to adjust Scoary commands to infer phylogeny in the future. Secondly, there were also several genes found in this study that were duplicated in the scoary outputs and further investigation of gene names would be required. Blast investigation into these genes showed that they were the same gene (data not shown). More stringent parameters when running scoary analysis may help to eliminate this in future studies. Alternative programmes to scoary, such as PySEER and Phenotype Seeker [445], are also available and could be a potentially useful tool to corroborate findings and strengthen genetic and phenotypic links.

Finally, an important limitation of this chapter is the use of K12 as a model organism. *E. coli* K12 is a non-pathogenic organism and by its nature is less virulent than bacteraemia isolates[502]. It is also serum sensitive and lacks many of the virulence factors of pathogenic strains. This limited the number of genes that could be tested in the *in vitro* models purely due to the lack of an available mutant (Appendix 6.1). Future studies where mutants are generated in pathogenic isolates (e.g CFT073) will give a fuller understanding of the pathogenic genes in these ExPEC isolates[503].

This chapter has identified associations with *E. coli* genes and clinical and laboratory phenotypes, which will prove useful as lead targets for biomarker identification in the treatment of bacteraemia.

## **Chapter 7: General discussion**

### **7.1 Overarching thesis aims and hypothesis**

This research aimed to take a combined approach to identifying *E. coli* sepsis biomarkers by considering both the variability in *E. coli* genetic makeup and the variability in induced host response to infection. It was hypothesized that *E. coli* strains causing bacteraemia would be genetically distinct and elicit a distinctive and unique host immune response. It was also predicted that isolates from defined clinical e.g., sepsis/non sepsis, source of infection(Appendix 3.4) and laboratory (serum resistance, cytokine production) defined groups would be genetically distinct and would elicit a distinct immune response.

### **7.2 Results chapter summaries**

#### **Chapter 3**

Chapter 3 aimed to collect, archive, and characterise a collection of *E. coli* isolates taken from bacteraemia patients in the HDUHB along with associated patient demographic data (e.g co-morbidities, origin of infection, co-infections etc). This chapter also aimed to identify AMR resistance of the *E. coli* collection from hospital performed AMR testing (collected with patient data) and to perform basic genetic organisation including sequence type and phylogroup.



In total, 152 bacteraemia isolates were collected from the Hywel Dda University Health Board and used in this study, (along with associated patient data), 7 water isolates and 6 ANC urine control strains (section 3.7.1). Most of the bacteraemia isolates belonged to the phylogroup B2 (Table 3.2) and two sequence types ST131 and ST73 predominated (Figure 3.3). This is in line with reports of other bacteraemia collections as B2 is the most identified phylogroup[504–506], and ST131 and ST73 being the most common sequence types in bacteraemia isolates [507,508].

The *E. coli* isolates from this study came from three main sources of infection, the urinary tract, the abdominal tract, and the biliary tract as well as others which were classified as either unknown or other. The urinary tract was the most common source of infection compared to the other groups. This is not surprising given the prevalence of *E. coli* in infections of the urinary tract[509]. Indeed, 15 % of patients in this study were suffering from UTI infections (Table 3.2) and other studies have also reported a predominant urinary source of bacteraemia[297,298,510].

Antimicrobial resistance data showed that, isolates in this study had lower levels of resistance to multiple antibiotics compared to the English surveillance program (Table 3.1)[284]. However, it is likely that the bacteria were collected earlier for this study (2018-2020) than those in the surveillance programme report (2021-2022). The increasing trend in AMR resistance levels in all bacterial species including *E. coli* may account for these differences [274,284,511], Indeed, resistance levels of *E. coli* have been seen to increase by approximately 15% since 2017[511]. Further collections and AMR profiling of *E. coli* bacteraemia isolates from the HDUHB would allow resistance profiles of these isolates over time to be determined.

#### Chapter 4

Chapter 4 aimed to determine the diversity of host cytokine responses using two models (whole blood and THP-1) of infection to *E. coli* isolates collected in chapter 3 and determine if cytokine responses could be used to discriminate between certain bacterial phenotypes. It also aimed to determine the plasma resistance of the *E. coli* collection and investigate the role of complement proteins in bacterial killing.

Significantly more IL-8 and MIP3 $\alpha$  was found in response to bacteraemia strains compared to non-pathogenic strains used in this study (Figure 4.2). IL-8 has previously been shown to be of predictive value for severe infection during burn injury [512], and, in combination with PCT and IL-10, has been shown to be required for the early diagnosis of neonatal sepsis [513]. This may indicate its promise as a potential biomarker. Less research has been done on the value of MIP3 $\alpha$  as a marker for bacteraemia and sepsis, although recent research suggests it is elevated in lethal sepsis infection[371]. Further research on MIP3 $\alpha$  viability as a biomarker of bacteraemia and sepsis is warranted.

This study also showed variation in the host response induced by isolates from different sources of infection. Both urinary and abdominal bacteraemia isolates elicited significantly more IL-8 than isolates from a water source (Figure 4.4) and abdominal isolates induced significantly more MIP3 $\alpha$  than water isolates (Figure 4.4). This may partly be explained by the fact that the majority of the water isolates did not belong to either of the main bacteraemia phylogroups (B2 and D) as isolates from these two phylogroups have been shown to express more virulence factors and be associated with biofilm formation[510,514–516]. It may also be explained by the limited number of water isolates used in this study (7).

Isolates that were plasma resistant showed increased levels of IL-6, IL-8 and resistin compared to plasma sensitive isolate (Figure 4.9). Overall, this is not surprising and may be attributed to the greater PAMP dose stimulating immune cells due to increased numbers of bacteria surviving throughout the assay. This is, however, worth investigating further as resistance to plasma/serum can be manipulated by multiple bacterial factors [517]. Indeed, *E. coli* has been shown to modulate host immune responses, including through directing the host response to produce inhibitory antibodies, preventing complement activation [517,518]. Individual genes from *E. coli* have also been linked to the modulation of the host immune response including the secreted autotransporter (*sat*), which is able to cleave complement proteins (C2, C3, C4, C5, C6 and C8)[519]. Additionally, the gene *prc* has also been shown to modulate survival of bacteria in serum albeit through a different mechanism. Mutant strains of *prc* have been shown to have modulated outer membrane properties

making them more susceptible to complement mediated lysis, indicating a role in outer membrane regulation[520].

The current gold standard for sepsis biomarkers are PCT and CRP[314,521]. While it is unlikely that any of the potential biomarkers used in this study will replace the use of PCT and CRP in the clinic, there is very good potential for panel biomarker approaches to the identification of sepsis[45–47,227,228,522,523]. This thesis highlights three potential markers (IL-6, IL-8 and MIP3 $\alpha$ ) for use in identifying sepsis and bacteraemia and discriminating between bacterial phenotypes. Indeed, one application for the future may be to apply machine learning to these potential markers of infection. Studies have shown that 30-day mortality can be predicted more accurately with a combination panel of biomarkers identified through machine learning compared to PCT and CRP [228].

## Chapter 5

Chapter 5 aimed to generate an *E. coli* pangenome using a selection of the isolates acquired from HDUHB, 92 bacteraemia isolates, 7 water isolates, and 6 ANC urine isolates. The ECOR collection and reference ATCC isolates (Table 3.2) were also included in the pangenome. The pangenome was then used to identify virulence factors and AMR genes which were compared between bacteraemia and ECOR as well as sources of infection.

This thesis identified that 19/53 common ExPEC virulence factors were significantly increased in the bacteraemia isolates compared to the ECOR collection (Table 5.2). Of these 12 were associated with iron uptake. This underlines the importance of these virulence factors in ExPEC pathogenesis and is consistent with previous studies. [494,524,525]. Two genes encoding components of the S fimbriae system (*sfaC* and *sfaX*) were identified in this study as being associated with different sources of infection. This is a particularly exciting result as very few genes have been associated with a source of infection. Both genes encode regulatory proteins and the gene *sfaX* has previously been associated with the development of neonatal meningitis through its influence on motility of these isolates[432]. The association of components of the same virulence system with different sources of infection in this study (Table 5.3) is an interesting area for future research. It might be interesting to

speculate that the S fimbriae system is responsible for 'deciding' the initial adhesive steps taken in each local infection site.

The virulence factors *csgF*, *entD*, *fepD*, *fepG* and *ompA* were identified in all isolates of the *E. coli* collection. Interestingly, the gene *ompA*, has been associated with resistance to serum [526,527]. Given that there was variability in the capacity of *E. coli* isolates to grow in both human plasma and serum (Figure 4.7 and appendix 4.2) it may be that the presence of genes such as *ompA* alone, is not sufficient to predict traits (e.g., serum resistance).

This also raises the question about whether individual genes can be used to identify ExPEC bacteria as there is known overlap between pathotypes (Figure 5.1). Given the plasticity of the *E. coli* genome this is not surprising[68]. Indeed, studies on commensal *E. coli* from animal and human sources have shown that a variety of *E. coli* from different sequence types harbour a large degree of virulence associated genes highlighting their potential for ExPEC pathogenesis[528]. *E. coli* virulence factors are often clustered together on pathogenicity islands which are acquired through horizontal gene transfer[529–531]. It is therefore easy to envision the transfer of genetic material from a pathogenic strain to a usually commensal strain. Presence/absence of gene/genes alone may not be sufficient to identify pathogenic variants of *E. coli*. Gene expression studies may be an alternative approach to evaluating genes of interest in *E. coli* isolates under various conditions.

A recent single cell multiplexed sequencing study using *E. coli* have highlighted heterogeneity within populations of the same *E. coli* strain[532]. The authors suggest a bet-hedging model by which subpopulations of *E. coli* can pre-emptively activate the acid tolerance genes *gadA* and *gadB* for protection in an acidic environment e.g., the stomach[532]. This invites the question as to whether other genes follow a similar pattern and is worth further investigation, especially for virulence genes associated with pathogenic phenotypes i.e., serum resistance. Indeed, some virulence factors have been shown to be regulated by the same process known as phase variation. The *pap* operon has been shown to be epigenetically regulated by the deoxyadenosine methylase *dam* which can lead to *pap* on and *pap* off variants within a population of bacteria.

This is a potential avenue for further research and could be the best way not only to identify potential biomarkers but also to determine mechanisms of action for many virulence genes.

This in turn opens the possibility of future target for treatment options such as small molecule and monoclonal antibody therapies.

## Chapter 6

Chapter 6 aimed to compare *E. coli* whole genomes with clinical and laboratory phenotypes using a GWAS approach. This involved combining the pangenome with clinical phenotypes created in chapter 3, and the laboratory phenotypes generated in chapter 4. It also aimed to investigate the effect of single gene knockouts of *E. coli* genes of interest on host response.

After analysis with scoary a top gene list was created using genes with the highest sensitivity and specificity for traits tested as well as availability in the K12 knockout model. The genes identified were, *ynbC*, *yhgE*, *ybjE*, *yejF*, *tufB* and *yohF* (Table 6.5). There is limited research on these gene targets in the literature although their functions as transporters and transcription factors point to a more subtle effect on virulence. The gene which showed the most promise was the elongation factor Tu encoding gene, *tufB*. This is an ancient and conserved protein which has recently been linked to a multitude of roles in pathogenesis via moonlighting on the cell surface, in addition to its main role catalysing the binding of tRNA to ribosomes[477,481,483]. Research regarding its role in *E. coli* pathogenesis is still limited although there are recent reports implicating a role in binding and acquiring iron from holo-transferrin[485]. Given the association with bacteraemia and a urinary source of infection in this study further research into its role in *E. coli* pathogenesis is needed. Understanding the mechanism by which *tufB* increases virulence may not only lead to a deeper understanding but also to potential avenues for treatment elongation factor Tu is the target of the antimicrobial drug class of elfamycins, this could spark new interest in improving the efficiency and tolerance of these unused antibiotics[479]. Additionally, it may be worth trialling the detection of *tufB* detection in bacteraemia patient blood samples to investigate its use as a biomarker for *E. coli* bacteraemia.

Although there was no phenotype identified in the infection models with single gene knockout K12 strains there is potential to use a model pathogenic organism in place of K12 as during this study a transposon library for the urosepsis strain CFT073 was developed [503].

### 7.3 General discussion and future work

This research brings numerous benefits to the scientific community, diagnostic laboratories, and patients. The bacterial and host biomarkers identified in this study could have direct benefits to the NHS in diagnosis and guiding treatment of bacteraemia and sepsis infections. The whole blood model of infection used in this study could be a potentially useful tool in investigating differences in phenotypes of not only different bacterial species but also within species [46,47]. Unfortunately, due to timing constraints and the need to develop a new model to replace blood (COVID 19) there was not enough time to investigate the immunosuppressed blood model of infection previously used by our group[533]. Future work on this model of infection for bacteraemia would be an obvious starting point for future studies as it would allow mimicry of the immunosuppressed state of many bacteraemia patients, further validating biomarkers identified in this study.

To validate host immune biomarkers in a clinical setting, further studies could involve the direct detection of cytokines in patient blood samples. Thus, future ethics applications could study involve isolating serum in patients with bacteraemia in HDUHB. Unfortunately, the current study focused on bacteria analysis only, but the extra patient blood sample is an area where future research should focus. Studies have highlighted the changing cytokine profiles with different stages of sepsis [534,535], and given this, it would be beneficial to measure cytokine levels over the course of infection using a small pilot population, to differentiate cytokine responses based on bacterial phenotypes such as origin of infection.

Detection of genes associated with bacterial virulence may lead to implementation of screening at-risk patients. Indeed, virulence factor detection has been successful for several organisms including, *Pseudomonas aeruginosa* (Quorum sensing signalling molecules), *Staphylococcus aureus*(*nuc*) ( and *Helicobacter pylori* (*rdxA*, *pbp1*) [536,537]. Methods involved in such an implementation may involve PCR, cell free DNA detection, proteolytic signatures, mass spectrometry, next generation sequencing and advanced modelling strategies. Indeed, bacterial genetic markers of infection may provide a way forward in the early diagnosis of bacteraemia and sepsis. Advances in genetics-based pathogen detection methods are showing early signs of promise as a recent study using MinION nanopore sequencing has been shown to identify *Klebsiella pneumoniae* within 2 hours as well as

provide enough information to predict virulence genes and AMR resistance[538]. Similar studies involving *E. coli* may prove challenging due to the number of virulence factors and genome plasticity of the species, however detection and AMR resistant profiles have been detected in blood samples spiked with *E. coli*[539]. Alternative assays such as DNA based microarrays are also showing promise, allowing the detection of 44 different pathogens, 360 virulence factors and 409 AMR genes simultaneously [540]. While the presence of a virulence factor may not necessarily correlate with pathogenicity, especially in bacteria with high genome plasticity such as *E. coli*, a list of lead gene targets is an exciting and simple advance for future trial.

It has not escaped our attention that the work contained within this thesis developed from a successful surveillance program to detect *E. coli* bacteraemia's in HDUHB between 2002 and 2016[254]. In this study, our clinical colleagues concluded that a major strength was the management of sepsis, but a major weakness was reducing *E. coli* bacteraemia's. Therefore, can this thesis address this weakness and generate societal impact in the health board? The study had a clear aim associated with biomarker and target identification, and this was achieved with lead targets associated with host (IL-6, IL-8, resistin and MIP3 $\alpha$ ) and pathogen (*ynbC*, *yhgE*, *ybjE*, *yejF*, *tufB* and *yohF*). Translating these targets into a pilot study in bacteraemia patients, where host biomarkers may be detected by ELISA and the virulence factors detected by PCR is a clear goal going forward.

In the future, there is a clear goal in expanding key aspects of the current study. The most important issue would be to increase the size of the dataset. Firstly, by increasing the number of isolates and secondly the number of geographic regions (health boards) where they are isolated. Thirdly, there is need to even up group sizes and thus including more abdominal isolates. These adjustments would allow for more advance modelling and multivariate analysis of the data enabling better phenotype-genotype links to be generated. Recent work has suggested that discrimination down to the strain level is possible using host immune response data[214].

This study used roary to generate the pangenome prior to analysis of the *E. coli* collection, but there are other options available, including Panaroo, PPanGGolIn and PIRATE[541–543].

Given the fact that roary is no longer actively updated, it could prove beneficial to adopt alternatives for future studies [544]. Both PIRATE and PPanGGolin generate a gene presence/absence file in their output files which can be used for pangenome association studies. In addition, software such as Panaroo claims to be able to account for some common errors attributed to alternative approaches such as the classification of homologous genes [543]. While there are advantages to using roary, as the input directly feeds into downstream analysis software such as scoary, there are now methods for converting outputs to a roary format allowing the output of PIRATE to be used as the input for scoary[542].

Scoary was the GWAS tool used in this study, and it remains the most cited microbial GWAS software[545]. There are alternative programmes available reviewed here [445] and some make use of advances in machine learning based methods such as PySEER, Kover and PhenotypeSeeker[546–548]. It is also expected that advances in machine learning technologies and improved user training will lead to the influx of tools which support machine learning [445]. A detailed study comparing different bioinformatics pipelines could be useful in determining any differences in the genes found to be associated to bacterial traits and will likely solidify associations made further enhancing the results of this study.

#### **7.4 Limitations**

This study was not without limitations, an important one being the attempt to study the functional effects of *E. coli* gene target deletion in whole blood and THP-1 models. For this, mutant studies were conducted with the K12 Keio collection. Firstly, the lack of available mutants in the Keio collection did not allow for the testing of many of the genes associated with bacterial phenotypes to be tested (Chapter 6) and the final gene candidate list was limited to six. Therefore, no difference in the host response to *E. coli* mutants was observed in either of the host infection models (Figure 6.1 and 6.2). Using a clinical bacteraemia *E. coli* as the genetic background would not only allow more genes of interest to be investigated in host models of infection but would also provide significantly more insight into the effect of bacterial gene deletion on host response. Indeed, a transposon mutant library was created in CFT073 recently that could fill this role [503].



The patient associated data collected during this work relied on ethics applications and our clinical colleagues. Data on some of the patients is incomplete (COVID pandemic) and there are clear opportunities here for further investigation of patient records. This aspect of the research is time consuming and requires trained professionals to investigate notes and databases of patient records. This is surely an investment for the future.

This thesis originally intended to make use of the whole blood model alone, but access to whole blood was restricted during COVID. This led to developing an alternative model and the use of the THP-1 model which, did provide interesting results (particularly the bacteria mediated killing of THP-1 cells) but is not as robust as the whole blood model. Thus, only a subset of clinical isolates was subjected to whole blood infection. Future work should run the whole blood infection on remaining isolates.

The whole blood model of infection is an efficient and ethical way of measuring cytokine responses to systemic infections with advantages over animal and human models of infection. However, there are limitations, firstly this study was limited to using healthy volunteer donors which occasionally did not show up for appointments despite remuneration and restrictions placed on the number of blood donations for individuals in a given time period. This should be accounted for in future studies as there was a large degree of variability in cytokine responses between donors. Indeed, this variability in the cytokine responses between donors highlights the complex nature of sepsis from the perspective of the host response to infection.

