# Numerical investigation of freak wave slamming on a fixed deck structure

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## 8 Abstract

9 Wave impact loads on box-shaped structures highly depend on the wave morphology. This paper 10 conducts a numerical study of freak wave impacts on a fixed, box-shaped deck. A numerical wave flume characterized by enhanced momentum conservation is developed, showing satisfactory accuracy and 11 12 stability in reproducing freak wave impacts. By changing the horizontal locations of the deck, 13 comparative analyses of the kinematics and dynamics on the front, top and bottom walls of the deck are 14 performed. Based on the morphological features of the wavefront and overturning wave tongue, a 15 quantitative approach for classifying the impact types is proposed. Four impact types are identified, 16 including the unaerated impact of a non-breaking wave, the well-developed plunging breaker impacts 17 with air entrapment on the top or front wall, and the broken wave impact. By investigating the 18 characteristics of each impact type, it is found that the wave shapes and impact behaviours vary 19 significantly on the front and top walls but show high similarities on the bottom wall. The well-20 developed plunging breaker applies the largest wave pressures and forces, especially when air 21 entrapment happens. Significant negative pressures appear on the top and bottom walls, and the sharp 22 right angles on the edges of the front wall play an important role in the generation of such negative 23 pressures. The influences of entrapped air pockets on wave loads highly depend on their locations. In 24 particular, the entrapped air results in large pressures and insignificant air cushioning effects on the 25 front wall. The findings of the present study would advance the knowledge of the breaking wave impact 26 on box-shaped deck structures, especially the behaviours of the air entrapment and the influence on 27 impact loads, which could underpin the design and assessment of coastal and ocean structures with deck 28 platforms.

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30 Keywords: Breaking wave; Ocean platform; REEF3D; Wave impact; Wave-structure interaction

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### 31 **1. Introduction**

32 Freak waves, also termed monster waves or rogue waves, pose substantial threats to ocean and 33 coastal structures (Bitner-Gregersen and Gramstad, 2016; Hopkin, 2004). Freak waves are characterized 34 by unusually large wave heights and power, which are more likely to create larger impact loads through 35 a variety of hydrodynamic mechanisms (Qin et al., 2017). Hence, it is of practical importance to 36 understand the fundamental mechanisms of freak wave impacts on coastal structures. A box-shaped 37 deck is used in many coastal structures, such as oil/gas platforms, bridges and wharves. Such coastal 38 structures are designed to withstand the 'front-on' impact of the waves, however, their failures due to 39 extreme waves happen occasionally (Almashan et al., 2021; Attili et al., 2023a). This motivates the 40 comprehensive study of the flow features, impact behaviours and wave load characteristics of the impact 41 of freak waves.

42 Box-shaped structures can be idealised as rectangular decks with vertical walls front and rear and 43 horizontal top and bottom walls. The horizontal lengths of decks are typically several times the 44 thicknesses, which can lead to complicated flow fields and wave load distributions. Baarholm and 45 Faltinsen (2004) studied the vertical loads of a regular wave train on the bottom wall of a box-shaped 46 structure, and compared the predictions of boundary element and Wagner-based methods. Yan et al. 47 (2019) studied freak wave impacts on a box-shaped structure and found the largest wave load when the 48 impact took place before the wave breaking, while the wave impacts upon breaking and after breaking 49 led to wave loads with similar magnitudes. Qin et al. (2017) compared the impacts of freak waves and 50 regular wave trains, and found the steep wave walls of freak waves led to more severe impact loads and 51 longer interaction durations. Filip et al. (2020) performed 500 numerical simulations of wave impacts 52 on a fixed box-shaped platform and derived extreme-value probability distribution functions for the 53 wave loads, and used these to characterise the dependence of wave load on wave steepness. Studies 54 have also shown that the incident wave kinematics led to different amounts of overtopped water and 55 varying wave loads on the top wall (Hu et al., 2017; Luo et al., 2020; Luo et al., 2022). Currently, 56 various impact behaviours and effects have been observed, and no consensus has been obtained in terms 57 of the impact behaviours and loads (e.g., the locations and values of the pressure maxima, and the 58 corresponding impact scenarios).

59 Regarding the complicated impact behaviours, it is noticed in some studies that the wave impacts 60 can be classified into different impact categories, by summarizing their similarities and characteristics. For example, Liu et al. (2019) studied the wave impacts on a vertical wall and categorized four types 61 62 based on the distance between the breaking point and the wall and compared their behaviours. Zhou et 63 al. (2024) classified four impact modes on the bottom side of a fixed plate based on aeration level and 64 observed significant variations in pressure distributions among different modes. Zhang et al. (2024) 65 identified four breaker types of wave impact on a square column with an overhanging deck, which took the speed of the breaker to establish the criterion. However, current classification standards tend to rely 66

67 on qualitative measures, demonstrating a need for quantitative wave impact type identification, with the 68 consideration of the morphology of the waves and the structures. More importantly, it is of great 69 significance to find the most dangerous impact type on a box structure and to identify which part of the 70 structure suffers more from the wave impact.

71 The entrapment of air may play a key role during the wave impact. The influence of entrapped air 72 varies among different incident wave morphologies and entrapment locations. Studies have observed 73 entrapped air pockets on the wave-facing walls, leading to the development of large pressures (Liu et 74 al., 2019; Sun et al., 2019; Yan et al., 2019). In contrast, the air pockets entrapped on the top walls of 75 the structures may result in low pressures or even negative pressures (Luo et al., 2022). Moreover, the 76 entrapped air pocket may induce an air cushioning effect that reduces the impact pressure (Chuang et 77 al., 2017). However, some other studies have not reported any such cushioning effect (Seiffert et al., 78 2015), and in certain cases, the entrapped air may even amplify the impact pressures (Bullock et al., 79 2007; Zhou et al., 2024). The problem becomes more complex when scaling the aerated wave impact to the prototype scale (Majlesi et al., 2024). As highlighted by Bullock and Bredmose (2024), it is 80 81 crucial to understand the role of air entrapment during wave impacts for scaling. At different scales, the 82 physical properties of the air pockets may vary significantly, such as the shape and size of the air pockets 83 (Attili et al., 2023b), as well as the stiffness of the entrapped air (Bredmose et al. 2015). Compared with 84 the frequently used Froude scaling law, the Bagnold-Mitsuyasu law (Bagnold, 1939; Mitsuyasu, 1966) 85 has been recommended by Bullock and Bredmose (2024), and the authors have stated that a more 86 rational and physics-based scaling law is still needed. These research questions and complexities 87 necessitate further exploration of the development of air pockets and the surrounding flow fields.

88 To sum up, wave impacts on box-shaped structures involve complex physical processes in terms of 89 morphology, kinematics and dynamics and no consensus has been reached yet. Numerical simulation 90 has become an important tool in analysing complex wave-structure interaction problems (Chen et al., 91 2018; Mu et al., 2024). However, the accurate simulation of freak wave impacts, or more broadly 92 breaking wave impacts, remains challenging due to the issues in handling the sharp change of fluid 93 properties across the water-air interface (Pang et al., 2024; Raessi and Pitsch, 2012) and the non-94 conservation of mass and momentum associated with the highly-deformed interface (Cui et al., 2022; 95 Liu et al., 2019; Shao et al., 2024). More specifically, the following aspects related to freak wave 96 impacts need further investigation:

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Accurate simulation of the freak wave impact process and flow details;

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Identification of different freak wave impact scenarios and their characteristics;

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Exploration of the multiphase phenomena, such as air entrapment.

To address these points, we develop a numerical wave flume by employing the recently proposedFinite Difference Method (FDM) two-phase flow model with an enhanced momentum-conservation

102 (Wang et al., 2023). After validation against experimental results of freak wave impacts, we conduct 103 simulations of the impact of a designed freak wave on a box-shaped structure with different horizontal 104 locations. The kinematic and dynamic features under typical impact patterns are investigated. The 105 investigation encompassed morphological characteristics of the waves, pressure distributions and wave 106 forces on structure walls, as well as the air entrapments. The aims and objectives of this paper include:

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To illustrate the applicability and performance of the enhanced momentum-conservation treatment (Wang et al., 2023) in simulating the freak wave impact;

- To develop a quantitative identification of impact types, and study the kinematics and 110 dynamics on each structure wall for different impact scenarios;
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• To understand the morphological characteristics and role of air entrapment during freak wave impact.

113 The research contributions of the present study are three-fold. Firstly, the numerical wave flume 114 advances the numerical tool for simulating freak wave-structure interaction. Secondly, a quantitative 115 criterion is proposed for classifying the freak wave impact types. Thirdly, the kinematic and dynamic 116 characteristics of the freak wave impacts on a deck structure, especially the role of air entrapment, are 117 thoroughly investigated, which provides further insights into the physical process. In what follows, 118 Sections 2 and 3 introduce the key formulations of the numerical method and the setup of the numerical 119 wave flume, respectively. Section 4 presents a comprehensive validation of the developed numerical 120 wave flume against experimental data. Using the validated numerical wave flume, 11 cases with 121 different relative locations between the deck structure and the same incident wave are simulated and 122 the results are presented in Section 5. After that, comprehensive discussions of the results are elaborated 123 in Section 6, focusing on the morphological, kinematic and dynamic characteristics of freak wave 124 impacts on the deck structure, and the air entrapment behaviours in different wave impact types. Finally, 125 the research conclusions and findings are highlighted in Section 7.