In addition, as discussed in chapter 4 use of anticoagulants in blood is unavoidable due to the limited shelf life of blood in their absence, but they may have effects on the complement cascade. An alternative anticoagulant which selectively inhibits thrombin and does not inhibit complement is hirudin which may be of use for future studies, however this is more expensive and generally harder to produce in large batches [385,549].

Further work including direct analysis of patient blood samples may help account for this shortcoming. Alternatively, co-culture models of infection including tissue specific cultures such as organoids may also be of use for identifying tissue specific immune responses associated with bacterial phenotype.

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## Appendices

### Appendix 2

**Appendix 2.1 List of *E. coli* isolates obtain from the Hywel Dda University Health Board** including lab ID, Hospital ID and source of infection.

| Lab ID | Hospital ID | Source          |
|--------|-------------|-----------------|
| 1      | B5934       | Urinary         |
| 2      | B5946       | Intra-abdominal |
| 4      | B4019       | Intra-abdominal |
| 5      | B4060       | Urinary         |
| 6      | B4053       | Intra-abdominal |
| 7      | B17E004082  | Unknown         |
| 8      | B17E5004141 | Intra-abdominal |
| 9      | BHEE00 4183 | Biliary         |
| 10     | B17E009094  | Intra-abdominal |
| 11     | B4297       | Urinary         |
| 12     | B4298       | Intra-abdominal |
| 13     | B174376     | Biliary         |
| 14     | B17E00 4376 | Urinary         |
| 15     | B17E005868  | Biliary         |
| 16     | B17E00 4216 | Unknown         |
| 17     | B17E00 4224 | Unknown         |
| 18     | B17E00 4409 | Urinary         |
| 19     | 17/119      | Biliary         |
| 20     | 17/123      | Other           |
| 21     | 17/122      | Urinary         |
| 22     | 17/124      | Urinary         |
| 23     | 17/127      | Urinary         |
| 24     | 17/128      | Unknown         |
| 25     | 17/129      | Urinary         |
| 26     | 17/131      | Urinary         |
| 27     | 3729        | Intra-abdominal |
| 28     | 3736        | Urinary         |
| 29     | 3737        | Urinary         |
| 30     | 3743        | Intra-abdominal |
| 31     | 3747        | Intra-abdominal |
| 32     | 3757        | Intra-abdominal |
| 33     | 17/104      | Urinary         |
| 34     | 17/106      | Intra-abdominal |
| 35     | 17/107      | Urinary         |
| 36     | 17/108      | Biliary         |
| 37     | 3710        | Urinary         |
| 38     | 3697        | Intra-abdominal |
| 39     | 3701        | Biliary         |
| 40     | 3693        | Urinary         |
| 41     | 3714        | Urinary         |
| 42     | 3718        | Urinary         |

|    |             |                 |
|----|-------------|-----------------|
| 43 | 3717        | Intra-abdominal |
| 44 | 3708        | Urinary         |
| 45 | 3690        | Urinary         |
| 46 | 3730        | Urinary         |
| 47 | 3731        | Urinary         |
| 48 | 3744        | Unknown         |
| 49 | 3745        | Intra-abdominal |
| 50 | 3756        | Unknown         |
| 51 | 3752        | Urinary         |
| 52 | B17E008763  | Intra-abdominal |
| 53 | B17E00 8876 | Intra-abdominal |
| 54 | B17E00 8958 | Urinary         |
| 55 | B17E00 9042 | Urinary         |
| 56 | B17E00 9451 | Abdominal       |
| 57 | B17E00 8980 | Other           |
| 58 | B17E00 9003 | Urinary         |
| 59 | B17E00 9046 | Urinary         |
| 60 | 1124046916  | Abdominal       |
| 61 | 1124046948  | Abdominal       |
| 62 | 1124046825  | Urinary         |
| 63 | 1124046973  | Urinary         |
| 64 | 1124055539  | Abdominal       |
| 65 | 112405550   | Urinary         |
| 66 | 1124055651  | Abdominal       |
| 67 | 1124055426  | Urinary         |
| 68 | 1124055402  | Other           |
| 69 | B17A002373  | Urinary         |
| 70 | 1123209048  | Abdominal       |
| 71 | B17A002400  | Urinary         |
| 72 | B17A002504  | Urinary         |
| 73 | B17A002552  | Urinary         |
| 74 | B17A002624  | Urinary         |
| 75 | B18A000069  | Urinary         |
| 76 | B18A0000136 | Abdominal       |
| 77 | B18A000099  | Urinary         |
| 78 | B18A000001  | Urinary         |
| 81 | B18A000291  | Urinary         |
| 82 | B17E009423  | Urinary         |
| 84 | B18E000850  | Urinary         |
| 85 | B18E000828  | Urinary         |
| 86 | B18E000796  | Urinary         |
| 87 | B18E000711  | Urinary         |
| 88 | B18E000666  | Urinary         |
| 89 | B18E000643  | Respiratory     |
| 90 | E17E505558  | Water           |
| 91 | E17E505761  | Water           |

|     |               |                        |
|-----|---------------|------------------------|
| 93  | E17E505979    | Water                  |
| 94  | E18E500166    | Water                  |
| 96  | E18E500522    | Water                  |
| 97  | E17E506268    | Water                  |
| 98  | E17E505024    | Water                  |
| 102 | B19E006314    | Urinary                |
| 103 | B19E006384    | Urinary                |
| 104 | B19E006350    | Unknown                |
| 105 | B19E006332    | Urinary                |
| 106 | B19E006071    | Biliary                |
| 107 | B19E006491    | Unknown                |
| 108 | B19E006385    | Abdominal              |
| 109 | B19E006092    | Abdominal              |
| 110 | B19E006458    | ?Abdominal             |
| 111 | B19E006433    | Unknown                |
| 112 | B19E006844    | Unknown                |
| 113 | B19E006453    | ?Abdominal             |
| 114 | B19E006780    | Urinary                |
| 115 | B19E006598    | Urinary                |
| 116 | B19E006597    | Urinary                |
| 117 | B19E006406    | Urinary                |
| 118 | B19E006615    | Abdominal              |
| 119 | B20E008505    | Biliary                |
| 120 | B19E008446    | Urinary                |
| 121 | B19E008491(2) | ?Abdominal             |
| 122 | B19E008826    | Unknown                |
| 123 | B19E008803    | Urinary                |
| 124 | B19E008822    | Urinary                |
| 125 | B19E008368    | Unknown                |
| 126 | B19E008779    | Urinary                |
| 127 | B19E008782    | Abdominal              |
| 128 | B19E008766    | Urinary                |
| 129 | B20E000718    | Other                  |
| 130 | B20E000313    | Urinary/Abdominal      |
| 131 | B20E000781    | ?Urinary               |
| 132 | B20E000572    | Unknown                |
| 133 | B20E000211    | Urinary                |
| 135 | B20E000673    | Urinary                |
| 137 | B20E000048    | Unknown                |
| 138 | B20E000204    | Urinary/Abdominal      |
| 139 | B20E000923    | Urinary                |
| 140 | B20E000890    | Abdominal              |
| 141 | B20E000871    | Urinary                |
| 142 | B20E001499    | Unknown                |
| 143 | B20E001467    | Urinary                |
| 144 | B20E002542    | Unclear - asymptomatic |

|     |            |                 |
|-----|------------|-----------------|
| 145 | B20E004109 | Unknown         |
| 146 | B20E002899 | Urinary         |
| 147 | B20E004076 | Urinary         |
| 148 | B20E002584 | Unknown         |
| 149 | B20E001641 | Urinary         |
| 150 | B20E001561 | ?Urinary        |
| 151 | B20E001607 | Urinary         |
| 152 | B20E001648 | Intra-abdominal |
| 153 | B20E008505 | Intra-abdominal |
| 154 | B20E001587 | Abdominal       |
| 155 | B20E001624 | Respiratory     |
| 156 | B20E008550 | Biliary         |
| 157 | B20E008557 | Unknown         |
| 158 | B19E008017 | ?Respiratory    |
| 159 | B19E007568 | Urinary         |
| 160 | B19E008156 | Urinary         |
| 161 | B19E008200 | Urinary         |
| 162 | B19E007970 | Urinary/Biliary |
| 163 | B19E007324 | Urinary         |
| 164 | B19E008159 | Abdominal       |
| 165 | B19E008193 | Unknown         |
| 166 | B19E008123 | Urinary         |
| 167 | B19E008195 | ?Urinary        |
| 168 | B19E008055 | Biliary         |
| 169 | B19E008227 | Unknown         |
| 170 | B20E000880 | Urinary         |
| U1  | U20E258097 | ANC Urine       |
| U2  | U20E240114 | ANC Urine       |
| U3  | U20E240117 | ANC Urine       |
| U4  | U20E282052 | ANC Urine       |
| U5  | U20E291091 | ANC Urine       |
| U6  | M21T003379 | ANC Urine       |

**Appendix table 2.1 Additional appendix files (attached separately end of document)**

| <b>Appendix ID</b> | <b>Attached file</b>          |
|--------------------|-------------------------------|
| Appendix 2.2       | Favourable opinion letter     |
| Appendix 2.3       | Letter of HRA approval        |
| Appendix 2.4       | Biomarkers in sepsis protocol |
| Appendix 2.5       | Biomarkers in sepsis appendix |



### Appendix 3

#### Chapter 3 appendix

**Appendix 3.1 Sequenced *E. coli* isolates source of infection**, Abdominal includes both abdominal and intra-abdominal isolates.

| Source of infection | Number of sequenced isolates |
|---------------------|------------------------------|
| Urinary             | 43                           |
| Abdominal           | 20                           |
| Biliary             | 8                            |
| Unknown/other       | 21                           |

**Appendix 3.2 Antibiotic tests sets A1, A2a and H7:** all antibiotic testing was performed at the hospital

#### **A1 & A2a - Blood cultures**

*Ampicillin*  
*Ceftazidime*  
*Ciprofloxacin*  
*Cefotaxime*  
*Ertapenem*  
*Gentamicin*  
*Imipenem*  
*Meropenem*  
*Piperacillin/Tazobactam*  
*Amicacin*  
*Amoxiciccin*  
*Augmentin:*  
*Amoxicillin/Clavulanate*  
*Co-trimoxazole*

#### **H7 - abx test set: URINE**

*Ampicillin*  
*Cefixime*  
*Cephalexin*  
  
*Ceftriaxone*  
*Amicacin*  
*Amoxicillin*  
*Augmentin*  
*Ciprofloxacin*

**Appendix 3.3: Antibiotic abbreviations** \*note, there were some antibiotics listed that were not tested on all of the isolates or which were used for treatment but not tested.

| <b>Abx abbreviations</b> | <b>Antibiotic</b>       |
|--------------------------|-------------------------|
| ACYC                     | Acyclovir               |
| AMI                      | Amicacin                |
| AMI                      | Amicacin                |
| AMP                      | Ampicillin              |
| AMX                      | Amoxicillin             |
| AUG                      | Co-amoxiclav/Augmentin  |
| CAZ                      | Ceftazidine             |
| CEP                      | Cefalexin               |
| CET                      | Ceftriaxone             |
| CEFP                     | Cefpodoxime             |
| CIP                      | Ciprofloxacin           |
| CLA                      | Clarithromycin          |
| CTX                      | Cefotaxime              |
| CXM                      | Cefuroxime              |
| ERT                      | Ertapenem               |
| FOS                      | Fosfomicin              |
| GENT                     | Gentamicin              |
| IMP                      | Imipenem                |
| MEC                      | Mecillinam              |
| MEM                      | Meropenem               |
| MTZ                      | Metronidazole           |
| PIPT                     | Piperacillin/Tazobactam |
| PIV                      | Pivmecillinam           |
| SXT                      | Cotrimoxazole           |
| TAZ                      | Tazocin                 |
| TEMO                     | Temocillin              |
| VANC                     | Vancomycin              |

## Appendix 3.4 Patient data as separate excel file

| ID | Hospital ID | Source          | Phylogroup | Sequence type | Survival in serum | Sepsis | Mortality | Nosocomial |
|----|-------------|-----------------|------------|---------------|-------------------|--------|-----------|------------|
| 1  | B5934       | Urinary         |            |               | 1                 | 1      | 0         | 0          |
| 2  | B5946       | Intra-abdominal |            |               | 1                 | 1      | 1         | 1          |
| 4  | B4019       | Intra-abdominal | B2         | 73            | 0                 | 1      | 0         | 0          |
| 5  | B4060       | Urinary         | E          | 118           | 0                 | 1      | N/A       | 1          |
| 6  | B4053       | Intra-abdominal | B2         | 95            | 0                 | 1      | N/A       | 0          |
| 7  | B17E004082  | Unknown         |            |               | 1                 | 1      | 0         | 0          |
| 8  | B17E5004141 | Intra-abdominal |            |               | 1                 | 0      | 0         | 0          |
| 9  | BHEE00 4183 | Biliary         |            |               | 0                 | 0      | 0         | 0          |
| 10 | B17E009094  | Intra-abdominal |            |               | 0                 | 0      | 0         | 0          |
| 11 | B4297       | Urinary         |            |               | 1                 | 0      | 0         | 0          |
| 12 | B4298       | Intra-abdominal |            |               | 1                 | 0      | 0         | 1          |
| 13 | B174376     | Biliary         |            |               | 1                 | 1      | 0         | 0          |
| 14 | B17E00 4376 | Urinary         | B2         | 73            | 1                 | 0      | 0         | 1          |
| 15 | B17E005868  | Biliary         | B2         | 73            | 1                 | 0      | 0         | 0          |
| 16 | B17E00 4216 | Unknown         | B2         | 131           | 1                 | 0      | 1         | 1          |
| 17 | B17E00 4224 | Unknown         | B2         | 12            | 1                 | 0      | 0         | 0          |
| 18 | B17E00 4409 | Urinary         | B2         | 131           | 1                 | 0      | 0         | 0          |
| 19 | 17/119      | Biliary         | B2         | 95            | 1                 | 0      | 1         | 1          |
| 20 | 17/123      | Other           | B1         | 2628          | 1                 | 0      | 0         | 0          |
| 21 | 17/122      | Urinary         |            |               | 1                 | 0      | 0         | 0          |
| 22 | 17/124      | Urinary         | D          |               | 1                 | 0      | 0         | 0          |
| 23 | 17/127      | Urinary         | B1         |               | 1                 | 0      | 0         | 1          |
| 24 | 17/128      | Unknown         |            |               | 1                 | 0      | 0         | 0          |
| 25 | 17/129      | Urinary         |            |               | 1                 | 0      | 0         | 1          |
| 26 | 17/131      | Urinary         | A          |               | 1                 | 1      | 0         | 0          |
| 27 | 3729        | Intra-abdominal | B2         |               | 0                 | 0      | 0         | 0          |
| 28 | 3736        | Urinary         |            |               | 1                 | 0      | 0         | 0          |
| 29 | 3737        | Urinary         |            |               | 0                 | 0      | 0         | 0          |
| 30 | 3743        | Intra-abdominal |            |               | 1                 | 1      | 0         | 0          |
| 31 | 3747        | Intra-abdominal | B2         |               | 1                 | 0      | 0         | 0          |
| 32 | 3757        | Intra-abdominal |            |               | 1                 | 1      | 0         | 1          |
| 33 | 17/104      | Urinary         | B2         | 73            | 1                 | 0      | 0         | 0          |
| 34 | 17/106      | Intra-abdominal | B2         | 95            | 1                 | 0      | 0         | 0          |
| 35 | 17/107      | Urinary         | F          | 62            | 1                 | 0      | 0         | 0          |
| 36 | 17/108      | Biliary         | B2         | 131           | 1                 | 0      | 1         | 0          |
| 37 | 3710        | Urinary         | B2         | 978           | 1                 | 0      | 0         | 0          |
| 38 | 3697        | Intra-abdominal | B2         | 73            | 0                 | 0      | 0         | 0          |
| 39 | 3701        | Biliary         | B2         | -             | 1                 | 0      | 0         | 0          |
| 40 | 3693        | Urinary         | D          | 62            | 1                 | 1      | 0         | 0          |
| 41 | 3714        | Urinary         | B2         | 88            | 0                 | 1      | 0         | 0          |
| 42 | 3718        | Urinary         | C          | 73            | 1                 | 1      | 0         | 0          |
| 43 | 3717        | Intra-abdominal | B2         | 144           | 0                 | 1      | 0         | 0          |

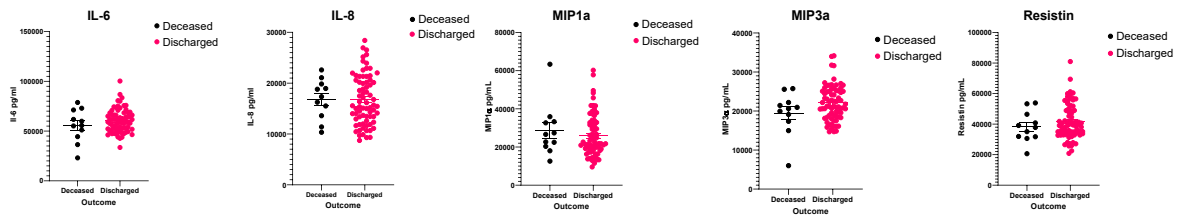
|    |             |                 |           |      |   |     |     |     |
|----|-------------|-----------------|-----------|------|---|-----|-----|-----|
| 44 | 3708        | Urinary         | -         |      | 0 | 1   | 0   | 0   |
| 45 | 3690        | Urinary         | B2        | 131  | 1 | 1   | 0   | 0   |
| 46 | 3730        | Urinary         | B2        | 131  | 1 | 1   | 0   | 1   |
| 47 | 3731        | Urinary         | B2        | 64   | 0 | 1   | 0   | 1   |
| 48 | 3744        | Unknown         | B2        | 350  | 0 | 0   | 1   | N/A |
| 49 | 3745        | Intra-abdominal |           |      | 1 | 1   | 0   | 0   |
| 50 | 3756        | Unknown         |           |      | 1 | 1   | 0   | 1   |
| 51 | 3752        | Urinary         | -         |      | 1 | 0   | 0   | 0   |
| 52 | B17E008763  | Intra-abdominal | B2        | 75   | 0 | 1   | 1   | 1   |
| 53 | B17E00 8876 | Intra-abdominal | B2        | 75   | 0 | 0   | 1   | 1   |
| 54 | B17E00 8958 | Urinary         | U/Cryptic | 12   | 1 | 0   | 0   | 0   |
| 55 | B17E00 9042 | Urinary         | B2        | 79   | 0 | 0   | 0   | 0   |
| 56 | B17E00 9451 | Abdominal       | B2        | 79   | 1 | 0   | 1   | 1   |
| 57 | B17E00 8980 | Other           | B2        | 80   | 1 | 0   | 0   | 0   |
| 58 | B17E00 9003 | Urinary         | B2        | 14   | 1 | 0   | 0   | 0   |
| 59 | B17E00 9046 | Urinary         | B2        | 10   | 1 | 1   | 0   | 1   |
| 60 | 1124046916  | Abdominal       | No match  |      | 0 | 0   | 1   | 0   |
| 61 | 1124046948  | Abdominal       | B2        | 429  | 0 | 1   | 0   | 1   |
| 62 | 1124046825  | Urinary         | B2        | 73   | 1 | 1   | 0   | 0   |
| 63 | 1124046973  | Urinary         | B2        | 131  | 1 | 0   | 0   | 0   |
| 64 | 1124055539  | Abdominal       | -         |      | 0 | 0   | 0   | 1   |
| 65 | 112405550   | Urinary         | B2        | 12   | 1 | 1   | 1   | 0   |
| 66 | 1124055651  | Abdominal       | B2        | 127  | 1 | 1   | 0   | 0   |
| 67 | 1124055426  | Urinary         | B2        | 429  | 1 | 1   | 0   | 1   |
| 68 | 1124055402  | Other           | A         | 6318 | 0 | 0   | 0   | 0   |
| 69 | B17A002373  | Urinary         | B2        | 12   | 1 | 0   | 0   | 0   |
| 70 | 1123209048  | Abdominal       | B2        | 73   | 1 | 0   | 0   | 0   |
| 71 | B17A002400  | Urinary         | B2        | 12   | 1 | 0   | 0   | 0   |
| 72 | B17A002504  | Urinary         | B2        | 1838 | 1 | 0   | 1   | 1   |
| 73 | B17A002552  | Urinary         | A         | 69   | 1 | 0   | 1   | 0   |
| 74 | B17A002624  | Urinary         | D         | 127  | 1 | 1   | 0   | 0   |
| 75 | B18A000069  | Urinary         | B2        | 38   | 1 | 1   | 0   | 0   |
| 76 | B18A0000136 | Abdominal       | -         |      | 1 | 0   | 0   | 0   |
| 77 | B18A000099  | Urinary         | B2        | -    | 1 | 0   | 0   | 0   |
| 78 | B18A000001  | Urinary         | B2        | 127  | 1 | 1   | 0   | 1   |
| 81 | B18A000291  | Urinary         | B2        | 88   | 1 | 0   | 0   | 1   |
| 82 | B17E009423  | Urinary         | B2        | -    | 1 | 0   | 0   | 0   |
| 84 | B18E000850  | Urinary         | B2        | 1064 | 1 | 1   | 0   | 0   |
| 85 | B18E000828  | Urinary         | B2        | 12   | 0 | 1   | 0   | 0   |
| 86 | B18E000796  | Urinary         | B2        | 404  | 0 | 1   | 0   | 1   |
| 87 | B18E000711  | Urinary         | B2        | 404  | 1 | 1   | 0   | 0   |
| 88 | B18E000666  | Urinary         | B2        | 10   | 1 | 0   | 0   | 0   |
| 89 | B18E000643  | Respiratory     |           |      | 1 | 0   | 0   | 1   |
| 90 | E17E505558  | Water           | B1        | 4118 | 1 | N/A | N/A | N/A |
| 91 | E17E505761  | Water           | D         | -    | 1 | N/A | N/A | N/A |
| 93 | E17E505979  | Water           | A         | 1205 | 1 | N/A | N/A | N/A |

|              |               |                      |           |      |     |     |     |     |
|--------------|---------------|----------------------|-----------|------|-----|-----|-----|-----|
| 94           | E18E500166    | Water                | B1        | -    | 1   | N/A | N/A | N/A |
| 96           | E18E500522    | Water                | Cryptic   |      | 1   | N/A | N/A | N/A |
| 97           | E17E506268    | Water                | U/Cryptic | -    | 1   | N/A | N/A | N/A |
| 98           | E17E505024    | Water                | U/Cryptic | 43   | 0   | N/A | N/A | N/A |
| C1 (REF) A1  | ATCC 35218    | Reference            |           |      | 1   | N/A | N/A | N/A |
| C2 (REF) A2  | ATCC 25922    | Reference            |           |      | 1   | N/A | N/A | N/A |
| C3 (C1)      | NCTC 1093     | Intestinal Isolate   |           |      | 1   | N/A | N/A | N/A |
| C4 (C2)      | NCTC 9001     | Urinary Isolate      |           |      | 1   | N/A | N/A | N/A |
| K12 (lab)    |               | Lab strain           |           |      | 0   | N/A | N/A | N/A |
| K12 (parent) | BW25113       | Keoi knockout parent |           |      | 0   | N/A | N/A | N/A |
| 102          | B19E006314    | Urinary              | B2        | 131  | 0   | N/A | N/A | N/A |
| 103          | B19E006384    | Urinary              | B2        | 131  | 1   | 1   | 0   | 0   |
| 104          | B19E006350    | Unknown              |           |      | 1   | 0   | 0   | 1   |
| 105          | B19E006332    | Urinary              |           |      | 1   | 1   | 1   | 0   |
| 106          | B19E006071    | Biliary              | A         | 10   | 0   | 1   | 0   | N/A |
| 107          | B19E006491    | Unknown              |           |      | 1   | 0   | 0   | 1   |
| 108          | B19E006385    | Abdominal            |           |      | N/A | 0   | 0   | 1   |
| 109          | B19E006092    | Abdominal            | B2        | 95   | 0   | 1   | 0   | 0   |
| 110          | B19E006458    | Abdominal            | B2        | 131  | 0   | 1   | 1   | 1   |
| 111          | B19E006433    | Unknown              |           |      | 1   | 1   | 0   | N/A |
| 112          | B19E006844    | Unknown              | B2        | 131  | 1   | 0   | 1   | 0   |
| 113          | B19E006453    | Abdominal            | B2        | 131  | 0   | 1   | 1   | 0   |
| 114          | B19E006780    | Urinary              |           |      | 0   | 1   | 0   | 0   |
| 115          | B19E006598    | Urinary              |           |      | 0   | 1   | 0   | 0   |
| 116          | B19E006597    | Urinary              |           |      | 0   | 0   | 0   | 0   |
| 117          | B19E006406    | Urinary              |           |      | 1   | 0   | 0   | 1   |
| 118          | B19E006615    | Abdominal            | B2        | 73   | 1   | 1   | 0   | 0   |
| 119          | B20E008505    | Biliary              | B2        | 550  | 1   | 1   | 1   | 1   |
| 120          | B19E008446    | Urinary              |           |      | 0   | 1   | 0   | 1   |
| 121          | B19E008491(2) | Abdominal            |           |      | 1   | 1   | 0   | 0   |
| 122          | B19E008826    | Unknown              |           |      | 1   | 0   | 0   | 1   |
| 123          | B19E008803    | Urinary              |           |      | 1   | 1   | 0   | 0   |
| 124          | B19E008822    | Urinary              |           |      | 1   | 1   | 0   | 0   |
| 125          | B19E008368    | Unknown              |           |      | 1   | 1   | 0   | 0   |
| 126          | B19E008779    | Urinary              |           |      | 1   | 1   | 0   | 1   |
| 127          | B19E008782    | Abdominal            |           |      | 0   | 1   | 0   | 1   |
| 128          | B19E008766    | Urinary              |           |      | 1   | 1   | 0   | 0   |
| 129          | B20E000718    | Other                | B2        | 131  | 1   | 0   | 0   | 0   |
| 130          | B20E000313    | Urinary/Abdominal    | B2        | 7514 | 1   | 1   | 1   | 0   |
| 131          | B20E000781    | Urinary              | B2        | 131  | 0   | 1   | 0   | 0   |
| 132          | B20E000572    | Unknown              | B2        | 131  | 0   | 1   | 0   | 0   |
| 133          | B20E000211    | Urinary              |           |      | 0   | 0   | 0   | 0   |
| 135          | B20E000673    | Urinary              |           |      | 0   | 0   | N/A | 0   |
| 137          | B20E000048    | Unknown              |           |      | 1   | 1   | 0   | 0   |

|     |            |                        |    |     |   |     |     |     |
|-----|------------|------------------------|----|-----|---|-----|-----|-----|
| 138 | B20E000204 | Urinary/Abdominal      | B2 | 131 | 0 | 0   | 0   | 0   |
| 139 | B20E000923 | Urinary                |    |     | 1 | 1   | 0   | 0   |
| 140 | B20E000890 | Abdominal              |    |     | 0 | 0   | 0   | 1   |
| 141 | B20E000871 | Urinary                |    |     | 1 | 1   | 0   | 0   |
| 142 | B20E001499 | Unknown                |    |     | 0 | N/A | N/A | N/A |
| 143 | B20E001467 | Urinary                |    |     | 0 | 1   | 0   | 0   |
| 144 | B20E002542 | Unclear - asymptomatic | B2 | 131 | 1 | 0   | 0   | 0   |
| 145 | B20E004109 | Unknown                |    |     | 0 | 0   | 1   | 0   |
| 146 | B20E002899 | Urinary                |    |     | 1 | 0   | 0   | 0   |
| 147 | B20E004076 | Urinary                |    |     | 0 | 0   | 0   | 1   |
| 148 | B20E002584 | Unknown                | B2 | 131 | 0 | 1   | 0   | 0   |
| 149 | B20E001641 | Urinary                |    |     | 1 | 1   | 0   | N/A |
| 150 | B20E001561 | ?Urinary               |    |     | 1 | 0   | 0   | N/A |
| 151 | B20E001607 | Urinary                |    |     | 1 | 1   | 0   | 0   |
| 152 | B20E001648 | Intra-abdominal        | D  | 69  | 1 | 1   | 0   | 0   |
| 153 | B20E008505 | Intra-abdominal        |    |     | 1 | 1   | 1   | N/A |
| 154 | B20E001587 | Abdominal              |    |     | 1 | 1   | N/A | N/A |
| 155 | B20E001624 | Respiratory            | B2 | 73  | 0 | 1   | 0   | 1   |
| 156 | B20E008550 | Biliary                | B2 | 131 | 0 | 1   | 0   | 0   |
| 157 | B20E008557 | Unknown                | B2 | 12  | 0 | 0   | 1   | 0   |
| 158 | B19E008017 | ?Respiratory           |    |     | 0 | 1   | 0   | 0   |
| 159 | B19E007568 | Urinary                |    |     | 0 | 0   | 0   | 0   |
| 160 | B19E008156 | Urinary                |    |     | 1 | 1   | 0   | 0   |
| 161 | B19E008200 | Urinary                |    |     | 1 | 1   | 0   | 0   |
| 162 | B19E007970 | Urinary/Biliary        | A  | 744 | 1 | 0   | 0   | 0   |
| 163 | B19E007324 | Urinary                |    |     | 1 | 1   | 0   | 0   |
| 164 | B19E008159 | Abdominal              | B2 | 80  | 0 | 0   | 0   | 0   |
| 165 | B19E008193 | Unknown                |    |     | 0 | 0   | 0   | 0   |
| 166 | B19E008123 | Urinary                |    |     | 1 | 1   | 0   | 0   |
| 167 | B19E008195 | Urinary                | B2 | 420 | 1 | 1   | 1   | 0   |
| 168 | B19E008055 | Biliary                | B1 | 58  | 0 | 1   | 0   | 1   |
| 169 | B19E008227 | Unknown                | B2 | 131 | 0 | 0   | 0   | 0   |
| 170 | B20E000880 | Urinary                | B2 | 73  | 1 | 0   | 0   | 1   |
| U1  |            | ANC Urine              | B2 |     | 1 | N/A | N/A | N/A |
| U2  |            | ANC Urine              | B2 |     | 1 | N/A | N/A | N/A |
| U3  |            | ANC Urine              | B2 |     | 0 | N/A | N/A | N/A |
| U4  |            | ANC Urine              | B2 |     |   | N/A | N/A | N/A |
| U5  |            | ANC Urine              | D  |     |   | N/A | N/A | N/A |
| U6  |            | ANC Urine              | B2 |     |   | N/A | N/A | N/A |

## Appendix 4

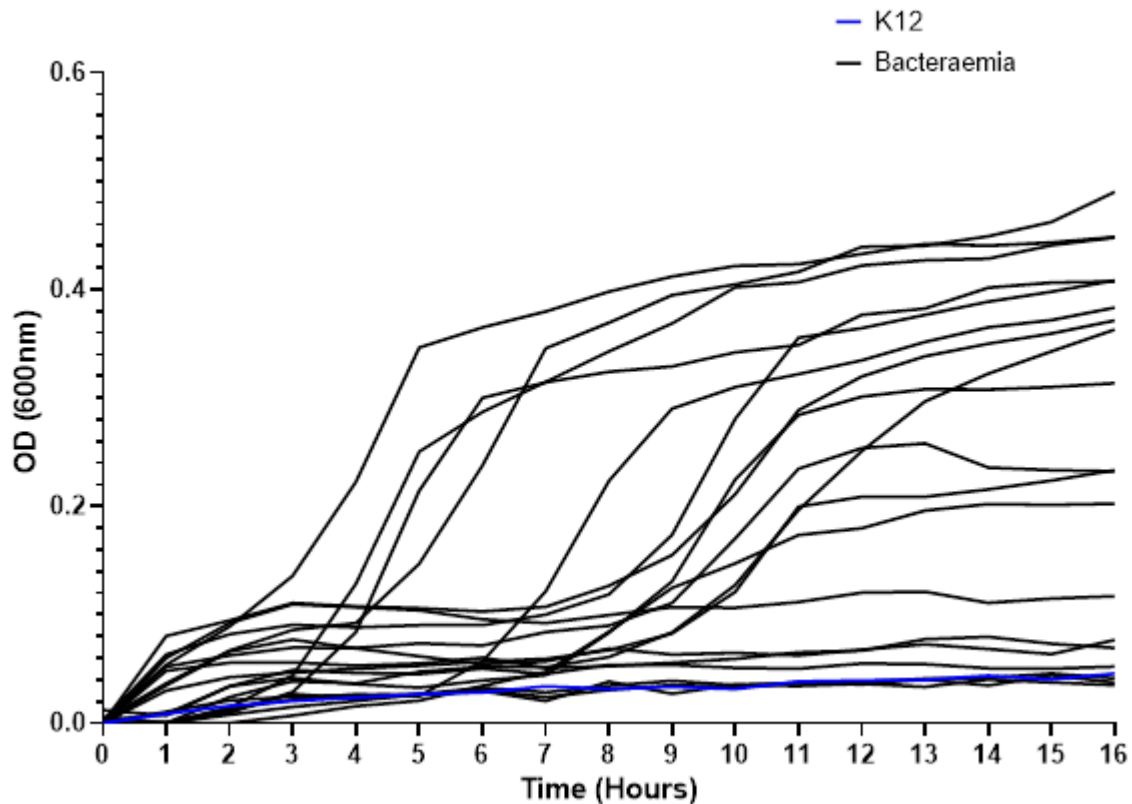
### Chapter 4 appendix



#### Appendix 4.1 Cytokine response grouped by disease outcome

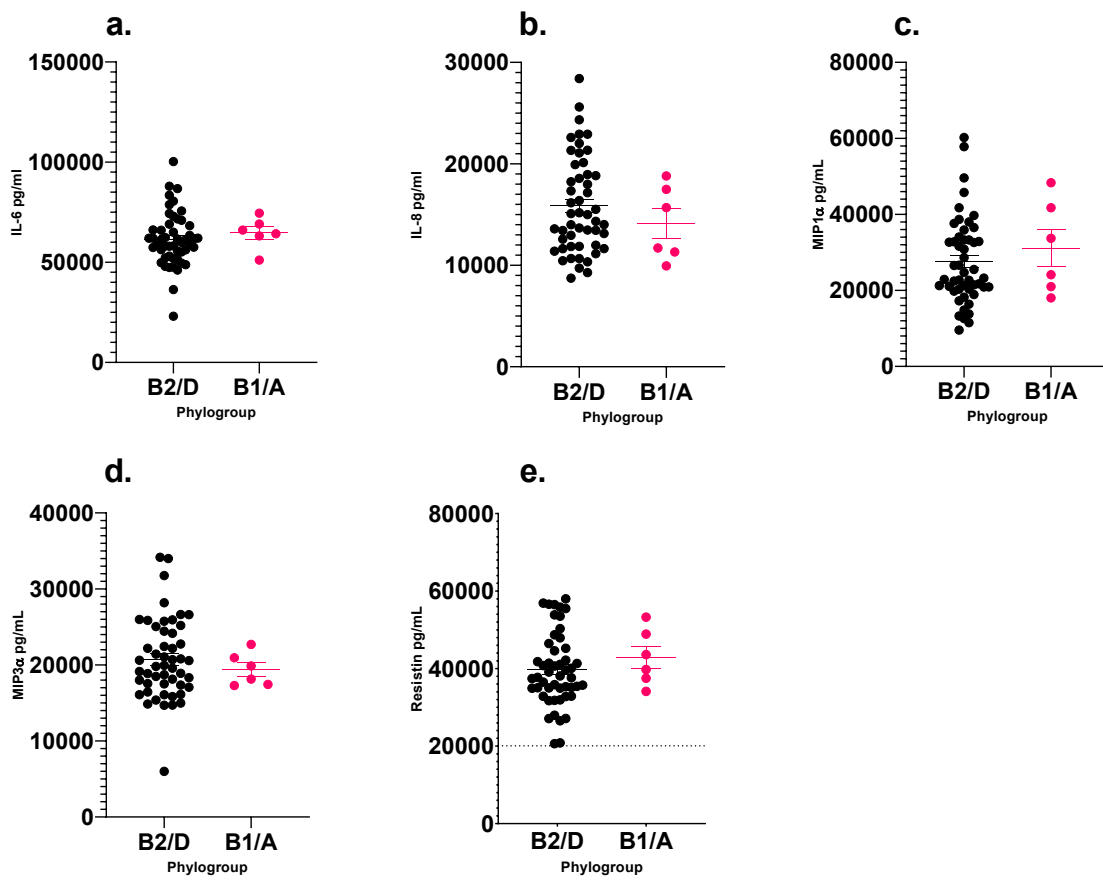
Whole blood from healthy volunteers was infected with *E. coli* isolates from either bacteraemia or non-pathogenic sources for 4 hours and the platelet poor plasma isolated prior to host cytokine determination by ELISA. Isolates were then grouped according to disease outcome as determined in Chapter 3. Data presented as mean  $\pm$  SEM of each cytokine. Each dot represents the average of three different blood donors per bacterial strain. No significant difference was observed between groups ( $p > 0.05$ , Mann Whitney U test).

Appe



#### Appendix 4.2 Growth of *E. coli* bacteriaemia isolates in serum.

Example growth curves of *E. coli* bacteriaemia isolates. *E. coli* bacteriaemia isolates (x19 strains, black) and K12 lab strain (blue), were grown in 90% human serum (gold top blood collection tubes) over 16 hours. Each line is the average of 2 independent experiments.