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#### 127 2. Numerical methods

128 2.1. Governing equations

129 The numerical simulations in the present study are conducted using the code described by Wang et 130 al. (2023). This is a modified version of the FDM-based CFD code REEF3D (version 20.02) (Aggarwal 131 et al., 2020; Ahmad et al., 2020; Moideen et al., 2020). The governing equations are the incompressible 132 Reynolds-Averaged Navier-Stokes (RANS) equations:

133 
$$\frac{\partial u_i}{\partial x_i} = 0 \tag{1}$$

134 
$$\frac{\partial u_i}{\partial t} + u_j \frac{\partial u_i}{\partial x_j} = -\frac{1}{\rho} \frac{\partial p}{\partial x_i} + \nu \left[ \frac{\partial}{\partial x_j} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \right] + \frac{1}{\rho} \frac{\partial \tau_{ij}}{\partial x_j} + g_i \tag{2}$$

135 where  $u_i$  is the velocity,  $\rho$  the density, p the pressure,  $\nu$  the kinematic viscosity,  $g_i$  the gravitational 136 acceleration, and  $\tau_{ij}$  represents the Reynolds stresses. The  $k - \varepsilon$  model (Launder and Spaulding, 1974) 137 has been adopted in the present study as the turbulence closure. The equations are as follows:

138 
$$\frac{\partial(\rho k)}{\partial t} + \frac{\partial(\rho k u_i)}{\partial x_i} = \frac{\partial}{\partial x_i} \left[ \frac{\mu_t}{\sigma_{\varepsilon}} \frac{\partial k}{\partial x_j} \right] + 2\mu_t S_{ij} S_{ij} - \rho \varepsilon$$
(3)

139 
$$\frac{\partial(\rho\varepsilon)}{\partial t} + \frac{\partial(\rho\varepsilon u_i)}{\partial x_i} = \frac{\partial}{\partial x_i} \left[ \frac{\mu_t}{\sigma_\varepsilon} \frac{\partial\varepsilon}{\partial x_j} \right] + C_1 \frac{\varepsilon}{k} 2\mu_t S_{ij} S_{ij} - C_2 \rho \frac{\varepsilon^2}{k}$$
(4)

140 where  $S_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right)$  is the strain rate tensor, and the eddy viscosity is computed as:

141 
$$\mu_t = \rho C_\mu \frac{k^2}{\varepsilon}$$
(5)

142 Following Launder and Spalding (1974), the empirical coefficients  $C_1$ ,  $C_2$ ,  $C_\mu$ ,  $\sigma_k$  and  $\sigma_{\varepsilon}$  are taken to be 1.44, 1.92, 0.09, 1.0 and 1.3, respectively. The reasons for adopting the  $k - \varepsilon$  model are twofold. 143 144 First, in the simulation of breaking wave impacts, good accuracy is needed in predicting the morphological evolutions of the overturning plunging wave tongues (free shear flow) and the flow fields 145 after the wave impacts (fully developed turbulence), and the  $k - \varepsilon$  model is a suitable choice for 146 modelling the abovementioned features (Bardina et al., 1997; Menter, 1994). Second, the  $k - \varepsilon$  model 147 148 provides satisfactorily good robustness (Attili et al., 2023a; Liu et al., 2024) and has been widely 149 adopted to simulate breaking wave impacts on structures of various shapes (e.g., Attili et al., 2023a; 150 Attili et al., 2023b; Hsiao and Lin, 2010; Jones et al., 2013; Pringle et al., 2016; Reeve et al., 2008; Wei 151 et al., 2022).

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#### 153 2.2. Water-air interface capturing

154 The Level – Set method (Osher and Sethian, 1988) is adopted to capture the water-air interface. In 155 the method, a Level – Set function  $\varphi$  is defined as the distance from a location to the water-air interface 156 and its value is updated by solving an advection equation as follows:

157 
$$\frac{\partial \varphi}{\partial t} + u_j \frac{\partial \varphi}{\partial x_j} = 0$$
(6)

158 Re-initialization of  $\varphi$  is performed after the advection to maintain the signed distance property. 159 According to the  $\varphi$  value, a Heaviside function is then defined as follows:

160 
$$H(\varphi) = \begin{cases} 0, & \text{if } \varphi < -\zeta \Delta x \\ \frac{1}{2} \left[ 1 + \frac{\varphi}{\zeta \Delta x} + \frac{1}{\pi} \sin\left(\frac{\pi \varphi}{\zeta \Delta x}\right) \right], & \text{if } |\varphi| \le \zeta \Delta x \\ 1, & \text{if } \varphi > \zeta \Delta x \end{cases}$$
(7)

161 where  $\Delta x$  is local grid size, and  $\zeta$  is a coefficient controlling the thickness of the interface region on 162 which the Heaviside function is evaluated. For meshes close to the water-air interface, the fluid densities 163 and viscosities are then computed based on the Heaviside function  $H(\varphi)$ , as follows:

164 
$$\begin{cases} \rho_i = \rho_1 H(\varphi_i) + \rho_2 (1 - H(\varphi_i)) \\ \nu_i = \nu_1 H(\varphi_i) + \nu_2 (1 - H(\varphi_i)) \end{cases}$$
(8)

165 This numerical treatment suggests that the water-air interface is represented by an interfacial region 166 of finite width  $\zeta \Delta x$  towards both sides of the interface. Note that a thick interface provides smooth 167 variations of fluid densities and viscosities across the interface and is advantageous for numerical 168 stability (Khedkar et al., 2025), while a narrow interface models the physically sharp water-air interface 169 better. Hence, the mesh size and  $\zeta$  should be selected carefully for balancing accuracy and numerical 170 stability. In the present study, the small-scale water droplets and air bubbles associated with the splashes 171 after wave breaking are treated as water-air mixtures. Smoothing and averaging are used in the water-172 air interface region to give density and viscosity values for the water-air mixture. This handling 173 approach may not precisely capture the real physics of small-scale water droplets and air bubbles and 174 is a limitation of the present study.

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#### 176 2.3. Discretization schemes and numerical treatments

177 The governing equations are solved following the two-step projection method (Chorin, 1968). The ghost cell-based immersed boundary method (Berthelsen and Faltinsen, 2008) is used to model the solid 178 wall boundaries. The 5<sup>th</sup>-order WENO scheme, i.e., WENO5 (Jiang and Shu, 1996), is used to construct 179 the flux for the advection term. The 3<sup>rd</sup>-order Runge-Kutta TVD scheme, i.e., RK3-TVD (Gottlieb and 180 181 Shu, 1998) is used for the time step propagation. The central difference and implicit Backward Time 182 Centred Space (BTCS) schemes are used for the spatial and temporal discretization of the viscous term. 183 An Enhanced Momentum Conservation (EMC) treatment has been implemented into the FDM model, 184 which involves the momentum-based velocity reconstruction scheme, a strong temporal coupling 185 between flow field solving and interface capturing, as well as measures to restrict the truncation errors 186 during numerical discretization and the spatial mismatch between variables assigned on grid line centres and grid centres. More details of the numerical model are referred to Bihs et al. (2016) and Wang et al. 187 188 (2023).

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## 190 **3. Setup of the numerical wave flume**

191 A 2D numerical wave flume, as sketched in Fig. 1, is established to reproduce the 2D experimental 192 case of Yan et al. (2019), where measurements were taken to ensure unidirectional wave impact on a 193 well-positioned deck structure. A piston-type numerical wave maker is located at x = 0 m (see the

194 coordinate system defined in Fig. 1). In numerical simulations of the experimental case, the piston 195 motion time series measured in the experiment (which can be downloaded from the supplementary 196 material) is used as the numerical input. The downstream end of the numerical flume is a wave 197 absorption zone which employs the relaxation method (see e.g., Miquel et al., 2018) to dissipate wave 198 energy and minimize wave reflections. An open boundary condition and a non-slip boundary condition 199 are applied on the top border and the other borders of the computational domain, respectively. Variable 200 time step sizes with the CFL number of 0.1 are adopted, and the interfacial thickness parameter  $\zeta$  for 201 the LSM is set to be 2.1, as suggested by Bihs et al. (2016). The turbulence model is only activated from 202 the time instant when the wave touches the front-bottom corner of the deck for the cases not involving 203 breaking waves or the time instant when the wave begins to break for the cases involving breaking 204 waves.

205 Wave elevations are measured at three locations: x = 6.894 m (WG1); x = 9.659 m (WG2); and x =206 11.104 m (WG3). Fluid velocities are measured by two velocity probes at 0.2 m beneath the still water 207 level (SWL) with x = 6.847 m (VP1) and x = 11.269 m (VP2), respectively. A metallic box-shaped deck 208 is deployed at 0.748 m above the flume bottom and the wave-facing side (which is called 'front wall' 209 from here) of the deck is located at x = 12.557 m from the initial position of the wavemaker. The deck 210 is fixed and hollow, with a length of 0.5 m(a) and a thickness of 0.12 m(b). Pressures at six locations 211 on the deck are measured: two on the front wall with 0.035 m (FP1) and 0.08 m (FP2) to the bottom 212 edge; two on the deck bottom (which is called 'bottom wall' from here) with 0.035 m (BP1) and 0.205 213 m (BP2) to the front wall; two on the deck top (which is called 'top wall' from here) with 0.038 m (TP1) 214 and 0.083 m (TP2) to the front wall. A high-speed camera is located at the side of the flume, to capture 215 the evolution of the wave during the impact.

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Fig. 1. Schematic view of the numerical wave flume (Unit: m).

220 Experimental data (Yan et al., 2019) are adopted to validate the numerical wave flume. In the 221 experiment, freak waves were generated by using the wave focusing theory. Table 1 presents the 222 parameters of the freak wave, in which h denotes the water depth,  $x_f$  the focusing distance from x = 0, 223  $x_d$  the location of the front wall.  $c_0$  denotes the characteristic celerity of the freak wave.  $P_0$  is calculated as  $P_0 = \rho_{water} \cdot c_0^2$ , which is the reference value for the normalization of the wave pressures 224 (Blackmore and Hewson, 1984).  $P_N$  denotes the normalized pressure. For the normalization of the 225 226 impact force, we adopted the wave slamming coefficient  $C_s$  (Jose and Choi, 2017), which is based on the semi-empirical formula proposed by Goda et al. (1966). As shown in Eq. (9),  $F_N$  is the normalized 227 228 wave force, and A is the projected area of the structure normal to the wave propagation direction (in the 229 present 2D study,  $A = b \times 1$ ).

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$$F_N = C_s = \frac{F}{F_0} = \frac{F}{0.5\rho_{water} \cdot A \cdot c_0^2}$$
(9)

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Table 1. Parameters of the freak wave investigated in the present study.

Parameters	<i>h</i> (m)	$x_f(\mathbf{m})$	<i>x</i> <sub>d</sub> (m)	<i>c</i> <sub>0</sub> (m/s)	$P_0$ (Pa)	$F_0(N/m)$
Value	0.7	12.45	12.557	3.312	10948.5	656.8

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The present numerical simulations replicate the laboratory setup of Yan et al. (2019), and based on the laboratory scale simulation results, the underlying physical mechanisms of the plunger-type freak wave impacts on a deck structure are studied. Hence, there is no scaling between numerical simulations and laboratory experiments. When scaling our results to real or prototype scales, the scaling needs to be carefully considered.

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## 241 **4. Mesh convergence test and validations**

#### 242 4.1. Mesh convergence test

A mesh convergence test is performed based on the four mesh resolutions shown in Table 2. The wave elevation histories over the time windows with the several large crests at the three wave gauges are presented in Fig. 2, and the pressure histories at the four pressure sensors (i.e., FP1, FP2, BP1 and BP2) are presented in Fig. 3. In general, the numerical results by the coarsest mesh, i.e. Mesh A, show significant discrepancies with other numerical results especially for wave impact pressures. With the refinement of mesh size, numerical results converge. Specifically, the time series of Meshes C and D almost overlap and are in closer agreement with the experimental data. The same trend is illustrated quantitatively by Table 3, which presents the relative errors of the wave elevation magnitudes (i.e.,  $\eta_{max}$ ) at the three wave gauges (i.e., WG1, WG2 and WG3) and the normalized pressure peaks ( $P_{Nmax}$ ) at four pressure measurement locations (i.e., FP1, FP2, BP1 and BP2). These results demonstrate the good accuracy of the developed numerical wave flume. In the following, Mesh D is adopted in simulations.

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Table 2. Mesh settings in the mesh convergence study.

_	Mesh setting	Mesh A	Mesh B	Mesh C	Mesh D
_	Mesh size (m)	$0.02 \times 0.02$	0.01 × 0.01	0.0075×0.0075	0.005×0.005

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Fig. 2. Wave elevation histories produced by different mesh sizes.



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Fig. 3. Pressure time histories produced by the four mesh sizes at: FP1 (a), FP2 (b), BP1 (c) and BP2 (d).

265Table 3. Relative errors of the maxima of wave elevations  $(\eta_{max})$  and pressures  $(P_{Nmax})$  for the four266mesh sizes (absolute values of relative errors are presented).

Relative	Mash A	Mash D	Mash C	Mash D
error (%)	Mesh A	Mesii D	Mesh C	Mesii D
$\eta_{\rm max}$ (WG1)	2.07	2	1.45	1.03
$\eta_{\rm max}$ (WG2)	0.1	1.01	0.94	0.75
$\eta_{\rm max}$ (WG3)	4.6	1.19	0.68	0.67
$P_{\text{Nmax}}$ (FP1)	88.43	49.03	19.42	9.23
P <sub>Nmax</sub> (BP1)	72.66	15.00	5.41	0.46
$P_{\text{Nmax}}$ (FP2)	89.71	44.17	20.53	8.46
$P_{\text{Nmax}}$ (BP2)	75.97	23.82	12.47	4.73

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## 268 4.2. Validation against the experimental data

Fig. 4 presents the simulated flow velocities along the horizontal and vertical directions at VP1 and VP2. The developed numerical wave flume well simulates the velocity amplitudes and phases. Fig. 5 shows the wave impact pressures at the abovementioned six locations. In general, the present numerical wave flume successfully captures the magnitudes (both positive and negative) and evolution trends of the wave pressures on the front, bottom and top walls of the deck in the wave slamming process. Note that some discrepancies exist between numerical and experimental pressure histories. On the front wall of the deck, for example, the experimental data shows a high-frequency pressure fluctuation at FP2, 276 while such fluctuations do not exist in the numerical results. Fig. 6 presents the experimental snapshots 277 from 18.66 s to 18.68 s (time instants during the pressure oscillation period at FP2). It can be seen that 278 FP2 is involved in the water-air mixing region (red curves in Fig. 6(a) to (c)). The propagating wave 279 face applies forces onto this water-air mixture, leading to its compression and expansion and causing the pressure oscillations (Zhou et al., 2024; Ha et al. 2020). Since the present model adopts the 280 281 incompressible fluid assumption and applies smoothing to the physical properties and water-air 282 interactions at small scales, the pressure oscillations at FP2 are not reproduced. On the bottom wall, the experimental pressures at BP1 and BP2 oscillate synchronously, as shown in Fig. 7. This is related to 283 284 the wave impact-induced structural vibrations that happen to the bottom wall of the deck, although 285 stiffeners are installed inside this hollow structure. Since the present numerical model treats the deck 286 structure as rigid, the pressure oscillations at BP1 and BP2 are not reproduced (see the middle column 287 of Fig. 5).

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Fig. 4. Comparison of numerical and experimental results of the velocity components.

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Fig. 5. Normalized pressures at the pressure sensors on the deck (black dashed line stands for zero

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pressure).



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Fig. 6. Experimental snapshots of the wave impact at: (a)18.66 s, (b)18.67 s and (c)18.68 s. The red curves show the regions of water-air mixing on the front wall.



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Fig. 7. Synchronous oscillation of experimental pressure histories at BP1 and BP2.

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The simulated wave profiles are compared with the experimental snapshot at typical time instants, as shown in Fig. 8. It can be seen the simulated water-air interface agrees well with the experiment snapshot, even after the wave slamming on the front wall. It is noted the FDM-EMC scheme maintains a smooth shape for the water-air interface during the impact, indicating the good performance of the EMC treatment with respect to numerical stability and interfacial smoothness. In summary, the FDM-EMC scheme has shown a good level of numerical stability and accuracy, allowing the exploration of flow details of the highly deformed freak wave.



Fig. 8. Wave profiles reproduced by the developed FDM-EMC model (red solid curves) in

comparison with experimental snapshots at: (a) 18.61 s, (b) 18.66 s, (c) 18.69 s and (d) 18.74 s.

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## **5. Breaking wave slamming with varying horizontal locations**

316 A deck structure may experience impacts by waves of different shapes. Previous studies have shown 317 that the wave shape upon impact is a key factor in determining the dynamics (Huang et al., 2022; Zhang 318 et al., 2024). To explore the breaking process and analyse the impact types and behaviours, we adjust 319 the horizontal locations of the structure  $(x_d)$ , to allow the designed freak wave to impact as an unbroken, breaking and broken wave. In this regard, ten more numerical cases are performed, as shown in Table 320 321 4. Together with the experimental case in the previous section (Case 0), the timelines of these 11 322 numerical cases are synchronized, based on the time instant when the front-bottom corner of the deck 323 gets wet  $(t_0)$ .

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Table 4. Locations of the deck ( $x_d$ ) and reference time ( $t_0$ ) of each case.