#### Appendix 4.3 *Ex-vivo* whole blood cytokine response to *E. coli* isolates grouped by phylogroup.

Whole blood from healthy volunteers was infected with *E. coli* isolates from either bacteraemia or non-pathogenic sources for 4 hours and the platelet poor plasma isolated prior to host cytokine determination by ELISA. *E. coli* isolates were grouped by phylogroups either B2/D or B1/A as determined in chapter 3. Each point on the graph represents the average of three independent measurements. Data shows the mean  $\pm$  SEM. No significant difference between groups (Mann-Whitney test).



## Appendix 5

**Appendix 5.1 Common *E. coli* virulence factors and associated pathotype**[550–564] Common virulence factors (Table 1.4) were subjected to literature search and associations with pathotypes noted. VFs

| Virulence factor name | Group                       | Description                          | Function  | ExPEC pathotype         |
|-----------------------|-----------------------------|--------------------------------------|---|-------------------------|
| <i>bmaE</i>           | Adhesin                     | M-agglutinin subunit                 |   |                         |
| <i>papA</i>           | Adhesin                     | P fimbriae                           | Stimulate t cell cytokine production, colonisation factor                 | upec, sepec, apec       |
| <i>papC</i>           | Adhesin                     | P fimbriae                           | Stimulate t cell cytokine production, colonisation factor                 | upec, nmec, apec        |
| <i>papG</i>           | Adhesin                     | P fimbriae                           |   | Upec                    |
| <i>sfa</i>            | Adhesin                     | S fimbrial subunits                  | adhesion to host cells, facilitate entry into tissues                     |                         |
| <i>foc</i>            | Adhesin                     | F1c fimbrial subunit                 | adhesion to renal epithelial cells, and bladder + kidney epithelial cells | upec                    |
| <i>afa</i>            | Adhesin                     | Adhesins                             |   | upec                    |
| <i>iha</i>            | Adhesin                     |                                      | iron-regulated adhesion   | upec                    |
| <i>mat</i>            | Adhesin                     |                                      | meningitis associated and temperature regulated fimbriae                  | nmec                    |
| <i>dra</i>            | Adhesin                     | binding antigen Dr                   |   | upec                    |
| <i>crl, csg</i>       | Adhesin                     | Curli fibre gene                     | Biofilm formation, pathogenicity promoter, induces strong immune response | upec, sepec, apec       |
| <i>agn43(flu)</i>     | Adhesin                     | Antigen 43                           | Autotransporter family, adhesion and biofilm formation                    | upec                    |
| <i>hra</i>            | Adhesin                     | Heat resistant Haemagglutinin        |   |                         |
| <i>tsh</i>            | Adhesin                     | Temperature sensitive hemagglutinin  |   |                         |
| <i>fimA/H</i>         | Adhesin                     | Type 1 fimbriae                      | colonisation in extraintestinal infections, biofilm formation             | upec, nmec, sepec, apec |
| <i>papG</i>           | Adhesin                     | P fimbriae                           |   | upec, apec              |
| <i>papC</i>           | Adhesin                     | P fimbriae                           |   | upec, sepec, apec       |
| <i>fimP</i>           |                             |                                      |   | upec                    |
| <i>tia</i>            | Adhesin                     |                                      |   | apec                    |
| <i>yqi</i>            | Adhesin                     | Yqi pili                             | Key adhesion factor during infection of lung                              | apec                    |
| <i>kpsMT II</i>       | Protectins/serum resistance | Group 2 capsular polysaccharides     |   |                         |
| <i>traT</i>           | Protectins/serum resistance | Transfer protein                     | Inhibition of classical complement activation                             | nmec,sepec, apec        |
| <i>neuC</i>           | Protectins/serum resistance | K1 capsular polysaccharide           |   | nmec                    |
| <i>cvi/cva</i>        | Protectins/serum resistance | Structural genes of colicin V operon |   |                         |
| <i>iss</i>            |                             |                                      | Increased serum survival  | nmec, sepec, apec       |
|                       |                             |                                      |   |                         |
| <i>chuA</i>           | Iron acquisition            | Heme receptor gene                   |   |                         |
| <i>iutA</i>           | Iron acquisition            | aerobactin receptor                  |   |                         |
| <i>irp</i>            | Iron acquisition            | Iron repressible protein             | Yersiniabactin synthesis  | nmec                    |
| <i>iuc ABCD</i>       | Iron acquisition            | aerobactin                           |   | nmec,upec,apec          |

|                    |                        |   |  |                         |
|--------------------|------------------------|---|--|-------------------------|
| <i>sit ABCD</i>    | Iron acquisition       |   | Iron transport   | nmec, upec, apec        |
| <i>iro BCDE</i>    | Iron acquisition       | salmochelin                                       | Siderophore receptor   | nmec, upec, apec, sepec |
| <i>ireA</i>        | Iron acquisition       | Iron responsive element                           | Putative catecholate siderophore receptor                              |                         |
| <i>EitA-D</i>      | Iron acquisition       | Iron transport system                             |  | apec                    |
| <i>iss</i>         | Outer membrane protein | serum survival, outer membrane endoprotease       |  | nmec, apec, sepec       |
| <i>omp A and T</i> | Outer membrane protein | outer membrane proteins                           | intracellular survival, evasion from host immune response              | apec, nmec, upec        |
| <i>colV, cvaC</i>  | Outer membrane protein |   | Colonisation facilitation  | nmec, sepec, apec       |
| <i>AatA</i>        | Outer membrane protein |   |  | apec                    |
|                    |                        |   |  |                         |
| <i>hly</i>         | Toxin                  | hemolysin   | pore formation in host cells   | upec                    |
| <i>hlyF</i>        |                        |   |  | apec                    |
| <i>irp</i>         |                        | Yersiniabactin synthesis                          |  | nmec                    |
| <i>ibe ABCD</i>    |                        |   | Cell invasion into host tissues  | nmec, sepec, apec       |
| <i>pic</i>         | Toxin                  | Serine protease autotransporter                   | Degrades mucins, epithelium colonisation, cell membrane damage         | upec                    |
| <i>sat</i>         | Toxin                  | Secreted autotransporter toxin                    | influences cell vacuolization  | upec, sepec             |
| <i>vat</i>         | Toxin                  | Vacuolating auto transported toxin                | induces cell vacuolization   | upec                    |
| <i>cnf</i>         | Toxin                  | Cytotoxic necrotizing factor                      | creating of pores in host cell membranes                               | upec                    |
| <i>cdt</i>         | Toxin                  | Cytolethal distending toxin                       | Cytolethal distending factor   | sepec                   |
| <i>usp</i>         | Toxin                  | uropathogenic specific protein                    |  |                         |
| <i>astA</i>        | Toxin                  | EAST1   | Heat stable cytotoxin associated with enteroaggregative <i>E. coli</i> |                         |
| <i>ibeA</i>        | Toxin                  |   | Invasion of brain endothelium  |                         |
|                    |                        |   |  |                         |
| <i>malX</i>        | Misc                   | Pathogenicity associated island marker CFT073     |  |                         |
| <i>YjaA</i>        | Unknown                |   |  |                         |
| <i>fyuA</i>        | Iron acquisition       | Yersiniabactin receptor                           |  | upec                    |
|                    |                        |   |  |                         |
| <i>shiA</i>        | Immune suppression     |   |  |                         |
| <i>sisA</i>        | Immune suppression     |   |  |                         |
| <i>sisB</i>        | Immune suppression     |   |  |                         |
| <i>sivH</i>        | Immune suppression     |   |  |                         |
| <i>Eco274</i>      | Immune suppression     |   |  |                         |
| <i>gimB</i>        | Invasin                | Genetic island associated with newborn meningitis |  | nmec                    |

**Appendix 5.2: Top *E. coli* virulence factors identified using abricate with the vfdb**

| Virulence factor | Product   | Number of isolates |
|------------------|---|--------------------|
| csgF             | Curli assembly component                          | 179                |
| entD             | Enterobactin synthesis                            | 179                |
| fepD             | Ferric enterobactin transport system              | 179                |
| fepG             | Ferric enterobactin transport system              | 179                |
| ompA             | Outer membrane protein                            | 179                |
| csgB             | Minor curli subunit                               | 178                |
| csgG             | Curlin production/assembly                        | 178                |
| entA             | Enterobactin synthesis                            | 178                |
| entE             | Enterobactin synthesis                            | 178                |
| entS             | Enterobactin exporter                             | 178                |
| fepB             | Enterobactin binding protein                      | 178                |
| fepC             | Ferric enterobactin transport system              | 178                |
| entB             | Enterobactin synthesis                            | 177                |
| fes              | Enterochelin enzyme (enterobactin synthesis)      | 177                |
| entC             | Enterobactin gene cluster                         | 176                |
| fepA             | Ferric enterobactin binding protein               | 176                |
| csgD             | Curli master regulator (biofilm)                  | 175                |
| fimH             | Fimbrial adhesin                                  | 173                |
| entF             | Enterobactin synthase component                   | 172                |
| yagV/ecpE        | <i>E. coli</i> common pilus                       | 172                |
| yagW/ecpD        | <i>E. coli</i> common pilus                       | 171                |
| yagY/ecpB        | <i>E. coli</i> common pilus                       | 171                |
| fimF             | Fimbrial adhesin adapter                          | 170                |
| fimG             | Fimbrial adhesin regulator                        | 170                |
| gspM             | Type II secretion system protein                  | 170                |
| yagZ/ecpA        | <i>E. coli</i> common pilus                       | 170                |
| fimC             | Fimbrial adhesin chaperone                        | 168                |
| fimD             | Fimbrial adhesin usher protein                    | 168                |
| fimE             | Fimbrial adhesin recombinase                      | 168                |
| ykgK/ecpR        | <i>E. coli</i> common pilus                       | 168                |
| fimA             | Fimbrial adhesin subunit                          | 167                |
| fimI             | Fimbrial adhesin cluster                          | 167                |
| yagX/ecpC        | <i>E. coli</i> common pilus                       | 167                |
| fdeC             | Intimin like adhesin                              | 166                |
| gspL             | Inner membrane protein (Type II secretion system) | 165                |
| fimB             | Fimbrial adhesin regulator                        | 152                |
| aslA             | Ser type sulfatase                                | 146                |
| gspG             | Type II secretion system core protein             | 145                |
| gspK             | Type II secretion system protein                  | 145                |
| gspC             | Type II secretion system protein                  | 144                |
| gspH             | Type II secretion system protein                  | 144                |

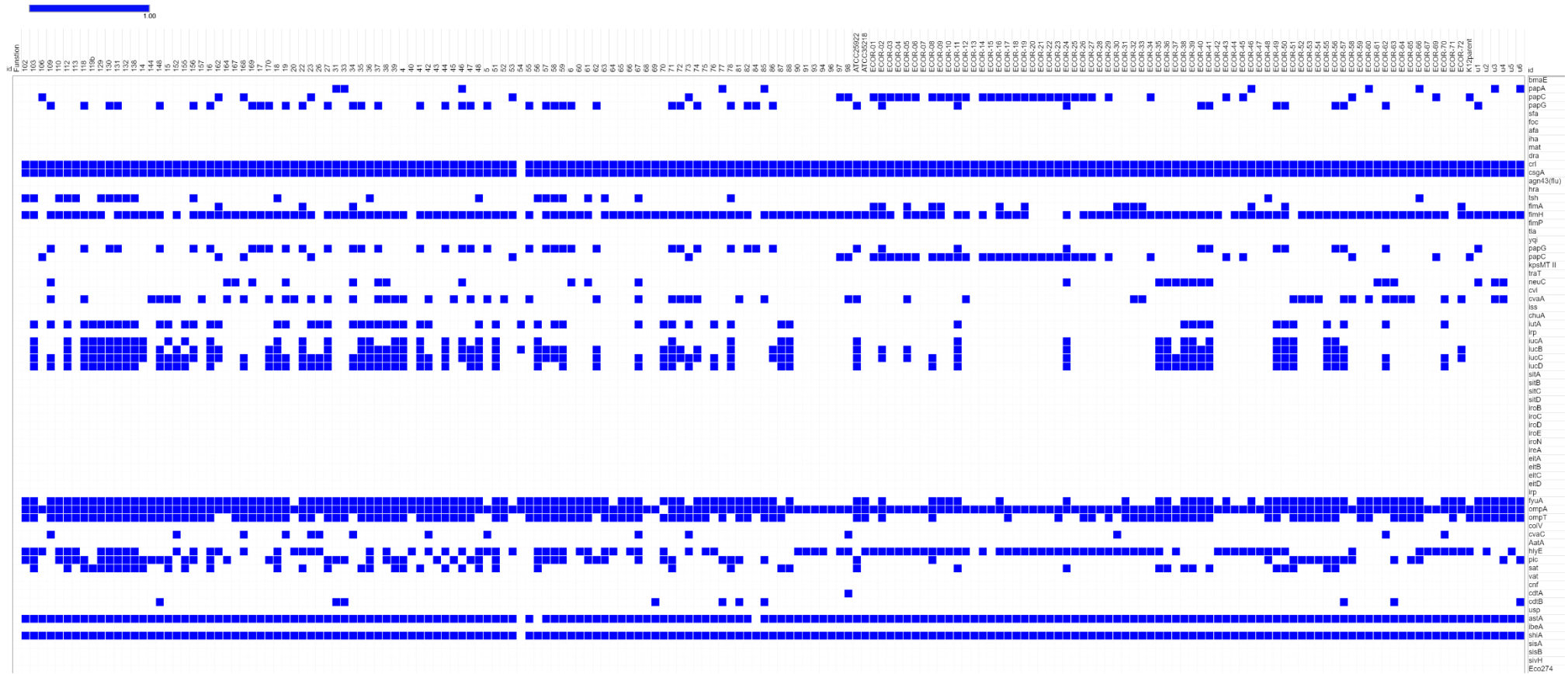
|      |                                      |     |
|------|--------------------------------------|-----|
| gspI | Type II secretion system pseudopilin | 144 |
| gspJ | Type II secretion system protein     | 144 |
| gspF | General secretion pathway protein    | 142 |
| gspD | Type II secretion system protein     | 141 |
| gspE | Type II secretion system protein     | 141 |
| ybtU | Siderophore synthesis                | 129 |
| ybtX | Siderophore synthesis                | 129 |
| ybtE | Siderophore synthesis                | 128 |
| fyuA | Yersiniabactin receptor              | 127 |

**Appendix 5.3 AMR resistance genes in the *E. coli* collection including ECOR and reference isolates.** Identified using abricate with the database card.

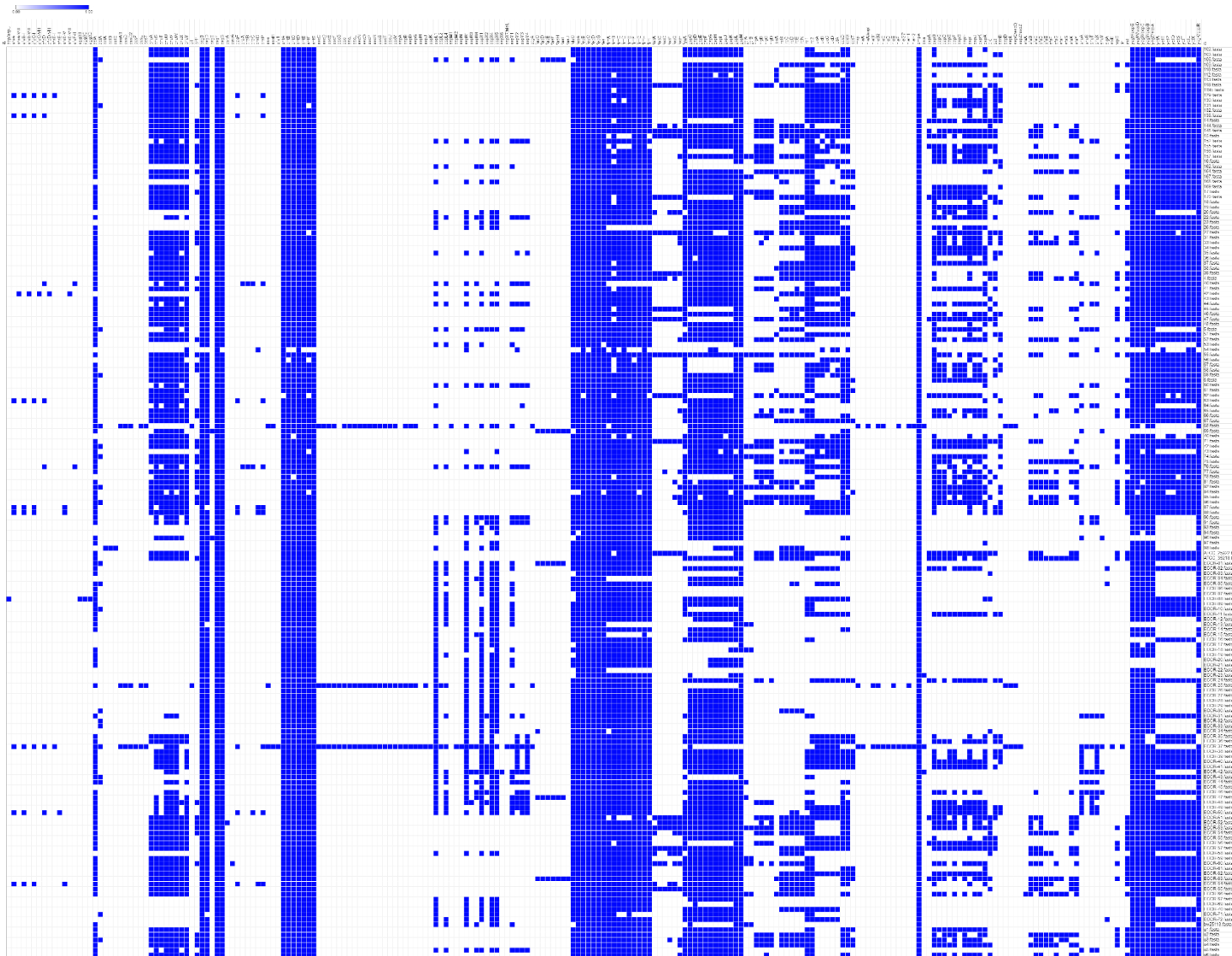
| Gene name             | Product                              | Number of isolates |
|-----------------------|--------------------------------------|--------------------|
| acrB                  | Inner membrane transporter           | 178                |
| acrD                  | Inner membrane transporter           | 178                |
| bacA                  | Resistance to bacitracin             | 178                |
| baeS                  | Sensor kinase                        | 178                |
| CRP                   | Global efflux pump regulator         | 178                |
| emrA                  | Membrane fusion protein              | 178                |
| eptA                  | Phosphoethanolamine transferase      | 178                |
| Escherichia_coli_ampH | Penicillin binding protein           | 178                |
| H-NS                  | DNA binding protein                  | 178                |
| mdtA                  | Multidrug resistance protein         | 178                |
| tolC                  | Outer membrane efflux protein        | 178                |
| baeR                  | Transcriptional regulatory protein   | 177                |
| emrB                  | Multidrug export protein             | 177                |
| Escherichia_coli_acrA | Aerobic respiration control protein  | 177                |
| gadX                  | Transcriptional regulator            | 177                |
| marA                  | Transcriptional activator            | 177                |
| mdtG                  | Multidrug resistance protein         | 177                |
| mdtH                  | Multidrug resistance protein         | 177                |
| msbA                  | ABC transporter                      | 177                |
| pmrF                  | Transporter                          | 177                |
| acrF                  | Multidrug export protein             | 176                |
| acrS                  | Multidrug efflux transport repressor | 176                |
| cpxA                  | Histidine kinase                     | 176                |
| emrR                  | Multidrug resistance pump regulator  | 176                |
| Escherichia_coli_ampC | AmpC $\beta$ -lactamase              | 176                |
| gadW                  | Glutamic acid decarboxylase          | 176                |
| mdtE                  | Multidrug efflux pump                | 176                |
| mdtF                  | Multidrug efflux pump                | 176                |
| mdtN                  | Multidrug resistance protein         | 176                |
| mdtP                  | Multidrug resistance protein         | 176                |