Case #	Case 0	Case 1	Case 2	Case 3	Case 4	Case 5
<i>x</i> <sub>d</sub> (m)	12.557	12.107	12.207	12.307	12.407	12.507
<i>t</i> <sub>0</sub> (s)	18.60	18.37	18.42	18.47	18.52	18.57
Case #	Case 6	Case 7	Case 8	Case 9	Case 10	
<i>x</i> <sub>d</sub> (m)	12.607	12.707	12.807	12.907	13.007	
<i>t</i> <sub>0</sub> (s)	18.62	18.66	18.70	18.74	18.80	

### 327 5.1. Impact processes of different impact types

## 328 5.1.1. Conceptual classification of impact types

Throughout the entire impact process, the evolutions of the water-air interface of each case are presented in Fig. 9. It can be seen that for all numerical cases, the simulated water-air interfaces maintain smooth shapes during the entire impact process, demonstrating good numerical stability and interface smoothness of the FDM-EMC model. Fig. 10 shows the time histories of the six pressure transducers (FP1&2, BP1&2 and TP1&2). According to Figs. 9 and 10, the pressure histories and wave shapes, especially the evolutions of the wave tongues, display common features among different types. We take these 11 numerical cases, including different impact patterns, and categorize them into four types.



Fig. 9. Evolutions of wave profiles during wave impacts for all numerical cases.

340 Cases 1 to 3 are labelled as 'Unbroken wave impact (U)', as the wavefront is still developing upon 341 the impact. No air entrapment is detected and all pressure transducers show single pressure peaks. Cases 342 4 to 8 and Case 0 feature a well-developed plunging wave shape at impact. Cases 4, 5 and 0 show air 343 entrapment on the top wall, while the pressure transducers on the top wall (TP1&TP2) present 344 significant negative pressure. Hence, Cases 4, 5 and 0 are labelled as 'P-T' (Plunging impact with Top 345 wall air entrapment). For Cases 6 to 8, air entrapment is observed on the top wall, and the pressure 346 transducers on the front wall (FP1&2) show a large initial peak followed by a lower peak. Thus, we 347 label Cases 6 to 8 as 'P-F' (Plunging impact with Front wall air entrapment). Cases 9 and 10 are 348 characterised by an over-developed broken wave shape at impact, and the overturning tongues directly 349 hit the front wall, resulting in the double-peak pressure histories on the front wall. Therefore, Cases 9 350 and 10 are classified as 'B' type (Broken wave impact).

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Fig. 10. Pressure histories at the six considered locations for all numerical cases.

According to the great similarities of the cases within the same impact type, we pick one representative case to illustrate each impact type with brevity, i.e., Cases 2, 5, 6 and 9 for U, P-T, P-F and B types, respectively. It is noted these classifications are qualitative for now, but a quantitative classification standard will be provided in Section 5.1.3.

359

## 360 5.1.2. Impact processes of different wave impact types

Unique features are observed for each impact type, especially for the early stage of the impact 361 process. Fig. 11 presents the wave profiles, velocity vectors and pressure contours at typical time 362 363 instants of the representative case in each impact type. Fig. 12 presents the pressure histories of FP1, 364 BP1 and TP1, as well as the velocity histories in the vicinity of the pressure measurement locations (one 365 grid away perpendicular to the structural walls). By comprehensively considering the wave profiles and 366 pressures (Figs. 9 to 11), the time series in Fig. 12 can be divided into several stages based on the 367 dominating features, i.e., air entrapment, wave tongue impact and wave face impact (the dot-dashed 368 lines in Fig. 12 approximately separate the impact stages).

369



Fig. 11. Wave profiles, pressure contours and velocity vector fields at typical time instants of representative cases in the four impact types.



Fig. 12. Pressure histories at FP1, BP1 and TP1, as well as the velocity histories in the vicinity of the
pressure measurement locations (one grid away normal to structural walls), for the four impact types.

379 The U type impact manifests the impact of unbroken waves, which coincide with the 'upward 380 deflected breaker' and 'spilling breaker' identified by Zhang et al. (2024). It can be seen from Fig. 12 381 (a) to (c) that the airflow prior to the wave face impact leads to negligible pressures, and the water flows 382 pass through the pressure measurement locations of FP1, BP1 and TP1 with decreasing velocities and 383 increasing pressures. Note that the pressure peaks always happen shortly ( $\sim 0.05$  s) after the velocity 384 peaks. On the front wall, the upward climbing wave face reaches the top-front edge of the deck prior to 385 the advancing wave tongue, which is accompanied by a high-pressure area and an upward-shooting 386 water jet with high velocity (first row of Fig. 11). This jet brings large upward velocity into the wave 387 tongue, resulting in the most significant vertical stretch of the water tongue among the four wave impact 388 types.

The P-T type features a plunging breaker and an enclosed air pocket on the top wall (the second row of Fig. 11). By the time the upward climbing wave face reaches the front-top corner, the advancing wave tongue has already moved beyond the front wall (but has not hit the front wall). The overturning tongue falls quickly on the top wall, with an enclosed air pocket captured (the snapshots at  $t_0 + 0.07$  s in the second row of Fig. 11). According to Fig. 12(d), the captured air flows with a high velocity at the order of 10 m/s, associated with a large negative pressure at TP1 ( $-0.84\rho c^2$ ). Such high-velocity airflow and large negative pressure only last for ~ 0.02 s. While the impact process on the top wall depends both on the water tongue and air, the impact process on the front wall of the P-T type is still dominated by the wave face, which shows high similarity with that of the U type (see the first and second rows of Fig. 11).

399 The P-F type also shows a violent plunging breaker like the P-T type. However, the overturning 400 tongue hits the front wall while the wave face is still rising. Thus, the enclosed air pocket is captured 401 on the front wall instead of the top wall (see the third row of Fig. 11). This air pocket is associated with 402 the declaration of the airflow and a transient high pressure (~ 1.00  $\rho c^2$ ) prior to the impact of the wave 403 face, as can be ben seen from Fig. 12(e). The transient high pressure in the air pocket applies an upward 404 force to the wave tongue, making the tongue slightly lifted (Fig. 9), which re-establishes the 405 connectivity between the air pocket and atmosphere and enhances the air leakage with an increased 406 velocity (see the air entrapment stage in Fig. 12(e)). After this, the impact of the wave face leads to a 407 similar process on the front wall to the U and P-T types (see Fig. 11 and the wave face impact stage in 408 Fig. 12(a) and (e)).

409 The B type represents the impact of broken waves with the most developed overturning tongue among the four types (see the fourth row of Fig. 11). The overturning tongue hits the front wall, leading 410 411 to the declaration of the water flow and a pressure rise (see Fig. 12(f)). By analysing Figs. 11 and 12(f), 412 it can be observed that the captured air pocket applies insignificant pressures on the front wall, and the 413 captured air escapes with an increasing velocity due to the air leakage. The impact of the wave tongue 414 generates a downward-shooting jet, which penetrates into the underlying wave face and brings intensive 415 water-air mixing. The flow mixing between the downward jet and the propagating wave forms a nearly 416 vertical wave face, which impacts on the front wall after the air leakage and results in a high-pressure 417 area on the front wall.

418 The spatial distribution of fluid turbulence during the wave impact process is analysed through 419 plotting the contours of the product of turbulence kinetic energy (TKE) and fluid density  $\rho$  (a quantity 420 related to the Reynolds stress), and the velocity vector fields for each impact type, as shown in Fig. 13. 421 For all impact types, turbulence in the water flows is mainly generated by the direct impacts of wave 422 faces or wave tongues and the water-air mixing induced by the upward-shooting air jets. Flow 423 separations occur at the front-bottom corner of the deck, and the water flows hitting on the front and 424 bottom walls experience significant velocity reductions. The high velocity gradients in the local regions 425 around the leading edges of the water flows lead to relatively large Reynolds stresses (e.g., the value 426 TKE× $\rho$  being around 200 Pa on the front wall and 100 Pa on the bottom wall), which transport with 427 wave propagation (see the green circles in Fig. 13). The direct impact of the wave face entraps air on the front and bottom walls of the deck and squeezes the air regions. The air is accelerated from  $\sim 1 \text{ m/s}$ 428 429 to  $\sim 10$  m/s and escapes upwards and leftwards along the deck walls. The upward-shooting jets then

- 430 meet the horizontal-moving wave tongues, leading to significant changes in airflow directions, large
- 431 gradients of local air velocities and intensive water-air mixing (see the red boxes in Fig. 13). As a result,
- 432 relatively large areas with high Reynolds stresses (TKE× $\rho$  reaching ~ 1000 Pa) are observed in the
- 433 water flows above the top wall, subsequently after the direct impact of the wave face. Strong turbulence
- 434 primarily appears at the leading tips or rear parts of the overturning tongues, depending on the
- 435 morphologies of the overturning tongues of different wave impact types. Also note that the impact type
- 436 B is more aerated upon the impact, due to the penetration of the wave tongue into the underlying wave
- 437 face (see Section 5.1.1 and Fig. 13(d)). Water-air mixings occur at the front-bottom corner and the front
- 438 wall, accompanying relatively large areas with turbulence and significant reductions in impact pressures.
- 439 As shown in Fig. 10, the pressures reduce from ~  $0.5\rho c^2$  to less than  $0.1\rho c^2$  at BP1 within 0.05 s in the
- 440 B-type impact.



Fig. 13. Contours of TKE× $\rho$  and velocity vector fields at typical time instants: (a) U type, (b) P-T type, (c) P-F type and (d) B type.

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Fig. 14 presents the time histories of TKE× $\rho$  and flow velocities in the vicinity of FP1 and BP1 (one grid away from the structural wall), which are also divided into different stages based on the dominating processes (air entrapment, wave tongue impact and wave face impact, water-air mixing). As can be seen, prior to the direct impacts of wave faces or wave tongues, the airflows at FP1 and BP1 are accelerated. Due to the relatively small values of TKE× $\rho$  associated with these airflows (below 50 Pa), the airflow turbulence does not significantly influence the water flows upon the wave impact. For all impact types, at both FP1 and BP1, the Reynolds stresses reach the peak values around 0.01 s after the start of the wave face or wave tongue impact. The water flow velocities reduce quickly (within 0.05 s) and the Reynolds stresses dissipate rapidly. Note that the wave tongue impact in the B type (see the top right plot in Fig. 14) leads to the largest Reynolds stress at FP1 (TKE× $\rho \approx 200$  Pa) among the four impact types, which also lasts longer than the Reynolds stress at FP1 induced by the subsequent wave face in the B-type impact.

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Fig. 14. Time histories of TKE×*ρ* and fluid velocities for the four impact types (top row: FP1; bottom row: BP1).

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### 463 5.1.3. Quantitative classification for impact types

464 Fig. 15 presents a typical wave profile for illustration. The top part with the overturning water jet is termed the 'wave tongue', whose leading edge is the 'wave tip'; the inclined front of the incoming wave 465 466 bulk is the 'wave face'. Morphological parameters have been used by relevant studies to quantitatively 467 classify the impact types (Zhang et al., 2024). In our study, we do not only consider the morphological 468 parameters, but also the parameters describing the movements of the water tongue and advancing wave 469 face, which control the evolution of the wavefront. Six parameters are introduced: the relative height 470 between the top of the breaking tongue and the top wall ( $\delta_1$ ); the relative height between the wave tip 471 and the top wall  $(\delta_2)$ ; the horizontal distance between the wave tip and front wall  $(\delta_3)$ ; the horizontal 472 and vertical velocities of the tip of the wave tongue ( $U_{tx}$  and  $U_{ty}$  respectively); the vertical velocity of the upward-climbing wave face  $(U_{fy})$ . Taking  $t_0$  as the reference time, we define two parameters: the 473 duration for the wave tongue to reach the same horizontal position as the front wall  $(T_1)$ , which can be 474 calculated as  $T_1 = \delta_3/U_{tx}$ ; the duration for the climbing wave face to reach the front-top corner (T<sub>2</sub>), 475 476 which can be calculated as  $T_2 = b/U_{fy}$ .



479 Fig. 15. Sketch of the wave slamming and the morphological parameters for the breaking wave, i.e. 480  $\delta_1, \delta_2, \delta_3, U_{tx}, U_{ty}$  and  $U_{fy}$ .

478

482 As described earlier, for the U type, the wave face reaches the vicinity of the front-top corner prior 483 to the wave tip, requiring  $T_1 > T_2$ . In contrast, the wave tip arrives in the vicinity of the front-top corner 484 earlier than the wave face for P-T and P-F types, requiring  $T_1 < T_2$ . For the P-T type, the tongue is still 485 vertically higher than the top wall at  $t_0 + T_1$ , thus  $|U_{ty} \times T_1| < \delta_2$  and  $\delta_2 > 0$ . For the P-F type, to capture the air pocket on the front wall, the overturning tongue needs to be vertically lower than the top wall at 486  $t_0 + T_1$ , meanwhile, the tip of the tongue should not be lower than the bottom wall, thus, P-F requires 487  $|U_{ty} \times T_1| > \delta_2$  and  $\delta_2 > -b$ . For the B type, the air pocket is captured between the tongue and the wave 488 489 face before the impact, and the tongue tip has already descended to the wave face at  $t_0$ , thus  $\delta_2 \leq -b$ . 490 These criteria can be used as guidelines for identifying wave impact types, as presented in Table 5.

491

Table 5. Classification of impact types (the underlines indicate the representative cases of different
 types).

Types	Case #	Features	Morphological properties at $t_0$
Unbroken wave impact (U)	1, <u>2</u> , 3	No noticeable breaker; Upward- shooting tongue after the impact	$T_1 > T_2$
Plunging wave with top wall entrapment (P-T)	4, <u>5</u> , 0	Plunging breaker impact on the front wall; Air entrapment and fast-falling tongue on top wall	$T_1 < T_2 \& U_{ty} \times T_1 < \delta_2$ $\& \delta_2 > 0$
Plunging with front wall entrapment (P-F)	<u>6</u> , 7, 8	Plunging breaker impact and air entrapment on the front wall; slightly lifted tongue after the impact	$T_1 < T_2 \& U_{ty} \times T_1 > \delta_2$ & $\delta_2 > -b$
Broken wave impact (B)	<u>9</u> , 10	Broken wave impact and water-air mixing on the front wall	$\delta_2 \leq -b$

#### 495 5.2. Impact pressures, forces and impulses

## 496 5.2.1. Wave profiles and resulting pressures

According to Section 5.1, the impact process consists of three main components: the impact of the wave face, the effect of the entrapped air and the impact of the wave tongue. To investigate their resulting pressures and their relationships with the wave morphology, we present the evolutions of pressures along the structure walls and the water-air interfaces in Figs. 16, 17 and 18 for the front, bottom and top walls, respectively.

502 The front wall of the deck is subjected to the wave face impact for all types, and the air entrapment 503 (P-F) and the wave tongue impact (B) affect the front wall prior to the wave face impact. For the wave 504 face impacts of U, P-T and P-F types (Fig. 16 (a) to (c)), evident similarities in the wave face shape and the resulting pressure evolutions are observed, which are characterized by the high pressure at the top 505 506 of the wetted area. In comparison, the nearly vertical wave face in B type leads to a simultaneous 507 pressure rise for the entire wetted area. The compressed air pocket in P-F results in evenly distributed 508 pressures on the front wall, with the magnitude even higher than the wave face impact (Fig. 16 (c),  $t_0$  + 509 0.01 s). B shows the impact of the overturning wave tongue, which only led to the pressure rise in a 510 small area of contact (Fig. 16 (d),  $t_0 + 0.01$  s). Linking with the histories of pressures (Fig. 10), it is seen 511 the wave face leads to the unique pressure peaks of U and P-T, as well as the second pressure peaks for 512 P-F and B on the front wall. The first pressure peaks for P-F and B result from the air entrapment and 513 wave tongue, respectively.

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515



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Fig. 16. Wave profiles and pressure along the front wall at typical time instants for the four types. The inner box resembles the box-shaped deck, and the *x*-axis of the inner box denotes the normalized pressure ( $P_N$ ). (a) to (d) represent U, P-T, P-F and B types, respectively.

522 On the bottom wall, the wave face impact is the major contribution to the impact process, and the 523 shapes of the wave faces are highly similar for all four impact types (see Fig. 9), leading to nearly identical pressure distributions (which will be shown in Section 5.2.2). Thus, we only present the results 524 525 of the P-T type (Case 5). According to Fig. 17(a), the wave face passes along the bottom wall without 526 significant change in shape. Similar to the wave face climbing on the front wall for the U, P-T, P-F 527 types, the wave face on the bottom wall also generates a high pressure behind the advancing wave face. At the late stage of the wave impact (Fig. 17(b)), negative pressure appears with the falling wave profile 528 529 to the upstream side of the front wall. This negative pressure has been termed the 'suction effect' by 530 several authors (e.g. Sun et al., 2019; Wang et al., 2022; Duong et al., 2022; Wang et al., 2024). The centre of this negative pressure area moves downstream slightly, with the peak negative pressure 531 532 dipping to  $\sim -0.16\rho c^2$  before returning to zero.



534

Fig. 17. Wave profiles and pressure distributions of P-T (Case 5) at typical time instants: (a) impact with positive pressures; (b) water receding with negative pressures. The inner box resembles the boxshaped deck, and the y-axis of the inner box denotes the normalized pressure ( $P_N$ ).





Fig. 18. Wave profiles and pressure distributions on the top wall for each impact type at typical time instants. The inner box resembles the box-shaped deck, and the *y*-axis of the inner box denotes the normalized pressure ( $P_N$ ). (a) to (d) represent U, P-T, P-F and B types, respectively.

543

544 On the top wall, the major contributions to the impact involve wave tongue and air entrapment. 545 According to Fig. 18, the wave tongue directly hits the top wall with its tip for all types except U type, 546 creating a high pressure at the area of contact. In contrast, the wave tongue of U type slams on the top 547 wall while the tip is still in the air, resulting in a larger high-pressure area moving forward. The rising 548 wave face reaches the top wall in all four types but does not lead to a significant pressure rise. It is 549 noticed in the P-T type (Fig. 18(b)), that the significant negative pressure is evenly distributed on the 550 top wall in the vicinity of the front-top corner, which highly coincides with the captured air pocket on the top wall. Such a phenomenon has been rarely reported in the literature, which will be discussed inSection 6.3.2.