|                                       |  |     |
|---------------------------------------|--|-----|
| yojI                                  | ABC transporter  | 176 |
| acrE                                  | Multidrug export protein   | 175 |
| emrK                                  | Multidrug resistance protein                                       | 175 |
| Escherichia_coli_mdfA                 | Multidrug resistance protein                                       | 175 |
| evgS                                  | Sensor protein   | 175 |
| mdtC                                  | Multidrug efflux pump  | 175 |
| emrY                                  | Multidrug efflux protein   | 174 |
| kdpE                                  | Transcriptional regulatory protein                                 | 174 |
| mdtB                                  | Multidrug resistance protein                                       | 174 |
| mdtO                                  | Multidrug resistance protein                                       | 174 |
| evgA                                  | DNA binding transcriptional activator                              | 173 |
| ugd                                   | Glucose dehydrogenase  | 160 |
| Escherichia_coli_emrE                 | Methyltransferase  | 155 |
| mdtM                                  | Multidrug resistance protein                                       | 116 |
| mphB                                  | Macrolide resistance gene  | 82  |
| Escherichia_coli_ampC1_beta-lactamase | $\beta$ -lactamase   | 75  |
| TEM-1                                 | TEM-1 $\beta$ -lactamase   | 36  |
| APH(6)-Id                             | Streptomycin phosphotransferase                                    | 28  |
| sul1                                  | Sulphonamide resistance synthase                                   | 28  |
| APH(3'')-Ib                           | Aminoglycoside phosphotransferase                                  | 27  |
| sul2                                  | Sulphonamide resistance synthase                                   | 27  |
| dfrA17                                | Dihydrofolate reductase  | 16  |
| tet(A)                                | Tetracycline resistance protein                                    | 16  |
| mphA                                  | Azithromycin resistance enzyme                                     | 15  |
| tet(B)                                | Tetracycline resistance protein                                    | 15  |
| ANT(3'')-IIa                          | Streptomycin nucleotidyltransferase                                | 14  |
| AAC(6')-Ib-cr                         | Fluoroquinolone acetylating aminoglycoside-(6)-N-acetyltransferase | 10  |
| aadA5                                 | Aminoglycoside nucleotidyltransferase                              | 10  |
| OXA-1                                 | Penicillinase  | 10  |

Appendix 5.4 Common virulence factors gene presence/absence Abricate output was uploaded onto Morpheus to generate heatmap



Appendix 5.5 Gene presence/absence vfdb abricate output was uploaded onto Morpheus to generate heatmap







**Appendix 5.7 Virulence factors identified in bacteraemia isolates** output from abricate was used to generate a list of most common virulence factors in *E. coli* bacteraemia isolates. ECOR, reference and water isolates excluded from analysis.

| Gene      | Number of isolates |
|-----------|--------------------|
| csgF      | 92                 |
| entD      | 92                 |
| fepD      | 92                 |
| fepG      | 92                 |
| ompA      | 92                 |
| csgB      | 91                 |
| csgG      | 91                 |
| entA      | 91                 |
| entE      | 91                 |
| entS      | 91                 |
| fepB      | 91                 |
| fepC      | 91                 |
| yagY/ecpB | 91                 |
| csgD      | 90                 |
| entB      | 90                 |
| fepA      | 90                 |
| fes       | 90                 |
| fimH      | 90                 |
| yagV/ecpE | 90                 |
| yagZ/ecpA | 90                 |
| ykgK/ecpR | 90                 |
| entC      | 89                 |
| gspL      | 89                 |
| gspM      | 89                 |
| yagW/ecpD | 89                 |
| fdeC      | 88                 |
| fimF      | 88                 |
| fimG      | 88                 |
| fimC      | 87                 |
| fimD      | 87                 |
| yagX/ecpC | 87                 |
| fimA      | 86                 |
| fimE      | 86                 |
| fimI      | 86                 |
| entF      | 85                 |
| aslA      | 84                 |
| ybtU      | 84                 |
| ybtX      | 84                 |
| ybtE      | 83                 |
| fyuA      | 82                 |
| ybtA      | 82                 |
| ybtQ      | 82                 |

|      |    |
|------|----|
| ybtT | 82 |
| chuV | 81 |
| ybtP | 81 |
| chuU | 80 |
| chuW | 80 |
| chuY | 79 |
| ybtS | 79 |
| chuS | 78 |
| gspG | 78 |
| irp2 | 78 |
| gspC | 77 |
| gspF | 77 |
| gspE | 76 |
| gspH | 76 |
| gspI | 76 |
| gspJ | 76 |
| irp1 | 76 |
| gspD | 75 |
| gspK | 75 |
| kpsM | 75 |
| kpsD | 74 |
| papI | 74 |
| chuT | 73 |
| chuX | 72 |
| fimB | 71 |
| chuA | 70 |
| papB | 67 |
| papX | 62 |
| iucA | 55 |
| iucB | 54 |
| iucD | 53 |
| iutA | 53 |
| papF | 52 |
| iucC | 50 |
| papJ | 50 |
| papK | 50 |
| papD | 49 |
| papC | 48 |
| papH | 47 |
| vat  | 47 |
| sat  | 37 |
| iroB | 36 |
| iroD | 36 |
| hlyB | 34 |
| iroC | 34 |
| iroE | 34 |

|       |    |
|-------|----|
| papG  | 34 |
| senB  | 34 |
| hlyD  | 33 |
| iroN  | 33 |
| cnf1  | 32 |
| hlyA  | 31 |
| hlyC  | 31 |
| papE  | 31 |
| sfaC  | 30 |
| tcpC  | 29 |
| sfaD  | 25 |
| sfaY  | 25 |
| focH  | 21 |
| sfaB  | 21 |
| pic   | 20 |
| sfaX  | 20 |
| espL1 | 18 |
| espR1 | 18 |
| espX1 | 16 |
| espX5 | 16 |
| focG  | 16 |
| espX4 | 14 |
| focD  | 14 |
| kpsT  | 14 |
| sfaG  | 14 |
| focA  | 13 |
| focC  | 13 |
| focF  | 12 |
| ibeA  | 12 |
| espY1 | 11 |
| sfaE  | 11 |
| sfaF  | 11 |
| espL4 | 10 |
| shuA  | 10 |
| shuX  | 10 |
| astA  | 9  |
| espY2 | 9  |
| espY3 | 8  |
| gtrA  | 8  |
| gtrB  | 8  |
| shuT  | 8  |
| draP  | 7  |
| espY4 | 7  |
| papA  | 7  |
| espR4 | 6  |
| afaA  | 5  |

|           |   |
|-----------|---|
| afaB-I    | 5 |
| afaC-I    | 5 |
| afaD      | 5 |
| daaF      | 5 |
| draD      | 3 |
| sfaH      | 3 |
| afaE-V    | 2 |
| afaF-III  | 2 |
| draA      | 2 |
| draB      | 2 |
| draC      | 2 |
| faeD      | 2 |
| faeE      | 2 |
| faeF      | 2 |
| faeH      | 2 |
| faeI      | 2 |
| nleF      | 2 |
| shuS      | 2 |
| afaA-VIII | 1 |
| afaB-VIII | 1 |
| afaC-VIII | 1 |
| afaD-VIII | 1 |
| afaE-I    | 1 |
| afaE-VIII | 1 |
| cesAB     | 1 |
| cesD      | 1 |
| cesD2     | 1 |
| cesL      | 1 |
| cesT      | 1 |
| cif       | 1 |
| csgE      | 1 |
| eae       | 1 |
| east1     | 1 |
| escC      | 1 |
| escD      | 1 |
| escE      | 1 |
| escF      | 1 |
| escI      | 1 |
| escJ      | 1 |
| escL      | 1 |
| escN      | 1 |
| escO      | 1 |
| escP      | 1 |
| escR      | 1 |
| escS      | 1 |
| escT      | 1 |

|           |   |
|-----------|---|
| escU      | 1 |
| escV      | 1 |
| espB      | 1 |
| espD      | 1 |
| espG      | 1 |
| espM1     | 1 |
| espX2     | 1 |
| etgA      | 1 |
| faeC      | 1 |
| faeJ      | 1 |
| map       | 1 |
| nleA/espl | 1 |
| nleB2     | 1 |
| nleC      | 1 |
| nleH1     | 1 |
| nleH2     | 1 |
| paa       | 1 |
| sepD      | 1 |
| sepL      | 1 |
| sepQ/escQ | 1 |
| sfaS      | 1 |
| shuY      | 1 |

## Appendix 6

### Appendix 6.1 Full list of genes associated with bacteraemia

Full list of genes identified by scoary when isolates from a bacteraemia source were compared to the ECOR collection and non-pathogenic *E. coli* strains (Section 6.4.1).

| Gene            | Non-unique Gene name | Annotation  | Sensitivity  | Specificity | Naive_p      | Bonferroni_p    |
|-----------------|----------------------|---|--------------|-------------|--------------|-----------------|
| srpC            |                      | putative chromate transport protein                                 | 19.5652<br>2 | 100         | 3.40E-06     | 0.1013270<br>76 |
| group_14<br>844 |                      | Transcriptional regulator   | 19.5652<br>2 | 100         | 3.40E-06     | 0.1013270<br>76 |
| group_50<br>6   |                      | hypothetical protein  | 19.5652<br>2 | 100         | 3.40E-06     | 0.1013270<br>76 |
| group_14<br>853 |                      | hypothetical protein  | 18.4782<br>6 | 100         | 7.46E-06     | 0.2227238<br>79 |
| pinE_1          |                      | e14 prophage; site-specific DNA recombinase                         | 18.4782<br>6 | 100         | 7.46E-06     | 0.2227238<br>79 |
| tap_2           |                      | Multidrug efflux pump Tap   | 17.3913      | 100         | 1.63E-05     | 0.4864232<br>95 |
| group_12<br>279 |                      | hypothetical protein  | 16.3043<br>5 | 100         | 3.54E-05     | 1               |
| group_14<br>839 |                      | hypothetical protein  | 16.3043<br>5 | 100         | 3.54E-05     | 1               |
| group_11<br>76  |                      | hypothetical protein  | 14.1304<br>3 | 100         | 0.0001<br>64 | 1               |
| group_88<br>25  | ycaO                 | protein involved in beta-methyl thiolation of ribosomal protein S12 | 14.1304<br>3 | 100         | 0.0001<br>64 | 1               |
| group_14<br>940 |                      | hypothetical protein  | 11.9565<br>2 | 100         | 0.0007<br>39 | 1               |
| group_29<br>79  |                      | hypothetical protein  | 11.9565<br>2 | 100         | 0.0007<br>39 | 1               |
| group_12<br>267 |                      | hypothetical protein  | 10.8695<br>7 | 100         | 0.0015<br>56 | 1               |
| group_15<br>734 | traV                 | Protein TraV  | 10.8695<br>7 | 100         | 0.0015<br>56 | 1               |
| group_18<br>69  | mprA_3               | MprA-CCCP   | 10.8695<br>7 | 100         | 0.0015<br>56 | 1               |
| group_32<br>9   |                      | hypothetical protein  | 10.8695<br>7 | 100         | 0.0015<br>56 | 1               |
| group_88<br>29  |                      | hypothetical protein  | 10.8695<br>7 | 100         | 0.0015<br>56 | 1               |
| group_12<br>428 |                      | hypothetical protein  | 9.78260<br>9 | 100         | 0.0032<br>6  | 1               |

|                 |        |   |              |              |              |                 |
|-----------------|--------|---|--------------|--------------|--------------|-----------------|
| group_16<br>145 | repA   | Regulatory protein RepA                 | 9.78260<br>9 | 100          | 0.0032<br>6  | 1               |
| group_32<br>03  | papC   | Outer membrane usher protein PapC       | 9.78260<br>9 | 100          | 0.0032<br>6  | 1               |
| group_63<br>20  | elfC   | putative outer membrane usher protein   | 9.78260<br>9 | 100          | 0.0032<br>6  | 1               |
| group_88<br>73  |        | hypothetical protein                    | 9.78260<br>9 | 100          | 0.0032<br>6  | 1               |
| group_10<br>291 |        | hypothetical protein                    | 8.69565<br>2 | 100          | 0.0067<br>93 | 1               |
| group_60<br>17  | speC   | ornithine decarboxylase, biosynthetic   | 8.69565<br>2 | 100          | 0.0067<br>93 | 1               |
| group_13<br>467 |        | hypothetical protein                    | 7.60869<br>6 | 100          | 0.0140<br>77 | 1               |
| group_36<br>08  | ccdB   | hypothetical protein                    | 7.60869<br>6 | 100          | 0.0140<br>77 | 1               |
| group_56<br>51  | ydjH_2 | putative kinase                         | 7.60869<br>6 | 100          | 0.0140<br>77 | 1               |
| group_76<br>19  |        | hypothetical protein                    | 7.60869<br>6 | 100          | 0.0140<br>77 | 1               |
| group_12<br>478 |        | hypothetical protein                    | 6.52173<br>9 | 100          | 0.0290<br>17 | 1               |
| group_16<br>277 |        | hypothetical protein                    | 6.52173<br>9 | 100          | 0.0290<br>17 | 1               |
| group_16<br>278 |        | hypothetical protein                    | 6.52173<br>9 | 100          | 0.0290<br>17 | 1               |
| group_31<br>24  |        | Tn3 family transposase Tn3              | 6.52173<br>9 | 100          | 0.0290<br>17 | 1               |
| group_36<br>17  | viaK   | 2,3-diketo-L-gulonate reductase monomer | 6.52173<br>9 | 100          | 0.0290<br>17 | 1               |
| group_42<br>86  |        | hypothetical protein                    | 6.52173<br>9 | 100          | 0.0290<br>17 | 1               |
| group_77<br>94  | pgi    | phosphoglucose isomerase                | 6.52173<br>9 | 100          | 0.0290<br>17 | 1               |
| group_88<br>26  |        | hypothetical protein                    | 6.52173<br>9 | 100          | 0.0290<br>17 | 1               |
| group_71<br>24  |        | hypothetical protein                    | 23.9130<br>4 | 98.8505<br>7 | 1.81E-<br>06 | 0.0540983<br>31 |
| group_85<br>7   | ydfB   | Qin prophage; small protein             | 23.9130<br>4 | 98.8505<br>7 | 1.81E-<br>06 | 0.0540983<br>31 |
| zntR_2          |        | ZntR transcriptional activator          | 20.6521<br>7 | 98.8505<br>7 | 1.76E-<br>05 | 0.5252907<br>24 |
| group_25<br>23  |        | hypothetical protein                    | 20.6521<br>7 | 98.8505<br>7 | 1.76E-<br>05 | 0.5252907<br>24 |
| merA            |        | Mercuric reductase                      | 16.3043<br>5 | 98.8505<br>7 | 0.0003<br>21 | 1               |

|                 |          |   |              |              |              |                 |
|-----------------|----------|---|--------------|--------------|--------------|-----------------|
| group_15<br>932 |          | hypothetical protein  | 16.3043<br>5 | 98.8505<br>7 | 0.0003<br>21 | 1               |
| merC            |          | Mercuric transport protein MerC   | 16.3043<br>5 | 98.8505<br>7 | 0.0003<br>21 | 1               |
| merT            |          | Mercuric transport protein MerT   | 16.3043<br>5 | 98.8505<br>7 | 0.0003<br>21 | 1               |
| merP            |          | Mercuric transport protein periplasmic component  | 16.3043<br>5 | 98.8505<br>7 | 0.0003<br>21 | 1               |
| group_40<br>18  | csgD     | CsgD DNA-binding transcriptional dual regulator   | 16.3043<br>5 | 98.8505<br>7 | 0.0003<br>21 | 1               |
| group_12<br>281 |          | hypothetical protein  | 14.1304<br>3 | 98.8505<br>7 | 0.0012<br>98 | 1               |
| dosP_2          |          | c-di-GMP phosphodiesterase, heme-regulated  | 13.0434<br>8 | 98.8505<br>7 | 0.0025<br>69 | 1               |
| group_15<br>931 |          | hypothetical protein  | 13.0434<br>8 | 98.8505<br>7 | 0.0025<br>69 | 1               |
| group_58<br>29  | poxB     | pyruvate oxidase monomer  | 11.9565<br>2 | 98.8505<br>7 | 0.0050<br>29 | 1               |
| group_87<br>76  |          | hypothetical protein  | 11.9565<br>2 | 98.8505<br>7 | 0.0050<br>29 | 1               |
| group_48<br>29  |          | hypothetical protein  | 9.78260<br>9 | 98.8505<br>7 | 0.0185<br>97 | 1               |
| group_11<br>724 | corA     | Ni <sup>2+</sup> / Co <sup>2+</sup> / Mg <sup>2+</sup> transporter                          | 8.69565<br>2 | 98.8505<br>7 | 0.0350<br>54 | 1               |
| group_50<br>30  | epmC     | EF-P-Lys34 hydroxylase  | 8.69565<br>2 | 98.8505<br>7 | 0.0350<br>54 | 1               |
| group_73<br>74  |          | hypothetical protein  | 8.69565<br>2 | 98.8505<br>7 | 0.0350<br>54 | 1               |
| group_91<br>59  |          | IS21 family transposase ISEc10  | 26.0869<br>6 | 97.7011<br>5 | 2.88E-<br>06 | 0.0860941<br>01 |
| group_26<br>11  | insC-1_2 | IS2 element protein InSA  | 25           | 97.7011<br>5 | 6.05E-<br>06 | 0.1806430<br>45 |
| group_29<br>76  |          | hypothetical protein  | 25           | 97.7011<br>5 | 6.05E-<br>06 | 0.1806430<br>45 |
| group_12<br>115 |          | hypothetical protein  | 16.3043<br>5 | 97.7011<br>5 | 0.0015<br>5  | 1               |
| group_92<br>45  |          | hypothetical protein  | 16.3043<br>5 | 97.7011<br>5 | 0.0015<br>5  | 1               |
| eno_2           |          | degradosome   | 15.2173<br>9 | 97.7011<br>5 | 0.0029<br>43 | 1               |
| group_15<br>377 |          | hypothetical protein  | 15.2173<br>9 | 97.7011<br>5 | 0.0029<br>43 | 1               |
| crcB_2          |          | F- efflux transporter / involved in resistance to camphor-induced chromosome decondensation | 14.1304<br>3 | 97.7011<br>5 | 0.0055<br>16 | 1               |
| group_81<br>24  | yfdV     | YfdV AEC Transporter  | 14.1304<br>3 | 97.7011<br>5 | 0.0055<br>16 | 1               |