553 To sum up, the pressure induced by wave face impact highly depends on the shape of the incident 554 wavefront, especially on the front wall. The similar wave shapes on the front and bottom walls result in 555 similar pressure evolutions. The air entrapment on structure walls leads to evenly distributed high 556 pressure, while the impact of the wave tongue tip results in the high pressure in a small area of contact. 557 Two types of negative pressures are observed, one appears on the front-bottom corner at the late stage 558 of the impact for all types ('downward suction effect'), and the other one appears above the front-top 559 corner for the P-T type ('upward suction effect'). The influence of the wave profile and structure shape 560 on the wave impact pressure will be discussed in Section 5.2.

561

### 562 5.2.2. Pressure distributions, force histories and impulses

To explore the relationship between the pressure and forces on the deck and illustrate the evolutions of wave loads comprehensively, we present the spatio-temporal pressure distributions on the structure walls, as shown in Fig. 19. By integrating the pressures on the walls, we obtain the force histories on each wall, as well as the total vertical force on the deck, as shown in Fig. 20. The leftward and upward forces are taken as positive.

568 According to Figs. 19 and 20, on the front wall, the single-peak impacts of U and P-T, as well as the 569 double-peak impacts of P-F and B are confirmed. While the wave faces with similar shapes lead to similar pressure patterns for U, P-T and P-F, P-T shows the largest magnitude and longer impact 570 571 duration. The air entrapment leads to the transient high pressures on the front wall for P-F impact type 572 (Fig. 19), resulting in large horizontal forces with magnitudes larger than those induced by the wave 573 face (Fig. 20). The pressures and forces of B impact type are relatively lower than the other three types. 574 It is noted that the overall high pressures among all four types are observed in P-T and P-F types, which 575 are the results of wave face impact and air compression, respectively. Compared with the air 576 compression and tongue tip impact, the wave face impact shows longer impact durations with large 577 pressure and force, making it the crucial component during the whole impact process on the front wall.

578 On the bottom wall, the highly similar wave faces for all four types (see Fig. 9), result in the nearly 579 identical pressure distributions and force histories (second columns of Figs. 19 and 20, respectively), as 580 expected. As the length of the deck (a = 0.5 m), is much larger than the front wall thickness (b = 0.12581 m), the pressure and force on the bottom wall show much longer impact durations (Fig. 19), and impact 582 regions (Fig. 9), than those on the front wall. Thus, the magnitudes of the vertical forces on the deck 583 are comparable with those on the front wall (Fig. 20), although the pressure maxima on the bottom wall 584 are lower than that of the front wall (Fig. 19). The high-pressure areas on the bottom wall advances with 585 the wave face without significant change of magnitude (red dot-dashed boxes, Fig. 19). The negative

pressure areas appear from ~  $t_0$  + 0.20 s, and only cover a small area of the bottom wall without noticeable change of locations (red solid boxes in the middle column of Fig. 19), resulting in a weak downward suction force (second columns of Figs. 19 and 20).

589



590

591 Fig. 19. Spatial and temporal pressure distributions of the representative cases of each impact type 592 (left column: front wall; middle column: bottom wall; right column: top wall).

593

594 On the top wall, the magnitudes of pressures and forces are generally much lower than those on the 595 front and bottom walls (Fig. 20). High similarities are found between the pressures of U and P-F types, 596 which are featured by an advancing high-pressure area (purple dot-dashed boxes, right column of Fig. 597 19). This is because the wave tongues are significantly stretched vertically, and slam on the top wall 598 instead of hitting the top wall with the tongue tip (see Fig. 9). P-T type demonstrates the most violent 599 impact process on the top wall, as large positive and negative pressure areas appear at the same time in 600 neighbouring locations (red solid circle and red solid box in P-T type, Fig. 19), which corresponds with 601 tongue tip impact and air entrapment, respectively. For the B type, the pressures come from the fallen 602 tongue tip and overtopping of the wave face (red solid circles in B type, Fig. 19), whose magnitudes are 603 similar to the other three types. Particularly, P-T shows significant upward forces on the top wall from 604 ~  $t_0 + 0.01$  s, due to the upward suction effect above the front-top corner. Combined with the upward force on the bottom wall, very large force peaks on the whole deck appear at ~  $t_0 + 0.07$  s (Fig. 20). 605



(leftward and upward forces are taken as positive).





Fig. 21. Top row: Total impulses applied on front wall of the four patterns and the contributions
from each elemental process; Bottom left: Total impulses applied on the bottom wall; Bottom right:
Total impulses applied on the top wall.

By integrating the force histories over time, we calculate the impulse on each wall from  $t_0$  to  $t_0$  + 0.20 s for all cases in the four impact types. As shown in Fig. 21, we separate the impulses on the front

618 wall by their originations (wave face, air compression or wave tongue), and the upwards and downwards 619 impulses on the top and bottom walls are also presented separately. It can be seen that the total impulse 620 on the front wall does not vary significantly among the four impact types (~  $0.067F_0$ ). It is noted that 621 the wave face generates a lower impulse in the P-F type (due to the air cushioning effect), and the B 622 type (the wave tongue is more developed and thus less wave energy remains in the wave face). The 623 impulse on the bottom and top walls does not vary significantly either, while the overall mean value is 624  $0.182F_0$  upwards on the bottom wall and  $0.066F_0$  downwards on the top wall.

625

#### 626 Maximum pressures and forces 5.2.3.

The maximum values of pressure and force are key factors influencing structural safety, and their 627 628 values on each wall for the four impact types are presented in Fig. 22. Specifically, the pressure and 629 force on the front wall were separated by their originations.

630



631

632 Fig. 22. First row: Maximum pressures and forces applied on the front wall in the four impact 633 types (separated by the originations); Second row: Maximum pressures (positive and negative) and 634 forces (upward and downward) applied on the bottom and top walls, as well as the maximum vertical 635 forces (upward and downward) on the deck in the four impact types.

636

On the front wall, the impact types with well-developed plunging breakers (P-T and P-F), result in 637 the largest pressures and forces while the other two types show lower values. The wave face impact 638

generates pressure up to  $1.560\rho c^2$  and force up to  $1.932F_0$  for P-T type, and P-F shows pressure up to 639  $1.112\rho c^2$  and force up to  $2.236F_0$  due to the air compression. On the bottom wall, the maximum 640 641 pressures and forces do not vary noticeably among the four impact types, due to similar impact 642 processes. The positive and negative pressures are both significant (up to  $0.561\rho c^2$  and up to  $-0.172\rho c^2$ , respectively), on the bottom wall, but the maximum upward force  $(1.341F_0)$ , is much larger than the 643 maximum downward force  $(0.124F_0)$ . On the top wall, the positive pressure and downward force take 644 645 crucial roles in all four types, with the maximum value of  $0.298\rho c^2$  and  $0.694F_0$ , respectively. The negative pressures and upward suction forces are almost negligible for U, P-F and B types, while the P-646 T type shows negative pressure up to  $-0.881\rho c^2$  and suction force up to  $1.127F_0$ , which are even 647 comparable with those on the front and bottom walls. 648

649

# 650 6. Discussion

#### 651 6.1. Characteristics of the freak wave impact on a box-shaped deck

A comparison of the impact characteristics among the impact types and structural walls is shown in 652 653 Table 6. Overall, the impact on the front wall is characterised by the large transient pressure and force 654  $(P_N > 1 \text{ and } F_N > 1)$ , which spreads across the whole front wall. The impact on the bottom wall shows 655 a longer impact duration and a wider area of contact with a slightly lower pressure ( $P_N \approx 0.3$ ), resulting 656 in a large upward force  $(F_N > 1)$ . Compared with the front and bottom walls, the wave loads on the top 657 wall are normally lower and even negligible ( $P_N < 0.1$  and  $F_N < 0.5$ ). Exceptions arise for the P-T impact type, in which the entrapped air generates large negative pressure ( $P_N > 0.5$ ), and upward suction force 658 659  $(F_N > 1)$ , in the vicinity of the front-top corner.

660 The large negative pressures and upward suction forces of P-T type impact, which have rarely been 661 studied, amplified the tilting torque on the deck. Fig. 23 presents the pressure distributions around the 662 wave-facing part of the deck at typical time instants. It can be seen that a large upward force on the top 663 wall appears only  $\sim 0.02$  s after the appearance of the maximum horizontal force, and the combination 664 of the upward forces on the top and bottom walls results in an extreme total upward force on the deck (~  $2F_0$ , see Fig. 20). It is also noted that these two upward forces are both applied on the wave-facing 665 part of the deck, showing a relatively large distance to the deck centre. Together with the tilting torque 666 667 generated by the large upward force, the P-T impact type demonstrates the largest tilting torque on the deck. 668

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- 670
- 671

	Front wall	Bottom wall*	Top wall
Pressure magnitude	Large ( $P_N > 1$ for all types); P-F $\approx$ P-T > U $\approx$ B	Medium $(P_{\rm N} \approx 0.3)$	Low for U, P-F, B ( $P_{\rm N} < 0.1$ ); Large for P-T ( $P_{\rm N} > 0.5$ )
Force magnitude	Large ( $F_N > 1$ for all types); P-F $\approx$ P-T > U $\approx$ B	Large $(F_{\rm N} > 1)$	Low for U, P-F, B ( $F_{\rm N} < 0.5$ ); Large for P-T ( $F_{\rm N} > 1$ )
Impact duration	Very short (< 0.1 s); U $\approx$ P-T < P-F $\approx$ B	Long (~ 0.2 s)	Long (~ 0.2 s) for all types; An extra suction process for P-T (~ 0.1 s)
Origin of the wave load	Wave face impact (all types); Air compression for P-F	Wave face impact; Suction effect due to water receding	Wave tongue impact for all types; Suction effect for P-T
Large wave load location	The entire wall, especially the top part (all types)	The entire wall, especially the wave- facing part	The wave-facing part for P-T
Risk levels of impact types	P-F > P-T > U > B	$P\text{-}F\approx P\text{-}T\approx U\approx B$	$P\text{-}T >> P\text{-}F \approx U \approx B$
Stage with high risk	The early stage of the impact (all types)	The whole impact process	The early stage of the impact for P-T

<sup>673</sup> \*For all impact types, the wave loads on the bottom wall show similar magnitudes and trends.



Fig. 23. Pressure distributions around the wave-facing part of the deck at the early impact stage of the
P-T impact.

679 Overall, the amplitudes of the wave loads on the deck follow this descending order: P-T, P-F, U, B. 680 The whole front wall and the area above the front top corner are subjected to large impact pressures, 681 especially for P-T and P-F types. These large pressures may damage the surface walls and the devices 682 installed there, especially for the front-top corner where the defence of the negative pressure was rarely 683 less considered. The bottom wall experiences a large upward force with a relatively lower pressure for 684 all four types. This large upward force leads to a large tilting torque to the deck, which may tilt the whole structure or bend the support frames. All large wave loads are likely to appear at the early stage 685 of the impact and are mostly applied on the wave-facing side of the deck. 686

687

688 6.2. Influences of structure shape and incident wave profile on impact pressures

#### 689 6.2.1. Influence of structure shape

690 Recent studies have shown that the impact behaviours depend strongly upon the shapes of the 691 structures and waves (Martin et al., 2023; Paulsen et al., 2019; Zhang et al., 2024). Focusing on the box-692 shaped deck, we here discuss the roles of the vertical front wall and the sharp right angles. Taking P-T 693 as an example, the velocity vector fields at typical time instants of Case 5 are shown in Fig. 24. It can 694 be seen that the fluids (water and air) are forced to flow vertically along the front wall. These vertical 695 velocities are well maintained when the fluids leave the top or bottom edge of the front wall, bringing large vertical velocities to the local fluid on the front or bottom walls. This leads to the formation of the 696 697 local vortices sticking on the horizontal surfaces (top or bottom walls). According to Bernoulli's theory, 698 these vortices result in the development of negative pressure areas, as observed in Fig. 24. The 699 magnitude of negative pressures and their areas are highly related to the rotating velocity of the vortices.

700

701



Fig. 24. Wave profiles, pressure contours and velocity vector fields at typical instants during the P-T
 impact (Case 5).

703

707 Compared with the wave impacts with different structure shapes, the wave impacts on vertical walls 708 rarely report such vortices and corresponding negative pressures, as the heights of the walls are much 709 larger, and the right angle is usually absent. Wave impacts on horizontal thin plates often show very 710 weak negative pressure (Zhou et al., 2023; Zhou et al., 2024), as the very thin thickness of the front side 711 is not able to develop the vertical fluid flow, thereby promoting the generation of the vortex. In contrast, in our study, the negative pressure may achieve  $-0.172\rho c^2$  (on the bottom wall), even  $-0.881\rho c^2$  (on 712 713 the top wall). This is due to the combined influence of the front wall with a substantial thickness and 714 the right angles on the front wall. During the impact, the thickness of the front wall (b) is large enough 715 to develop vertical fluid flow but with a velocity that is not so large to prevent the velocity exchange 716 between the vertical flow and the local fluid on the horizontal surfaces. The right angle on the front wall 717 allows the vertically flowing fluid to move away from the horizontal surfaces, promoting the generation 718 of vortices and the corresponding negative pressures. Thus, the thickness of the front wall (b), and the 719 right angles have been identified as the key factors of the negative pressures.

The thickness of the front wall (b) has more influence on the impact behaviour. On the front wall, a small *b* may reduce the effectiveness of the air cushioning of the entrapped air pocket, which will be discussed in Section 6.3.1. On the bottom wall, *b* may influence the magnitudes of the downward suction forces. In our research, the suction forces are insignificant while the negative pressure is not negligible. However, relevant studies with similar configurations report much larger downward suction forces with a larger front wall thickness (Wang et al., 2023).

#### 727 6.2.2. Influence of incident wave profile

728 The shape of the incident wave is another key factor dominating the impact behaviour of the wave 729 face, entrapped air and wave tongue. The impact of the wave face induces large pressure on the front 730 wall (especially the top part), and lower pressure on the bottom wall. It is seen that the movements of 731 wave faces are not disturbed on the front wall for U, P-T and P-F types, as well as the bottom wall for 732 all types. During the impact of these scenarios, the shapes of the wave faces are highly similar, leading 733 to almost identical pressure evolutions. It is noted that the largest pressure always appears slightly 734 behind the advancing wave face (both front and bottom walls). The impact of the overturning tongue is 735 featured by the high pressure on a small area of contact, related to the size of the wave tongue.

A well-developed plunging breaker not only induces large pressure and force due to the intensive impact of wave face, but also leads to the air entrapment on structure walls, resulting in instantaneous pressure change and evenly distributed high pressures on the areas covered by the pocket. Thus, the incident waves with well-developed plunging breakers (e.g., the P-T and P-F types) can apply the most destructive loads to the structure.

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## 742 6.3. Air entrapment behaviours at different locations

#### 743 6.3.1. On the front wall: high pressure and insignificant air cushioning

The air entrapment in P-F type induces large pressures and forces on the front wall (see Section 5). To investigate the role of the entrapped air, as well as the large loads generated by the air pocket, we conduct an extra comparative study. Based on Case 6 of P-F type, we perform an extra numerical case (Case 6B), in which the *b* is reduced from 0.12 m to 0.115 m, giving a slightly larger  $\delta_2$ . We expect such a small change to connect the entrapped air and atmosphere (hence prevent the formation of the enclosed air pocket), without noticeable influence on the impact morphology.

750 Fig. 25 compares the results of Case 6 and Case 6B, including the pressure and forces histories, as 751 well as the evolutions of pressure, velocity and water-air interfaces during the development of the air 752 pocket. It is clearly seen the two cases are identical upon the impact  $(t_0)$ . However, the air between the 753 front wall and wave face shows a larger velocity, and escapes from the top corner with a high velocity 754 in Case 6B at  $t_0 + 0.01$  s, and the pressure rise in the air pocket is insignificant. Comparing the pressure 755 and force histories, it is seen that the air in Case 6B produces a much lower pressure peak (~  $0.25\rho c^2$ ), 756 and force peak (~  $0.5F_0$ ), while the wave face impinges on the front wall with a slightly earlier time (~ 757 0.01 s), and induces larger pressure and force peaks.





Fig. 25. Top row: pressure histories at FP1 and FP2 and horizontal force histories for the Cases 6 and
6B; Middle and bottom rows: pressure contours, velocity vectors and water-air interfaces at typical
time instants for Case 6 (middle) and Case 6B (bottom).

Comparing Case 6 and Case 6B, the cushioning effect of the entrapped air pocket is confirmed, which reduces the impact pressure and force of the wave face, and delays the time of wave face impact. However, the influence of this cushioning effect is insignificant. The high pressure only appears for a short time (< 0.01 s), because the entrapped air escaped from the top edge of the front wall with a high velocity (> 10 m/s), leading to a rapid pressure drop that can be deduced from Bernoulli's theory. It is also noted that the compressed air pocket induces large pressures and forces prior to the impact of the wave face (see Figs. 25 and 10).

771 Current researches on aerated wave impact often report air cushioning effects (Bredmose et al., 2015; 772 Liu et al., 2019; Ma et al., 2016). The enclosed air pockets may last for certain durations and experience 773 continuous expansion and compression (Zhou et al., 2024), which significantly reduce wave impact 774 pressures, resembling a mass-spring-type system (Lugni et al., 2010). However, in our study of wave 775 impact with the air entrapment on the front wall of the deck, we only observe a very slight reduction of 776 the pressures and forces induced by wave impact (see Fig. 25). This is because the entrapped air pocket 777 only exists for a very short duration. The thickness of the front wall is not large enough, and the 778 compressed air can easily re-establish the connection with the atmosphere. The entrapped air is only 779 compressed once and is not able to expand, breaking the mechanism of the 'mass-spring-type system'.