|                 |        |   |              |              |              |                 |
|-----------------|--------|---|--------------|--------------|--------------|-----------------|
| group_87<br>39  |        | hypothetical protein  | 14.1304<br>3 | 97.7011<br>5 | 0.0055<br>16 | 1               |
| group_15<br>740 |        | hypothetical protein  | 13.0434<br>8 | 97.7011<br>5 | 0.0101<br>94 | 1               |
| emrK_2          |        | EmrKY-TolC multidrug efflux transport system -<br>membrane fusion protein | 13.0434<br>8 | 97.7011<br>5 | 0.0101<br>94 | 1               |
| group_56<br>76  | yqiH   | putative membrane protein   | 11.9565<br>2 | 97.7011<br>5 | 0.0185<br>59 | 1               |
| pemI            |        | Antitoxin PemI  | 38.0434<br>8 | 96.5517<br>2 | 3.26E-<br>09 | 9.73E-05        |
| group_22<br>32  |        | hypothetical protein  | 36.9565<br>2 | 96.5517<br>2 | 7.43E-<br>09 | 0.0002217<br>95 |
| group_98<br>47  | chpB   | ChpB toxin of the ChpB-ChpS toxin-antitoxin system                        | 35.8695<br>7 | 96.5517<br>2 | 1.67E-<br>08 | 0.0004998<br>79 |
| insN-1_1        |        | CP4-6 prophage; partial regulator of insertion element<br>IS911A          | 32.6087      | 96.5517<br>2 | 1.79E-<br>07 | 0.0053416<br>4  |
| folP_2          |        | dihydropteroate synthase  | 29.3478<br>3 | 96.5517<br>2 | 1.73E-<br>06 | 0.0515642<br>49 |
| xerC_4          |        | Tyrosine recombinase XerC   | 29.3478<br>3 | 96.5517<br>2 | 1.73E-<br>06 | 0.0515642<br>49 |
| group_28<br>73  | umuC_2 | SOS mutagenesis and repair  | 19.5652<br>2 | 96.5517<br>2 | 0.0008<br>39 | 1               |
| znuB_3          |        | Zn <sup>2+</sup> ABC transporter - membrane subunit                       | 18.4782<br>6 | 96.5517<br>2 | 0.0015<br>69 | 1               |
| group_14<br>49  | ydfU_1 | Qin prophage; predicted protein   | 16.3043<br>5 | 96.5517<br>2 | 0.0052<br>69 | 1               |
| group_15<br>357 |        | Sugar transporter SemiSWEET   | 14.1304<br>3 | 96.5517<br>2 | 0.0166<br>65 | 1               |
| ntdC            |        | hypothetical protein  | 14.1304<br>3 | 96.5517<br>2 | 0.0166<br>65 | 1               |
| traY            |        | Relaxosome protein TraY   | 29.3478<br>3 | 95.4023      | 7.85E-<br>06 | 0.2343138<br>54 |
| group_99<br>87  | traJ   | Protein TraJ  | 27.1739<br>1 | 95.4023      | 3.12E-<br>05 | 0.9314581<br>34 |
| group_12<br>109 | yciC_2 | Putative metal chaperone YciC   | 15.2173<br>9 | 95.4023      | 0.0240<br>62 | 1               |
| bla             |        | Beta-lactamase TEM  | 42.3913      | 94.2528<br>7 | 6.97E-<br>09 | 0.0002080<br>96 |
| group_32<br>27  |        | hypothetical protein  | 33.6956<br>5 | 94.2528<br>7 | 1.84E-<br>06 | 0.0550410<br>55 |
| group_98<br>28  |        | hypothetical protein  | 33.6956<br>5 | 94.2528<br>7 | 1.84E-<br>06 | 0.0550410<br>55 |
| group_97<br>99  |        | hypothetical protein  | 31.5217<br>4 | 94.2528<br>7 | 7.55E-<br>06 | 0.2252753<br>16 |
| pinE_2          |        | e14 prophage; site-specific DNA recombinase                               | 29.3478<br>3 | 94.2528<br>7 | 2.94E-<br>05 | 0.8764045<br>64 |

|                 |        |  |              |              |              |                 |
|-----------------|--------|--|--------------|--------------|--------------|-----------------|
| group_98<br>39  |        | IS6 family transposase IS26                          | 22.8260<br>9 | 94.2528<br>7 | 0.0012<br>63 | 1               |
| group_14<br>884 |        | hypothetical protein                                 | 43.4782<br>6 | 93.1034<br>5 | 1.36E-<br>08 | 0.0004062<br>39 |
| agp_2           |        | 3-phytase / glucose-1-phosphatase                    | 35.8695<br>7 | 93.1034<br>5 | 1.67E-<br>06 | 0.0498513<br>72 |
| group_71<br>34  | traA   | Pilin  | 32.6087      | 93.1034<br>5 | 1.33E-<br>05 | 0.3971461<br>64 |
| traM            |        | Relaxosome protein TraM                              | 31.5217<br>4 | 93.1034<br>5 | 2.59E-<br>05 | 0.7724895<br>7  |
| group_28<br>77  |        | hypothetical protein                                 | 22.8260<br>9 | 93.1034<br>5 | 0.0032<br>39 | 1               |
| group_59<br>06  |        | hypothetical protein                                 | 19.5652<br>2 | 93.1034<br>5 | 0.0155<br>04 | 1               |
| group_88<br>9   |        | hypothetical protein                                 | 18.4782<br>6 | 93.1034<br>5 | 0.0252<br>65 | 1               |
| ykgG_2          |        | putative transporter                                 | 0            | 93.1034<br>5 | 0.0120<br>3  | 1               |
| group_55<br>98  |        | hypothetical protein                                 | 0            | 93.1034<br>5 | 0.0120<br>3  | 1               |
| nqrC            |        | Na(+)-translocating NADH-quinone reductase subunit C | 38.0434<br>8 | 91.9540<br>2 | 2.40E-<br>06 | 0.0716932<br>46 |
| group_18<br>90  | yjgL   | putative protein                                     | 0            | 91.9540<br>2 | 0.0056<br>32 | 1               |
| insH-6          |        | CP4-44 prophage; IS5 transposase and trans-activator | 0            | 91.9540<br>2 | 0.0056<br>32 | 1               |
| group_47<br>68  |        | hypothetical protein                                 | 0            | 91.9540<br>2 | 0.0056<br>32 | 1               |
| group_75<br>58  | yral   | putative pilin chaperone                             | 0            | 91.9540<br>2 | 0.0056<br>32 | 1               |
| group_99<br>4   |        | hypothetical protein                                 | 0            | 91.9540<br>2 | 0.0056<br>32 | 1               |
| tpd             |        | 34 kDa membrane antigen                              | 42.3913      | 90.8046      | 4.20E-<br>07 | 0.0125275<br>74 |
| efeU_2          |        | hypothetical protein                                 | 42.3913      | 90.8046      | 4.20E-<br>07 | 0.0125275<br>74 |
| group_83<br>89  |        | hypothetical protein                                 | 42.3913      | 90.8046      | 4.20E-<br>07 | 0.0125275<br>74 |
| group_23<br>93  | ydbC   | putative oxidoreductase, NAD(P)-binding              | 39.1304<br>3 | 90.8046      | 3.72E-<br>06 | 0.1110783<br>43 |
| group_11<br>833 | folP_2 | dihydropteroate synthase                             | 21.7391<br>3 | 90.8046      | 0.0240<br>78 | 1               |
| ydeO_1          |        | YdeO DNA-binding transcriptional dual regulator      | 0            | 90.8046      | 0.0026<br>2  | 1               |
| ykgE_2          |        | putative oxidoreductase                              | 0            | 90.8046      | 0.0026<br>2  | 1               |

|                 |        |   |              |              |              |                 |
|-----------------|--------|---|--------------|--------------|--------------|-----------------|
| ykgF_2          |        | putative amino acid dehydrogenase with NAD(P)-binding domain and ferridoxin-like domain protein | 0            | 90.8046      | 0.0026<br>2  | 1               |
| group_14<br>16  |        | hypothetical protein  | 0            | 90.8046      | 0.0026<br>2  | 1               |
| group_42<br>10  | insD   | Qin prophage; predicted transposase   | 0            | 90.8046      | 0.0026<br>2  | 1               |
| lldP_2          |        | (R)-lactate / (S)-lactate / glycolate:H+ symporter LldP   | 0            | 90.8046      | 0.0026<br>2  | 1               |
| group_30<br>99  |        | hypothetical protein  | 39.1304<br>3 | 89.6551<br>7 | 1.09E-<br>05 | 0.3245157<br>56 |
| group_98<br>84  |        | hypothetical protein  | 36.9565<br>2 | 89.6551<br>7 | 4.07E-<br>05 | 1               |
| group_21<br>459 | traM   | Relaxosome protein TraM   | 1.08695<br>7 | 89.6551<br>7 | 0.0081<br>06 | 1               |
| group_67<br>5   | tfaQ_2 | Qin prophage; predicted tail fibre assembly protein   | 0            | 89.6551<br>7 | 0.0012<br>1  | 1               |
| group_13<br>358 |        | hypothetical protein  | 1.08695<br>7 | 88.5057<br>5 | 0.0040<br>64 | 1               |
| group_24<br>229 | yfcO   | putative protein  | 0            | 88.5057<br>5 | 0.0005<br>55 | 1               |
| group_76<br>81  |        | hypothetical protein  | 0            | 88.5057<br>5 | 0.0005<br>55 | 1               |
| sopB            |        | Protein SopB  | 34.7826<br>1 | 87.3563<br>2 | 0.0007<br>64 | 1               |
| group_99<br>93  |        | hypothetical protein  | 34.7826<br>1 | 87.3563<br>2 | 0.0007<br>64 | 1               |
| group_44<br>13  | ygiS   | putative transporter subunit  | 2.17391<br>3 | 87.3563<br>2 | 0.0084<br>58 | 1               |
| group_10<br>903 |        | hypothetical protein  | 0            | 87.3563<br>2 | 0.0002<br>53 | 1               |
| iutA            |        | Ferric aerobactin receptor  | 47.8260<br>9 | 86.2069      | 8.88E-<br>07 | 0.0265155<br>63 |
| group_75<br>28  |        | hypothetical protein  | 2.17391<br>3 | 85.0574<br>7 | 0.0022<br>99 | 1               |
| elfC_2          |        | putative outer membrane usher protein   | 1.08695<br>7 | 85.0574<br>7 | 0.0004<br>75 | 1               |
| group_46<br>9   | yeeJ_2 | adhesin   | 1.08695<br>7 | 85.0574<br>7 | 0.0004<br>75 | 1               |
| group_63<br>02  | nmpC   | outer membrane porin protein; locus of qsr prophage   | 1.08695<br>7 | 85.0574<br>7 | 0.0004<br>75 | 1               |
| ynjI_2          |        | putative inner membrane protein   | 0            | 83.9080<br>5 | 2.29E-<br>05 | 0.6838912<br>58 |
| group_76<br>52  | rzpD   | DLP12 prophage; predicted murein endopeptidase  | 2.17391<br>3 | 82.7586<br>2 | 0.0005<br>89 | 1               |
| group_47<br>5   | yeeJ_1 | adhesin   | 0            | 82.7586<br>2 | 1.01E-<br>05 | 0.3025700<br>72 |

|                 |         |  |              |              |              |                 |
|-----------------|---------|--|--------------|--------------|--------------|-----------------|
| noc             |         | Nucleoid occlusion protein   | 35.8695<br>7 | 81.6092      | 0.0116<br>05 | 1               |
| ydfN            |         | hypothetical protein   | 2.17391<br>3 | 80.4597<br>7 | 0.0001<br>43 | 1               |
| group_15<br>7   | vgrG1_2 | Actin cross-linking toxin VgrG1  | 2.17391<br>3 | 78.1609<br>2 | 3.31E-<br>05 | 0.9889131<br>31 |
| group_14<br>975 |         | hypothetical protein   | 1.08695<br>7 | 77.0114<br>9 | 2.16E-<br>06 | 0.0645006<br>51 |
| group_21<br>728 | dicA    | DicA DNA-binding transcriptional repressor   | 1.08695<br>7 | 73.5632<br>2 | 1.83E-<br>07 | 0.0054755<br>85 |
| group_21<br>729 | dicC    | Qin prophage; DNA-binding transcriptional regulator for DicB                                   | 1.08695<br>7 | 73.5632<br>2 | 1.83E-<br>07 | 0.0054755<br>85 |
| tufB            |         | elongation factor Tu   | 91.3043<br>5 | 65.5172<br>4 | 4.63E-<br>16 | 1.38E-11        |
| php             |         | putative hydrolase   | 17.3913      | 52.8735<br>6 | 2.49E-<br>05 | 0.7419345<br>35 |
| php_1           |         | putative hydrolase   | 82.6087      | 50.5747<br>1 | 3.26E-<br>06 | 0.0972541<br>63 |
| ybjE            |         | putative transporter   | 95.6521<br>7 | 49.4252<br>9 | 1.03E-<br>12 | 3.09E-08        |
| group_41<br>56  | ybjE    | putative transporter   | 3.26087      | 49.4252<br>9 | 8.40E-<br>14 | 2.51E-09        |
| group_59<br>05  |         | hypothetical protein   | 79.3478<br>3 | 5.74712<br>6 | 0.0039<br>55 | 1               |
| yeeO            |         | YeeO MATE transporter  | 83.6956<br>5 | 4.59770<br>1 | 0.0141<br>69 | 1               |
| yicO            |         | putative membrane protein with possible relationship to novobiocin and deoxycholate resistance | 91.3043<br>5 | 1.14942<br>5 | 0.0350<br>54 | 1               |
| yfcJ            |         | putative transport protein YfcJ  | 89.1304<br>3 | 1.14942<br>5 | 0.0097<br>32 | 1               |
| poxB            |         | pyruvate oxidase monomer   | 86.9565<br>2 | 1.14942<br>5 | 0.0025<br>69 | 1               |
| group_11<br>265 | yedW_3  | putative DNA-binding response regulator in two-component system with YedV                      | 81.5217<br>4 | 1.14942<br>5 | 7.66E-<br>05 | 1               |
| ybdK            |         | carboxylate-amine ligase   | 93.4782<br>6 | 0            | 0.0290<br>17 | 1               |
| xylG            |         | xylose ABC transporter - ATP binding subunit   | 93.4782<br>6 | 0            | 0.0290<br>17 | 1               |
| group_58<br>23  |         | hypothetical protein   | 93.4782<br>6 | 0            | 0.0290<br>17 | 1               |
| potI            |         | putrescine ABC transporter - membrane subunit  | 93.4782<br>6 | 0            | 0.0290<br>17 | 1               |
| glpQ            |         | glycerophosphoryl diester phosphodiesterase, periplasmic                                       | 93.4782<br>6 | 0            | 0.0290<br>17 | 1               |
| flhD            |         | FlhDC DNA-binding transcriptional dual regulator   | 93.4782<br>6 | 0            | 0.0290<br>17 | 1               |

|                |  |   |              |   |              |                 |
|----------------|--|---|--------------|---|--------------|-----------------|
| ptsI           |  | PTS enzyme I  | 93.4782<br>6 | 0 | 0.0290<br>17 | 1               |
| ccmF           |  | cytochrome c-type biogenesis protein                                  | 92.3913      | 0 | 0.0140<br>77 | 1               |
| mdtB           |  | MdtABC-TolC multidrug efflux transport system -<br>membrane subunit   | 92.3913      | 0 | 0.0140<br>77 | 1               |
| tqsA           |  | quorum signal AI-2 exporter   | 92.3913      | 0 | 0.0140<br>77 | 1               |
| pspF           |  | PspF transcriptional dual regulator                                   | 92.3913      | 0 | 0.0140<br>77 | 1               |
| pgi            |  | phosphoglucose isomerase  | 92.3913      | 0 | 0.0140<br>77 | 1               |
| nuoF           |  | NADH:ubiquinone oxidoreductase, chain F                               | 92.3913      | 0 | 0.0140<br>77 | 1               |
| clpA           |  | ClpAXP  | 91.3043<br>5 | 0 | 0.0067<br>93 | 1               |
| ycbB           |  | L,D-transpeptidase YcbB   | 91.3043<br>5 | 0 | 0.0067<br>93 | 1               |
| speC           |  | ornithine decarboxylase, biosynthetic                                 | 91.3043<br>5 | 0 | 0.0067<br>93 | 1               |
| group_44<br>80 |  | hypothetical protein  | 90.2173<br>9 | 0 | 0.0032<br>6  | 1               |
| eutE           |  | putative aldehyde dehydrogenase, ethanolamine<br>utilization protein  | 89.1304<br>3 | 0 | 0.0015<br>56 | 1               |
| adrA           |  | putative diguanylate cyclase  | 86.9565<br>2 | 0 | 0.0003<br>49 | 1               |
| csgD           |  | CsgD DNA-binding transcriptional dual regulator                       | 83.6956<br>5 | 0 | 3.54E-<br>05 | 1               |
| ycaO           |  | protein involved in beta-methylthiolation of ribosomal<br>protein S12 | 83.6956<br>5 | 0 | 3.54E-<br>05 | 1               |
| group_25<br>22 |  | hypothetical protein  | 78.2608<br>7 | 0 | 6.89E-<br>07 | 0.0205596<br>93 |

## Appendix 6.2 Full list of genes associated with urinary source of infection

Full list of genes identified by scoary when urinary bacteraemia isolate genomes were compared to all other *E. coli* used in the genetic analysis (Section 6.4.2).

| Gene        | Non-unique Gene name | Annotation  | Sensitivity | Specificity | Naive_p  | Bonferroni_p |
|-------------|----------------------|---|-------------|-------------|----------|--------------|
| group_4156  | ybjE                 | putative transporter  | 2.222222    | 64.34109    | 1.55E-06 | 0.046089     |
| tufB        |                      | elongation factor Tu  | 91.111111   | 47.28682    | 1.81E-06 | 0.053777     |
| flxA        |                      | Qin prophage; predicted protein   | 2.222222    | 67.44186    | 1.18E-05 | 0.351956     |
| glvG        |                      | putative phospho-glucosidase, truncated   | 0           | 73.64341    | 1.45E-05 | 0.432292     |
| ybjE        |                      | putative transporter  | 95.555556   | 34.88372    | 2.57E-05 | 0.763654     |
| znuB_2      |                      | Zn <sup>2+</sup> ABC transporter - membrane subunit                             | 93.333333   | 37.9845     | 4.57E-05 | 1            |
| group_7201  | insG                 | IS4 family transposase ISCro3   | 0           | 75.96899    | 5.52E-05 | 1            |
| group_11992 |                      | hypothetical protein  | 2.222222    | 72.09302    | 8.82E-05 | 1            |
| group_9903  |                      | hypothetical protein  | 0           | 77.51938    | 0.000105 | 1            |
| group_11459 |                      | hypothetical protein  | 2.222222    | 72.86822    | 0.00017  | 1            |
| group_11899 |                      | hypothetical protein  | 2.222222    | 72.86822    | 0.00017  | 1            |
| yzgL        |                      | putative protein  | 0           | 78.29457    | 0.00021  | 1            |
| group_5823  |                      | hypothetical protein  | 86.66667    | 0           | 0.000231 | 1            |
| fhuC_2      |                      | iron (III) hydroxamate ABC transporter - ATP binding subunit                    | 88.88889    | 39.53488    | 0.000355 | 1            |
| group_21728 | dicA                 | DicA DNA-binding transcriptional repressor                                      | 0           | 81.39535    | 0.000681 | 1            |
| group_21729 | dicC                 | Qin prophage; DNA-binding transcriptional regulator for DicB                    | 0           | 81.39535    | 0.000681 | 1            |
| yabP        |                      | hypothetical protein  | 0           | 81.39535    | 0.000681 | 1            |
| group_3588  | ydeP                 | acid resistance protein   | 6.666667    | 69.76744    | 0.001033 | 1            |
| group_17806 | fliD                 | flagellar cap protein FliD; filament capping protein; enables filament assembly | 0           | 82.94574    | 0.001238 | 1            |
| rem         |                      | Qin prophage; predicted protein   | 2.222222    | 78.29457    | 0.001881 | 1            |
| gadA        |                      | glutamate decarboxylase A subunit   | 17.77778    | 55.81395    | 0.002107 | 1            |
| group_5440  | ybeF                 | putative DNA-binding transcriptional regulator, LYSR-type                       | 0           | 84.49612    | 0.002267 | 1            |
| group_14975 |                      | hypothetical protein  | 0           | 83.72093    | 0.00232  | 1            |
| group_834   | yghJ                 | putative lipoprotein  | 0           | 86.82171    | 0.007101 | 1            |
| yohF        |                      | putative oxidoreductase with NAD(P)-binding Rossmann-fold domain protein        | 86.66667    | 34.10853    | 0.007551 | 1            |
| group_12949 |                      | hypothetical protein  | 2.222222    | 82.94574    | 0.009637 | 1            |
| group_6886  | xapR                 | XapR transcriptional activator  | 2.222222    | 82.94574    | 0.009637 | 1            |
| group_8479  |                      | hypothetical protein  | 2.222222    | 82.94574    | 0.009637 | 1            |
| group_5603  |                      | hypothetical protein  | 4.444444    | 79.06977    | 0.009854 | 1            |
| ydeP        |                      | acid resistance protein   | 88.88889    | 30.23256    | 0.01007  | 1            |
| group_10441 | insE-1               | IS3 element protein InsE  | 2.222222    | 82.17054    | 0.01007  | 1            |

|             |         |   |          |          |          |   |
|-------------|---------|---|----------|----------|----------|---|
| group_1933  | insEF-1 | IS3 element transposase                                 | 2.222222 | 82.17054 | 0.01007  | 1 |
| group_475   | yeeJ_1  | adhesin   | 0        | 88.37209 | 0.012592 | 1 |
| rusA_1      |         | endodeoxyribonuclease RUS (Holliday junction resolvase) | 75.55556 | 46.51163 | 0.013153 | 1 |
| group_8302  | essD_1  | DLP12 prophage; predicted phage lysis protein           | 2.222222 | 84.49612 | 0.016469 | 1 |
| group_3075  | insI-2  | transposase of IS30                                     | 2.222222 | 83.72093 | 0.016642 | 1 |
| group_20573 | ybcO    | DLP12 prophage; predicted protein                       | 0        | 89.14729 | 0.022131 | 1 |
| elfC_2      |         | putative outer membrane usher protein                   | 0        | 89.14729 | 0.022131 | 1 |
| group_3289  | yggP_1  | putative dehydrogenase                                  | 0        | 89.14729 | 0.022131 | 1 |
| group_6302  | nmpC    | outer membrane porin protein; locus of qsr prophage     | 0        | 89.14729 | 0.022131 | 1 |
| group_8880  |         | hypothetical protein                                    | 0        | 89.92248 | 0.02249  | 1 |
| group_999   |         | hypothetical protein                                    | 2.222222 | 85.27132 | 0.027434 | 1 |
| group_17864 |         | hypothetical protein                                    | 2.222222 | 86.04651 | 0.027949 | 1 |
| group_17865 |         | hypothetical protein                                    | 2.222222 | 86.04651 | 0.027949 | 1 |
| ydfN        |         | hypothetical protein                                    | 2.222222 | 86.04651 | 0.027949 | 1 |
| group_5095  | yjjQ    | putative DNA-binding transcriptional regulator          | 15.55556 | 67.44186 | 0.034134 | 1 |
| yfdT        |         | CPS-53 (KpLE1) prophage; predicted protein              | 6.666667 | 79.06977 | 0.037508 | 1 |
| yfdS        |         | CPS-53 (KpLE1) prophage; predicted protein              | 6.666667 | 79.84496 | 0.038043 | 1 |
| ydeQ        |         | putative fimbrial-like adhesin protein                  | 88.88889 | 26.35659 | 0.03846  | 1 |
| group_5114  | ecpD    | hypothetical protein                                    | 2.222222 | 86.82171 | 0.04504  | 1 |
| group_6     |         | hypothetical protein                                    | 2.222222 | 86.82171 | 0.04504  | 1 |
| group_6544  | torA    | trimethylamine N-oxide reductase, catalytic subunit     | 2.222222 | 86.82171 | 0.04504  | 1 |

### Appendix 6.3 Full list of genes associated with an abdominal source of infection

Full list of genes identified by scoary when genomes from abdominal bacteraemia isolates were compared against all other isolates (Section 6.4.2).

| Gene        | Non-unique Gene name | Annotation  | Sensitivity | Specificity | Odds_ratio | Naive_p  | Bonferroni_p |
|-------------|----------------------|---|-------------|-------------|------------|----------|--------------|
| group_11920 |                      | hypothetical protein  | 0           | 66.66667    | 0          | 0.000335 | 1            |
| group_11805 |                      | hypothetical protein  | 90.90909    | 43.13725    | 7.586207   | 0.001879 | 1            |
| hpcE        |                      | Homoprotocatechuate catabolism bifunctional isomerase/decarboxylase | 0           | 72.54902    | 0          | 0.002416 | 1            |
| puuC_1      |                      | gamma-glutamyl-gamma-aminobutyraldehyde dehydrogenase               | 0           | 72.54902    | 0          | 0.002416 | 1            |
| hpcB        |                      | 3,4-dihydroxyphenylacetate 2,3-dioxygenase                          | 0           | 72.54902    | 0          | 0.002416 | 1            |
| farR        |                      | HTH-type transcriptional regulator FarR                             | 0           | 73.20261    | 0          | 0.002498 | 1            |
| mhpD_2      |                      | 2-hydroxypentadienoate hydratase                                    | 0           | 73.20261    | 0          | 0.002498 | 1            |
| yfaU_2      |                      | 2-keto-3-deoxy-L-rhamnonate aldolase                                | 0           | 73.20261    | 0          | 0.002498 | 1            |
| hpaB        |                      | 4-hydroxyphenylacetate 3-monooxygenase oxygenase component          | 0           | 73.20261    | 0          | 0.002498 | 1            |
| rutF_1      |                      | flavin reductase  | 0           | 73.20261    | 0          | 0.002498 | 1            |
| group_1772  |                      | hypothetical protein  | 0           | 75.81699    | 0          | 0.004839 | 1            |
| group_1224  |                      | hypothetical protein  | 9.090909    | 62.74519    | 0.168421   | 0.007785 | 1            |
| group_6219  | envY                 | EnvY DNA-binding transcriptional activator                          | 0           | 79.08497    | 0          | 0.01537  | 1            |
| ydfA        |                      | Qin prophage; predicted protein                                     | 0           | 79.73856    | 0          | 0.015387 | 1            |
| hpcD        |                      | 5-carboxymethyl-2-hydroxymuconate Delta-isomerase                   | 0           | 78.43137    | 0          | 0.015898 | 1            |
| ybcO        |                      | DLP12 prophage; predicted protein                                   | 18.18182    | 55.55556    | 0.277778   | 0.020909 | 1            |
| insC-1      |                      | IS2 element protein InsA  | 9.090909    | 67.97386    | 0.212245   | 0.025586 | 1            |
| group_3082  | fimA_2               | major type 1 subunit fimbrin (pilin)                                | 9.090909    | 67.97386    | 0.212245   | 0.025586 | 1            |



|                |              |   |              |              |              |              |   |
|----------------|--------------|---|--------------|--------------|--------------|--------------|---|
| insCD-1        |              | IS2 element transposase InsAB'  | 9.09090<br>9 | 67.9738<br>6 | 0.21224<br>5 | 0.0255<br>86 | 1 |
| yfaA           |              | putative protein  | 100          | 18.3006<br>5 | inf          | 0.0270<br>12 | 1 |
| insO-1_3       |              | hypothetical protein  | 0            | 81.6993<br>5 | 0            | 0.0270<br>12 | 1 |
| group_9483     |              | hypothetical protein  | 0            | 82.3529<br>4 | 0            | 0.0274<br>97 | 1 |
| group_5365     |              | hypothetical protein  | 0            | 82.3529<br>4 | 0            | 0.0274<br>97 | 1 |
| group_3745     |              | IS3 family transposase IS3411   | 4.54545<br>5 | 73.8562<br>1 | 0.13452<br>4 | 0.0289<br>52 | 1 |
| ydfT           |              | Qin prophage; predicted antitermination protein Q                         | 18.1818<br>2 | 58.1699<br>3 | 0.30902<br>8 | 0.0366<br>72 | 1 |
| glcA           |              | glycolate / lactate:H+ symporter  | 90.9090<br>9 | 30.0653<br>6 | 4.29906<br>5 | 0.0421<br>28 | 1 |
| group_37       |              | hypothetical protein  | 0            | 83.6601<br>3 | 0            | 0.0468<br>55 | 1 |
| group_49<br>77 | yfj1_1       | CP4-57 prophage; predicted protein  | 0            | 83.6601<br>3 | 0            | 0.0468<br>55 | 1 |
| group_1360     |              | hypothetical protein  | 100          | 15.6862<br>7 | inf          | 0.0469<br>79 | 1 |
| group_578      |              | IS66 family transposase ISEc23  | 0            | 84.3137<br>3 | 0            | 0.0469<br>79 | 1 |
| group_12516    |              | hypothetical protein  | 0            | 84.3137<br>3 | 0            | 0.0469<br>79 | 1 |
| group_1346     |              | hypothetical protein  | 0            | 84.3137<br>3 | 0            | 0.0469<br>79 | 1 |
| group_44<br>15 | yghT         | putative protein with nucleoside triphosphate<br>hydrolase domain protein | 0            | 84.3137<br>3 | 0            | 0.0469<br>79 | 1 |
| group_6131     |              | hypothetical protein  | 0            | 84.3137<br>3 | 0            | 0.0469<br>79 | 1 |
| ydfB           |              | Qin prophage; small protein   | 0            | 83.0065<br>4 | 0            | 0.0481<br>68 | 1 |
| group_16<br>18 | rzpQ         | Qin prophage; predicted protein   | 0            | 83.0065<br>4 | 0            | 0.0481<br>68 | 1 |
| group_30<br>80 | insF-<br>1_2 | IS3 element protein InsF  | 0            | 83.0065<br>4 | 0            | 0.0481<br>68 | 1 |
| group_35<br>91 | yfaA         | putative protein  | 0            | 83.0065<br>4 | 0            | 0.0481<br>68 | 1 |
| group_54<br>06 | xerC_<br>1   | Tyrosine recombinase XerC   | 4.54545<br>5 | 75.8169<br>9 | 0.14929<br>2 | 0.0494<br>1  | 1 |
| group_10367    |              | hypothetical protein  | 4.54545<br>5 | 77.1241<br>8 | 0.16054<br>4 | 0.0499<br>24 | 1 |
| group_2250     |              | hypothetical protein  | 4.54545<br>5 | 77.1241<br>8 | 0.16054<br>4 | 0.0499<br>24 | 1 |

#### Appendix 6.4 Full list of genes associated with urinary source of bacteraemia

Full list of genes identified by scoary analysis when genomes from a urinary source of bacteraemia were compared to genomes from all other bacteraemia sources (Section 6.4.3).

| Gene                | Annotation                               | Sensitivity | Specificity | Naive_p  | Bonferroni_p |
|---------------------|--|-------------|-------------|----------|--------------|
| group_16170 (iutA)  | Ferric aerobactin receptor               | 22.22222    | 100         | 0.001165 | 1            |
| group_16178         | hypothetical protein                     | 22.22222    | 100         | 0.001165 | 1            |
| group_6577 (agaC_1) | galactosamine PTS permease - cryptic     | 15.55556    | 100         | 0.012383 | 1            |
| yqiG_2              | putative membrane protein                | 55.55556    | 71.42857    | 0.016643 | 1            |
| group_1831          | hypothetical protein                     | 13.33333    | 100         | 0.026518 | 1            |
| group_3095          | hypothetical protein                     | 13.33333    | 100         | 0.026518 | 1            |
| xapB                | xanthosine:H <sup>+</sup> symporter XapB | 75.55556    | 7.142857    | 0.040197 | 1            |

#### Appendix 6.5 Full list of genes associated with Mortality

Full list of genes identified by scoary as being associated with mortality as identified in patient data (Chapter 3).

| Gene        | Non-unique Gene name | Annotation                                      | Sensitivity | Specificity | Odds_ratio | Naive_p  | Bonferroni_p |
|-------------|----------------------|---|-------------|-------------|------------|----------|--------------|
| group_4139  |                      | hypothetical protein                            | 17.64706    | 34.72222    | 0.113982   | 0.0007   | 1            |
| group_3099  |                      | hypothetical protein                            | 5.882353    | 51.38889    | 0.066071   | 0.000876 | 1            |
| yhgE        |                      | putative transport protein                      | 100         | 38.88889    | inf        | 0.000975 | 1            |
| traQ        |                      | Protein TraQ                                    | 11.76471    | 44.44444    | 0.106667   | 0.001092 | 1            |
| group_1386  | yhgE                 | putative transport protein                      | 0           | 62.5        | 0          | 0.00114  | 1            |
| arfB        |                      | peptidyl-tRNA hydrolase, ribosome rescue factor | 100         | 34.72222    | inf        | 0.002318 | 1            |
| traD        |                      | Coupling protein TraD                           | 5.882353    | 55.55556    | 0.078125   | 0.004041 | 1            |
| yhdJ_2      |                      | DNA adenine methyltransferase                   | 5.882353    | 55.55556    | 0.078125   | 0.004041 | 1            |
| group_5082  | arfB                 | peptidyl-tRNA hydrolase, ribosome rescue factor | 0           | 66.66667    | 0          | 0.00457  | 1            |
| higB-2_1    |                      | Toxin HigB-2                                    | 0           | 68.05556    | 0          | 0.004674 | 1            |
| group_6738  |                      | hypothetical protein                            | 0           | 68.05556    | 0          | 0.004674 | 1            |
| yqiG_2      |                      | putative membrane protein                       | 11.76471    | 50          | 0.133333   | 0.005415 | 1            |
| group_4140  |                      | hypothetical protein                            | 17.64706    | 45.83333    | 0.181319   | 0.007398 | 1            |
| group_7134  | traA                 | Pilin   | 5.882353    | 59.72222    | 0.092672   | 0.008606 | 1            |
| group_11824 |                      | hypothetical protein                            | 5.882353    | 61.11111    | 0.098214   | 0.008942 | 1            |
| cpsB        |                      | mannose-1-phosphate guanylyltransferase         | 100         | 29.16667    | inf        | 0.009304 | 1            |
| group_12229 |                      | hypothetical protein                            | 0           | 70.83333    | 0          | 0.009304 | 1            |
| group_2477  |                      | hypothetical protein                            | 0           | 70.83333    | 0          | 0.009304 | 1            |