Moreover, the air entrapment on the front wall induced very high pressure on the structure (even largerthan those induced by the wave face), accompanied with very rapid pressure and force changes.

Zhou et al. (2024) also report large pressure inside the entrapped air pocket. Termed by 'air amplifying effect', the large pressure results from the superposition of the pressure oscillation and water impact pressure. Different from them, our study has shown that air entrapment can induce extreme pressure without the contribution of wave face impact, and the entrapped air pocket is much larger than those reported by Zhou et al. (2024). To sum up, during the wave impact on a box-shaped structure, the deck may not benefit from the air entrapment, instead, the air entrapment may even bring significant threat to the structure.

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#### 790 6.3.2. On the top wall: upward suction force

As shown in Fig. 24, the development of the large negative pressure on the top wall of the P-T type is highly related to the captured high-velocity air vortex. This negative pressure does not only apply upward suction force on the top wall but also applies downward suction force to the water tongue above. As a result, the overturning tongue collapses to the top wall very fast in the P-T type, compared with the other three impact types (see Fig. 9).

Fig. 26 presents the evolutions of pressure, velocity vectors and the water-air interface of P-T type (Case 5), demonstrating the development of the air vortex in detail. The advancing wave face leads to the air escapement from the front-top corner with a high velocity ( $t_0 + 0.01$  s), and the movement of this air jet is restricted, and this air jet is shortly captured by the overturning tongue ( $t_0 + 0.03$  s and  $t_0 + 0.05$ s). The captured air is then isolated from the atmosphere by the wave tongue and structure wall, resulting in the high-velocity vortex and large negative pressure ( $t_0 + 0.07$  s). The deceleration of the air vortex then leads to the reduction of the negative pressure ( $t_0 + 0.09$  s and  $t_0 + 0.11$  s).



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Fig. 26. Evolution of pressure contours, velocity vectors and the water-air interfaces for Case 5.

804

807 Compared with the air entrapment on the front wall, the entrapped air pocket on the top wall shows 808 a much higher velocity (up to  $\sim 25$  m/s) and exists for a much longer duration (> 0.1 s), than the air 809 entrapment on the front wall (~ 0.01 s). It is also noted that the negative pressure on the top wall shows 810 high similarity with the so-called 'suction effect' on the bottom wall (Sun et al., 2019), as they are both 811 related to the fluid vortex in the vicinity of the structures' corners. It is supposed that such negative 812 pressures can be reduced by mitigating the vortices.

813

#### 814 Between the wave face and overturning tongue: negligible pressure rise 6.3.3.

815 Air entrapment also appears in the B type. However, according to the pressure and force histories 816 (see Section 5), the entrapped air pocket in the B impact type applies insignificant influence on the 817 structure. Fig. 27 presents the velocity vector fields and pressure contours of the P-F and B type at 818 typical time instants. It can be seen that a high-velocity air jet is generated near the front-top corner of 819 the deck, as the wave tongue and wave face approach the front wall in the P-F type at  $t_0$  (see the green 820 circle in the top-left panel of Fig. 27). This jet is then blocked by the overturning tongue (see the green 821 circle in the top-middle panel of Fig. 27). The air pocket on the front wall becomes completely entrained, 822 with a significant velocity reduction of the air. The advancing wave face then leads to the compression 823 of the air pocket, resulting in the large pressure in the air pocket (and on the front wall). The wave 824 tongue then moves upwards, re-establishing the connectivity between the air pocket and the atmosphere 825 (see the green circle in the top-right panel of Fig. 27). The captured air then escapes with a large velocity, 826 leading to the instantaneous pressure reduction of the air entrapment region.

827 In comparison, for the B type, the entrapped air can easily move with the surrounding water before 828 and during the impact (see the bottom row of Fig. 27). Although the air jet with a large velocity is 829 generated above the overturning tongue, there is no noticeable velocity gradient across the interface 830 between the tongue and entrapped air (see the green rectangles in the bottom-left and middle panels of 831 Fig. 27). The entrained air can still follow the movement of the tongue (see the green rectangle in the 832 bottom-right panel of Fig. 27), without significant velocity change. As a result, no significant pressure 833 rise is observed in the air pocket. It is also noticed that the captured air pocket does not directly touch 834 the front wall for the B type upon the impact (first and second rows, Fig. 27). By the time the front wall 835 is exposed to the air pocket, the stretching wave tongue has already begun to establish the connectivity 836 between the air pocket and the atmosphere, which prevents the pressure rise.









Fig. 27. Pressure and velocity contours for P-F and B type at typical time instants.

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Based on the comparison between the P-F and B wave impacts, combined with the analyses of the air entrapment on the front and top walls, the following conditions are required for high pressure to develop in the air entrapment zone:



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• The air pocket must be enclosed and directly connected to the structure wall;

- The movement of the inside air must be obstructed by the structure wall or the water;
- High positive pressure needs the air pocket to be compressed by the water movement and structure wall;
- High negative pressure requires the development of a high-velocity vortex in the pocket.

#### 850 **7. Conclusion**

In this study, we develop a Finite Difference-based two-phase-flow wave flume with an enhanced momentum conservation treatment. The accuracy of the numerical wave flume was validated against the experimental data of freak wave impacts on a box-shaped deck structure, including wave profiles, wave elevations and impact pressures. By changing the horizontal location of the deck, a series of numerical simulations were performed to investigate the impact behaviours and wave kinematics and dynamics under different relative locations between the incident wave and structure.

857 We established a quantitative criterion for wave impact type classification. Six parameters were used to describe the morphology and movement of the wave front. Four impact types were identified, namely 858 859 'Unbroken Impact (U)', 'Plunging impact with Top wall air entrapment (P-T)', 'Plunging impact with 860 Front wall air entrapment (P-F)' and 'Broken wave impact (B)'. U manifested the impact of unbroken 861 waves where the wave face dominated the whole impact process and aeration did not occur; P-T and P-F stood for the wave impacts with a well-developed plunging breaker, which were featured by air 862 863 entrapments on the top or front wall, respectively. B demonstrated the impact of a broken wave where 864 the overturning tongue became evident.

865 The impact behaviours of these four types varied on the front and top walls but were similar on the bottom wall. The impacts on the front wall were characterised by short durations with large wave 866 867 pressures and forces, while the bottom wall experienced longer impact durations, lower pressures and 868 large forces. The vertical front wall of the deck and the sharp right angles at the deck corners promoted the generation of vortices and negative pressures on the top wall (P-T type), and bottom wall (all four 869 870 types). The impacts on the top wall were insignificant if air entrapment had not happened. Overall, the 871 descending order of the magnitudes of wave pressures and forces of the four impact types was P-T, P-F, U and B. The pressure and force magnitudes, respectively, reached up to  $1.560\rho c^2$  and  $2.236F_0$  on 872 the front wall,  $0.561\rho c^2$  and  $1.341F_0$  on the bottom wall,  $-0.881\rho c^2$  and  $1.127F_0$  on the top wall (where 873 874  $\rho$  and c stand for the water density and the characteristic wave celerity, respectively;  $F_0$  is calculated by 875  $F_0 = 0.5\rho c^2 A$ , in which A stands for the frontal projected area of the structure.). On the whole deck 876 structure, the upward vertical force could reach  $2.074F_0$ .

The locations of the air entrapment significantly influenced the pressures and forces in the air entrapment region. On the front wall (P-F type), the compression of the air cavity led to large pressures. Due to the small thickness of the front wall, the air cavity only lasted for a very short duration (~ 0.01 s), leading to an insignificant cushioning effect and hence the pressures and forces due to the direct impact of wave face were slightly reduced. On the top wall (P-T type), a vortex region with relatively high flow velocities and evident negative pressures was observed in the air cavity, leading to the upward suction force. The air cavity between the overturning tongue and the wave face (B type), generated insignificant pressures. These phenomena underscore the importance of considering the air-structureinteraction in assessing the impact loads generated by freak waves.

The present numerical model will be further improved to enable reliable simulation of small-scale water droplets and air bubbles such that the fluid kinematics and dynamics during breaking wave impacts can be studied in more detail. Besides, the local fluid compressibility will be considered such that numerical simulations can better reproduce the scale effects.

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## 898 Supplementary data

The time series of the piston-type wavemaker motion of the experimental case (i.e., Case 0 in Section
5) measured in the experiment can be downloaded from the supplementary material.

901

## 902 CRediT authorship contribution statement

Xin Wang: Conceptualization, Data curation, Formal analysis, Investigation, Methodology,
Software, Validation, Visualization, Writing – original draft, Writing – review & editing. Min Luo:
Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology,
Project administration, Resources, Supervision, Validation, Writing – original draft, Writing – review
& editing. Harshinie Karunarathna: Conceptualization, Supervision, Writing – review & editing.
Jose Horrillo-Caraballo: Investigation, Writing – review & editing. Dominic E. Reeve:
Conceptualization, Investigation, Methodology, Resources, Supervision, Writing – review & editing.

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