|             |       |  |          |          |          |          |   |
|-------------|-------|--|----------|----------|----------|----------|---|
| idnO_2      |       | 5-keto-D-gluconate 5-reductase   | 0        | 70.83333 | 0        | 0.009304 | 1 |
| group_15693 |       | hypothetical protein   | 0        | 70.83333 | 0        | 0.009304 | 1 |
| yagG        |       | YagG GPH Transporter   | 0        | 70.83333 | 0        | 0.009304 | 1 |
| group_2123  |       | hypothetical protein   | 0        | 70.83333 | 0        | 0.009304 | 1 |
| group_15696 |       | hypothetical protein   | 0        | 70.83333 | 0        | 0.009304 | 1 |
| group_15743 |       | hypothetical protein   | 0        | 70.83333 | 0        | 0.009304 | 1 |
| group_12251 |       | hypothetical protein   | 0        | 69.44444 | 0        | 0.009572 | 1 |
| ogl         |       | Oligogalacturonate lyase   | 0        | 69.44444 | 0        | 0.009572 | 1 |
| group_3718  |       | hypothetical protein   | 0        | 69.44444 | 0        | 0.009572 | 1 |
| group_7128  |       | hypothetical protein   | 0        | 72.22222 | 0        | 0.010014 | 1 |
| group_6396  |       | hypothetical protein   | 0        | 72.22222 | 0        | 0.010014 | 1 |
| umuD_2      |       | SOS mutagenesis; error-prone repair; processed to UmuD'; forms complex with UmuC | 5.882353 | 62.5     | 0.104167 | 0.01004  | 1 |
| group_4738  |       | hypothetical protein   | 11.76471 | 52.77778 | 0.14902  | 0.011703 | 1 |
| yedZ1       |       | Putative protein-methionine-sulfoxide reductase subunit YedZ1                    | 11.76471 | 55.55556 | 0.166667 | 0.013219 | 1 |
| yedW_11     |       | putative DNA-binding response regulator in two-component system with YedV        | 11.76471 | 55.55556 | 0.166667 | 0.013219 | 1 |
| group_15641 |       | hypothetical protein   | 11.76471 | 55.55556 | 0.166667 | 0.013219 | 1 |
| yedY_2      |       | reductase  | 11.76471 | 55.55556 | 0.166667 | 0.013219 | 1 |
| group_11966 |       | hypothetical protein   | 11.76471 | 55.55556 | 0.166667 | 0.013219 | 1 |
| group_1175  |       | hypothetical protein   | 5.882353 | 63.88889 | 0.110577 | 0.017409 | 1 |
| finO        |       | Fertility inhibition protein   | 5.882353 | 63.88889 | 0.110577 | 0.017409 | 1 |
| group_509   |       | hypothetical protein   | 5.882353 | 65.27778 | 0.1175   | 0.018574 | 1 |
| tral        |       | Multifunctional conjugation protein Tral   | 5.882353 | 65.27778 | 0.1175   | 0.018574 | 1 |
| intA        |       | CP4-57 prophage; integrase   | 0        | 75       | 0        | 0.018731 | 1 |
| group_5387  | ssb_2 | ssDNA-binding protein  | 0        | 75       | 0        | 0.018731 | 1 |
| group_3381  |       | hypothetical protein   | 11.76471 | 58.33333 | 0.186667 | 0.024689 | 1 |
| group_2635  |       | hypothetical protein   | 11.76471 | 58.33333 | 0.186667 | 0.024689 | 1 |
| hha_2       |       | haemolysin expression modulating protein   | 5.882353 | 66.66667 | 0.125    | 0.033041 | 1 |
| gmm         |       | GDP-mannose mannosyl hydrolase   | 94.11765 | 31.94444 | 7.510204 | 0.033798 | 1 |
| group_5075  |       | hypothetical protein   | 5.882353 | 68.05556 | 0.133152 | 0.033798 | 1 |
| yfjI_1      |       | CP4-57 prophage; predicted protein   | 5.882353 | 68.05556 | 0.133152 | 0.033798 | 1 |
| fimC_4      |       | periplasmic chaperone, required for type 1 fimbriae                              | 5.882353 | 68.05556 | 0.133152 | 0.033798 | 1 |
| hlyA        |       | Hemolysin, chromosomal   | 5.882353 | 68.05556 | 0.133152 | 0.033798 | 1 |
| yhcR        |       | Endonuclease YhcR  | 5.882353 | 68.05556 | 0.133152 | 0.033798 | 1 |
| group_11821 |       | hypothetical protein   | 5.882353 | 68.05556 | 0.133152 | 0.033798 | 1 |
| group_8823  |       | IS110 family transposase ISEc21  | 0        | 77.77778 | 0        | 0.034569 | 1 |
| insO-2      |       | hypothetical protein   | 11.76471 | 59.72222 | 0.197701 | 0.044881 | 1 |
| group_9828  |       | hypothetical protein   | 11.76471 | 59.72222 | 0.197701 | 0.044881 | 1 |
| group_9840  |       | hypothetical protein   | 11.76471 | 61.11111 | 0.209524 | 0.045027 | 1 |
| group_8380  |       | hypothetical protein   | 11.76471 | 62.5     | 0.222222 | 0.047643 | 1 |

### Appendix 6.6 Full list of genes associated with Nosocomial

Full list of genes identified by scoary analysis as being associated with nosocomial infections as identified by patient data (Chapter 3).

| Gene       | Non-unique Gene name | Annotation                                    | Sensitivity | Specificity | Odds_ratio | Naive_p  | Bonferroni_p |
|------------|----------------------|---|-------------|-------------|------------|----------|--------------|
| yhdJ_2     |                      | DNA adenine methyltransferase                 | 13.04348    | 59.64912    | 0.221739   | 0.019549 | 1            |
| allD       |                      | ureidoglycolate dehydrogenase                 | 100         | 19.29825    | inf        | 0.028352 | 1            |
| aat        |                      | leucyl, phenylalanyl-tRNA-protein transferase | 91.30435    | 31.57895    | 4.846154   | 0.044836 | 1            |
| group_5933 | aat                  | leucyl, phenylalanyl-tRNA-protein transferase | 8.695652    | 68.42105    | 0.206349   | 0.044836 | 1            |
| group_2526 | yicl                 | alpha-xylosidase                              | 8.695652    | 68.42105    | 0.206349   | 0.044836 | 1            |

### Appendix 6.7 Full list of genes associated with plasma resistance

Full list of genes identified by scoary as being associated with plasma resistance in *E. coli* isolate collection as identified in chapter 4.

| Gene        | Non-unique Gene name | Annotation   | Sensitivity | Specificity | Odds_ratio | Naive_p  | Bonferroni_p |
|-------------|----------------------|--|-------------|-------------|------------|----------|--------------|
| group_3464  |                      | hypothetical protein   | 22.91667    | 100         | inf        | 0.000705 | 1            |
| group_8772  |                      | hypothetical protein   | 20.83333    | 100         | inf        | 0.001507 | 1            |
| group_12789 |                      | hypothetical protein   | 0           | 85.36585    | 0          | 0.007738 | 1            |
| group_7466  |                      | IS1380 family transposase ISEcp1                                 | 0           | 85.36585    | 0          | 0.007738 | 1            |
| yejF        |                      | peptide ABC transporter - ATP binding subunit                    | 97.91667    | 19.5122     | 11.39394   | 0.010405 | 1            |
| group_7879  | yejF                 | peptide ABC transporter - ATP binding subunit                    | 2.083333    | 80.4878     | 0.087766   | 0.010405 | 1            |
| agaC_1      |                      | galactosamine PTS permease - cryptic                             | 85.41667    | 0           | 0          | 0.013949 | 1            |
| group_1050  |                      | hypothetical protein   | 14.58333    | 100         | inf        | 0.013949 | 1            |
| group_3530  | agaA                 | N-acetylgalactosamine-6-phosphate deacetylase                    | 14.58333    | 100         | inf        | 0.013949 | 1            |
| group_6273  | yfjR                 | CP4-57 prophage; predicted DNA-binding transcriptional regulator | 14.58333    | 100         | inf        | 0.013949 | 1            |
| group_6577  | agaC_1               | galactosamine PTS permease - cryptic                             | 14.58333    | 100         | inf        | 0.013949 | 1            |
| group_10464 |                      | hypothetical protein   | 18.75       | 97.56098    | 9.230769   | 0.018336 | 1            |
| group_12558 |                      | hypothetical protein   | 18.75       | 97.56098    | 9.230769   | 0.018336 | 1            |
| group_12562 |                      | hypothetical protein   | 18.75       | 97.56098    | 9.230769   | 0.018336 | 1            |
| group_16543 |                      | hypothetical protein   | 18.75       | 97.56098    | 9.230769   | 0.018336 | 1            |

|             |      |  |          |          |          |          |   |
|-------------|------|--|----------|----------|----------|----------|---|
| group_16544 |      | hypothetical protein                                   | 18.75    | 97.56098 | 9.230769 | 0.018336 | 1 |
| group_16545 |      | hypothetical protein                                   | 18.75    | 97.56098 | 9.230769 | 0.018336 | 1 |
| group_16547 |      | hypothetical protein                                   | 18.75    | 97.56098 | 9.230769 | 0.018336 | 1 |
| group_16548 |      | hypothetical protein                                   | 18.75    | 97.56098 | 9.230769 | 0.018336 | 1 |
| group_16549 |      | hypothetical protein                                   | 18.75    | 97.56098 | 9.230769 | 0.018336 | 1 |
| group_16550 |      | hypothetical protein                                   | 18.75    | 97.56098 | 9.230769 | 0.018336 | 1 |
| group_16554 |      | hypothetical protein                                   | 18.75    | 97.56098 | 9.230769 | 0.018336 | 1 |
| group_16557 |      | hypothetical protein                                   | 18.75    | 97.56098 | 9.230769 | 0.018336 | 1 |
| group_5584  |      | hypothetical protein                                   | 18.75    | 97.56098 | 9.230769 | 0.018336 | 1 |
| group_6472  |      | hypothetical protein                                   | 18.75    | 97.56098 | 9.230769 | 0.018336 | 1 |
| ampG_2      |      | muropeptide:H+ symporter                               | 97.91667 | 14.63415 | 8.057143 | 0.045272 | 1 |
| group_9771  | msbA | Putative multidrug export ATP-binding/permease protein | 97.91667 | 14.63415 | 8.057143 | 0.045272 | 1 |

### Appendix 6.8 Full list of genes associated with low cytokine <1000pg/mL in THP-1 cells

Full list of genes identified by scoary analysis as being associated with IL-8 levels <1000pg/mL. Note, although IL-8 was used for comparison isolates with low IL-8 also induced low TNF $\alpha$ . As identified in chapter 4.

| Gene        | Non-unique Gene name | Annotation   | Sensitivity | Specificity | Odds_ratio | Naive_p  | Bonferroni_p |
|-------------|----------------------|--|-------------|-------------|------------|----------|--------------|
| flgI        |                      | flagellar P-ring protein FlgI                              | 100         | 26.82927    | inf        | 0.001643 | 1            |
| group_8081  | flgI                 | flagellar P-ring protein FlgI                              | 0           | 73.17073    | 0          | 0.001643 | 1            |
| group_4006  | prpE                 | propionyl-CoA synthetase                                   | 0           | 75.60976    | 0          | 0.003228 | 1            |
| group_5152  | flgK                 | flagellar biosynthesis, hook-filament junction protein 1   | 0           | 76.82927    | 0          | 0.00572  | 1            |
| insAB-1     |                      | InsAB' transposase   | 4           | 71.95122    | 0.106884   | 0.012261 | 1            |
| group_11877 |                      | hypothetical protein                                       | 0           | 80.4878     | 0          | 0.020602 | 1            |
| yjhB        |                      | YjhB MFS transporter                                       | 4           | 74.39024    | 0.121032   | 0.022077 | 1            |
| yjhC        |                      | KpLE2 phage-like element; predicted oxidoreductase         | 4           | 74.39024    | 0.121032   | 0.022077 | 1            |
| group_447   |                      | hypothetical protein                                       | 4           | 75.60976    | 0.129167   | 0.02327  | 1            |
| group_4697  | yjhR                 | KpLE2 phage-like element; predicted frameshift suppressor  | 8           | 70.73171    | 0.210145   | 0.033729 | 1            |
| group_5406  | xerC_1               | Tyrosine recombinase XerC                                  | 0           | 84.14634    | 0          | 0.035763 | 1            |
| group_10367 |                      | hypothetical protein                                       | 0           | 84.14634    | 0          | 0.035763 | 1            |
| ogrK        |                      | DNA-binding transcriptional regulator, prophage P2 remnant | 0           | 84.14634    | 0          | 0.035763 | 1            |
| group_7462  |                      | hypothetical protein                                       | 0           | 84.14634    | 0          | 0.035763 | 1            |
| dam_2       |                      | DNA adenine methyltransferase                              | 0           | 82.92683    | 0          | 0.036726 | 1            |
| eutJ        |                      | putative chaperonin, ethanolamine utilization protein      | 96          | 21.95122    | 6.75       | 0.040671 | 1            |
| group_305   |                      | hypothetical protein                                       | 4           | 78.04878    | 0.148148   | 0.040671 | 1            |
| umuC_2      |                      | SOS mutagenesis and repair                                 | 4           | 78.04878    | 0.148148   | 0.040671 | 1            |

### Appendix 6.9 Full list of genes associated with low cytokine <500pg/mL in THP-1 cells

Full list of genes identified by scoary analysis as being associated with IL-8 levels <500pg/mL. Note, although IL-8 was used for comparison isolates with low IL-8 also induced low TNF $\alpha$ . As identified in chapter 4.

| Gene        | Non-unique Gene name | Annotation                      | Sensitivity | Specificity | Odds_ratio | Naive_p  | Bonferroni_p | Benjamini_H_p |
|-------------|----------------------|---------------------------------|-------------|-------------|------------|----------|--------------|---------------|
| yhbC        |                      | putative hydrolase              | 100         | 55.95238    | inf        | 6.24E-06 | 0.139319     | 0.001443      |
| group_10095 | ydfD                 | Qin prophage; predicted protein | 0           | 61.90476    | 0          | 0.001104 | 1            | 0.056802      |
| umuC_2      |                      | SOS mutagenesis and repair      | 0           | 78.57143    | 0          | 0.037229 | 1            | 0.705011      |

**Appendix 6.10 Full list of genes associated with sepsis/non-sepsis**

Full list of genes identified by scaory as being associated with sepsis causing isolates as identified through patient data (Chapter 3).

| Gene        | Non-unique Gene name | Annotation   | Sensitivity | Specificity | Odds_ratio | Naive_p  | Bonferroni_p |
|-------------|----------------------|--|-------------|-------------|------------|----------|--------------|
| group_2526  | yicl                 | alpha-xylosidase   | 9.302326    | 59.18367    | 0.148718   | 0.000714 | 1            |
| group_4562  | yicJ                 | YicJ GPH transporter   | 53.48837    | 75.5102     | 3.545833   | 0.00537  | 1            |
| yjjQ        |                      | putative DNA-binding transcriptional regulator                               | 90.69767    | 32.65306    | 4.727273   | 0.01028  | 1            |
| group_5095  | yjjQ                 | putative DNA-binding transcriptional regulator                               | 9.302326    | 67.34694    | 0.211538   | 0.01028  | 1            |
| insD        |                      | Qin prophage; predicted transposase  | 0           | 85.71429    | 0          | 0.013484 | 1            |
| group_6273  | yfjR                 | CP4-57 prophage; predicted DNA-binding transcriptional regulator             | 0           | 85.71429    | 0          | 0.013484 | 1            |
| group_7475  |                      | hypothetical protein   | 0           | 85.71429    | 0          | 0.013484 | 1            |
| group_6448  |                      | hypothetical protein   | 2.325581    | 81.63265    | 0.10582    | 0.017489 | 1            |
| group_10025 |                      | hypothetical protein   | 51.16279    | 73.46939    | 2.901099   | 0.018751 | 1            |
| yehY        |                      | YehW/YehX/YehY/YehZ ABC transporter  | 100         | 12.2449     | inf        | 0.02816  | 1            |
| group_1864  | mprA_3               | MprA-CCCP  | 0           | 87.7551     | 0          | 0.02816  | 1            |
| group_5711  | sdsR                 | SdsRQP multidrug efflux transport system - predicted membrane fusion protein | 0           | 87.7551     | 0          | 0.02816  | 1            |
| group_12032 |                      | hypothetical protein   | 46.51163    | 75.5102     | 2.681159   | 0.030775 | 1            |
| group_4965  | gspD_1               | putative protein secretion protein for export                                | 2.325581    | 83.67347    | 0.122024   | 0.033514 | 1            |
| yicl        |                      | alpha-xylosidase   | 44.18605    | 77.55102    | 2.734848   | 0.043964 | 1            |

**Appendix 6.11 Final gene target list.**

Isolates with the best sensitivity/specificity and lowest p value were selected for the final candidate list to be screened against available K12 mutants.

| Gene Name                       | Annotation  | Association                      | Naïve p value |
|---------------------------------|---|----------------------------------|---------------|
| eutJ                            | putative chaperonin, ethanolamine utilization protein | Less than 1000pg IL-8            | 0.040671398   |
| ynbC                            | putative hydrolase                                    | Low IL-8 < 500                   | 6.24E-06      |
| gmm                             | GDP-mannose mannosyl hydrolase                        | Mortality                        | 0.03379756    |
| yhgE                            | putative transport protein                            | Mortality                        | 0.000974849   |
| cpsB                            | mannose-1-phosphate guanylyltransferase               | Mortality                        | 0.009303852   |
| yhdJ_2                          | DNA adenine methyltransferase                         | Nosocomial                       | 0.019549098   |
| allD                            | ureidoglycolate dehydrogenase                         | Nosocomial                       | 0.028352288   |
| aat                             | leucyl, phenylalanyl-tRNA-protein transferase         | Nosocomial                       | 0.044836124   |
| group_11805                     | hypothetical protein                                  | Abdominal                        | 0.00187874    |
| glcA                            | glycolate / lactate:H <sup>+</sup> symporter          | Abdominal                        | 0.042128077   |
| yfaA                            | putative protein                                      | Abdominal                        | 0.027011704   |
| group_1360                      | hypothetical protein                                  | Abdominal vs other bacteraemia's | 0.032642468   |
| tufB                            | elongation factor Tu                                  | Bacteraemia                      | 4.63E-16      |
| group_4156<br>(non-unique ybjE) | putative transporter                                  | Bacteraemia                      | 8.40E-14      |
| ybjE                            | putative transporter                                  | Bacteraemia                      | 1.03E-12      |
| pemI                            | Antitoxin PemI  | Bacteraemia                      | 3.26E-09      |
| bla                             | Beta-lactamase TEM                                    | Bacteraemia                      | 6.97E-09      |
| group_9847(non-unique chpB)     | ChpB toxin of the ChpB-ChpS toxin-antitoxin system    | Bacteraemia                      | 1.67E-08      |
| tpd                             | 34 kDa membrane antigen                               | Bacteraemia                      | 4.20E-07      |
| iutA                            | Ferric aerobactin receptor                            | Bacteraemia                      | 8.88E-07      |



|                                |  |                               |             |
|--------------------------------|--|-------------------------------|-------------|
| agp_2                          | 3-phytase / glucose-1-phosphatase  | Bacteraemia                   | 1.67E-06    |
| nqrC                           | Na(+)-translocating NADH-quinone reductase subunit C                     | Bacteraemia                   | 2.40E-06    |
| group_7134<br>(non-unique traA | Pilin  | Bacteraemia                   | 1.09E-05    |
| yejF                           | peptide ABC transporter - ATP binding subunit                            | Serum resistance              | 0.010405101 |
| group_7879 non unique (yejF)   | peptide ABC transporter - ATP binding subunit                            | Serum resistance              | 0.010405101 |
| allS                           | AllS transcriptional activator   | Serum resistance              | 0.02117509  |
| group16170<br>(iutA            | Ferric aerobactin receptor   | Urinary vs other bacteraemias | 0.001165189 |
| yqiG_2                         | putative membrane protein  | Urinary vs other bacteraemias | 0.016642661 |
| tufB                           | elongation factor Tu   | Urinary                       | 1.81E-06    |
| znuB_2                         | Zn <sup>2+</sup> ABC transporter - membrane subunit                      | Urinary                       | 4.57E-05    |
| fhuC_2                         | iron (III) hydroxamate ABC transporter - ATP binding subunit             | Urinary                       | 0.000354559 |
| yohF                           | putative oxidoreductase with NAD(P)-binding Rossmann-fold domain protein | Urinary                       | 0.007551123 |
| ydeP                           | acid resistance protein  | Urinary                       | 0.010069958 |
| rusA_1                         | endodeoxyribonuclease RUS (Holliday junction resolvase)                  | Urinary                       | 0.013152763 |
| ydeQ                           | putative fimbrial-like adhesin protein                                   | Urinary                       | 0.038459844 |